

BASEL, ROTTERDAM AND STOCKHOLM CONVENTIONS

Report on the implementation of joint and convention-specific activities contained in the programmes of work and budgets of the Basel, Rotterdam and Stockholm conventions for the biennium 2020–2021

Note by the Secretariat

1. The annex to the present note contains a report prepared by the Secretariat on the implementation in 2020 and 2021 of the programmes of work and budgets of the Basel, Rotterdam and Stockholm conventions for the biennium 2020–2021.¹
2. The report provides for each factsheet information on the activities that were implemented, their achieved outcome, their evaluation as per the indicators of achievement, as well as information on partners and socio-economic considerations, namely how considerations related to gender² and the integrated approach to financing sound management of chemicals and wastes have been taken into account in the activities, as well as how the activities undertaken contribute to the implementation of the 2030 Agenda for Sustainable Development.
3. The report also presents expenditures incurred under the general trust funds (BC, RC and SC trust funds) and voluntary trust funds (BD, RV and SV trust funds) and includes expenditure information where funding has been provided by the Food and Agriculture Organization of the United Nations (FAO) regular program resources, and thus not included in either of the two Rotterdam Convention trust funds. The official 2021 financial statements of the United Nations Environment Programme have not yet been published and therefore the financial information provided below is provisional. In 2014, the United Nations adopted the International Public Sector Accounting Standards (IPSAS) which are used for the preparation of the audited financial statements. However, for the purpose of reporting on budget implementation, the United Nations continues to use the United Nations System Accounting Standards (UNSAS). In view of this, expenditures include unliquidated obligations. Core staff costs are not included in the presented expenditures; information on these costs was made available to the conferences of the Parties at the face-to-face segment of their meetings in June 2022.
4. Regarding the voluntary funded activities, the budget presented is a funding estimate that the conferences of Parties has taken note of. However, the conventions are generally not able to receive full funding for these funding estimates or budgets. Furthermore, in addition to funds directly supporting each specific Convention, the three conventions also receive funds for joint activities. The expenditures for joint activities have been divided between the three conventions in proportion relative to the original approved budgets for the biennium. All amounts are in United States dollars, unless otherwise stated.
5. The present note, including its annex, has not been formally edited.

¹ Decisions BC-14/29, RC-9/17 and SC-9/27, respectively.

² In line with the gender action plan (UNEP/CHW.14/INF/55-UNEP/FAO/RC/COP.9/INF/45-UNEP/POPS/COP.9/INF/58).

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1. Conferences and meetings

PoW number	Activity 1
Title of activity	Fifteenth meeting of the Conference of the Parties to the Basel Convention
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 15 of the Basel Convention COP decisions: Decisions BC-14/27 and BC-15/1 of the Basel Convention
Outcomes and activities	<p>Component 1.1: Fifteenth meeting of the Conference of the Parties to the Basel Convention</p> <p>Due to the COVID-19 pandemic, the meeting was held in two segments: an online segment held from 26 to 30 July 2021 and a face-to-face segment held from 6 to 17 June 2022 in Geneva. The present report covers the online segment of the meeting which falls within the reporting period of 2020-2021.</p> <p>Outcomes achieved:</p> <p>Arrangements for the online segment of the 15th meeting of the Conference of the Parties were made and quality services provided which resulted in the adoption of time sensitive decisions that allowed the continuation of the work of the Convention in 2022. Parties from developing countries and countries with economies in transition were supported to participate in the online segment of the meeting and thus contributed to the decision-making process. Meeting documents were prepared in advance of the meeting allowing effective preparations in advance of and deliberations at the meeting.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. The online segment of the fifteenth meeting of the Conference of the Parties to the Basel Convention was held back to back with the online segment of the respective tenth meetings of the conferences of the Parties to the Rotterdam Convention and the Stockholm Convention from 26 to 30 July 2021. Approximately 1,300 participants from over 160 country Parties and observers gathered under the topic "Global Agreements for a Healthy Planet: Sound management of chemicals and waste". The Conference of the Parties adopted a number of time sensitive decisions for the continuation of the work under the Convention in 2022, including an interim budget for the Convention for 2022 and the venue and dates of the face-to-face resumed meeting in 2022. All information and the outcomes of the meeting are available on the meeting website;³ 2. The Secretariat prepared, translated and published pre-session, in-session and post-session meeting documents and posted them on the meeting website, and intranet of the meeting as relevant. Pre-session documents were posted on the website by 26 May 2021, i.e. 2 months in advance of the meeting (for budget documents by 26 April 2021, i.e. 90 days before the meeting); 3. The Secretariat prepared and dispatched invitation letters; registered nominated representatives from governments and observers and arranged a secure access to the online meeting of the Conference of the Parties and its associated meetings. Thanks to the financial contributions received from donors for the 2021/2022 meetings of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions, approximately 60 delegates from developing country Parties and country Parties with economies in transition were supported to participate in the meetings through the provision of a communication allowance to help them defray their communication costs, in line with the policies in place within the United Nations Environment Programme and the BRS Secretariat on this matter. 4. Conference services, interpretation, online meeting platform and other practical arrangements for the meeting were provided. 5. The report of that segment of the meeting is available on the meeting website.⁴ 6. Outreach and communications activities were conducted, including web announcements, press releases and the following briefings: one briefing to the Permanent Missions in Geneva on 27 May 2021; a technical briefing on the proposed programmes of work and budgets for Parties on 24 June 2021 and eight online briefings (webinars) on the meetings in English, French and Spanish on 5, 6 and 8 July 2021 and 29, 30 September and 1 October 2021. 7. The Earth Negotiations Bulletin (ENB) covered the meeting. 8. Eleven online side events were held during the meeting to provide an opportunity to subsidiary bodies to present their work and Governments and stakeholders to exhibit their information products, projects and activities to support the implementation of the Convention.

³ <http://www.brsmeas.org/20212022COPs/Overview/tabid/8395/language/en-US/Default.aspx>

⁴ Ibid.

9. The Secretariat provided support to the Bureau and President of the Conference of the Parties during the intersessional period (see activity 7 for further information) and during the meeting.

Evaluation as per the indicators of achievement:

1. Percentage of working documents made available in languages within deadlines: 100%
2. Meeting facilities and services provided to ensure smooth running of the meeting: 100% completed
3. Participation of at least one representative per Party to the Basel Convention that is a developing country or country with economy in transition in the COP: Around 60 delegates from developing country Parties and country Parties with economies in transition received support to reimburse their communication costs to participate in the online segment of the COP.
4. Number of men/women within delegations, chairs of contact and other groups: Approximately 60% of Government delegations were composed of 50% or more of women; 30% of chairs/co-chairs of groups at the COPs were women.

Component 1.2: Regional preparatory meetings for the 2021 meetings of the conferences of the Parties to the Basel, Rotterdam and Stockholm Conventions

Similar to the meetings of the conferences of the Parties, due to the COVID-19 pandemic, the regional preparatory meetings were held in two parts: the first part consisting of online meetings which were held in May and June 2021 prior to the online segment of the 2021/2022 conferences of the Parties, and the second part consisting of 3-day face-to-face meetings which were held in 2022 in advance of the face-to-face segment of the meetings of the conferences of the Parties. The present report covers the online regional preparatory meetings held in 2021.

Outcomes achieved:

Arrangements for the online regional preparatory meetings were made and quality services provided. Parties and observers to the conventions were supported to prepare for the online segment of the 2021/2022 meetings of the conferences of the Parties so as to become familiar with the agendas and organization of work of the meetings and had the opportunity to discuss regional challenges and positions.

Activities implemented:

1. Four online regional preparatory meetings were held as follows, in close consultation with the bureaux members of the concerned regions.:
 - (a) Africa: 5-6 May 2021; organized with support from the Basel Convention Coordinating Center in China;
 - (b) Asia-Pacific: 8-9 June 2021;
 - (c) Eastern Europe: 11-12 May 2021;
 - (d) Latin America and the Caribbean: 31 May-1 June 2021.
2. Thanks to the financial support from Switzerland and Norway, a total of 437 participants, representing 131 country Parties and 28 observers, attended the regional preparatory meetings and were able to hear briefings, share views and consult each other on the agenda items of the online segment of the 2021/2022 BRS COPs hence enhancing their preparedness for the meetings. Financial support was provided to 84 eligible participants who requested support to defray their communication costs, in line with the policies in place within the United Nations Environment Programme and the BRS Secretariat on this matter.
3. The Secretariat arranged online meeting platform, provide a secure access to the online meetings and provided interpretation for regional preparatory meetings;
4. Staff supported the chairs (bureaux members) during the preparation and running of the online regional preparatory meetings;
5. Relevant outcome documents are posted on the Convention's website.⁵

Evaluation as per the indicators of achievement:

1. Travel of sponsored participants organized: two representatives per eligible country that is a Party to at least two of the Basel, Rotterdam and Stockholm conventions: 84 delegates from developing country Parties and country Parties with economies in transition received support to reimburse their communication costs to participate in the online meetings.
2. Meeting facilities and services provided to ensure smooth running of the meetings: 100% completed
3. Effective support is provided by the Secretariat to representatives of Parties and observers, as appropriate, during the meetings (subject to the availability of funding): 100% completed

⁵ <http://www.brsmeas.org/20212022COPs/RegionalPreparatoryMeetings/Overview/tabid/8802/language/en-US/Default.aspx>.

<u>Budget performance for 2020-2021</u>					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
566,286	163,694	-	-	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
1,132,379	31,324	-	-	-	-
Partner(s)	United Nations Office in Nairobi (UNON) Conference Services, Basel and Stockholm conventions regional centres				
Socio-economic aspects	<p>Sustainable Development Goals: By ensuring informed participation of developing-country Parties and Parties with economies in transition in the meetings of the conferences of the Parties in 2021, this activity contributed to the 2030 sustainable development agenda which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making. It also improved the legitimacy of the decisions adopted by the Conference of the Parties and encouraged the implementation of the Convention at all levels.</p> <p>Gender mainstreaming: In line with the Basel, Rotterdam and Stockholm conventions (BRS) Gender Action Plan⁶, in the nomination process of participants to the meetings, the Secretariat encouraged a balanced gender representation within delegations. In allocating funding, the Secretariat took into account the procedure for the allocation of funding from the Special Trust Fund for voluntary contributions (BD) for facilitating the participation of Parties in meetings of the Conference of the Parties and Open-ended Working Group (BC-10/28), paying special attention to gender balance. The Secretariat also advised the participants of any potential gender impacts of proposals developed for consideration by the COPs and took gender balance into account in proposing chairs of contact and other groups.</p> <p>Integrated Approach: The meetings contributed to catalysing cooperation and coordination among Parties and relevant stakeholders at the regional level, including civil society and private sector, as relevant, thus promoting the integration of chemicals and wastes into national budgets, sectors and development plans, and enhancing private-public relationships and partnerships. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>				

⁶ Document UNEP/CHW.14/INF/55-UNEP/FAO/RC/COP.9/INF/45-UNEP/POPS/COP.9/INF/58, annex.

PoW number	Activity 2
Title of activity	Tenth meeting of the Conference of the Parties to the Rotterdam Convention
Convention(s)	<input type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 18 of the Rotterdam Convention COP decisions: Decisions RC-9/15 and RC-10/2 of the Rotterdam Convention
Outcomes and activities	<p>Component 2.1: Tenth meeting of the Conference of the Parties to the Rotterdam Convention</p> <p>Due to the COVID-19 pandemic, the meeting was held in two segments: an online segment held from 26 to 30 July 2021 and a face-to-face segment held from 6 to 17 June 2022 in Geneva. The present report covers the online segment of the meeting which falls within the reporting period of 2020-2021.</p> <p>Outcomes achieved:</p> <p>Arrangements for the online segment of the 10th meeting of the Conference of the Parties were made and quality services provided which resulted in the adoption of time sensitive decisions that allowed the continuation of the work of the Convention in 2022. Parties from developing countries and countries with economies in transition were supported to participate in the online segment of the meeting and thus contributed to the decision-making process. Meeting documents were prepared in advance of the meeting allowing effective preparations in advance of and deliberations at the meeting.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. The online segment of the tenth meeting of the Conference of the Parties to the Rotterdam Convention was held back to back with the online segment of the fifteenth meeting of the Conference of the Parties to the Basel Convention and the tenth meeting of the Conference of the Parties to the Stockholm Convention from 26 to 30 July 2021. Approximately 1,300 participants from over 160 country Parties and observers gathered under the topic "Global Agreements for a Healthy Planet: Sound management of chemicals and waste ". The Conference of the Parties adopted a number of time sensitive decisions for the continuation of the work under the Convention in 2022, including an interim budget for the Convention for 2022 and the venue and dates of the face-to-face resumed meeting in 2022. All information and the outcomes of the meeting are available on the meeting website;⁷ 2. The Secretariat prepared, translated and published pre-session, in-session and post-session meeting documents and posted them on the meeting website, and intranet of the meeting as relevant. Pre-session documents were posted on the website by 26 May 2021, i.e. 2 months in advance of the meeting (for budget documents by 26 April 2021, i.e. 90 days before the meeting); 3. The Secretariat prepared and dispatched invitation letters; registered nominated representatives from governments and observers and arranged a secure access to the online meeting of the Conference of the Parties and its associated meetings. Thanks to the financial contributions received from donors for the 2021/2022 meetings of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions, approximately 60 delegates from developing country Parties and country Parties with economies in transition were supported to participate in the meetings through the provision of a communication allowance to help them defray their communication costs, in line with the policies in place within the United Nations Environment Programme and the BRS Secretariat on this matter. 4. Conference services, interpretation, online meeting platform and other practical arrangements for the meeting were provided. 5. The report of that segment of the meeting is available on the meeting website.⁸ 6. Outreach and communications activities were conducted, including web announcements, press releases and the following briefings: one briefing to the Permanent Missions in Geneva on 27 May 2021; a technical briefing on the proposed programmes of work and budgets for Parties on 24 June 2021 and eight online briefings (webinars) on the meetings in English, French and Spanish on 5, 6 and 8 July 2021 and 29, 30 September and 1 October 2021. 7. The Earth Negotiations Bulletin (ENB) covered the meeting. 8. Eleven online side events were held during the meeting to provide an opportunity to subsidiary bodies to present their work and Governments and stakeholders to exhibit their information products, projects and activities to support the implementation of the Convention.

⁷ <http://www.brsmeas.org/20212022COPs/Overview/tabid/8395/language/en-US/Default.aspx>

⁸ *Ibid.*

	<p>9. The Secretariat provided support to the Bureau and President of the Conference of the Parties during the intersessional period (see activity 7 for further information) and during the meeting.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Percentage of working documents made available in languages within deadlines: 100% 2. Meeting facilities and services provided to ensure smooth running of the meeting: 100% completed 3. Participation of at least one representative per Party to the Rotterdam Convention that is a developing country or country with economy in transition in the COP: Around 60 delegates from developing country Parties and country Parties with economies in transition received support to reimburse their communication costs to participate in the online segment of the COP. 4. Number of men/women within delegations and chairs of contact and other groups: 60% of Government delegations were composed of 50% or more of women; 30% of chairs/co-chairs of groups at the COPs were women. <p>Component 2.2: Regional preparatory meetings for the 2021 meetings of the conferences of the Parties to the Basel, Rotterdam and Stockholm Conventions</p> <p>Similar to the meetings of the conferences of the Parties, due to the COVID-19 pandemic, the regional preparatory meetings were held in two parts: the first part consisting of online meetings which were held in May and June 2021 prior to the online segment of the 2021/2022 conferences of the Parties, and the second part consisting of 3-day face-to-face meetings which were held in 2022 in advance of the face-to-face segment of the meetings of the conferences of the Parties. The present report covers the online regional preparatory meetings held in 2021.</p> <p>Outcomes achieved:</p> <p>Arrangements for online regional preparatory meetings were made and quality services provided. Parties and observers to the conventions were supported to prepare for the online segment of the 2021/2022 meetings of the conferences of the Parties so as to become familiar with the agendas and organization of work of the meetings and had the opportunity to discuss regional challenges and positions.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. Four online regional preparatory meetings were held as follows, in close consultation with the bureaux members of the concerned regions.: <ol style="list-style-type: none"> (e) Africa: 5-6 May 2021; organized with support from the Basel Convention Coordinating Center in China; (f) Asia-Pacific: 8-9 June 2021; (g) Eastern Europe: 11-12 May 2021; (h) Latin America and the Caribbean: 31 May-1 June 2021. 2. Thanks to the financial support from Switzerland and Norway, a total of 437 participants, representing 131 country Parties and 28 observers, attended the regional preparatory meetings and were able to hear briefings, share views and consult each other on the agenda items of the online segment of the 2021/2022 BRS COPs hence enhancing their preparedness for the meetings. Financial support was provided to 84 eligible participants who requested support to defray their communication costs, in line with the policies in place within the United Nations Environment Programme and the BRS Secretariat on this matter. 3. The Secretariat arranged online meeting platform, provide a secure access to the online meetings and provided interpretation for regional preparatory meetings; 4. Staff supported the chairs (bureaux members) during the preparation and running of the online regional preparatory meetings; 5. Relevant outcome documents are posted on the Convention's website.⁹ <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Travel of sponsored participants organized: two representatives per eligible country that is a Party to at least two of the Basel, Rotterdam and Stockholm conventions (subject to the availability of funding): 84 delegates from developing country Parties and country Parties with economies in transition received support to reimburse their communication costs to participate in the online meetings. 2. Meeting facilities and services provided to ensure smooth running of the meetings: 100% completed 3. Effective support is provided by the Secretariat to representatives of Parties and observers, as appropriate, during the meetings: 100% completed
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⁹ <http://www.brsmeas.org/20212022COPs/RegionalPreparatoryMeetings/Overview/tabid/8802/language/en-US/Default.aspx>.

<u>Budget performance for 2020-2021</u>					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	566,286	74,939	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	1,132,379	31,358	-	-
Partner(s)	United Nations Office in Nairobi (UNON) Conference Services, Basel and Stockholm conventions regional centres				
Socio-economic aspects	<p>Sustainable Development Goals: By ensuring informed participation of developing-country Parties and Parties with economies in transition in the meetings of the conferences of the Parties in 2021, this activity contributed to the 2030 sustainable development agenda which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making. It also improved the legitimacy of the decisions adopted by the Conference of the Parties and encouraged the implementation of the Convention at all levels.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan¹⁰, in the nomination process of participants to the meetings, the Secretariat encouraged a balanced gender representation within delegations. In allocating funding, the Secretariat took into account the procedure for the allocation of funding from the Special Trust Fund for voluntary contributions for facilitating the participation of Parties in meetings of the Conference of the Parties (RC-5/1), paying special attention to gender balance. The Secretariat also advised the participants of any potential gender impacts of proposals developed for consideration by the COPs and took gender balance into account in proposing chairs of contact and other groups.</p> <p>Integrated Approach: The meetings contributed to catalysing cooperation and coordination among Parties and relevant stakeholders at the regional level, including civil society and private sector, as relevant, thus promoting the integration of chemicals and wastes into national budgets, sectors and development plans, and enhancing private-public relationships and partnerships. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>				

¹⁰ Document UNEP/CHW.14/INF/55-UNEP/FAO/RC/COP.9/INF/45-UNEP/POPS/COP.9/INF/58, annex.

PoW number	Activity 3
Title of activity	Tenth meeting of the Conference of the Parties to the Stockholm Convention
Convention(s)	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 19 of the Stockholm Convention COP decisions: SC-9/25 and SC-10/2 of the Stockholm Convention
Outcomes and activities	<p>Component 3.1: Tenth meeting of the Conference of the Parties to the Stockholm Convention</p> <p>Due to the COVID-19 pandemic, the meeting was held in two segments: an online segment held from 26 to 30 July 2021 and a face-to-face segment held from 6 to 17 June 2022 in Geneva. The present report covers the online segment of the meeting which falls within the reporting period of 2020-2021.</p> <p>Outcomes achieved:</p> <p>Arrangements for the online segment of the 10th meeting of the Conference of the Parties were made and quality services provided which resulted in the adoption of time sensitive decisions that allowed the continuation of the work of the Convention in 2022. Parties from developing countries and countries with economies in transition were supported to participate in the online segment of the meeting and thus contributed to the decision-making process. Meeting documents were prepared in advance of the meeting allowing effective preparations in advance of and deliberations at the meeting.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. The online segment of the tenth meeting of the Conference of the Parties to the Stockholm Convention was held back to back with the online segment of the fifteenth meeting of the Conference of the Parties to the Basel Convention and the tenth meeting of the Conference of the Parties to the Rotterdam Convention from 26 to 30 July 2021. Approximately 1,300 participants from over 160 country Parties and observers gathered under the topic "Global Agreements for a Healthy Planet: Sound management of chemicals and waste". The Conference of the Parties adopted a number of time sensitive decisions for the continuation of the work under the Convention in 2022, including an interim budget for the Convention for 2022 and the venue and dates of the face-to-face resumed meeting in 2022. All information and the outcomes of the meeting are available on the meeting website;¹¹ 2. The Secretariat prepared, translated and published pre-session, in-session and post-session meeting documents and posted them on the meeting website, and intranet of the meeting as relevant. Pre-session documents were posted on the website by 26 May 2021, i.e. 2 months in advance of the meeting (for budget documents by 26 April 2021, i.e. 90 days before the meeting); 3. The Secretariat prepared and dispatched invitation letters; registered nominated representatives from governments and observers and arranged a secure access to the online meeting of the Conference of the Parties and its associated meetings. Thanks to the financial contributions received from donors for the 2021/2022 meetings of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions, approximately 60 delegates from developing country Parties and country Parties with economies in transition were supported to participate in the meetings through the provision of a communication allowance to help them defray their communication costs, in line with the policies in place within the United Nations Environment Programme and the BRS Secretariat on this matter. 4. Conference services, interpretation, online meeting platform and other practical arrangements for the meeting were provided. 5. The report of that segment of the meeting is available on the meeting website.¹² 6. Outreach and communications activities were conducted, including web announcements, press releases and the following briefings: one briefing to the Permanent Missions in Geneva on 27 May 2021; a technical briefing on the proposed programmes of work and budgets for Parties on 24 June 2021 and eight online briefings (webinars) on the meetings in English, French and Spanish on 5, 6 and 8 July 2021 and 29, 30 September and 1 October 2021. 7. The Earth Negotiations Bulletin (ENB) covered the meeting. 8. Eleven online side events were held during the meeting to provide an opportunity to subsidiary bodies to present their work and Governments and stakeholders to exhibit their information products, projects and activities to support the implementation of the Convention.

¹¹ <http://www.brsmeas.org/20212022COPs/Overview/tabid/8395/language/en-US/Default.aspx>

¹² *Ibid.*

9. The Secretariat provided support to the Bureau and President of the Conference of the Parties during the intersessional period (see activity 7 for further information) and during the meeting.

Evaluation as per the indicators of achievement:

1. Percentage of working documents made available in languages within deadlines: 100%
2. Meeting facilities and services provided to ensure smooth running of the meeting: 100% completed
3. Participation of at least one representative per Party to the Stockholm Convention that is a developing country or country with economy in transition in the COP: Around 60 delegates from developing country Parties and country Parties with economies in transition received support to reimburse their communication costs to participate in the online segment of the COP.
4. Number of men/women within delegations and chairs of contact and other groups: 60% of Government delegations were composed of 50% or more of women; 30% of chairs/co-chairs of contact and other groups at the COPs were women.

Component 3.2: Regional preparatory meetings for the 2021 meetings of the conferences of the Parties to the Basel, Rotterdam and Stockholm Conventions

Similar to the meetings of the conferences of the Parties, due to the COVID-19 pandemic, the regional preparatory meetings were held in two parts: the first part consisting of online meetings which were held in May and June 2021 prior to the online segment of the 2021/2022 conferences of the Parties, and the second part consisting of 3-day face-to-face meetings which were held in 2022 in advance of the face-to-face segment of the meetings of the conferences of the Parties. The present report covers the online regional preparatory meetings held in 2021.

Outcomes achieved:

Arrangements for the online regional preparatory meetings were made and quality services provided. Parties and observers to the conventions were supported to prepare for the online segment of the 2021/2022 meetings of the conferences of the Parties so as to become familiar with the agendas and organization of work of the meetings and had the opportunity to discuss regional challenges and positions.

Activities implemented:

1. Four online regional preparatory meetings were held as follows, in close consultation with the bureaux members of the concerned regions.:
 - (a) Africa: 5-6 May 2021; organized with support from the Basel Convention Coordinating Center in China;
 - (b) Asia-Pacific: 8-9 June 2021;
 - (c) Eastern Europe: 11-12 May 2021;
 - (d) Latin America and the Caribbean: 31 May-1 June 2021.
2. Thanks to the financial support from Switzerland and Norway, a total of 437 participants, representing 131 country Parties and 28 observers, attended the regional preparatory meetings and were able to hear briefings, share views and consult each other on the agenda items of the online segment of the 2021/2022 BRS COPs hence enhancing their preparedness for the meetings. Financial support was provided to 84 eligible participants who requested support to defray their communication costs, in line with the policies in place within the United Nations Environment Programme and the BRS Secretariat on this matter.
3. The Secretariat arranged online meeting platform, provide a secure access to the online meetings and provided interpretation for regional preparatory meetings;
4. Staff supported the chairs (bureaux members) during the preparation and running of the online regional preparatory meetings;
5. Relevant outcome documents are posted on the Convention's website.¹³

Evaluation as per the indicators of achievement:

1. Travel of sponsored participants organized: two representatives per eligible country that is a Party to at least two of the Basel, Rotterdam and Stockholm conventions: 84 delegates from developing country Parties and country Parties with economies in transition received support to reimburse their communication costs to participate in the online meetings
2. Meeting facilities and services provided to ensure smooth running of the meetings: 100% completed
3. Effective support is provided by the Secretariat to representatives of Parties and observers, as appropriate, during the meetings: 100% completed.

Budget performance for 2020-2021

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	566,286	170,214

¹³ <http://www.brsmeas.org/20212022COPs/RegionalPreparatoryMeetings/Overview/tabid/8802/language/en-US/Default.aspx>.

Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
		-	-	1,132,379	31,280
Partner(s)	United Nations Office in Nairobi (UNON) Conference Services, Basel and Stockholm conventions regional centres				
Socio-economic aspects	<p>Sustainable Development Goals: By ensuring informed participation of developing-country Parties and Parties with economies in transition in the meetings of the conferences of the Parties in 2021, this activity contributed to the 2030 sustainable development agenda which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making. It also improved the legitimacy of the decisions adopted by the Conference of the Parties and encourages the implementation of the Convention at all levels.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan¹⁴, in the nomination process of participants to the meetings, the Secretariat encouraged a balanced gender representation within delegations. In allocating funding, the Secretariat took into account the procedure for the allocation of funding from the Special Trust Fund for voluntary contributions for facilitating the participation of Parties in meetings of the Conference of the Parties (SC-5/2), paying special attention to gender balance. The Secretariat also advised the participants of any potential gender impacts of proposals developed for consideration by the COPs and took gender balance into account in proposing chairs of contact and other groups.</p> <p>Integrated Approach: The meetings contributed to catalysing cooperation and coordination among Parties and relevant stakeholders at the regional level, including civil society and private sector, as relevant, thus promoting the integration of chemicals and wastes into national budgets, sectors and development plans, and enhancing private-public relationships and partnerships. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>				

¹⁴ Document UNEP/CHW.14/INF/55-UNEP/FAO/RC/COP.9/INF/45-UNEP/POPS/COP.9/INF/58, annex.

PoW number	Activity 4				
Title of activity	Twelfth meeting of the Basel Convention Open-ended Working Group				
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention				
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget				
Mandate	COP decision(s): Decisions VI/36 and BC-14/20 of the Basel Convention				
Outcomes and activities	<p>Component 4.1: Twelfth meeting of the Basel Convention Open-ended Working Group</p> <p>Due to the COVID-19 pandemic, the meeting was held in two segments: an online segment which consisted of two online sessions held on 1 September 2020 and 3 September 2020, and a face-to-face segment which took place from 4 to 6 April 2022, in Nairobi, Kenya. The present report covers the online segment of the meeting which falls within the reporting period of 2020-2021.</p> <p>Outcomes achieved:</p> <p>Arrangements for the online segment of the 12th meeting of the Open-ended Working Group were made and quality services provided. Parties from developing-countries and countries with economies in transition were supported to participate in that segment and thus contributed to the decision-making process. Meeting documents were prepared. This allowed participants to be briefed on all aspects of the work programme of the Open-ended Working Group for the period 2020–2021 and to provide feedback following the meeting that enabled work to advance ahead of the face-to-face segment of the meeting (later scheduled from 4 to 6 April 2022) and the fifteenth meeting of the Conference of the Parties to the Basel Convention.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. The online segment of the 12th meeting of the Basel Convention Open-ended Working Group was held on 1 and 3 September 2020. Approximately 600 participants from more than 120 country Parties participated in the online sessions and provided updates and inputs on the various products of the work programme of the Open-ended Working Group; 2. The Secretariat prepared, edited, translated and published pre-session, in-session and post-session meeting documents and posted them on the meeting website,¹⁵ and intranet of the meeting as relevant. 3. The Secretariat prepared and dispatched invitation letters; registered nominated representatives from governments and observers and arranged secure access to the online segment of the meeting; 4. Conference services, interpretation, an online meeting platform and other practical arrangements for the meeting were provided; 5. The report of that segment of the meeting is available on the meeting website¹⁶. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Percentage of working documents made available to Parties in all working languages within deadlines: 100% of working documents were made available to Parties within deadline on the website of the Convention; 2. Meeting facilities and services provided to ensure smooth running of the meeting: Access to an electronic platform facilitating the online meeting was provided; 3. Participation of at least one representative per Party that is a developing country or country with economy in transition (subject to availability of funding): A communication allowance was provided to those eligible delegates requesting such support (no more than 4 delegates requested such an allowance); 4. Number of men/women within delegations and chairs of contact and other groups: The gender balance for the meeting was 50/50; there were no contact groups during the online segment. 				
<u>Budget performance for 2020-2021</u>					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
344,146	126,860	-	-	-	-

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[http://www.basel.int/TheConvention/OpenedWorkingGroup\(OEWG\)/Meetings/OEWG12/Meetingdocuments/tabid/8403/Default.aspx](http://www.basel.int/TheConvention/OpenedWorkingGroup(OEWG)/Meetings/OEWG12/Meetingdocuments/tabid/8403/Default.aspx)

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[http://www.basel.int/TheConvention/OpenedWorkingGroup\(OEWG\)/Meetings/OEWG12/Meetingdocuments/tabid/8403/Default.aspx](http://www.basel.int/TheConvention/OpenedWorkingGroup(OEWG)/Meetings/OEWG12/Meetingdocuments/tabid/8403/Default.aspx)

Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
594,160	54,857	-	-	-	-
Partner(s)	United Nations Office in Nairobi (UNON) Conference Services, Basel and Stockholm conventions regional centres				
Socio-economic aspects	<p>Sustainable Development Goals: By ensuring informed participation of developing-country Parties and Parties with economies in transition in the twelfth meeting of the Open-ended Working Group in 2020, this activity contributed to the 2030 sustainable development agenda which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making. It also improved the legitimacy of the decisions adopted by the Open-ended Working Group and encouraged the implementation of the Convention at all levels.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan¹⁷, in the nomination process of participants to the meeting, the Secretariat encouraged a balanced gender representation within delegations. In allocating funding, the Secretariat took into account the procedure for the allocation of funding from the Special Trust Fund for voluntary contributions (BD) for facilitating the participation of Parties in meetings of the Open-ended Working Group (BC-10/28), paying special attention to gender balance. The Secretariat also advised the participants of any potential gender impacts of proposals developed for consideration by the Open-ended Working Group and took gender balance into account when proposing chairs of contact and other groups.</p> <p>Integrated Approach: The meeting of the Open-ended Working Group is the global forum which catalyses cooperation and coordination among all Parties and relevant stakeholders, including civil society and private sector, thus promoting the integration of chemicals and wastes into national budgets, sectors and development plans, and enhancing private-public relationships and partnerships. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>				

¹⁷ Document UNEP/CHW.14/INF/55-UNEP/FAO/RC/COP.9/INF/45-UNEP/POPS/COP.9/INF/58, annex.

PoW number	Activity 5
Title of activity	Sixteenth and seventeenth meetings of the Rotterdam Convention Chemical Review Committee and orientation workshop for members of the Chemical Review Committee
Convention(s)	<input type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 18 (paragraph 6), Article 5 and Article 6 of the Rotterdam Convention COP decision(s): Decision RC-9/2 of the Rotterdam Convention
Outcomes and activities	<p>Component 5.1: Sixteenth and seventeenth meetings of the Chemical Review Committee (CRC)</p> <p>Outcomes achieved:</p> <p>Arrangements for the sixteenth and seventeenth meetings of the Chemical Review Committee were made and quality services provided. Due to the COVID-19 pandemic, both meetings had to take place online with a prioritized agenda. Meeting documents and reports were prepared.</p> <p>Activities implemented:</p> <p>Sixteenth meeting of the Rotterdam Convention Chemical Review Committee</p> <ol style="list-style-type: none"> 1. The sixteenth meeting of the Chemical Review Committee was held online on 9-11 September 2020. Pre-meetings of the intersessional task groups were held online on 7 September 2020. 2. The Committee adopted one draft decision guidance document, reviewed one notification of final regulatory action and adopted a revised draft decision guidance document related to that notification, as well as an amended recommendation on listing for the Conference of the Parties. 3. All pre-session meeting documents, the report of the meeting and post-session documents were posted on the meeting website (www.pic.int/crc16). 4. 95 participants (30 members, 65 observers) attended the meeting online. 5. Outreach and communications (web announcements, press releases, briefings, webinars etc.) were arranged. ENB covered the meeting. <p>Seventeenth meeting of the Rotterdam Convention Chemical Review Committee</p> <ol style="list-style-type: none"> 6. The seventeenth meeting of the Chemical Review Committee was held online on 20-24 September 2021. Pre-meetings of the intersessional task groups were held online on 14-15 September 2021. 7. The Committee reviewed notifications of final regulatory actions of four chemicals and recommended the listing of two of them in Annex III to the Convention. 8. All pre-session meeting documents, the report of the meeting and post-session documents were posted on the meeting website (www.pic.int/crc17). 9. 145 participants (30 members, 115 observers) attended the meeting online. 10. Outreach and communications (web announcements, press releases, briefings, webinars etc.) were arranged. ENB covered the meeting. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Percentage of working documents made available to members and observers within deadlines: 100%; 2. Meeting facilities and services provided to ensure smooth running of the meetings: An online meeting platform, Webex, was used to organize the meetings due to the COVID-19 pandemic. Thanks to the provision of personalized technical support, briefings and manuals, the meetings were able to run smoothly, despite a certain amount of challenges linked to online meetings; 3. Travel of sponsored members organized: No travel was organized due to the online nature of the meetings; 4. Number of men/women among members and as leads of intersessional task and drafting groups: At the sixteenth meeting, 13 out of 30 members attending (43%) were women and one out of four members taking on lead roles of intersessional task and drafting groups (25%) were women; at the seventeenth meeting, 13 out of 30 members attending (43%) were women and 3 out of 8 members taking on lead roles of intersessional task and drafting groups (38%) were women; four of the five Bureau members at both meetings (80%) were women, including the Chair of the Committee. <p>Component 5.2: Chemical Review Committee (CRC) orientation workshop</p> <p>Outcomes:</p> <p>The incoming CRC members have a clear understanding of the CRC processes and are able to fully participate in the intersessional work and future meetings of the Committee thereby facilitating a smooth rotation of the</p>

membership to the extent possible, taking into account limited opportunities in the biennium 2020-2021 for face-to-face training activities, due to the COVID-19 pandemic.

Activities (subject to the availability of funding):

1. Thanks to the financial support provided by the Food and Agriculture Organization of the United Nations, the Secretariat had started to organize an orientation workshop that was planned to be held in Rome in April 2020 to familiarize new members with the operations of the Committee. However, owing to the COVID-19 pandemic, the workshop had to be cancelled.
2. Instead, the Secretariat held a series of online webinars to introduce new members to the operations of the Committee¹⁸. The webinars provided a general introduction to the work of the Committee and information on the process and criteria for reviewing notifications of final regulatory action and on the preparation of draft decision guidance documents.
3. Other activities organized by the Secretariat to facilitate effective participation in the work of the Chemical Review Committee included the organization of online briefings both before and after the meetings of the Committee¹⁹; a subregional workshop on enhancing the skills of negotiators for meetings of the Basel, Rotterdam and Stockholm conventions and enhancing the effectiveness of the Rotterdam Convention organized thanks to the generous financial support provided by Australia, Germany and the European Union to clarify the listing process under the Convention, to provide further information on the work of the Chemical Review Committee and to prepare Parties for discussions on listing at meetings of the Conference of the Parties²⁰; the preparation of a video on the work of the Committee aimed at increasing the understanding and awareness of Parties, observers and stakeholders of the work of the Committee²¹; the translation of the Pocket Guide for effective participation in the Chemical Review Committee under the Rotterdam Convention into all six UN languages²²; and conducting a survey among Committee members in November 2020 on ways to enhance effective participation in the work of the Committee and members' needs in that regard²³.

Evaluation as per the indicators of achievement:

1. Travel of sponsored members organized (subject to the availability of funding): The face-to-face orientation workshop could not take place due to COVID-19 and had to be replaced with online trainings and other tools.
2. Facilities and services provided to ensure smooth running of the workshop (subject to the availability of funding): Facilities and services were provided to ensure smooth running of the online trainings;
3. Preparation of an e-learning tool (subject to the availability of funding): A video was prepared and the Pocket Guide for effective participation in the Chemical Review Committee was translated into the six UN languages;
4. High level of satisfaction among incoming members (subject to the availability of funding): The survey among Committee members showed that the members would have preferred the possibility for face-to-face trainings would these have been possible; they were satisfied with the online training offered but made a number of suggestions how to enhance them in the future;
5. Level of participation of new members in the intersessional work and in meetings (subject to the availability of funding): with the support of the orientation webinars ahead of their first Committee meetings and despite the challenges linked to the online nature of the two Committee members, the new members participated well in the sixteenth and seventeenth meetings of the Committee, including by taking on lead roles in the Committee. The survey on ways to enhance effective participation in the work of the Committee showed that the new members felt partly prepared for their first meeting but well prepared after having participated once.

Budget performance for 2020-2021

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	474,370	299,365	-	-

Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	102,200	-	-	-

¹⁸ See: <http://www.brsmeas.org/Default.aspx?tabid=3574&meetId=EB3858F7-ACB4-EA11-9CF3-005056857856>.

¹⁹ The webinars are available from the webinar library at: www.pic.int/tabid/4212.

²⁰ See: www.brsmeas.org/tabid/8231.

²¹ The video is available in the multimedia gallery section of the Convention website at: www.pic.int/tabid/2251.

²² Available at: www.pic.int/tabid/1060.

²³ The results are available in document UNEP/FAO/RC/CRC.17/INF/32.

Financial and in-kind support		
Basel Convention	Rotterdam Convention	Stockholm Convention
Expenditure	Expenditure	Expenditure
-	20,500*	

(*) from FAO Regular Programme budget to the Rotterdam Convention Secretariat in Rome.

Partner(s)	United Nations Office in Nairobi (UNON) Conference Services, Food and Agriculture Organization of the United Nations (FAO)
Socio-economic aspects	<p>Sustainable Development Goals: In reviewing the notifications of final regulatory actions and proposals to list severely hazardous pesticide formulations in the Annex III to the Rotterdam Convention, the Committee highly relies on the information and comments provided by members, Parties and observers. By supporting the participation of members from developing countries and countries with economies in transition, this activity contributed to the 2030 sustainable development agenda, which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making, and to the specific chemicals and waste related Sustainable Development Goals.</p> <p>Gender mainstreaming: The members of the Committee are nominated by their governments and appointed by the Conference of the Parties on the basis of their expertise in chemicals management and equitable geographical distribution. Among the current 31 Committee members, 13 are women, including the Chair of the Committee. In line with the BRS Gender Action Plan²⁴, in the nomination process of members, the Secretariat encouraged a balanced gender representation within the Committee. The Secretariat also took gender balance into account in proposing chairs of intersessional task and drafting groups.</p> <p>Integrated Approach: The work of the Committee contributed to the mainstreaming of chemicals management into national development plans and budgets by increasing the information available on the chemicals under review and recommending their listing to promote shared responsibility and cooperative efforts in the trade and management of those chemicals to protect human health and environment. Observers, such as relevant NGOs and industry associations, participated in the work of the Committee and provided information and comments on the chemicals under review. As observer, the NGOs and industry are thus involved in the operations of the Committee.</p>

²⁴ Document UNEP/CHW.14/INF/55-UNEP/FAO/RC/COP.9/INF/45-UNEP/POPS/COP.9/INF/58, annex.

PoW number	Activity 6
Title of activity	Sixteenth and seventeenth meetings of the Stockholm Convention Persistent Organic Pollutants Review Committee
Convention(s)	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 19 (paragraph 6) and Article 8 of the Stockholm Convention COP decision(s): Decision SC-9/10 of the Stockholm Convention
Outcomes and activities	<p>Component 6.1: Sixteenth and seventeenth meetings of the Persistent Organic Pollutants Review Committee (POPRC)</p> <p>Due to the COVID-19 pandemic, the seventeenth meeting of the Persistent Organic Pollutants Review Committee (POPRC) was held from 24 to 28 January 2022, in Geneva, Switzerland. The present report thus only covers the sixteenth meeting of the Committee which falls within the reporting period of 2020-2021.</p> <p>Outcomes achieved:</p> <p>Arrangements for the sixteenth meetings of the POPs Review Committee were made and quality services provided. Due to the COVID-19 pandemic, the sixteenth meeting of the Committee had to take place online. POPRC members from developing country and countries with economies in transition were supported to participate in the meeting and thus to contribute to the scientific review of chemicals being proposed for listing and other technical work under the Convention. Meeting documents and reports were prepared.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. The sixteenth meeting of the Persistent Organic Pollutants Review Committee was held online from 11 to 16 January 2021. Pre-meetings were held online from 1 to 3 December 2020. 2. The Committee, among others, adopted the risk profile for methoxychlor, agreed that the proposal for listing UV-328 in Annex A to the Convention met the screening criteria in Annex D to the Convention, and agreed to differ its decision on the draft risk profile for Dechlorane Plus to the seventeenth meeting of the Committee. In addition, the Committee undertook other technical work, namely it completed the review of information related to specific exemptions for decabromodiphenyl ether and short-chain chlorinated paraffins, it adopted a decision on the indicative list of substances covered by the listing of PFOA, its salts and PFOA-related compounds, and it reviewed and provided comments on the draft report for the evaluation and review of brominated diphenyl ethers. 3. All pre-session meeting documents, the report of the meeting and post-session documents were posted on the meeting website (www.pops.int/poprc16). 4. 182 participants (29 members, 153 observers) attended the meeting online. 5. Outreach and communications (web announcements, press releases, briefings, webinars etc.) were arranged. ENB covered the meeting. 6. The seventeenth meeting of the Persistent Organic Pollutants Review Committee was held in Geneva, Switzerland, from 24 to 28 January 2022 and will be reported in the report on the implementation of the programmes of work and budgets for the biennium 2022-2023. <p>Evaluation of performance as per indicators of achievement:</p> <ol style="list-style-type: none"> 1. Percentage of working documents made available to members and observers within deadlines: 100%; 2. Meeting facilities and services provided to ensure smooth running of the meetings: An online meeting platform, Interprefy, was used to organize the sixteenth meeting due to the COVID-19 pandemic. Participants to the seventeenth meeting who could not attend the meeting in person were able to participate remotely through an online meeting platform, Interactio. Thanks to the provision of personalized technical support, briefings and manuals, the meetings were able to run smoothly, despite a certain amount of challenges linked to online meetings; 3. Travel of sponsored members to the seventeenth meeting were organized: No travel was organized for the sixteenth meeting due to the online nature of the meeting; 4. Number of men/women among members and as chairs of intersessional working groups: At the sixteenth meeting, 14 out of 29 members attending (48%) were women and two out of five members taking on lead roles of intersessional working groups (40%) were women; at the seventeenth meeting, 14 out of 29 members attending (48%) were women and 4 out of 10 members taking on lead roles of intersessional working groups (40%) were women.

	<p>Component 6.2: Provision of orientation to incoming POPRC members and participation of additional experts supporting the work of the Committee</p> <p>Outcomes achieved:</p> <p>The incoming members have a clear understanding of the POPRC processes and are able to fully participate in the intersessional work and future meetings of the Committee thereby facilitating a smooth rotation of the membership.</p> <p>Activities implemented (subject to the availability of funding):</p> <ol style="list-style-type: none"> 1. At the online segment of its tenth meeting in July 2021, the Conference of the Parties agreed to extend until the closure of its tenth meeting the terms of office of the 17 POPRC members with terms of office otherwise ended on 4 May 2022. Hence, 17 new members of the Committee were elected at the face-to-face segment of the tenth meeting of the Conference of the Parties in June 2022. Therefore, no new members took over their offices during the reporting period of 2020-2021 and the activities planned under this component did not take place over the reporting period. An orientation webinar will take place in 2022 with the new members elected in June 2022. 2. No additional experts were invited during the sixteenth meeting of the Committee. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Travel of sponsored incoming members whose terms of office start in May 2022 organized: The incoming members were appointed in June 2022 thus not in time to attend the seventeenth meeting of the Committee as observers. 2. Facilities and services provided to ensure smooth running of the meeting (subject to the availability of funding): Facilities and services planned in 2022 to ensure smooth running of the orientation webinar. 3. Level of participation of new members in the intersessional work and in meetings (subject to the availability of funding): The incoming members were appointed in June 2022 thus not in time to attend the seventeenth meeting of the Committee as observers and partly participated in the intersessional work ahead of the eighteenth meeting of the Committee. 4. Contributions made by invited experts during the meeting of the Committee (subject to the availability of funding): No additional experts were invited during the sixteenth meeting of the Committee. 				
<u>Budget performance for 2020-2021</u>					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	912,722	480,090
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	100,560	-
Partner(s)	United Nations Office in Nairobi (UNON) Conference Services, Food and Agriculture Organization of the United Nations (FAO)				
Socio-economic aspects	<p>Sustainable Development Goals: In reviewing the chemicals proposed for listing in the annexes to the Stockholm Convention, the Committee highly relies on the information and comments provided by members, Parties and observers. By supporting the participation of members from developing countries and countries with economies in transition, this activity contributed to the 2030 sustainable development agenda, which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making, and to the specific chemicals and waste related Sustainable Development Goals.</p> <p>Gender mainstreaming: The members of the Committee are nominated by their governments and appointed by the Conference of the Parties on the basis of their expertise in chemicals management and equitable geographical distribution. Among the current 31 Committee members, 10 are women. In line with the BRS Gender Action Plan²⁵, in the nomination process of members and experts, the Secretariat encouraged a balanced gender representation within the Committee.</p>				

²⁵ Document UNEP/CHW.14/INF/55-UNEP/FAO/RC/COP.9/INF/45-UNEP/POPS/COP.9/INF/58, annex.

	<p>Integrated Approach: The work of the Committee contributed to the mainstreaming of chemicals management into national development plans and budgets by increasing the information available on the chemicals under review (risk assessment and risk management evaluation) and recommending their listing to the Convention to protect human health and environment. Observers, such as relevant NGOs and industry associations, participated in the work of the Committee and provided information and comments on the chemicals under review. As observer, the NGOs and industry are thus involved in the operations of the Committee.</p>
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PoW number	Activity 7
Title of activity	Individual and joint meetings of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input type="checkbox"/> Voluntary budget
Mandate	COP decision(s): Decisions VI/36, BC-11/24 and BC-11/25 of the Basel Convention Decision RC-1/1 of the Rotterdam Convention Decisions SC-1/1 and SC-6/29 of the Stockholm Convention
Outcomes and activities	<p>Component 7.1: Individual meetings of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions</p> <p>Outcomes achieved:</p> <p>Arrangements for the three individual one-day meetings of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions were made and quality services provided. Members of the bureaux were able to provide guidance and advice to the Secretariat on specific areas of work under the conventions, as mandated by the COPs, and the organization of the next meetings of the conferences of the Parties. Meeting documents were prepared.</p> <p>Activities implemented:</p> <p>Basel Convention Bureau meeting:</p> <ol style="list-style-type: none"> 1. Due to the COVID-19 pandemic, the meeting of the Bureau of the Conference of the Parties to the Basel Convention was held online on 25-26 June 2020. 2. The meeting was attended by the President of the Conference of the Parties, nine Vice-Presidents and the three ex-officio members of Bureau, i.e. the Chair of the Implementation and Compliance Committee and the co-Chairs of the Open-ended Working Group. 3. Documents for the Bureau meeting were made available on the Basel Convention website²⁶ in May 2020; 4. The Bureau discussed, among other things, preparations for the fifteenth meeting of the Conference of the Parties to the Basel Convention to be held from 19 to 30 July 2021, in Geneva, Switzerland. 5. The meeting report is available on the meeting website²⁷. <p>Rotterdam Convention Bureau meeting:</p> <ol style="list-style-type: none"> 5. Due to the COVID-19 pandemic, the meeting of the Bureau of the Conference of the Parties to the Rotterdam Convention was held online on 9 June 2020. 6. The meeting was attended by the President of the Conference of the Parties, four Vice-Presidents and the ex-officio member of Bureau, i.e. the Chair of the Chemical Review Committee. 7. Documents for the Bureau meeting were made available on the Rotterdam Convention website²⁸ in May 2020; 8. The Bureau discussed, among other things, preparations for the tenth meeting of the Conference of the Parties to the Rotterdam Convention to be held from 19 to 30 July 2021, in Geneva, Switzerland. 9. The meeting report is available on the meeting website²⁹. <p>Stockholm Convention Bureau meeting:</p> <ol style="list-style-type: none"> 10. Due to the COVID-19 pandemic, the meeting of the Bureau of the Conference of the Parties to the Stockholm Convention was held online on 10 June 2020. 11. The meeting was attended by the President of the Conference of the Parties and nine Vice-Presidents. 12. Documents for the Bureau meeting were made available on the Stockholm Convention website³⁰ in May 2020; 13. The Bureau discussed, among other things, preparations for the tenth meeting of the Conference of the Parties to the Rotterdam Convention to be held from 19 to 30 July 2021, in Geneva, Switzerland. 14. The meeting report is available on the meeting website³¹.

²⁶ <http://www.basel.int/tabid/8266>.

²⁷ Ibid.

²⁸ <http://www.pic.int/tabid/8272>.

²⁹ Ibid.

³⁰ <http://chm.pops.int/tabid/8268>.

³¹ Ibid.

Evaluation as per the indicators of achievement:

1. Meetings of the bureaux of the conferences of the Parties to Basel, Rotterdam and Stockholm conventions organized: three individual Bureau meetings were held online due to the COVID-19 pandemic;
2. Documentation for the meetings, including meetings reports, available: 100% completed;
3. Effective support is provided by the Secretariat to bureaux members during the meetings: Effective support was provided by the Secretariat to bureaux members during the meetings;
4. Number of men/women elected as bureaux members: 4 out of 10 members (40%) of the Bureau of the Conference of the Parties to the Basel Convention were women; 2 out of 5 members (40%) of the Bureau of the Conference of the Parties to the Rotterdam Convention were women; 5 out of 10 members (50%) of the Bureau of the Conference of the Parties to the Stockholm Convention were women; one out of three Presidents (33%) of the conferences of the Parties was a woman.

Component 7.2: Joint meeting of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions**Outcomes achieved:**

Arrangements for the joint meeting of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions were made and quality services provided. Members of the bureaux were able to provide guidance and advice to the Secretariat on the organization of back-to-back meetings of the conferences of the Parties. Meeting documents were prepared.

Activities implemented:

1. Due to the COVID-19 pandemic, the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions met jointly online from 27 to 29 January 2021 and on 26 February 2021.
2. The meeting was attended by all 15 invited bureaux members.³²
3. Documents for the joint bureaux meeting were made available on the synergies website in December 2020.
4. The bureaux members discussed the organization of the 2021 meetings of the conferences of the Parties, considering the implications of the COVID-19 pandemic on the meetings. In particular, the bureaux agreed that the meetings of the conferences of the Parties would be held in two segments, an online segment in July 2021, and a face-to-face segment in 2022, and agreed on the arrangements for the online segment to take place in 2021.
5. Additional bureaux meetings were held on 1-2 February 2022 and 6 May 2022 in order to plan for the face-to-face and high-level segments of the meetings of the conferences of the Parties in June 2022³³.
6. The meetings reports are available on the meetings website³⁴.

Evaluation as per the indicators of achievement:

1. Joint meeting of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions organized: The joint bureaux meeting was held online due to the COVID-19 pandemic;
2. Documentation for the meeting, including meeting report, available: 100% completed;
3. Effective support is provided by the Secretariat to bureaux members during the meeting: Effective support was provided by the Secretariat to bureaux members during the meeting;
4. Number of men/women selected to participate in the joint meeting of the bureaux of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions: 7 out of 15 members (47%) selected to participate in the joint meeting of the bureaux were women.

Budget performance for 2020-2021

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
42,865	5,862	26,491	3,164	37,390	1,448

Partner(s) N/A

³² In accordance with decisions BC-11/25 and SC-6/29, five of the ten members of the Basel and Stockholm conventions bureaux of the conferences of the Parties attended the joint bureaux meeting. A process was put in place by the Basel and Stockholm conventions bureaux to select these members, based on a number of criteria, including the availability of members to participate in the joint bureaux meeting, regional and sub-regional balance among bureaux members and gender balance.

³³ <http://www.brsmeas.org/tabid/8980> and <http://www.brsmeas.org/tabid/9157>.

³⁴ <http://www.brsmeas.org/tabid/8689>.

Socio-economic aspects	<p>Sustainable Development Goals: In between sessions, the bureaux support the conferences of the Parties through the provision of guidance and advice to the Secretariat regarding the ongoing work under the conventions and the organization of meetings. Bureaux members represent their respective region and, as such, are pivotal to ensure good communication within regions and reporting to the bureaux the concerns that are specific to their regions. This contributed to ensuring informed participation of developing-country Parties and Parties with economies in transition in the online and face-to-face segments of the 2021/2022 meetings of the conferences of the Parties. As such, this activity contributed to the 2030 sustainable development agenda which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan³⁵, the Secretariat encouraged a balanced gender representation during the elections of officers. The Secretariat also advised bureaux members of any potential gender impacts of proposals developed for consideration by the conferences of the Parties. In addition, pursuant to decision BC-11/25 and SC-6/29, only five members each of the bureaux of the Basel and Stockholm COPs may participate in joint meetings of the bureaux. Following past practice, the selection criteria used to assist the bureaux in identifying these five members included, among others, consideration of gender balance.</p> <p>Integrated Approach: The meetings contributed to catalysing cooperation and coordination among bureaux members and the regions they represent, thus promoting the integration of chemicals and wastes into national budgets, sectors and development plans. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>
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³⁵ Document UNEP/CHW.14/INF/55-UNEP/FAO/RC/COP.9/INF/45-UNEP/POPS/COP.9/INF/58, annex.

PoW number	Activity 10
Title of activity	Fourteenth meeting of the Basel Convention Implementation and Compliance Committee
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 15 of the Basel Convention COP decisions: Decisions VI/12, BC-13/9, BC-13/24, BC-14/15 and BC-14/29 of the Basel Convention
Outcomes and activities	<p>Component 10.1: Fourteenth meeting of the Basel Convention Implementation and Compliance Committee</p> <p>Outcomes achieved:</p> <p>Arrangements for the 14th meeting of the Basel Convention Implementation and Compliance Committee (ICC) were made and quality services provided. Due to the COVID-19 pandemic, no face-to-face meeting could be organized. Instead, an online meeting was organized over 4 groups of sessions which took place from 29 June to 3 July 2020 (part I), from 21 to 25 September 2020 (part II) on 7 December 2020 (part III) and from 15 to 18 November 2021 (part IV).</p> <p>Activities implemented:</p> <p><u>The Secretariat:</u></p> <ol style="list-style-type: none"> 1. Prepared and published pre-session meeting documents in consultation/cooperation with ICC members as appropriate; 2. Organized pre-meetings and informal consultations; 3. Prepared and dispatched invitations; registered participants and observers; 4. Organized the online participation of all members and provided a communication allowance to eligible members; 5. Organized the online participation of sponsored Party representatives (subject to the availability of funding); 6. Prepared outreach and communications (web announcement, briefings etc.); 7. Coordinated the meeting and provided assistance to the Chair and Bureau; 8. Prepared the meeting report; 9. Conducted follow up communications with members, Parties and observers as decided by the ICC. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Meeting documents prepared in consultation/cooperation with Committee members as appropriate and as per agreed deadlines: 100% complete 2. Meeting facilities and services provided to ensure smooth running of the meetings: due to the COVID-19 pandemic, the Secretariat organized the meeting online using an online meeting platform (Webex) and provided technical support for members, Parties concerned by a submission and observers to participate in the meeting 3. Travel of sponsored members organized: Due to the online setting of the meeting, no travel of members was organized. Instead, arrangements were made for their participation online. 4. Travel of Party representatives organized (subject to the availability of funding): Due to the online setting of the meeting, no travel of members was organized. Instead, arrangements were made for their participation online.

Budget performance for 2020-2021

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
38,346	-	-	-	-	-

Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
141,402	-	-	-	-	-

Partner(s)	N/A
Socio-economic aspects	<p>Sustainable Development Goals: By supporting the participation of members and Parties from developing-country Parties and Parties with economies in transition in the meetings of the Committee, this activity contributed to the 2030 sustainable development agenda which reaffirmed the continued need for the participation of all countries, in particular developing countries, in global decision-making.</p> <p>Gender mainstreaming: The members of the Committee are nominated by Parties governments and elected by the Conference of the Parties on the basis of their expertise and equitable geographical distribution. Among the current 15 Committee members, 10 are male and 5 are female. In line with the BRS Gender Action Plan³⁶, in the nomination process of members, the Secretariat encouraged a balanced gender representation within the Committee.</p> <p>Integrated Approach: The meetings can contribute to catalysing coordination among relevant stakeholders within Parties, including civil society and private sector, as relevant, for an improved implementation and compliance with the Convention. Observers to meetings of the Conference of the Parties, such as relevant NGOs and industry associations, participated in the open sessions of the meetings of the Committee.</p>

³⁶ Document UNEP/CHW.14/INF/55-UNEP/FAO/RC/COP.9/INF/45-UNEP/POPS/COP.9/INF/58, annex.

PoW number	Activity 12
Title of activity	From Science to Action, support the coordination among the scientific bodies of the conventions
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	COP decision(s): BC-14/25 of the Basel Convention, RC-9/13 of the Rotterdam Convention and SC-9/23 of the Stockholm Convention; Synergies decisions (BC-IX/10, RC-4/11, SC-4/34)
Outcomes and activities	<p>Component 12.1: From science to action</p> <p>Outcomes achieved:</p> <p>Parties to the BRS conventions received support toward enhancing interactions between scientists, policymakers and other actors in the policy process so as to achieve informed decision-making for reaching the objectives of the conventions.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. The information on the relevant activities can be found in document UNEP/CHW.15/25– UNEP/FAO/RC/COP.10/21–UNEP/POPS/COP.10/25. 2. Thanks to generous financial support provided by the Government of Germany, the Secretariat organized a subregional workshop to enhance science-policy-industry interaction and to support Parties in science-based decision-making for the implementation of the Basel, Rotterdam and Stockholm conventions, from 28 to 30 January 2020 in Lagos, Nigeria. 3. Thanks to generous financial support provided by the Government of Norway, the Secretariat developed a publication on “From Science to Action” http://www.brsmeas.org/Portals/4/download.aspx?d=UNEP-CHW-PUB-Report-SciencetoAction-2022.English.pdf. 4. The Secretariat facilitated access to scientific and technical information through the clearing-house mechanism of the conventions. 5. The Secretariat cooperated with UNEP in preparing to assess options for strengthening the science-policy interface at the international level for the sound management of chemicals and waste and participated in related discussions under the SAICM. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. <u>Number of pilot projects implemented to engage Parties and other stakeholders in informed dialogue for enhanced science-based action in the implementation of the conventions:</u> The report of the workshop in Nigeria in January 2020 indicated participants’ discussions on the specific needs in their countries and the region to increase the availability of scientific and technical information and to strengthen the capacity to use such information for the implementation of the conventions. The Secretariat contributed to a pilot project that developed an online platform for tracking scientific needs under the multilateral environmental agreements on chemicals and waste in cooperation with academia and other stakeholders. <p>Component 12.2: Coordination among the scientific bodies of the conventions</p> <p>Outcomes achieved:</p> <p>The scientific bodies of the conventions received technical and policy support by the Secretariat in a coordinated manner and they could effectively cooperate in their work.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. Although it was not possible to organize the meetings of the CRC and POPRC back-to-back in 2020 and 2021 due to the COVID-19 pandemic, the Secretariat ensured that the committees share relevant information, for example on decabromodiphenyl ether and PFOA, its salts and PFOA-related compounds, in a timely manner. 2. Briefing and debriefing webinars were organized before and after the CRC and POPRC meetings in a coordinated manner and facilitated cooperation and collaboration among the committees. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Meetings of the scientific bodies held in a coordinated manner: The CRC and POPRC received coordinated support at CRC-16, CRC-17, POPRC-16 and POPRC-17 meetings. 2. Information and expertise shared among scientific bodies: Information and expertise on chemicals under review were shared among scientific bodies. 3. Number of webinars and workshops that include topics for enhancing collaboration among the bodies: Four webinars were organized to share information and to enhance the collaboration among the bodies.

Budget performance for 2020-2021					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	-	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
40,000	6,000	40,000	6,000	40,000	32,847
Partner(s)	UNEP, FAO, members and observers of the subsidiary bodies				
Socio-economic aspects	<p>Sustainable Development Goals: This activity supported SDG Goal # 3 on good health and well-being. Enhancing science-based decision making for the implementation of the BRS conventions contributed to the achievement of target 3.9 which seeks to substantially reduce, by 2030, the number of deaths and illnesses from hazardous chemicals and air, water, and soil pollution and contamination.</p> <p>Gender mainstreaming: In implementing the roadmap for science to action, the Secretariat took into consideration the social and gender aspects, for example in the organization and reporting of the workshop in Nigeria organized in January 2022 and in the preparation of the publication on “From Science to Action”.</p> <p>Integrated Approach: The work of the scientific bodies of the conventions contributed to the mainstreaming of chemicals and waste management into national development plans and budgets. The activity contributed to increasing the availability of information on the chemicals under review and supported the process for listing new chemicals under the conventions so as to protect human health and environment from hazardous chemicals and wastes. Observers including NGOs and industry associations actively participated in the work of the scientific bodies of the conventions.</p>				

2. Technical assistance and capacity-building

PoW number	Activity 13
Title of activity	Technical assistance and capacity development programme of the Basel, Rotterdam and Stockholm conventions
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	<p>Convention Articles: Articles 4, 10, 13 and 16 of the Basel Convention; Articles 16 and 19 of the Rotterdam Convention; Articles 12, 15 and 20 of the Stockholm Convention</p> <p>COP decision(s): BC-14/18, BC-13/11, BC-13/20, BC-13/21 of the Basel Convention; RC-9/8, RC-8/9, RC-8/13, RC-8/14 of the Rotterdam Convention and SC-9/14, SC-8/9, SC-8/15, SC-8/23, SC-8/24 of the Stockholm Convention</p>
Outcomes and activities	<p>Component 13.1: Technical assistance plan</p> <p>Outcomes achieved: The activity contributed to effective planning, implementation, monitoring and evaluation of technical assistance plan of the Basel, Rotterdam and Stockholm conventions.</p> <p>Activities implemented: Due to the COVID-19 pandemic, face-to-face meetings and training activities were limited in the reporting period. Details on activities carried out by the Secretariat and in collaboration with relevant partners are highlighted in the joint document UNEP/CHW.15/INF/28-UNEP/FAO/RC/COP.10/INF/16-UNEP/POPS/COP.10/INF/28, prepared for the face-to-face segment of the COPs meetings in 2022, which contains the report on the implementation of the technical assistance plan for the period 2018–2021 in the biennium 2020–2021. Additionally, over the period, the Secretariat undertook further activities in the following three areas:</p> <ol style="list-style-type: none"> 1. Technical assistance plan: the technical assistance plan for the implementation of the Basel, Rotterdam and Stockholm conventions for the period 2022-2025 was prepared for the face-to-face segment of the COPs meetings in 2022, as set out in the joint document UNEP/CHW.15/INF/29-UNEP/FAO/RC/COP.10/INF/17-UNEP/POPS/COP.10/INF/29. 2. Needs assessment: the needs assessment database was updated and is available online³⁷. The Secretariat continued to compile and analyze technical assistance needs for the three conventions by collecting information through different channels including an interactive questionnaire where Parties were able to update the information themselves. The result of the latest exercise was presented at the COPs meetings in 2022, as set out in the joint document UNEP/CHW.15/INF/30-UNEP/FAO/RC/COP.10/INF/18-UNEP/POPS/COP.10/INF/30. 3. Tools and methodologies: development of further tools and technical assistance materials, as well as their translation is considered in the reports on specific technical assistance activities. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Technical assistance database was updated and is available on the conventions' website: 100% completed (see footnote). 2. A technical assistance plan for the period 2022–2025 was developed and presented at COPs in 2022: 100% completed <p>Component 13.2: Enhancing legal and governance capacities to implement the Basel, Rotterdam and Stockholm conventions: legal and institutional frameworks</p> <p>Outcomes achieved: The activity contributed to strengthened legal and governance capacities to implement the Basel, Rotterdam and Stockholm conventions with regard to legal and institutional frameworks.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. Sub-regional and regional workshops on legal frameworks and international trade control measures were organized for Parties in the Central and Eastern Europe regions (19-20 October 2021); 2. Follow-up national activities on legal frameworks and international trade control measures took place in Papua New Guinea, the State of Palestine, and Turkmenistan; 3. The Secretariat cooperated with the Law Division of UNEP and the Center for Governance and Sustainability at University of Massachusetts Boston to participate in the first and second editions of the online course on

³⁷ <http://www.brsmeas.org/Implementation/TechnicalAssistance/NeedsAssessment/tabid/4898/language/en-US/Default.aspx>.

	<p>MEAs implementation, delivered online for Parties in East Africa and the Gambia, as well as for countries in the Middle East and North Africa region,</p> <ol style="list-style-type: none"> 4. Outreach materials on legal and institutional frameworks and on prevention and combatting illegal traffic and trade, including video and factsheets, prepared, finalized and made available on the conventions' websites,³⁸ thanks to the financial support by the European Union; 5. Contribution provided to a capacity-building workshop on improved guidelines, national frameworks, legislations, and mechanisms for the effective implementation of chemicals and waste related MEAs in the framework of the ACP MEAs 3 Programme, in November 2021.
	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Up to 50 Parties are trained on developing legal and institutional frameworks (subject to the availability of funding): See website pages of the project: http://www.brsmeas.org/tabid/9056/Default.aspx; 2. Participation in up to two activities by partners aimed at assisting Parties develop legal and institutional frameworks (subject to the availability of funding): Participated in more than two activities organized by partners; 3. Training tools developed (subject to the availability of funding): See Conventions' website pages³⁹.
	<p>Component 13.3: Enhancing legal and governance capacities to implement the Basel, Rotterdam and Stockholm conventions: preventing and combating illegal traffic and trade</p> <p>Outcomes achieved:</p> <p>The activity contributed to strengthened legal and governance capacities to implement the Basel, Rotterdam and Stockholm conventions with regard to preventing and combating illegal traffic and trade.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. Regional training workshops and the follow-up activities reported under Activity 13.2 also addressed illegal traffic and trade. 2. The Secretariat participated in the activities organized by partners, including eight national training workshops in Asia organized by UNODC, six national workshops in Africa, four in Asia, and national training under the Enforcement operation Demeter II organized by WCO, and three activities under the WasteForce project. 3. The e-learning course for customs was updated and initial transfer of the content into the online format was made. 4. The case studies were developed based on the national projects as referred to in Component 13.2.
	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Up to 100 Parties are trained on preventing and combating illegal traffic and trade (subject to the availability of funding): At least 50 Parties were trained on preventing and combating illegal traffic and trade. 2. Participation in up to two activities by partners aimed at assisting Parties in preventing and combating illegal traffic in trade (subject to the availability of funding): Participated in eight national training workshops in Asia organized by UNODC, six national workshops in Africa, four in Asia, and national training under the Enforcement operation Demeter II organized by WCO, and three activities under the WasteForce project. 3. Up to 5 Parties receive country-level support prevent and combat illegal traffic in trade (subject to the availability of funding): Three Parties (Papua New Guinea, Turkmenistan, and the State of Palestine) received country-level assistance to combat illegal traffic and trade.⁴⁰ 4. At least 3 case studies from different regions on practices dealing with preventing and combating illegal traffic are prepared (subject to the availability of funding): Case studies from the Eastern Europe and Central Asia and the Pacific region were launched and will be finalized in the following biennium. 5. Tools and training materials are developed to assist Parties in preventing and combating illegal traffic and trade (subject to the availability of funding): Translation of the Customs Manual into Russian, updating of the content of the e-learning course for law enforcement in English and French and transfer into online platform.
	<p>Component 13.4: Enhancing legal and governance capacities to implement the Basel, Rotterdam and Stockholm conventions: effective participation in the process for reviewing and listing new chemicals</p> <p>Outcomes achieved:</p> <p>Capacities of Parties and observers to effectively participate in the process for reviewing and listing new chemicals were strengthened.</p>

³⁸ <http://www.brsmeas.org/tabid/8972/Default.aspx>.

³⁹ <http://www.brsmeas.org/Implementation/Illegaltrafficantrade/Projects/RegionaltrainingforEECAregions/tabid/8973/language/en-US/Default.aspx>.

⁴⁰ <http://www.brsmeas.org/Implementation/Illegaltrafficantrade/TechnicalAssistance/Projects/Nationalprojects/tabid/8974/language/en-US/Default.aspx>

	<p>Activities implemented:</p> <ol style="list-style-type: none"> 1. Thanks to generous financial support provided by the Government of Germany, the Secretariat organized a subregional workshop in Lagos, Nigeria, from 28 to 30 January 2020, to enhance science policy industry interaction and to support Parties in science-based decision-making for the implementation of the Basel, Rotterdam and Stockholm conventions, and published a pocket guide for effectiveness participation in the work of the POPs Review Committee in English and French http://chm.pops.int/Implementation/Publications/GuidanceManuals/tabid/3071/Default.aspx. 2. Online briefings on the sixteenth meeting of the Persistent Organic Pollutants Review Committee (POPRC) of the Stockholm Convention and on the outcomes of the Chemical Review Committee (CRC) of the Rotterdam Convention, on 10 and 12 November 2020. 3. Online briefings on the seventeenth meeting of the CRC, on 31 August and 2 September 2021. 4. Online briefings on the seventeenth meeting of the POPRC and on the outcomes of the seventeenth meeting of the CRC, on 14 December 2021 and 15 December 2021. 5. Thanks to generous financial support provided by the European Union and the Governments of Germany, Norway and Sweden, the Secretariat organized a workshop and online webinars to support effective participation of Parties and observers in the work of the POPRC and the CRC.
	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Up to 50 Parties trained on the process for reviewing and listing new chemicals under the Rotterdam and Stockholm conventions (subject to the availability of funding): 29 participants from the English-speaking countries of the African region were trained on the process for reviewing and listing new chemicals under the Rotterdam and Stockholm conventions.
	<p>Component 13.5: Enhancing legal and governance capacities to implement the Basel, Rotterdam and Stockholm conventions: Sustainable Development Goals</p> <p>Outcomes achieved:</p> <p>The activity contributed to strengthened legal and governance capacities to implement the Basel, Rotterdam and Stockholm conventions with regard to Sustainable Development Goals.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. No funding was received for activities on country-level support for mainstreaming BRS conventions into development plans. 2. A pilot project to enhance capacities for data collection for the national reporting under the Basel and Stockholm conventions, import responses under the Rotterdam Convention and waste-related indicators for SDGs was undertaken in the Republic of Moldova, thanks to the financial support by the European Union.
	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Up to 3 follow-up activities at the national level to assist individual Parties with mainstreaming BRS conventions into national development plans (subject to the availability of funding): No pilot projects undertaken, as no funding was made available. 2. Up to 3 follow-up activities at the national level to assist individual Parties with data collection under SDGs indicators and BRS conventions (subject to the availability of funding): One pilot project undertaken (Republic of Moldova, as described above). 3. Outreach materials are developed on SDGs and BRS conventions (subject to the availability of funding): Not developed, as no funding was made available.
	<p>Component 13.6: Enhancing legal and governance capacities to implement the Basel, Rotterdam and Stockholm conventions: chairs and negotiators training</p> <p>Outcomes achieved:</p> <p>The activity contributed to strengthened legal and governance capacities to implement the Basel, Rotterdam and Stockholm conventions through the training of chairs and negotiators from developing country Parties and Parties with economies in transition.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. A sub-regional training for Western African countries on enhancing skills of negotiators for meetings of the Basel, Rotterdam and Stockholm conventions and enhancing the effectiveness of the Rotterdam Convention by increasing the understanding of the listing process and the review of chemicals by the Chemical Review Committee was held in Dakar from 4 to 6 February 2020, thanks to the generous financial contribution by the European Union and the Governments of Australia and Germany.⁴¹ 2. An online training course for chairs of meetings of the Basel, Rotterdam, and Stockholm conventions, was developed in 2021 in cooperation with the United Nations Institute for Training and Research (UNITAR), thanks to the generous financial contribution by the Government of Germany.

⁴¹ <http://www.brsmeas.org/tabid/8231/Default.aspx>.

	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Up to 25 Parties trained on chairing meetings of the BRS conventions: The training on chairing meetings of the BRS conventions could not take place due to COVID-19. Up to 25 Parties trained on negotiations under the BRS conventions: 22 participants from Western Africa were trained on negotiation skills for meetings of the Basel, Rotterdam and Stockholm conventions and on the Rotterdam Convention in particular on the listing process and the review of chemicals by the Chemical Review Committee. Online training tools on negotiations and chairing skills are developed: An online training tool on chairing skills was developed. At least 20 Parties trained using the online training tool: Parties will be trained using the online training tool in advance of future face-to-face chair training activities. Participation in up to two activities by partners on chairs and negotiators training (subject to the availability of funding): The BRS Secretariat did not take part in activities of partners in this period.
	<p>Component 13.7: Enhancing legal and governance capacities to implement the Basel, Rotterdam and Stockholm conventions: gender</p> <p>Outcomes achieved:</p> <p>The activity contributed to strengthened legal and governance capacities to implement the Basel, Rotterdam and Stockholm conventions with regard to gender activities.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> A project to enhance the systematic integration of gender considerations in the implementation of the BRS conventions was implemented. Case studies were undertaken in Bolivia, Kyrgyzstan, Kenya and Tunisia.
	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Up to 3 projects to enhance the systematic integration of gender considerations in the implementation of the BRS conventions (subject to the availability of funding): One project was finalized (2020) and one commenced (2021) under which case studies were developed and training workshops were implemented. Two case studies in 2 regions to identify and highlight the different impacts of hazardous chemicals and wastes on men and women (subject to the availability of funding): Case studies were undertaken in Bolivia and Kyrgyzstan (ending 2020) and in Kenya and Tunisia (commencing in December 2021). One meeting of the BRS Gender Pioneers Network (subject to the availability of funding): A meeting of the BRS Gender Pioneers Network was not possible as funding was not secured. One training workshop to promote the equal and meaningful participation of women in decision-making (subject to the availability of funding): Training workshops were scheduled to take place in Kenya and Tunisia in early 2022.
	<p>Component 13.8: Enhancing legal and governance capacities to implement the Basel, Rotterdam and Stockholm conventions: emergencies</p> <p>Outcomes achieved:</p> <p>The activity contributed to strengthened legal and governance capacities to implement the Basel, Rotterdam and Stockholm conventions with regard to emergencies.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> The development of training materials on emergency prevention and response was initiated and would be tested in training workshops on hazardous chemicals and waste emergency prevention and response to be organized in 2022 and 2023. A first workshop would take place, in cooperation with BCCC Nigeria, in December 2022 in Lagos, Nigeria. A second workshop is planned, in cooperation with BCRC and SCRC Indonesia, in Jakarta, Indonesia, in the first semester of 2023. These activities are funded by the European Union with co-funding from the technical cooperation trust fund of the Basel Convention, earmarked for technical assistance on emergency prevention and response. Thanks to generous financial support provided by the Government of Germany, the Secretariat published a report entitled “Environmental and health emergencies – the role of the Basel, Rotterdam and Stockholm conventions in supporting Parties in prevention, preparedness, response and recovery” http://www.brsmeas.org/Portals/4/download.aspx?d=UNEP-CHW-PUB-Report-EnvironmentalHealthEmergencies-BRSRole-2022.English.pdf.
	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Parties receive assistance in case of emergencies, in cooperation with JEU: No request for assistance received. 30 representatives of Parties are trained on emergency preparedness and response: No training on emergency preparedness and response was organized.

Component 13.9: Enhancing national reporting under Basel and Stockholm conventions**Outcomes achieved:**

The activity contributed to strengthened capacity of Parties to report under Basel and Stockholm conventions and improved availability and quality of relevant data to perform various assessments, including effectiveness evaluation and review of Sustainable Development Goals.

Activities implemented:

1. 10 webinar sessions were organized on national reporting for the Basel and Stockholm conventions in the reporting period.
2. Pilot projects on priority hazardous wastes inventories were carried out.

Evaluation as per the indicators of achievement:

1. Parties to the Basel and Stockholm Conventions have enhanced capacity to collect information for, complete and submit their national report (subject to availability of funding): Over 50 Parties attended online training on national reporting to the Basel and Stockholm Conventions and have enhanced capacity to collect information for, complete and submit their national report.
2. Assistance is provided to countries on developing inventories of hazardous chemicals and wastes and improving the quality of data for national reporting under the Basel and Stockholm Conventions, through the execution of country projects (subject to availability of funding): Projects on developing inventories of priority hazardous wastes were implemented in 5 countries.

Budget performance for 2020-2021

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
10,000	5,549	10,000	5,050	10,000	4,080

Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
622,979	102,104	522,950	81,307	682,959	62,288

Financial and in-kind support		
Basel Convention	Rotterdam Convention	Stockholm Convention
Expenditure	Expenditure	Expenditure
-	155,239*	-

(*) from FAO Regular Programme budget to the Rotterdam Convention Secretariat in Rome.

Partner(s)	The activity was implemented in close collaboration with the regional centers, which provided administrative support and technical input. The United Nations Environment Programme (UNEP) Chemicals and Health Branch, the Interim Secretariat on the Minamata Convention on Mercury and SAICM were consulted on the activity when relevant. IOMC organizations were invited to participate in the workshops and projects, as appropriate. Other relevant key stakeholders, such as the World Customs Organization, Interpol, other multilateral environmental agreements (MEAs), the UNEP and FAO Regional Offices, non-governmental organizations and private sector organizations, participated in the activity where relevant.
Socio-economic aspects	Sustainable Development Goals: The project supported directly SDG target 12.4 related to sustainable consumption and production where, by 2020, environmentally sound management of chemicals and all wastes should be achieved throughout their life cycle in accordance with agreed international frameworks and their release to air, water and soil should be significantly reduced to minimize their adverse impacts on human health and the environment. It further contributed to SDG target 2.4 to ensure sustainable food production systems and implement resilient agricultural practices that increase productivity and production; and to SDG target 3.9, to substantially reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination. The project supported SDG target 17.9 by enhancing international support for implementing effective and targeted capacity-building in developing countries to support national plans to implement all the sustainable development goals, including through North-South, South-South and triangular cooperation. By strengthening Parties' statistical capacities on reporting, data and information was made available for the follow-up and review of the Sustainable Development Goals and the Agenda 2030 on Sustainable Development. A

predominant aim of the activities on gender was to support the implementation of SDG 5 to achieve gender equality and empower all women and girls.

Gender mainstreaming: All capacity development activities set out in PoWs 13 to 16 took into consideration social issues and gender equality. Gender was considered when specific activities are designed and during the implementation of activities and their evaluation. A basic gender analysis was conducted for gender relevant activities as part of the design phase.

Integrated Approach: The capacity development activities were also supportive of the integrated approach to financing options for chemicals and waste, as they promoted industry involvement and mainstreaming of the objectives of the conventions into the broader development agenda.

PoW number	Activity 14
Title of activity	Training and capacity development activities under the Basel Convention
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Articles: Articles 4, 10 and 16 of the Basel Convention COP decision(s): BC-14/18 on technical assistance
Outcomes and activities	Component 14.1: Training and capacity building for the ESM of hazardous and other wastes Outcomes achieved: The activity contributed to enhanced capacity of Parties to manage hazardous and other wastes in an environmentally sound manner. Activities implemented: In 2020-2021, the Secretariat undertook a total of 95 technical assistance activities to enhance the implementation of the Basel Convention, of which 49 were projects, 1 was a face-to-face training workshop and 45 were online training activities. Due to the COVID-19 pandemic, face-to-face activities were replaced with alternative and innovative means of delivering technical assistance, such as online training activities on various topics related to the implementation of the Basel convention. In total 6,723 persons were trained, 58.7 % of which were women.
	Evaluation as per the indicators of achievement: The indicators of achievement were largely met and surpassed in the number of Parties which received assistance. With the financial support of Canada, France, Germany, Japan, Netherlands, Sweden, Switzerland, the European Union, Norad and the Norwegian Retailers Environment Fund, a large number of projects addressing plastic waste were implemented. The programme provided assistance globally, regionally and nationally, including via pilots, to 35 developing countries and countries with economies in transition reaching out with training and awareness raising activities to all Basel Convention Parties and signatories in addition to Basel Convention stakeholders. <ol style="list-style-type: none"> 120 Persons trained on the ESM of hazardous and other wastes: More than 100 Parties received assistance. At least 5 Parties receive assistance through pilot projects on the ESM of hazardous and other wastes: Over 40 Parties received assistance through pilot projects on the ESM of hazardous and other wastes in this period. Training and awareness raising materials are developed and translated in UN languages for at least two hazardous and other wastes streams: Training and awareness-raising materials developed on prevention and minimization, control of transboundary movements and ESM of plastic waste and e-waste. At least one toolkit is developed to disseminate technical guidelines under the Basel Convention: A plastic waste inventory toolkit and draft considerations for the development of strategies for the ESM of plastic waste were developed. Two training curricula of university and adult learning courses and/or MOOCs are developed or updated and translated into UN languages: Two training curricula of university and adult learning courses and/or MOOCs were developed or updated and translated into UN languages, including plastic waste training courses completed in cooperation with UNITAR. The e-waste challenge MOOC was updated and launched for the second time in February 2020.
	Component 14.2: Training and capacity building to control transboundary movements of hazardous and other wastes Outcomes achieved: The activity contributed to increased capacities of Parties to implement the procedures for controlling transboundary movement of hazardous and other wastes under the Basel Convention. Activities implemented: <ol style="list-style-type: none"> National Joint SBC/WCO/UNODC Training in Sri Lanka to Promote Effective Control of Transboundary Movements of Plastic Waste and Build Capacity to Prevent Cases of Illegal Traffic, 27 September - 1 October 2021 (online) as part of the Norad-1 project; The Secretariat supported WCO on the Asia-Pacific Plastic Waste Management Project, SIDA-WCO Trade Facilitation and Customs Modernization Programme for Sustainable Development in Sub-Saharan Africa in Botswana, Malawi and Rwanda and Demeter VI Operation 2020 and VII Operation in 2021; Cooperation with UNODC on training on plastic wastes in Asia (Philippines, Malaysia, Thailand, Cambodia, the UN Convention against Transnational Organized Crime, Maritime Crimes (Seychelles, Maldives, Sri Lanka, Mauritius); The Secretariat organized a regional training for Parties in Eastern Europe and Central Asia in October 2021 which addressed, inter alia, illegal traffic (see POW 13).

	<p>Evaluation as per the indicators of achievement:</p> <p>The indicators of achievement were largely met and surpassed in the number of Parties which received assistance. Assistance was provided also in cooperation with partners such as the World Customs Organization (WCO) and the United National Office on Drugs Control (UNODC).</p> <ol style="list-style-type: none"> 1. Workshop on the control procedure for 50 persons: At least 10 Parties received assistance on the control procedure through various workshops/activities; 2. Sub-regional workshop on preventing and combating illegal traffic: At least 10 Parties received assistance in Eastern Europe and Central Asia through a regional workshop; 3. Country-level support on preventing and combating illegal traffic: Two activities were undertaken (Ghana and Sri Lanka). 				
<p><u>Budget performance for 2020-2021</u></p>					
<p>Voluntary trust fund</p>					
<p>Basel Convention (BDTF)</p>		<p>Rotterdam Convention (RVTF)</p>		<p>Stockholm Convention (SVTF)</p>	
<p>Funding estimate</p>	<p>Expenditure</p>	<p>Funding estimate</p>	<p>Expenditure</p>	<p>Funding estimate</p>	<p>Expenditure</p>
<p>1,450,000</p>	<p>876,929</p>	<p>-</p>	<p>-</p>	<p>-</p>	<p>-</p>
<p>Partner(s)</p>	<p>Regional centres of the Basel and Stockholm conventions, FAO and UNEP regional offices, UNEP Chemicals and Health and other UNEP offices such as the International Environment and Technology Centre (IETC), the Ecosystem Division, including the Joint UNEP/ Office for the Coordination of Humanitarian Affairs (OCHA) Environment Unit, UNDP, UNITAR, the SAICM secretariat, the Secretariat of the Minamata Convention and other MEAs, Regional Seas conventions, WHO, ILO, WCO, Interpol, WCO, UNODC, NGOs, academia and the private sector.</p>				
<p>Socio-economic aspects</p>	<p>Sustainable Development Goals: The project contributed to sustainable development and in particular to the achievement of Goal 12. Ensure sustainable consumption and production patterns and targets 12.4 and 12.5: by 2030, substantially reduce waste generation through prevention, reduction, recycling, and reuse</p> <p>Gender mainstreaming: All technical assistance activities took into consideration social issues and gender equality. Gender was considered when specific activities are designed and during the implementation of activities and their evaluation. A basic gender analysis was conducted for gender relevant activities as part of the design phase.</p> <p>Integrated Approach: This activity was also supportive of the integrated approach to financing options for chemicals and waste as it promotes mainstreaming of the Basel Convention-related issues into development processes.</p>				

PoW number	Activity 15
Title of activity	Training and capacity development activities under the Rotterdam Convention
Convention(s)	<input type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Articles 16, 19 and 21 of the Rotterdam Convention COP decision(s): Decision RC-9/8 on technical assistance
Outcomes and activities	<p>Component 15.1: Strengthening of chemicals management</p> <p>Outcomes achieved: Risk evaluation of industrial chemicals, pesticides and severely hazardous pesticide formulations (SHPF) strengthened.</p> <p>Activities implemented:</p> <p>A detailed report on Rotterdam Convention technical assistance activities undertaken by the Secretariat in the biennium 2020-2021 is set out in the information document UNEP/FAO/RC/COP.10/INF/16, made available to the face-to-face segment of the tenth meeting of the COP of the Parties to the Rotterdam Convention. In the context of the Rotterdam Convention, in 2020, the Secretariat undertook a total of 35 technical assistance activities, of which 2 projects, 11 workshops and 22 webinars. 964 persons were trained (48 % women). In 2021, the Secretariat undertook a total of 51 Rotterdam Convention technical assistance activities, of which 3 projects, 21 workshops in hybrid format and 27 training webinars. 3,016 persons were trained (47 % women). The complete lists of the global, regional and national capacity-building and training activities on the Rotterdam Convention undertaken by the Secretariat from 1 January 2020 to 31 December 2020 as well as from 1 January 2021 to 31 December 2021 can be found in tables 6 and 10, respectively, in the above-mentioned information document. The activities covered a range of topics such as promoting alternatives to the use of hazardous chemicals, strengthening legislative framework on pesticide management, elaborating national action plans to implement the Rotterdam Convention, monitoring pesticide poisoning cases and proposing SHPFs, implementation of the obligations of the Rotterdam Convention including import responses, notification of final regulatory actions, export notifications, fostering the process of ratification, and trade provisions, thus cutting across the components of this PoW Activity 15.</p> <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Number of workshops convened: 32 workshops and 49 webinars held in total (cutting across the components of PoW 15) <p>Component 15.2: Notification of Final Regulatory Actions</p> <p>Outcomes achieved:</p> <p>Capacity for the development, review and update of legal and institutional frameworks, and for risk evaluation of industrial chemicals and pesticides, as well as making proposals for SHPF, was strengthened; effectiveness of the Rotterdam Convention was enhanced, with a focus on FRAs: Articles 5 (procedures for banned or severely restricted chemicals) and 6 (procedures for severely hazardous pesticide formulations) of the Rotterdam Convention.</p> <p>Activities implemented:</p> <p>A detailed report on Rotterdam Convention technical assistance activities undertaken by the Secretariat in the biennium 2020-2021 is set out in UNEP/FAO/RC/COP.10/INF/16. The activities covered a range of topics relevant for strengthening capacities for the implementation of the Rotterdam Convention with regard to industrial chemicals, pesticides and SHPF, including the development and submission of FRAs, but also covering other topics, as noted above.</p> <p>Evaluation as per the indicators of achievement:</p> <p>The indicators of achievement were largely met and surpassed in the number of Parties which received assistance and the number of persons that were trained.</p> <ol style="list-style-type: none"> 1. Up to 50 Parties are trained on the Conventions requirements under Articles 5 and 6 (subject to the availability of funding): 3,980 persons trained in total, including on the Convention's requirements under Articles 5 and 6 (cutting across the components of PoW 15). 2. Up to 5 follow-up activities at the national level to assist individual Parties with their legal and institutional frameworks and their capacity to evaluate the risk or hazard of industrial chemicals, pesticides and severely hazardous pesticide formulations (subject to the availability of funding): 25 of the activities undertaken to assist Parties, including with their legal and institutional frameworks and their capacity to evaluate the risk or hazard of industrial chemicals, pesticides and severely hazardous pesticide formulations, were undertaken at the national level (cutting across the components of PoW 15). 3. Tools and training materials are developed to assist Parties in developing legal frameworks or in developing their capacity for scientifically sound decision making on FRAs (subject to the availability of funding): Resource Kit revamped and updated; FRA Evaluation Toolkit Module on references for making a risk

evaluation revised and updated (see component 21.2 of PoW 21) and interactive tool with quantitative and qualitative information on technical assistance by PIC regions has been developed and uploaded on the website.

Component 15.3: PIC Procedure, including import responses, and export notifications

Outcomes achieved: Capacity for the development, review and update of legal and institutional frameworks and capacity strengthening for risk evaluation of industrial chemicals, pesticides and SHPF strengthened; effectiveness of the Rotterdam Convention enhanced with a focus on Articles 10 and 11 (obligations in relation to imports and exports of chemicals in Annex III), 12 (export notification) and 13 (information to accompany exported chemicals). Cooperation on national and regional level among Designated National Authorities (DNAs), Customs and other key stakeholders fostered and dialogue among DNAs fostered on challenges faced in the implementation of the Rotterdam Convention and experiences shared and cooperation promoted in addressing these challenges. Customs trained on their role in implementing the Convention.

Activities implemented:

A detailed report on Rotterdam Convention technical assistance activities undertaken by the Secretariat in the biennium 2020-2021 is set out in UNEP/FAO/RC/COP.10/INF/16. The activities covered a range of topics relevant for strengthening capacities for the implementation of the Rotterdam Convention with regard to industrial chemicals, pesticides and SHPF, including the PIC Procedure, IRs, export notifications, and coordination and cooperation mechanism between DNAs, customs and other enforcement entities. The Resource Toolkit and the FRA Evaluation Toolkit were updated/revamped.

Evaluation as per the indicators of achievement:

The indicators of achievement were largely met and surpassed in the number of Parties which received assistance and the number of persons that were trained.

1. Up to 50 Parties are trained on the Convention's PIC procedure and export requirements under Articles 10, 11, 12 and 13 (subject to the availability of funding): 3,980 persons were trained, including on the Convention's PIC procedure and export requirements under Articles 10, 11, 12 and 13 (cutting across the components of PoW 15).
2. Up to 5 follow-up activities at the national level to assist individual Parties establish coordination and cooperative mechanisms among DNAs, Customs and other key stakeholders (subject to the availability of funding): 25 of the activities undertaken to assist individual Parties, including to establish coordination and cooperative mechanisms among DNAs, Customs and other key stakeholders, were undertaken at the national level (cutting across the components of PoW 15).
3. Tools and training materials are developed to assist Parties (subject to the availability of funding): Resource Kit revamped and updated; FRA Evaluation Toolkit Module on references for making a risk evaluation revised and updated (see component 21.2 of PoW 21) and interactive tool with quantitative and qualitative information on technical assistance by PIC regions has been developed and uploaded on the website.

Component 15.4: Strengthening Parties' capacities to propose Severely Hazardous Pesticide Formulations (SHPF) through provision of technical assistance in monitoring and reporting pesticide incidents and identification of Highly Hazardous Pesticides (HHPs)

Outcomes achieved:

1. Technical assistance provided to Parties in setting up a framework to systematically collect, evaluate and report information pursuant to Article 6 and Annex IV of RC on SHPF;
2. Integrated approach on pesticide management promoted on identification of SHPF and on HHPs at the national level;
3. Technical assistance provided to Parties in identifying pesticides of relevance to Article 5 and 6 of the Convention and in the identification and validation of suitable and economically viable alternatives and alternative methods; information exchange promoted among Parties on sustainable alternatives.

Activities implemented:

In the biennium 2020-2021, the Secretariat implemented, via Letter of Agreements, the following activities:

1. Strengthening of National Capacities in Argentina for the Notification of Firm Regulatory Actions on prohibited or restricted products based on scientific information (October 2019-April 2020), with the following outputs: (i) establishment of a database with information about targeted chemical products and pesticide applications at country level; (ii) establishment of processes for the implementation of risk evaluation, in accordance with the Rotterdam Convention; (iii) preliminary evaluation of risks associated with the targeted chemical product; (iv) elaboration of a capacity strengthening plan for targeted stakeholders.
2. Description of the use of Extremely Hazardous Pesticide Formulations (FPEP) and occupational exposure in the region of Lima-Provinces, Peru, within the framework of the Rotterdam Convention (November 2019-July 2020), with the following outputs: (i) strengthening of capacities for the identification and report of occupational exposure to SHPF in the Lima-Provinces Region; (ii) Data collection, monitoring and report of use of SHPF and of occupational exposure to such pesticides in the Lima-Provinces Region.
3. Collection and Analysis of Data on pesticide poisoning incidents among farmers in Jamaica and Trinidad and Tobago (December 2019-May 2020), with the following outputs: (i) data collection on pesticide poisoning

	<p>incidents among farmers in the SIDS of Jamaica and Trinidad and Tobago; (ii) final assessment report of the results of the farmer survey.</p> <ol style="list-style-type: none"> 4. Strengthening of National Capacities for the Notification of Final Regulatory Measures (MRFs) on prohibited or restricted products in Argentina: Carbaryl risk assessment (November 2020-October 2021), with the following outputs: (i) evaluation of the impact of carbaryl on human health in the selected study area of the province of Buenos Aires; (ii) evaluation of the environmental impact of carbaryl in the selected study area of the province of Buenos Aires; (iii) report on the environmental risk assessment and on human health of carbaryl in the selected study area of the province of Buenos Aires; (iv) final report of the environmental risk and human health assessment of carbaryl in Argentina (Study areas in Alto Valle of the Province of Río Negro and in the Province of Buenos Aires). 5. Strengthening of technical capacities within the framework of the Rotterdam Convention – Identification of pesticide poisoning in prioritized areas of Bolivia (November 2020-August 2021), with the following outputs: (i) Information collected on poisonings cases caused by pesticides in Bolivia; (ii) Identification of specific areas to be strengthened in the management of pesticides in Bolivia; (iii) Capacity of the Designated National Authority strengthened in the obligations of the Rotterdam Convention and its implementation. 6. Field Survey: Collection and Analysis of Data on pesticide poisoning incidents in farming communities in Belize (July 2021-January 2022): The main output was the pesticide-poisoning incidents survey in farming communities in Belize, in order to identify pesticides that appeared to be a significant health hazard under the conditions of use reported in the survey. 7. Evaluation of the risk management of pesticides on the environment in Togo (August 2021-July 2022), with the following outputs: (i) Environmental risk assessment report of extremely hazardous pesticide formulations (PPED) in six communes of Togo; (ii) Report of capacity building activities on the implementation of the Rotterdam Convention (mainly on PPED); (iii) A diagram of the system for collecting, analyzing and communicating PPED incidents on the environment in Togo. 8. Field Survey: Inception workshop, and collection and analysis of data on pesticide poisoning incidents in the field and through hospitals in Sri Lanka (December 2019-December 2020), with the following outputs: (i) inception workshop report (ii) final technical report of the survey results. 				
	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Number of proposals of Severely Hazardous Pesticide Formulation submitted by Parties to the Secretariat (subject to the availability of funding): During the reporting period (2020 – 2021), 1 Party submitted 5 SHPF proposals to the Secretariat. No other Party submitted to the Secretariat a proposal of SHPF. However, as a result of the technical assistance described above, a number of internal proposals for SHPF formulations have been prepared and are under active review by the Party's national authorities for pesticide registration. 2. Number of Information documents on alternatives (subject to the availability of funding): The Secretariat promoted on various occasions the use Integrated Pest Management practises. In particular, guidance was provided on: i) Eliminating hazardous child labour through safe and sustainable farming practices; ii) Sustainable alternatives to highly hazardous pesticides – Farmers experience in growing coffee without endosulfan; iii) Alternatives to formulations of fenthion to control quelea birds. 				
Budget performance for 2020-2021					
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	1,100,000	407,163	-	-
Financial and in-kind support					
Basel Convention		Rotterdam Convention		Stockholm Convention	
Expenditure		Expenditure		Expenditure	
-		597,105*		-	
(*) from FAO Regular Programme budget to the Rotterdam Convention Secretariat in Rome.					
Partner(s)	WHO, UNEP Chemicals and Waste Branch, FAO regional, subregional and country offices, FAO pesticide management division, Regional Centres of the Basel and Stockholm conventions, UNIDO, OECD, NGOs, industry groups				
Socio-economic aspects	Sustainable Development Goals: The activities contributed to delivering of the 2030 Agenda on Sustainable Development and relevant sustainable development goals, in particular through promoting healthy lives and well-being by environmentally sound management of chemicals and minimizing and ultimately eliminating releases of POPs and related exposure to hazardous chemicals.				

	<p>Gender mainstreaming: The activities considered involvement of stakeholders from wide range of groups and take into account gender aspects throughout the planning and implementation phase. The development and implementation of various guidance aimed at reducing exposure to hazardous chemicals and thereby contributed to improving the health of vulnerable groups, such as women and children.</p> <p>Integrated Approach: The activities contributed to the implementation of the integrated approach to addressing the financing of the sound management of chemicals and wastes, in particular through enhancing industry's involvement in information gathering and developing guidance and reports, mainstreaming chemicals and waste issues at the regional and national levels.</p>
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PoW number	Activity 16
Title of activity	Training and capacity development activities under the Stockholm Convention
Convention(s)	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	<p>Article: Articles 3, 4, 6, 7, 8, 9, 10, 11, 12, 15, 16, 20 of the Stockholm Convention</p> <p>COP decision(s): SC-9/14 on technical assistance (which subsumes the technical assistance related requests to the Secretariat in following decisions: SC-9/3, SC-9/5, SC-9/7, SC-9/8, SC-9/9, SC- 9/10, SC-SC-9/16, SC-9/18, SC-9/22 and SC-9/23).</p>
Outcomes and activities	<p>Component 16.1: Support to develop, review, update and implement NIPs under the Stockholm Convention</p> <p>Outcomes achieved:</p> <p>Through capacity-building activities using the guidance for developing, reviewing and updating NIPs and guidance documents on inventory and alternatives to newly listed POPs, Parties were supported to develop, review and update their NIPs to meet their obligations and to implement various action plans under their NIPs.</p> <p>Activities implemented:</p> <p>The information on the relevant activities can be found in document UNEP/POPS/COP.10/10.</p> <p>Thanks to generous financial support provided by the European Union and the Governments of the Netherlands, Norway and Sweden, the Secretariat developed or revised/updated the following materials and made available on the Convention website⁴²:</p> <p><u>New guidance:</u></p> <ol style="list-style-type: none"> 1. Draft guidance on preparing inventories of dicofol (UNEP/POPS/COP.10/INF/22); 2. Draft guidance on preparing inventories of perfluorooctanoic acid (PFOA), its salts and PFOA-related compounds (UNEP/POPS/COP.10/INF/23); 3. A set of presentations to support the socio-economic assessment in NIPs and the management of POPs; <p><u>Revised/updated guidance:</u></p> <ol style="list-style-type: none"> 1. Guidance for strengthening regulatory framework and voluntary agreements for regular monitoring of products or articles that may contain new POPs; 2. General guidance on POPs inventory; 3. Guidance on sampling, screening and analysis of POPs; 4. Guidance on preparing inventories of hexabromocyclododecane (HBCD); 5. Guidance on preparing inventories of PBDE including decaBDE; 6. Guidance on preparing inventories of PCN; 7. Guidance on preparing inventories of PCP; 8. Guidance on preparing inventories of SCCPs. <p>The Secretariat contributed to the implementation of the medium-sized project of the GEF by UNEP to facilitate the development and transmission of, access to and use of data contained in NIPs and national reports. The following six Parties were involved in the project: Cambodia, Honduras, Madagascar, Papua New Guinea, the Republic of Moldova and Saint Lucia. Information on the project can be found in document UNEP/POPS/COP.10/INF/26.</p> <p>Thanks to generous financial support provided by the European Union and the Government of Sweden, the Secretariat, in cooperation with UNITAR developed online training module for developing, reviewing and updating NIPs (see: https://uncclearn.org/course/view.php?id=133&page=overview).</p> <p>In addition to the above, the Secretariat implemented the following:</p> <ol style="list-style-type: none"> 1. Online workshop on supporting inventories development and priority setting as part of the process to develop, review and update NIPs for POPs listed after the entry into force of the Stockholm Convention followed by a pilot inventory project in Indonesia and South Africa; 2. Online workshop to initiate the updating of the NIP of Oman under the Stockholm Convention, developing POP inventories and monitoring POPs, (http://chm.pops.int/tabid/9079/Default.aspx); 3. Subregional online workshop on supporting the Arabic speaking countries for the development, review and updating of NIPs under the Stockholm Convention (http://chm.pops.int/tabid/8995/Default.aspx);

⁴² <http://chm.pops.int/Implementation/NationalImplementationPlans/Guidance/tabid/7730/Default.aspx>

4. Online workshop to support the implementation of the NIP of Iraq under the Stockholm Convention, assessing new industrial POPs, contaminated sites and monitoring (<http://chm.pops.int/tabid/9080/Default.aspx>);
5. Online workshop for supporting the State of Palestine for the initial national information collection on the listed POPs and on the legal frameworks for POPs under the Stockholm Convention;
6. A detailed report on the Stockholm Convention technical assistance activities undertaken by the Secretariat in 2020-2021 is set out in the document made available to the face-to-face segment of the meetings of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions held in 2022 (UNEP/CHW.15/INF/28-UNEP/FAO/RC/COP.10/INF/16- UNEP/POPS/COP.10/INF/28).

Evaluation as per the indicators of achievement:

1. Relevant comments provided by Parties and stakeholders are reflected in the updated guidance for developing, reviewing and updating NIPs: 100% completed;
2. Up to 2 new guidance documents on inventory and alternatives to newly listed POPs developed: Two new guidance documents on inventory and on alternatives to newly listed POPs were developed;
3. Up to 4 regional workshops to support the development, review and updating of NIPs are organized: Five regional/subregional and national workshops to support the development, review and updating of NIPs were organized;
4. Up to 4 pilot projects to develop inventories and update NIPs are implemented (subject to the availability of funding): Pilot projects were implemented in Indonesia and South Africa.
5. Up to 3 follow up activities at the national level to assist individual Parties with their legal and institutional frameworks (subject to the availability of funding): No activities implemented.

Component 16.2: Capacity-building on issues pertaining to the chemicals listed under the Stockholm Convention

Outcomes achieved:

Parties received technical assistance from the Secretariat in collaboration with the regional centres and other partners to enable them to meet their obligations pertaining to the chemicals listed under the Stockholm Convention.

Activities implemented:

1. Regional workshops to disseminate POPs guidance were organized.
2. Pilot projects to develop inventory of decaBDE and SCCPs and to assist Parties in phasing out those chemicals implemented.
3. Training to improve monitoring capacity of POPs in the environment organized in cooperation with UNEP.

Evaluation as per the indicators of achievement:

1. Two malaria endemic countries in the African Region establish national road maps for the development of alternatives to DDT: No activities implemented.
2. At least 5 guidance and awareness-raising materials towards phasing-out POPs and promoting ESM of POPs wastes developed and disseminated through regional workshops: 11 guidance and awareness-raising materials towards phasing-out POPs were developed and disseminated through regional workshops;
3. 2 workshops undertaken in collaboration with regional centres for assisting parties in phasing out POPs: 2 pilot projects to develop inventory of decaBDE and SCCPs in relation to update NIP was implemented.
4. 2 workshops on eliminating flame retardant POPs in articles and plastic: No activities implemented.
5. 1 activity implemented on sampling and analytical capacity building for developing countries to contribute to the global monitoring plan: 1 capacity-building project to support sampling and analysis of POPs in developing countries was implemented.

Budget performance for 2020-2021

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	50,000	49,991

Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure

-	-	-	-	1,100,000	553,477
Partner(s)	UNITAR, WHO, UNEP Chemicals and Health Branch, FAO pesticide management division, Regional Centres of the Basel and Stockholm conventions, UNIDO, OECD, NGOs, industry groups				
Socio-economic aspects	<p>Sustainable Development Goals: The activities contributed to delivering of the 2030 Agenda on Sustainable Development and relevant sustainable development goals, in particular through promoting healthy lives and well-being by environmentally sound management of chemicals and minimizing and ultimately eliminating releases of POPs and related exposure to hazardous chemicals.</p> <p>Gender mainstreaming: The activities considered the involvement of stakeholders from a wide range of groups and took into account gender aspects throughout the planning and implementation phase. The development and implementation of various guidance aimed at reducing exposure to hazardous chemicals and thereby contributed to improving the health of vulnerable groups, such as women and children.</p> <p>Integrated Approach: The activities contributed to the implementation of the integrated approach to addressing the financing of the sound management of chemicals and wastes in particular through enhancing industry's involvement in information gathering and developing guidance and reports, mainstreaming chemicals and waste issues at the regional and national levels.</p>				

PoW number	Activity 18
Title of activity	Partnerships under the Basel, Rotterdam and Stockholm conventions
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	<p>Convention Articles: Articles 16 and 19 of the Rotterdam Convention</p> <p>COP decision(s): Decision BC-14/19, BC-14/29, BC-13/12, BC-13/14 and BC-13/14 of the Basel Convention, decision RC-9/17 of the Rotterdam Convention and decision SC-9/27 of the Stockholm Convention</p> <p>Technical Assistance Plan for the implementation of the Basel, Rotterdam and Stockholm conventions for the period 2018-2021</p>
Outcomes and activities	<p>Component 18.1: Partnerships overall</p> <p>Outcomes achieved: Expanded influence of partnerships to implement the Basel, Rotterdam and Stockholm conventions</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> The Secretariat implemented a number of activities aimed at expanding influence of existing partnerships, as follows: <ul style="list-style-type: none"> Side-event on the impact of COVID-19 on transboundary movements of hazardous and other wastes and illegal traffic was organized by ENFORCE on 2 September 2020 in the margins of the twelfth meeting of the Open-ended Working Group of the Basel Convention held on 1-3 September 2020 (online); Webinar on tools to support the enforcement of the Basel Convention, in particular developed under the IMPEL WasteForce project, such as the Waste Force Project Guidance on Prosecuting Waste Crime, held on 14 December 2020; Side-event on Time to ENFORCE: Combatting an illegal traffic of hazardous and other wastes was organized by ENFORCE on 28 July 2021 in the margins of the fifteenth meeting of Conference of the Parties held from 3 to 17 July 2021 (online segment); In August 2021, the ENFORCE members were invited to complete the questionnaire and share it within their networks (with relevance to enforcement and illegal traffic) for the output of the project group on transboundary movements of plastic waste under the Plastic Waste Partnership. The Secretariat participated in partnerships of others⁴³: <ul style="list-style-type: none"> The Secretariat has been a member in the E-waste Coalition, which involved meetings, preparation of terms of references, sharing information on ongoing and planned activities; High-Level Advisory Board of project LIFE SWEAP led by IMPEL; Serving on the High-Level Advisory Board of the Project STRIKE - Stronger TRaining and Increased Knowledge for better Enforcement (STRIKE) - Waste & Mercury led by the University of Limerick; The Secretariat led the event on Addressing E-waste through Tracking, Traceability and Circular Approach 18 February 2021 held at the Third Global Session of the UN Science-Policy-Business Forum on the Environment; Providing feedback on the roadmap of the Circular Electronics Partnership (CEP); The Secretariat participated in the Platform for Accelerating the Circular Economy (PACE) launch event held online on 4 February 2021 and provided feedback on its Circular Economy Action Agenda for Electronics report; The Secretariat served as a member on the Sounding Board of the project Sustainable Recycling Industries (SRI) (Phase II); The Secretariat joined the WEF initiative - Friends of Ocean Action led by the World Economic Forum; The Secretariat collaborated with the Global Plastic Action Partnership (GPAP) and the National Plastic Action Partnership (NPAP) in Ghana; The Secretariat is a member of the Global Partnership on Marine Litter, coordinated by UNEP. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> At least 5 activities implemented through BRS partnerships (subject to the availability of funding): More than 5 activities were implemented as per the list provided above; Number of activities on the BRS conventions developed and implemented by partners: references to the BRS Conventions on the partners' documents and reports.

⁴³ See POW 29 for further information on the engagement of the Secretariat with intergovernmental organizations.

Component 18.2: Household Waste Partnership**Outcomes achieved:**

Development of guidance for governments, regional and local authorities and other stakeholders on, among other things, best practices, business models, policies and innovative solutions for the ESM of household waste in various socio-economic contexts.

Activities implemented:

The Secretariat implemented the following activities related to the Household Waste Partnership:

1. Organized a meeting of Household Waste Partnership members: online meetings of Partnership project groups on pilot projects and awareness raising took place over the biennium; otherwise, the Partnership working group was consulted on the overall guidance document electronically;
2. Supported development of Household Waste Partnership manuals: overall guidance document versions prepared and submitted to online OEWG-12 and online COP-15;
3. Developed and translated manuals, modules and other tools for completion for COP-15.

Evaluation as per the indicators of achievement:

1. Online meetings and one face-to-face meeting: One online consultation and two online meetings of project groups were organized;
2. Development of modules, tools, manuals on ESM of household waste: The overall guidance document was further developed taking into account comments from Parties and others and submitted to COP-15 for potential adoption;
3. Translation of materials into languages: The overall guidance document was submitted to COP-15 in the 6 UN languages.

Component 18.3: Follow-up partnership to PACE**Outcomes achieved:**

Support and facilitation are provided to the working group on a follow up partnership to PACE, on computing equipment, according to decision BC-14/19 and document UNEP/CHW.14/INF/30, including its revisions.

Activities implemented:

The following activities were organized:

1. Translation of the current guidance documents into additional languages;
2. Development of three model workshops to disseminate guidance documents;
3. Development of a PACE model of an implementation roadmap for ESM;
4. Consultations for the inclusion of additional equipment in the scope of the partnership;
5. Implementation of pilot projects;
6. Teleconferences of the working group of the partnership.

Evaluation as per the indicators of achievement:

1. PACE and MPPI guidance documents are translated into additional languages to UN languages: 100% completed (see: [PACE and MPPI documents \(basel.int\)](https://www.basel.int/documents/136002/136003))
2. At least two training packages are created for specific audiences listed in document UNEP/CHW.14/INF/30: Three model workshops were developed and are available at: [Training Toolkit \(basel.int\)](https://www.basel.int/documents/136002/136003)
3. Development of a PACE model for an implementation roadmap: Activity ongoing;
4. A proposal for additional equipment was submitted to OEWG-12 and COP-15 in documents: 100% completed (see document UNEP/CHW/OEWG.12/INF/37/Rev.1 and document UNEP/CHW.15//INF/39 Add.1 as approved by COP15).
5. At least one pilot project is facilitated: 100% completed? (see document: UNEP/CHW.15/INF/33);
6. One face-to-face meeting of the working group is organized during the biennium: Postponed due to the COVID-19 pandemic.

Component 18.4: ENFORCE**Outcomes achieved:**

Enhanced and improved cooperation and coordination between relevant entities with a specific mandate to deliver capacity-building activities and tools on preventing and combating illegal traffic.

Activities implemented:

The Secretariat organized the following activities in relation to ENFORCE:

1. The 5th meeting of ENFORCE took place in online from 26 to 27 January 2021 thanks to the generous financial support from the Government of France. Members exchanged information on activities and

identified activities that would benefit from being coordinated or jointly planned and implemented, and deliberated on the modalities for the operation of the network⁴⁴.

2. A searchable compilation of all activities included in the current roadmap and the repository of activities for the past activities was developed and is available online.⁴⁵

Evaluation as per the indicators of achievement:

1. Expert support is provided for outreach and dissemination of ENFORCE related information: Database with past and planned activities by members is available online on the website;
2. Two meetings of ENFORCE are held: Face-to-face meetings of ENFORCE were postponed due to the COVID travel restrictions and one meeting was held online in 2021.

Component 18.5: Leveraging impact with FAO National Surveillance Programme for Communicable Diseases (NSPCD, formerly Pest and Pesticide Management AGPMC)

Outcomes achieved:

Strengthened cooperation with the FAO Pest and Pesticide Management (NSPCD) on specific issues relevant to the Rotterdam Convention

Activities implemented:

1. Cooperated with NSPCD in the implementation of national, regional and global projects on pesticide management by introducing elements of the Rotterdam Convention, including Severely Hazardous Pesticide Formulations (SHPF) as criteria for Highly Hazardous Pesticides (HHP), identified alternatives and risk mitigation measures for pesticides;
2. Cooperated with NSPCD in linking their activities on the pesticide registration toolkit and farmer field schools to implementation of the Rotterdam Convention, including risk evaluation of pesticides under consideration for listing in Annex III and alternatives.

Evaluation as per the indicators of achievement:

1. At least 5 of capacity-building activities developed and implemented jointly with NSPCD (subject to the availability of funding): 6 capacity-building activities on pesticide risk reduction and alternatives were developed and implemented jointly with NSPCD.
2. At least 4 Parties receive joint Rotterdam Convention/NSPCD assistance on risk evaluation toolkit and alternatives through implementation of Farmer Field Schools (subject to the availability of funding): 8 Parties in the African and Latin American region received such assistance.

Component 18.6: Partnership on Plastic Waste

Outcomes achieved:

Development of guidance, implementation tools and manuals for governments, regional and local authorities and other stakeholders on, among other things, best practices, business models, policies and innovative solutions for the environmentally sound management of plastic waste.

Activities implemented

The Secretariat organized the following activities in relation to the Partnership on Plastic Waste:

1. One face-to-face meeting in Seychelles in March 2020 (PWP-1) and one online meeting in June 2021 (PWP-2) was organized;
2. Development of 6 reports related to the ESM of plastic waste were initiated;
3. One call for pilot project proposals was organized and 23 projects were selected.

Evaluation as per the indicators of achievement:

1. Online meetings and two face-to-face meetings: One face-to-face meeting was organized before the COVID travel restrictions. One online meeting was organized in June 2021.
2. Support development of guidance, modules, tools and pilot projects on plastic waste: Development of modules, tools, manuals and pilot projects on ESM of plastic waste;
3. Translate materials developed: Translation of materials into languages.

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<http://www.basel.int/Implementation/LegalMatters/IllegalTraffic/Meetings/ENFORCE5Online2021/tabid/8712/Default.aspx>

⁴⁵

<http://www.basel.int/Implementation/TechnicalAssistance/Partnerships/ENFORCE/Overview/EnforceRoadMap/tabid/8787/Default.aspx>

Budget performance for 2020-2021					
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
1,250,000	386,320	300,000	-	45,000	-
Financial and in-kind support					
Basel Convention		Rotterdam Convention		Stockholm Convention	
Expenditure		Expenditure		Expenditure	
-		60,000*		-	

(*) from FAO Regular Programme budget to the Rotterdam Convention Secretariat in Rome.

Partner(s)	Regional centres of the Basel and Stockholm conventions implementing partnership activities; the GEF secretariat, GEF implementing agencies, FAO (pesticides management team, social protection team and regional offices) and UNEP regional offices, UNEP Chemicals and Health Branch, UNDP, UNIDO, SAICM Secretariat, Minamata Secretariat, WHO, WCO, Secretariat of the multilateral environmental agreements, academia, the private sector and civil society organizations that are partners or observers in the partnership activities.
Socio-economic aspects	<p>Sustainable Development Goals: The household waste, e-waste and other waste-related partnerships in the medium-term support the implementation of SDG target 12.5 where, by 2030, waste generation should be substantially reduced through prevention, reduction, recycling and reuse. They also contributed to SDG target 11.6 by reducing the adverse environmental impact of cities paying special attention to municipal and other waste management.</p> <p>The partnerships with academia supported the implementation of SDG target 12.8 where, by 2030, people everywhere should have the relevant information and awareness for sustainable development, and SDG target 12.a where support should be provided to developing countries to strengthen their scientific and technological capacity to move towards more sustainable patterns of consumption and production. The activity contributed to SDG 2.4 to ensure sustainable food production systems and implement resilient agricultural practices that increase productivity and production that help maintain ecosystems, to SDG 3.9 to reduce the number of deaths and illnesses from hazardous chemicals, to SDG 8.8 to protect labour rights and promote safe and secure working environments for all workers including migrant workers, in particular women migrants, and those in precarious employment, to SDG 12.4 to achieve the environmentally sound management of chemicals to minimize their adverse impacts on human health and the environment, to SDG 16.8 to broaden and strengthen the participation of developing countries in the institutions of global governance.</p> <p>Gender mainstreaming: The household waste, e-waste and other waste related partnerships addressed gender differentiated target groups, as appropriate, e.g. with respect to waste segregation in the households, waste collection and processing behavior and waste workers safety and protection. The most vulnerable groups are women and children who form about 30% of the workforce in crude waste processing business. Mother's intake and body burden is transferred across the placenta and through breast milk. Women and girls are affected directly and as mothers of tomorrow. The training carried out in partnership with academia was equally opened to men and women. The content of the courses was provided in a gender differentiated manner, e.g. gender specific risks to exposure to chemical emissions in the work place and at home. The work developed under the FAO programme took into consideration data collection on different groups, in particular women and raised awareness on hazardous pesticides for family farmers through the identification of alternatives. Women empowerment and gender equality were the main criteria for involving beneficiaries and stakeholders in all activities.</p> <p>Integrated Approach: The partnerships involved the Basel and Stockholm conventions regional centres in the execution of related projects and activities to build and strengthen regional expertise on chemicals and waste issues. All activities supported the implementation of the chemicals and wastes agenda and contributed to mainstreaming chemicals and waste issues at the regional and national levels. The partnerships related to waste streams and the life cycle of chemicals involved industry partners from the beginning in the set-up and implementation of the partnership activities. The partnerships built on technical support from countries and the stakeholders, including the private sector so that their work programme including face-to-face meetings and pilot projects can be implemented in time. Information exchange networks and partnerships supported enforcement and chemicals and waste policy programmes of Parties and link to information from the private sector as appropriate. The development of the activities under FAO involved cross-cutting elements that are all concurring to the sustainable production and protection of natural resources. Different stakeholders were involved in the social pillar aspect of sustainability as for example health centers and local NGOs.</p>

PoW number	Activity 19
Title of activity	Coordination of and support for the Basel and Stockholm convention regional centres and cooperation and coordination between regional centres
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 14 of the Basel Convention and Article 12 of Stockholm Convention COP decision(s): BC-14/18, BC-13/11, BC-13/2, BC-13/4, BC-13/5, BC-13/9, BC-13/10, BC-13/12, BC-13/13, BC-13/21, BC-13/22 of the Basel Convention and SC-9/14, SC-8/15, SC-8/5, SC-8/17, SC-8/24, SC-8/25 of the Stockholm Convention
Outcomes and activities	<p>Component 19.1: Annual meeting of the regional centres</p> <p>Outcomes achieved:</p> <p>This activity contributed to strengthened collaboration, information exchange among the regional centres and increased understanding on the support expected from the centres for the implementation of the COPs decisions as well as networking opportunities with partners, including bilateral and multilateral donors.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. Annual meeting of the directors of regional centres held: Organized annual meetings of the directors of the regional centres online from 30 September to 2 October 2020 for the year 2020, and from 23 November to 24 November 2021 (online) for the year 2021. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Two annual meetings of the directors of regional centres were held online from 30 September to 2 October 2020 and online from 23 to 24 November 2021. 2. The reports of the meetings are available on the website of the conventions: <ol style="list-style-type: none"> (a) 2021 meeting at: http://www.brsmeas.org/tabid/8958/Default.aspx. (b) 2020 meeting at: http://www.brsmeas.org/tabid/8543/Default.aspx. <p>Component 19.2: Strengthening regional delivery of technical assistance through regional centres</p> <p>Outcomes achieved:</p> <p>This activity contributed to strengthened regional delivery of the technical assistance by implementing the regional/subregional/national projects based in the business plan/workplan of regional centres through Small Grant Projects (SGP). Increased satisfaction of the Parties endorsing the business plans by having technical assistance and capacity building activities from these plans implemented.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. The Basel and Stockholm Convention's Regional Centre Small Grant Programme (SGP) on plastic waste (www.basel.int/tabid/8402/Default.aspx) was operationalized in 2020, including development of modalities establishment of the steering committee and launching of 3 calls for proposals to date; 2. Under the first call for proposals, a total of 44 eligible proposals were received from 20 regional centres, from which 7 proposals were selected and are currently being finalized/implemented, thanks to generous financial support provided by Norad; 3. Under the second call for proposals, a total of 21 eligible project proposals were received by the Secretariat, and 8 proposals were selected for funding, thanks to generous financial support provided by Norad and the Government of Norway. 5 of these are currently being finalized/implemented. The remaining 2 are currently being prepared for implementation; 4. For the third round, a total of 18 project proposals have been received from 9 regional centres. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Five pilot projects implemented per Convention: 14 pilot projects on managing plastic waste are being implemented and 2 are under preparation; 2. Number of Parties served by the regional centres through the projects: A total of 35 Parties (11 in the first round and 24 in the second round) served by the regional centres through the projects; 3. Number of beneficiaries (men and women) from the projects: The final number of beneficiaries is not available yet as the projects are still ongoing. <p>Component 19.3: Strengthening support to and enhancing visibility of the regional centres</p> <p>Outcomes achieved:</p>

	<p>Appropriate and adequate support to the regional centres was provided and their visibility was enhanced. The centres were seen as an extended arm of the Secretariat and Parties rely on them for various kinds of information, expertise and facilitation support on the matters relating to the implementation of the conventions.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. Participated in steering committee meetings of the following regional centres: BCRC-SCRC China, BCRC-SCRC Iran, BCRC Caribbean and BCRC-SCRC Senegal. 2. Information exchange platforms were established in certain regional centres, details provided under PoW 25. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Attendance in two steering committee meetings per year: Participated in four steering committee meetings in two years; 2. Participation of the Secretariat in two field missions and/or training activities per year of the pilot projects implemented by the centres: The Secretariat did not participate in any of the field missions relating to the pilot projects. However, the Secretariat did organize 3 online trainings (webinars)⁴⁶ relating to the pilot projects. 3. Systematic information exchange is put in place and functional for the selected regional centres: 100% completed <p>Component 19.4: Support to regional centres on institutional aspects and programmatic matters</p> <p>Outcomes achieved:</p> <p>Institutional arrangements in support of establishing and functioning of the regional centres for the Basel Convention and for the Stockholm convention were put in place and harmonized across all the centres. This included support to Parties in the process of selection/establishment of regional centres and assessment of technical expertise and capacity of selected/nominated centres. Framework agreements to establish BCRCs were concluded and amended as per required procedures. Support was provided for the regional and subregional centres under the Stockholm Convention to be endorsed by the Conference of the Parties.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. The Secretariat has been collaborating with the Basel Convention Regional Centers in Slovakia and the Russian Federation on the finalization of their respective framework agreements; 2. The Secretariat signed the framework agreement on the establishment of the Basel Convention Regional Centre for the Central America Sub-region, including Mexico in Panama and re-signed the framework agreements with the Basel Convention Regional Center for Training and Technology Transfer for Arab States in Egypt; 3. Amendments to extend the duration of the framework agreements on the Basel Convention Regional Centre for Training and Technology Transfer for the Caribbean and the Basel Convention Coordinating Centre for Latin America and the Caribbean (BCCC) were signed between the Secretariat and the Governments of Trinidad and Tobago and Uruguay respectively. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Support is provided as needed in establishing /strengthening institutional arrangements with the regional centres in line with relevant procedures: 100% completed (see documents UNEP/CHW.15/17 and UNEP/POPS/COP.10/14); 2. Visits of the regional centres by the Secretariat: Not implemented due to the fact that no new centre was nominated. 				
Budget performance for 2020-2021					
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
344,150	1,377,041	-	-	344,150	-
Partner(s)	Regional Centres of the Basel and Stockholm conventions, UNEP Chemicals and Health Branch, WHO, FAO pesticide management division, UNIDO, OECD, NGOs, industry groups, etc.				
Socio-economic aspects	Sustainable Development Goals: The activities contributed to delivering of the 2030 Agenda on Sustainable Development and relevant sustainable development goals, in particular through promoting				

⁴⁶ See: <http://www.basel.int/Default.aspx?tabid=4210&meetId=0EE51495-1012-EC11-B9FD-005056857856&lang=en>; <http://www.basel.int/Default.aspx?tabid=4210&meetId=6BD6E952-AE3F-EB11-9D33-005056857856&lang=en>; <http://www.basel.int/Default.aspx?tabid=4210&meetId=A3B4B868-3F18-EB11-9D1E-005056857856&lang=en>.

healthy lives and well-being by environmentally sound management of chemicals and minimizing and ultimately eliminating releases of POPs and related exposure to hazardous chemicals.

Gender mainstreaming: The activities considered involvement of stakeholders from wide range of groups and take into account gender aspects throughout the planning and implementation phase. The development and implementation of various guidance aimed at reducing exposure to hazardous chemicals and thereby contributes to improving the health of vulnerable groups, such as women and children.

Integrated Approach: The activities contributed to the implementation of the integrated approach to addressing the financing of the sound management of chemicals and wastes in particular through enhancing industry's involvement in information gathering and developing guidance and reports, mainstreaming chemicals and waste issues at the regional and national levels.

3. Scientific and technical activities

PoW number	Activity 20
Title of activity	Scientific support for Parties to the Basel Convention
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	<p>Convention Article: Article 6 of the Basel Convention</p> <p>COP decision(s): BC-14/3, BC-14/4, BC-14/5, BC-14/6, BC-14/7, BC-14/8, BC-14/9, BC-14/11, BC-14/14 and BC-14/13 of the Basel Convention, SC-9/8 of the Stockholm Convention, OEWG-11/3, OEWG-11/5, OEWG-11/9, OEWG-11/10, OEWG-11/14, UNEA resolutions 1/6, 2/11, 3/7 and 3/9</p>
Outcomes and activities	<p>Component 20.1: Technical guidelines for environmentally sound management of hazardous waste and on transboundary movements of e-waste and used equipment</p> <p>Outcomes achieved:</p> <p>The activity contributed to coordinated development of technical guidelines on the ESM of different waste streams and on waste management technologies. Parties to the Basel and to the Stockholm conventions were better equipped with technical information on the sound management of chemicals and hazardous wastes, including POP wastes.</p> <p>Activities implemented:</p> <p>Due to the COVID-19 pandemic, face-to-face meetings were rather limited in the reporting period. However, working groups met online in different occasions and the result of their work were brought to the attention of the meetings of the Basel Convention OEWG-12 and COP-15.</p> <ol style="list-style-type: none"> 1. Pursuant to the various decisions of the OEWG and COP meetings, 3 technical guidelines were updated and adopted by COP-15 on POP wastes taking into account newly listed POPs, i.e., dicofol, PFOA and changes on PFOS listing (i.e., General technical guidelines, technical guidelines on POPs pesticides and technical guidelines on PFOS and PFOA); 2. The EWG on the technical guidelines on used equipment and e-waste met once in the reporting period, but no update on the document was carried out. COP-15 decided to continue the work on these guidelines; 3. The technical guidelines on incineration on land (D10) and landfilling (D5) were updated in the reporting period and adopted by COP-15, 4. The technical guidelines on mercury wastes were updated in the reporting period and adopted by COP-15, 5. The technical guidelines on plastic wastes were updated in the reporting period and the work will continue until COP-16, 6. No electronic versions of the technical guidelines were developed due to delays in the editing and translation of adopted COP documents, 7. The various working groups (i.e., on POPs wastes guidelines, on D5 and D10 guidelines, on e-waste guidelines, on mercury wastes guidelines and on plastic wastes technical guidelines) met in different occasions in the reporting period. More details can be found in document UNEP/CHW.15/6 on technical guidelines. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Technical guidelines on the ESM of POPs wastes are developed or updated and made available to Parties and others: Three documents were adopted by COP-15. 2. Technical guidelines on different waste streams or technologies are developed or updated and made available to Parties and others: The D5 and D10&R1 technical guidelines, as well as the mercury wastes technical guidelines were adopted by COP-15. 3. Number of women and men who are members of the Small Intersessional Working Groups: 20-40% as average to all groups. <p>Component 20.2: Cooperation with the World Customs Organization</p> <p>Outcomes achieved:</p> <p>The activity contributed to enhanced coherence between the WCO Harmonized Commodity Description and Coding System (HS) and the list of wastes controlled under the Basel Convention. Monitoring of the transboundary movements of hazardous and other wastes and enforcement of the related regulations were facilitated.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. Provided technical advice on identifying Basel Convention wastes in the HS; 2. Developed proposals on amendments to the HS for the identification of wastes listed under the Basel Convention.

	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Wastes listed under the Basel Convention are identified in the HS: HS codes for two of the waste types indicated in decision BC-14/9 were adopted by WCO and entered into force in January 2021. Proposals for amendments to the HS for two other waste types indicated in decision BC-14/9 were submitted to and considered by WCO. 2. The HS Committee and its subsidiary bodies receive advisory support related to the Basel Convention during the HS review process: Inputs and supporting information provided to the 57th, 58th and 59th sessions of the HS review subcommittees for reviewing the submitted proposals.
	<p>Component 20.3: Control system for transboundary movements (E-notification)</p> <p>Outcomes achieved:</p> <p>Parties continued their considerations of the expected benefits and options for system(s) that allow for the automation of processes and the electronic exchange of information relating to the notification and movement of hazardous and other wastes.</p> <p>Activities implemented:</p> <p>Pursuant to the various decisions of the OEWG and COP meetings, the Secretariat prepared a report on experiences, at the national and international levels, in developing and implementing electronic systems for exchanging information on or controlling the movements of goods and wastes, and lessons learned from such experiences, for the consideration of OEWG-12, thanks to the financial support from the Government of Germany. Due to the COVID-19 pandemic, face-to-face meetings were rather limited in the reporting period. As such, the Secretariat organized the first consultative meeting of experts in this field on January 2021. More details are available at the Convention's website⁴⁷.</p> <p>COP-15 decided to establish a small intersessional working group to initiate work in this area.</p>
	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Report on electronic systems for information exchange on the transboundary movements of goods and wastes (subject to availability of funding): A report was prepared as requested by COP-14, and it is available on the Convention's website, as part of the OEWG-12 meeting, document UNEP/CHW/OEWG.12/INF/18. 2. Workshops on options for a Basel Convention system on the transboundary movements of wastes (subject to availability of funding): A report on the first consultative meeting of experts in this field is available on the convention website (see footnote below).
	<p>Component 20.4: Issues related to waste containing nanomaterials and options for further work under the Basel Convention</p> <p>Outcomes achieved:</p> <p>This activity supported Parties to enhance understanding of issues related to wastes containing nanomaterials and provided guidance on how to address such wastes.</p> <p>Activities implemented:</p> <p>Compiled information related to activities aimed at addressing issues related to waste containing nanomaterials, including case studies about and best practices relating to the management of waste containing nanomaterials, submitted by Parties and stakeholders.</p>
	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Compile above-mentioned information: A compilation of information on wastes containing nanomaterials is available in document UNEP/CHW.15/INF/52.
	<p>Component 20.5: Issues related to plastic waste</p> <p>Outcomes achieved:</p> <p>Activities to follow up the decision by COP-14 on plastic waste were carried out and considered at OEWG-12 and COP-15.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. The information on the relevant activities can be found in document UNEP/CHW.15/10. 2. Thanks to generous financial support provided by the European Union and the Government of Norway, the Secretariat developed and revised the following document for the consideration at OEWG-12 and COP-15: Background information related to a possible future assessment of the effectiveness of the measures taken under the Basel Convention to address plastic waste and to possible further activities that could be conducted under the Basel Convention (UNEP/CHW/OEWG.12/INF/20 and UNEP/CHW.15/INF/10). 3. With regard to the invitation in paragraph 35 of decision BC-14/13, the Secretariat compiled the information on certain plastic wastes referred to in entry Y48 in Annex II and entry B3011 in Annex IX to the Convention that had been received from Parties and others. That information can be found on the website of the Convention (http://www.basel.int/tabid/8350/) and in document UNEP/CHW.15/INF/12.

⁴⁷ <http://www.basel.int/tabid/7375/Default.aspx>.

	<p>Evaluation as per the indicators of achievement:</p> <p>1. Undertake follow up activities on plastic waste, as mandated by decision BC-14/13 on further actions to address plastic waste under the Basel Convention: Activities were carried out in line with the decision by COP-14 on plastic waste.</p>				
<u>Budget performance for 2020-2021</u>					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
336,250	261,183	-	-	20,000	17,022
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
355,000	169,889	-	-	-	-
Partner(s)	Regional and coordinating centres of the Basel and Stockholm conventions, UNEP, FAO, Regional Seas Conventions, other relevant MEAs, industry, NGOs				
Socio-economic aspects	<p>Sustainable Development Goals: The activity contributed to SDG 12 on ensuring sustainable consumption and production patterns (specifically Target 12.4: by 2020 achieve environmentally sound management of chemicals and all wastes throughout their lifecycle and minimizing their adverse impacts on human health and the environment). It also contributed to Sustainable Development Goal 3 on ensuring healthy lives (specifically Target 3.9: by 2030 substantially reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination). Addressing marine plastic litter and microplastics contributed to the following SDG targets: target 11.6 on municipal and other waste; target 12.4 on the environmentally sound management of chemicals and wastes; target 12.5 on substantially reducing waste generation through prevention, reduction, recycling, and reuse; target 14.1 on reducing marine pollution including marine debris.</p> <p>Gender mainstreaming: Membership of the different Small Intersessional Working Groups is gender neutral, and participation of women was welcomed. In line with the BRS Gender Action Plan, the Secretariat encouraged Parties and observers to take into account gender-related aspects in their nomination of participants, as well as when engaging consultants to carry-out the different activities. Additionally, information provided in the advice to Parties, and in the technical guidelines on the sound management of chemicals and hazardous wastes, and their impacts on human health and the environment, assisted in formulating policies to protect vulnerable populations including women and children.</p> <p>Integrated Approach: The Small Intersessional Working Groups under this activity followed a multi-stakeholder approach, where observers to the conventions, as well as civil society, academia and industry had an active role in discussions and negotiations on the work and on the documents produced.</p>				

PoW number	Activity 21
Title of activity	Scientific support for Parties to the Rotterdam Convention
Convention(s)	<input type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Articles 5, 6, 7, 10, 11, 12, 13 and 14 of the Rotterdam Convention COP decision(s): Decisions RC-7/1, RC-7/2, RC-9/2 and RC-9/6 of the Rotterdam Convention
Outcomes and activities	<p>Component 21.1: Information exchange and PIC procedure, including PIC Circular</p> <p>Outcomes achieved: Information submitted by Parties in accordance with various Articles was reviewed and addressed in a timely manner and shared through the PIC Circular.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> Produced, translated and published the PIC Circular. Compiled scientific, technical and export information related to PIC procedure and other Convention articles and made them available to Parties. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> The PIC Circular is published, as scheduled, every six months, in June and December, respectively: The PIC Circulars 51-54 were published, as scheduled, every six months, in June and December 2020 and 2021, respectively.⁴⁸ Information on implementation of the PIC procedure and other Convention articles is made available to the Parties: Information on implementation of the PIC procedure and other Convention articles was published on the Convention's website, including through the PIC Circulars.⁴⁹ <p>Component 21.2: Support to Parties in increasing notifications of final regulatory action</p> <p>Outcomes achieved: Tools and guidance for user-friendly access to scientific and technical information for sound management of hazardous chemicals and informed decision making was made available as necessary to enhance capacity at the national level on notifications of final regulatory actions.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> The Final Regulatory Action Evaluation (FRAE) Toolkit Module on References for FRA risk evaluation was revised and updated to provide access on referencing information in a more user-friendly manner.⁵⁰ The resource kit⁵¹ was revamped and updated over the reporting period. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Tools and guidance made available to DNAs for the consideration of scientific and technical information (subject to the availability of funding): Resource Kit revamped and updated; FRA Evaluation Toolkit Module on references for making a risk evaluation revised and updated. <p>Component 21.3: Support to the work of CRC</p> <p>Outcomes achieved: Intersessional work of the Chemical Review Committee (CRC) on preliminary review of FRAs and drafting of Decision Guidance Documents (DGDs) was coordinated and facilitated. Support to the scientific work was provided at the CRC-16 (8–11 September 2020) and CRC-17 meeting (19–23 September 2021).</p> <p>Activities implemented: The Secretariat facilitated the intersessional work of the CRC, in particular the review of FRAs on PFOA, its salts and PFOA-related compounds, carbaryl, chlorfenvinphos, iprodione, methidathion, methyl parathion, terbufos and thiodicarb, and the drafting of DGDs on decabromodiphenyl ether and on PFOA, its salts and PFOA-related compounds.</p> <p>Evaluation as per the indicators of achievement:</p>

⁴⁸ <http://www.pic.int/tabid/1168/Default.aspx>.

⁴⁹ <http://www.pic.int/tabid/1168/Default.aspx>.

⁵⁰ <http://www.pic.int/tabid/4976>; <http://www.pic.int/tabid/4994>; <http://www.pic.int/tabid/8776/>.

⁵¹ <http://www.pic.int/tabid/1064>.

1. Successful deliberations at the meeting of the CRC: The CRC was able to successfully consider the notifications of FRAs and draft DGDs at the CRC-16 and CRC-17 meetings.

Component 21.4: Enhancing the effectiveness of the Rotterdam Convention

Outcomes achieved:

The effectiveness of the Rotterdam Convention was enhanced in the areas of listing of chemicals in Annex III to the Convention; information exchange, including through the clearing house mechanism; and capacity-building and technical assistance including development of guidance and awareness raising; and other areas.

Activities implemented:

1. The Secretariat implement new and innovative ways of improving information exchange under the Rotterdam Convention as part of the implementation of its workplan for the clearing-house mechanism for information exchange for the Basel, Rotterdam and Stockholm conventions for the biennium 2020–2021;⁵²
2. The Secretariat developed a dissemination strategy for obtaining and using information set out in document UNEP/FAO/RC/COP.10/INF/14;
3. The Secretariat identified, in consultation with the World Customs Organization, chemicals listed under the Rotterdam Convention that are not assigned exclusive Harmonized System customs codes;⁵³
4. The Secretariat continued sharing collected information on the measurable impacts of listing and not listing chemicals in Annex III, as well as data on the national and international trade in chemicals listed or recommended for listing in Annex III to the Convention, on the Rotterdam Convention website;⁵⁴
5. The Secretariat translated the Pocket Guide for effective participation in the Chemicals Review Committee under the Rotterdam Convention into all six official languages of the United Nations.⁵⁵

Evaluation as per the indicators of achievement:

1. New and innovative ways of improving information exchange are implemented (subject to the availability of funding): Activities were implemented as funds were made available as reported to COP-10.
2. Dissemination strategy is prepared for consideration at COP-10 (subject to the availability of funding): Strategy was prepared and presented to COP-10.
3. Chemicals listed under the Convention that are not assigned exclusive Harmonized System customs codes are identified and a report prepared to COP-10: Chemicals were identified, and information provided to COP-10.
4. Received information on measurable impacts of listing and not listed chemicals in Annex III are shared: Information is posted on the Convention website.
5. Selected documents that facilitate increasing engagement by Chemical Review Committee members available in languages (subject to the availability of funding): Pocket Guide for effective participation in the Chemicals Review Committee was translated into all six UN languages.

Budget performance for 2020-2021

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	60,000	53,081	-	-

Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	105,700	23,942	-	-

Financial and in-kind support		
Basel Convention	Rotterdam Convention	Stockholm Convention
Expenditure	Expenditure	Expenditure
-	78,997*	-

(*) from FAO Regular Programme budget to the Rotterdam Convention Secretariat in Rome.

⁵² For further information on the activities, see document UNEP/FAO/RC/COP.10/18.

⁵³ For further information on the activities, see document UNEP/FAO/RC/COP.10/INF/15.

⁵⁴ See: <http://www.pic.int/tabid/7649>.

⁵⁵ Available at: www.pic.int/tabid/1060.

Partner(s)	FAO and UNEP regional offices were invited to collaborate in activities to provide guidance on scientific and technical matters and information sharing in sound management of hazardous chemicals and pesticides. OECD, NGOs and industry groups involved in CRC work.
Socio-economic aspects	<p>Sustainable Development Goals: UN Sustainable Development Goal 3 seeks to ensure healthy lives and promote well-being for all at all ages. One of the key strategies to achieve this goal is to reduce the number of deaths and illnesses from direct and indirect exposure to hazardous chemicals. Supporting developing countries on user-friendly access to scientific information for informed decision-making on hazardous chemicals facilitated achieving this goal. Further, the activity on the process of listing chemicals in Annex III to the Rotterdam Convention contributed to the Goal 12 on ensuring sustainable consumption and production patterns.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan, the Secretariat, where appropriate, encouraged Parties and observers to take into account gender-related aspects in their nomination of participants to the intersessional working group. The Secretariat also advised the intersessional working group of any potential gender impacts of the proposals developed for consideration by COP-9.</p> <p>Integrated Approach: Industry was integrated in the intersessional process of the work of the CRC, its deliberations during the meetings and information exchange under the PIC procedure. Consultative processes were further strengthened between relevant national focal points and external partners on scientific and technical matters to enhance the capacity of decision-making by DNAs on FRAs and notifications on chemicals.</p>

PoW number	Activity 22
Title of activity	Scientific support for Parties to the Stockholm Convention
Convention(s)	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 3, 4, 5, 6, 8, 15, Annexes A, B and C to the Stockholm Convention COP decision(s): SC-9/1, SC-9/2, SC-9/3, SC-9/4, SC-9/5, SC-9/7, SC-9/8, SC-9/10, SC-9/11, SC-9/12 and SC-9/13, SC-9/17 and SC-9/18 of the Stockholm Convention
Outcomes and activities	<p>Component 22.1: Exemptions</p> <p>Outcomes achieved:</p> <p>The registers of specific exemptions and acceptable purposes were maintained, and the notifications of closed system site limited intermediate, and constituents of articles were managed on the Convention's website. Scientific inputs were provided to the technical assistance and awareness-raising materials related to exemptions under the Stockholm Convention.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. The information on the relevant activities can be found in document UNEP/POPS/COP.10/4/Rev.1; 2. The Secretariat maintained the registers of specific exemptions and acceptable purposes and notifications of closed system site limited intermediate and constituents of articles; 3. Thanks to generous financial support provided by the European Union, the Secretariat supported the development of the reports on the review of information on specific exemptions for decabromodiphenyl ether (decaBDE) and short-chain chlorinated paraffins (SCCPs) in accordance with decisions SC-8/13 and SC-8/14; 4. The Secretariat provided technical advice and support to Parties, upon request, on matters related to exemptions. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. The registers of various exemptions are maintained on the website without delay: 100% completed; 2. Develop technical assistance materials related to various exemptions: Factsheets on newly listed chemicals including the information on exemptions and alternatives were developed and published for all 18 newly listed POPs. <p>Component 22.2: Scientific and technical work of POPRC</p> <p>Outcomes achieved:</p> <p>Scientific and technical support was provided to POPRC during the meetings and the intersessional period. Proposals for the listing of new chemicals were verified and forwarded to POPRC. Scientific and technical documents were developed in support of the work of POPRC and listing of chemicals.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. The information on the relevant activities can be found in document UNEP/POPS/COP.10/11, 2. The Secretariat provided scientific and technical support during POPRC-16 in January 2021 and POPRC-17 in January 2022; 3. The Secretariat verified proposals for listing UV-328, chlorpyrifos, chlorinated paraffins with carbon chain lengths in the range C₁₄₋₁₇ and chlorination levels at or exceeding 45% chlorine by weight and long-chain perfluorocarboxylic acids, their salts and related compounds; 4. The Secretariat supported the work of POPRC, including the intersessional working groups established by POPRC-16 and POPRC-17 to develop and finalize the risk profiles and risk management evaluation documents and other technical documents; 5. Thanks to generous financial support provided by the Government of Germany, Secretariat published a pocket guide for effectiveness participation in the work of the POPs Review Committee http://chm.pops.int/Implementation/Publications/GuidanceManuals/tabid/3071/Default.aspx; 6. The Secretariat developed factsheets on chemicals proposed for listing under the Convention and new POPs http://chm.pops.int/TheConvention/ThePOPs/ChemicalsProposedforListing/tabid/2510/Default.aspx; http://chm.pops.int/TheConvention/ThePOPs/TheNewPOPs/tabid/2511/Default.aspx. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Number of scientific and technical documents developed for POPRC: 11 working documents and 20 INF documents were developed for POPRC-16, 17 working documents and 20 INF documents were developed for POPRC-17;

	<p>2. Number of technical assistance materials related to the work of POPRC developed and provided to Parties and other stakeholders: 25 factsheets on chemicals proposed for listing under the Convention and new POPs and one pocket guide on for effectiveness participation in the work of the POPs Review Committee were developed.</p> <p>Component 22.3: Industrial POPs</p> <p>Outcomes achieved:</p> <p>The COP-10 could make informed decisions related to evaluating progress in the elimination of industrial POPs, in particular on BDEs and short-chain chlorinated paraffins. Parties received support in collecting the necessary information for the review. The scientific content of technical assistance materials related to environmentally sound management of industrial POPs including in waste stream and alternatives and substitution were developed and the relevant technical assistance activities were supported.</p> <p>Activities implemented:</p> <p>Thanks to generous financial support provided by the European Union and the Governments of the Netherlands, Norway and Sweden, the Secretariat developed the following:</p> <ol style="list-style-type: none"> 1. Draft guidance for development of PCB inventories and analysis of PCB (UNEP/POPS/COP.10/INF/12/Rev/1); 2. Proposed revision to the format for national reporting concerning information on polychlorinated biphenyls (UNEP/POPS/COP.10/INF/13/Rev/1); 3. Report for the evaluation and review of brominated diphenyl ethers listed in Annex A to the Stockholm Convention (UNEP/POPS/COP.10/INF/15); 4. Draft guidance on alternatives to dicofol (UNEP/POPS/COP.10/INF/24); 5. Draft guidance on alternatives to perfluorooctanoic acid (PFOA), its salts and PFOA-related compounds (UNEP/POPS/COP.10/INF/25); 6. Reports on the review of information related to specific exemptions for decabromodiphenyl ether (UNEP/POPS/POPRC.16/INF/17); 7. Reports on the review of information related to specific exemptions for short-chain chlorinated paraffins (UNEP/POPS/POPRC.16/INF/17); 8. Draft guidance on the identification and management of sites contaminated with persistent organic pollutants (UNEP/POPS/POPRC.16/INF/67).
	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Information collected and reports developed to support COP in evaluating the progress made towards elimination of BDEs, PFOS, and PCB and to review the continued need for the specific exemptions for BDEs and SCCPs and specific exemptions and acceptable purposes for PFOS; Information on BDEs, decaBDE and SCCPs was collected, and relevant reports were developed to support the evaluation by the COP at its tenth meeting. The preparatory work on PFOS and PCB to support the evaluation by the COP at its eleventh meeting was conducted. 2. Number of guidance and technical assistance materials related to industrial POPs developed and provided to Parties and other stakeholders: Four guidance documents related to industrial POPs were developed and provided to Parties and other stakeholders.
	<p>Component 22.4: POPs pesticides</p> <p>Outcomes achieved:</p> <p>The DDT expert group meeting was organized for the assessment of continued need of DDT for disease vector control at COP-10. Stakeholder engagement was enhanced and safer and sustainable solutions were promoted for the environmentally sound management of POPs pesticides in particular DDT. The scientific content of technical assistance materials related to the environmentally sound management of POPs pesticides was developed and the relevant technical assistance activities are supported.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. Organized the eighth DDT expert group meeting online from 9 to 12 November 2020 to assess the continued need for DDT; 2. Hired an expert to assess the continued need for DDT and prepared a draft report for consideration by the DDT expert group, 3. Developed the guidance on effective stakeholder engagement for the introduction of safer and sustainable solutions towards reducing reliance on POPs pesticides, thanks to the generous financial support from the European Union.
	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. DDT expert group meeting delivered: Eighth meeting held online from 9 to 12 November 2020. 2. Number of guidance documents and technical assistance materials related to the environmentally sound management of POPs pesticides developed and provided to Parties and other stakeholders (subject to the availability of funding): 1 guidance developed.

	<p>3. Enhanced support and collaboration of stakeholders in the environmentally sound management of POPs pesticides: Support and collaboration of stakeholders in the environmentally sound management of POPs pesticides was enhanced.</p> <p>Component 22.5: U-POPs</p> <p>Outcomes achieved:</p> <p>Parties have been provided with up-to-date guidance to minimize and ultimately eliminate unintentional releases of POPs from various activities as listed in Annex C but also in Annex A parts III-V and Annex B part III of the Stockholm Convention, as well as guidance to develop and maintain release inventories of the POPs listed in Annex C. The information contained in the guidance assists Parties to meet the requirements of Article 5 of the Convention and take measures towards minimization of releases of POPs.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. The Secretariat supported the experts on the Toolkit and on Best Available Techniques (BAT) and Best Environmental Practices (BEP) in the process for review and update of the guidelines and guidance in accordance with the workplan. 2. The Secretariat organized two expert meetings on best available techniques and best environmental practices. The meetings were held online from 27 October to 6 November 2020, and on 9 December 2021. The report of the meeting held in 2020 is set out in document UNEP/POPS/COP.10/INF/16 and the report of the meeting held in 2021 is available on the website.⁵⁶ 3. The experts have developed the following documents for consideration by COP-10: <ol style="list-style-type: none"> (a) Guidance on best available techniques and best environmental practices for the use of hexabromocyclododecane listed with specific exemptions under the Stockholm Convention (UNEP/POPS/COP.10/INF/17); (b) Guidance on best available techniques and best environmental practices for the production and use of pentachlorophenol listed with specific exemptions under the Stockholm Convention (UNEP/POPS/COP.10/INF/19); (c) Guidance on best available techniques and best environmental practices for the use of for the use of perfluorooctane sulfonic acid, perfluorooctanoic acid, and their related compounds listed under the Stockholm Convention (UNEP/POPS/COP.10/INF/20); (d) Guidance on best available techniques and best environmental practices relevant to the polybrominated diphenyl ethers listed under the Stockholm Convention (UNEP/POPS/COP.10/INF/18); (e) Workplan for the review and updating of the guidelines and guidance on best available techniques and best environmental practices and the conclusions and recommendations of the experts on the Toolkit and on best available techniques and best environmental practices (UNEP/POPS/COP.10/8, annex); (f) Draft guidance on the identification and management of sites contaminated with persistent organic pollutants (UNEP/POPS/COP.10/INF/67). <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Expert group meetings are organized to support the work on updating of the guidance on BAT and BEP and the Toolkit: Two expert meetings were held over the 2020-2021 biennium. 2. The guidelines and guidance on BAT and BEP are updated with information relevant to the newly listed POPs: The guidelines on BAT and BEP were updated with information relevant to the newly listed POPs: The listing in Annex A or C of hexabromocyclododecane, pentachlorophenol, perfluorooctanoic acid (PFOA), and their related compounds and polybrominated diphenyl ethers was addressed through the updating of existing guidance and new guidance on the identification and management of sites contaminated with persistent organic pollutants has been developed. 3. The Toolkit is updated with information relevant to the newly listed POPs and other relevant guidance: The work on the Toolkit has been completed and the relevant information and guidance has been made available to Parties and others. 4. The Toolkit and BAT and BEP experts are involved in the development of the scientific content of technical assistance materials related to unintentional releases of POPs and relevant technical assistance activities: The Toolkit and BAT and BEP experts were involved in the development of the scientific content of technical assistance materials related to unintentional releases of POPs and relevant technical assistance activities.
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⁵⁶ <http://chm.pops.int/tabid/8983/Default.aspx>.

Budget performance for 2020-2021					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	145,000	57,419
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	292,000	122,534
Partner(s)	WHO, UNEP Chemicals and Waste Branch, FAO pesticide management division, Regional Centres of the Basel and Stockholm conventions, UNIDO, OECD, NGOs, industry groups				
Socio-economic aspects	<p>Sustainable Development Goals: The activities contributed to delivering of the post-2015 development agenda and relevant sustainable development goals, in particular through promoting healthy lives and well-being by environmentally sound management of chemicals and minimizing and ultimately eliminating releases of POPs and related exposure to hazardous chemicals.</p> <p>Gender mainstreaming: The activities considered involvement of stakeholders from wide range of groups and take into account gender aspects throughout the planning and implementation phase. The development and implementation of various guidance aimed at reducing exposure to hazardous chemicals and thereby contributes to improving the health of vulnerable groups, such as women and children.</p> <p>Integrated Approach: The activities contributed to the implementation of the integrated approach to addressing the financing of the sound management of chemicals and wastes in particular through enhancing industry's involvement in information gathering and developing guidance and reports, mainstreaming chemicals and waste issues at the regional and national levels.</p>				

PoW number	Activity 23
Title of activity	Effectiveness evaluation and the global monitoring plan
Convention(s)	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 16 of the Stockholm Convention COP decision(s): SC-9/17 and SC-9/18 of the Stockholm Convention
Outcomes and activities	Component 23.1: Effectiveness evaluation Outcomes achieved: The activities contributed to the preparations and foundation for the second evaluation of the effectiveness of the Stockholm Convention. Activities implemented: <ol style="list-style-type: none"> 1. The effectiveness evaluation Committee was established, and its members were elected by SC-10/1 in July 2021. The Secretariat selected two internationally recognized experts in the field of effectiveness evaluation to serve on the effectiveness evaluation committee. 2. The Secretariat initiated the work to prepare the preliminary report to facilitate the evaluation of the effectiveness of the Stockholm Convention. All documents and information were submitted to the committee by 31 January 2022 for consideration at its meeting held in April 2022.
	Evaluation as per the indicators of achievement: <ol style="list-style-type: none"> 1. The effectiveness evaluation Committee was established: Relevant information and available data were provided to the committee thereby contributing to the effectiveness evaluation of the Stockholm Convention.
	Component 23.2: Global monitoring plan Outcomes achieved: POPs monitoring data in core media from all five united nations regions were collected and reported, contributing to the effectiveness evaluation of the Stockholm Convention. Activities implemented: <ol style="list-style-type: none"> 1. The information on the relevant activities can be found in document UNEP/POPS/COP.10/18. 2. Thanks to generous financial support provided by the European Union, the Secretariat organized meetings of the global coordination group for the global monitoring plan in Geneva from 13 to 21 October 2020 and from 27 to 29 October 2021; supported the regional organization groups for the global monitoring plan to implement the third phase of the plan in regions and to develop the regional monitoring reports; and supported sustainable air monitoring activities in regions with limited monitoring capacity, in cooperation with established air monitoring programmes. 3. In collaboration with UNEP and with support provided by the GEF, four regional projects on GMP were implemented in the regions of Africa, Asia and the Pacific, and Latin America and the Caribbean, including, in collaboration with WHO a global survey to generate data on concentrations in human breast milk of the POPs listed under the Stockholm Convention. 4. The Secretariat supported the preparation of the following documents by the regional organization groups and the global coordination group, respectively: <ol style="list-style-type: none"> (a) Five regional monitoring reports (UNEP/POPS/COP.10/INF/41); (b) Updated guidance on the global monitoring plan (UNEP/POPS/COP.10/INF/42).
	Evaluation as per the indicators of achievement: <ol style="list-style-type: none"> 1. Third phase is implemented in all five united nations regions and the regional monitoring reports developed: Supported by the global coordination group and the regional organization groups, the third phase of the GMP was implemented in all five UN regions and the regional monitoring reports were developed in a timely manner. 2. Guidance on the global monitoring plan is updated with the newly listed substances: Guidance on the global monitoring plan was updated reflecting the information relevant to newly listed POPs. 3. Support to sustainability of monitoring activities through strategic partnerships: Monitoring activities were implemented in all UN regions through strategic partnerships in a sustainable manner. 4. Existing monitoring data in core media are publicly available: POPs monitoring data were made publicly available through the GMP data warehouse for the development of the third monitoring reports. 5. Global monitoring report is developed: Draft third global monitoring report was prepared on the basis of the regional monitoring reports.

Budget performance for 2020-2021					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	-	60,000	-
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
-	-	-	-	420,000	184,460
Partner(s)	<p>For the implementation of the global monitoring plan activities, regional organization groups were established in the five united nations regions to define and apply the regional strategy for information gathering and to prepare regional monitoring reports. A global coordination group, composed of three members nominated by each regional organization group, oversees the implementation of the plan and coordinates activities and cooperation between the regions.</p> <p>Strategic partnerships were established to benefit from extensive monitoring experience, scientifically sound inputs on the newly listed persistent organic pollutants, and generate further consistent, comparable monitoring data. Strategic partners for air monitoring are the Global Atmospheric Passive Air Sampling Programme supported by Environment Canada, the MONET programme supported by the Government of the Czech Republic, and the Southeast Asian POPs Monitoring Programme supported by the Government of Japan. Further important strategic partners are the Arctic Monitoring and Assessment Program of the Arctic Council and the United Nations Economic Commission for Europe European Monitoring and Evaluation Programme. Strategic partners for the human monitoring are the World Health Organization and the expert laboratories.</p> <p>Activities were conducted in close cooperation with the United Nations Environment Programme Chemicals and Health Branch, as the implementing agency of Global Environment Facility projects providing capacity building in support of global monitoring plan implementation in developing countries.</p>				
Socio-economic aspects	<p>Sustainable Development Goals: The global monitoring plan provided data on contamination of humans and environment with persistent organic pollutants supporting the Sustainable Development goal #3 on Good Health and Well-being enabling informed preventive approach, aiming to avoid and reduce exposures towards hazards from chemicals and waste.</p> <p>Gender mainstreaming: Equal participation of women in project activities was systematically encouraged. The project results were made widely public and provide information on how the general public, including vulnerable groups such as woman and children, may be affected by persistent organic pollutants, hence contributing to their empowerment.</p> <p>Integrated Approach: Information on concentration of persistent organic pollutants in humans and the environment was made publicly available through a user-friendly electronic global monitoring plan data warehouse. This information could be used for the mainstreaming of sound management of hazardous chemicals and wastes with industry involvement, including through public-private partnerships.</p>				

PoW number	Activity 24
Title of activity	National reporting
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Article 13 of the Basel Convention, Article 15 of the Stockholm Convention COP decision(s): BC-14/10 of the Basel Convention, SC-9/16 of the Stockholm Convention
Outcomes and activities	<p>Component 24.1: Reporting under the Basel Convention</p> <p>Outcomes achieved: Parties to the Basel Convention were able to submit complete national reports in a timely manner, in compliance with their obligations, and the COP and its subsidiary bodies could make informed decisions on the basis of reporting data.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. Optimized the Electronic Reporting System for submission and query of national reports; 2. Prepared guidance on the development of inventories of priority hazardous wastes; 3. Translated guidance on inventory of priority hazardous wastes to facilitate pilot testing and comments; 4. Assisted Parties in completing and submitting the national report; 5. Ensured quality control of national reporting data. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Number of Parties using the ERS to submit national reports: 110 Parties for the 2019 report and 103 Parties for the 2020 report. 2. Number of reports produced for the COP and other stakeholders on the basis of national reporting data: Draft report on the final evaluation of the strategic framework for the implementation of the Basel Convention for 2012–2021 (UNEP/CHW.15/INF/5) was prepared on the basis of national reporting data 3. Number of communications with Parties and queries answered regarding completion of the reporting questionnaire: More than 50 communications with Parties and queries were answered regarding completion of the reporting questionnaire 4. Number of guidance documents on inventories developed: 3 guidance documents on inventories developed and made available on the Convention website.⁵⁷ 5. Number of guidance documents on inventories translated to facilitate pilot-testing: 3 guidance documents on inventories were translated to facilitate pilot-testing. <p>Component 24.2: Reporting under the Stockholm Convention</p> <p>Outcomes achieved: Parties to the Stockholm Convention were able to submit complete national reports in a timely manner that include information on newly listed POPs, in compliance with their obligations, and the COP and the subsidiary bodies could make informed decisions on the basis of accurate reports.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. The electronic reporting system has been further developed and newly listed POPs were reflected in the reporting questionnaire: http://chm.pops.int/tabid/7477/Default.aspx. 2. The SC reporting Dashboard containing multiple forms of data visualization was launched, and it is available on the convention website: http://chm.pops.int/tabid/7477/Default.aspx. 3. The Secretariat provided support to Parties upon request. 4. The Secretariat provided feedback on all 4th reporting submitted aiming at improving the reports due in 2022. 5. Reports submitted in other languages were translated into English http://chm.pops.int/Countries/NationalReports/FourthRoundPartyReports/tabid/6346/Default.aspx#24398 6. The Secretariat gave presentations in different events, (e.g., related to the NIPs), raising awareness about the reporting obligation. Additionally, a series of webinars was organized in 2021 to launch the questionnaire for the 5th reporting cycle. Several reminders were sent to all Parties about their reporting obligations. <p>Evaluation as per the indicators of achievement:</p>

⁵⁷ <http://www.basel.int/tabid/8755/Default.aspx>.

	<ol style="list-style-type: none"> 1. Number of Parties using the ERS to submit national reports containing information on newly listed POPs: All Parties to the Convention were given access to the ERS to submit national reports containing information on newly listed POPs. 2. Number of reports produced for the COP and other stakeholders on the basis of national reporting data: Various documents were produced to the COP which rely on information from national reports (e.g., national reporting related document, effectiveness evaluation, PCB evaluation, etc.). 3. Number of communications with Parties and queries answered regarding completion of the reporting questionnaire: Over 200 communications via email were exchanged with Parties to answer queries regarding completion of the reporting questionnaire. 				
<u>Budget performance for 2020-2021</u>					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
40,000	39,943	-	-	70,000	62,629
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
90,000	141,180	-	-	10,000	-
Partner(s)	Regional centres; UNEP Chemicals and Health Branch.				
Socio-economic aspects	<p>Sustainable Development Goals: Information in national reports under the Basel and Stockholm conventions contributed directly to monitoring progress in achieving Goal 12.4 of the SDGs; UNEP, as the custodian for indicators 12.4.1 and 12.4.2, would obtain the relevant data from the BRS Secretariat. Information in national reports under the Stockholm Convention also contributed to tracking progress in achieving several other goals including Goal 3.9 and 6.3 of the SDGs.</p> <p>Gender mainstreaming: National reports provided information on whether Parties have implemented public awareness programmes for women, children and the least educated on the environmental and health effects of POPs. Information on the production, generation and transboundary movements hazardous chemicals and wastes and their impacts on human health and the environment contributed to formulating policies to protect vulnerable populations including women and children.</p> <p>Integrated Approach: Timely and complete reporting by Parties depends on cooperation by a wide range of national stakeholders, including industry, who are the providers of information on implementation of the obligations under the Convention. The guidance documents on the inventory of waste streams facilitated understanding of the sources of certain types of wastes which serves to devise measures towards managing the related material streams throughout their life-cycle.</p>				

4. Knowledge and information management and outreach

PoW number	Activity 25
Title of activity	Clearing-house mechanism for information exchange, including the prior informed consent database and the Rotterdam Convention website in English, French and Spanish
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Articles 3, 4, 6, 10, 13 and 16 of the Basel Convention Articles 1, 5, 6, 8, 12, 13 and 14 of the Rotterdam Convention Articles 4, 9, 10, 11, 15 and 16 of the Stockholm Convention COP decision(s): Decisions BC-14/23 of the Basel Convention, RC-9/11 of the Rotterdam Convention and SC-9/21 of the Stockholm Convention
Outcomes and activities	Component 25.1: Joint clearing house mechanism for information exchange Outcomes achieved: Access to information relevant to the conventions, from Parties and other stakeholders, has been provided. Activities implemented: <ol style="list-style-type: none"> Existing clearing-house mechanism tools and services for internal management of information at the Secretariat level were maintained and enhanced; New information exchange tools were developed, in particular thanks to the generous contribution of the European Union and Norway, an online visualization tool for data and trends using information submitted by Parties⁵⁸ and a Plastic waste projects beneficiaries interactive map;⁵⁹ Translation of the Rotterdam website in French and Spanish was ensured. Further details on activities implemented during the 2020-2021 biennium are outlined in document UNEP/CHW.15/22-UNEP/FAO/RC/COP.10/18-UNEP/POPS/COP.10/22.
	Evaluation as per the indicators of achievement: <ol style="list-style-type: none"> Total number of information tools and packages made available (partly subject to the availability of funding): 43; Number of information sources that are feeding the joint clearing house mechanism: 187 Parties and 85 observers, Number of new pages, number of pages updated on the conventions' websites: 1,192 new pages and 2,361 pages updated; Number of documents made available: 22,426; Number of visits and page views on the conventions' websites: 1,499,176 visits and 3,396,529 page views.

Budget performance for 2020-2021

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
42,704	26,651	92,792	70,816	42,703	26,405

Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
83,334	22,873	83,332	2,673	83,334	94,781

⁵⁸ www.brsmeas.org/Onlinevisualizationtool/tabid/8248

⁵⁹ www.basel.int/ProjectsMap/tabid/8772

Partner(s)	Basel and Stockholm conventions regional centres, Food and Agriculture Organization of the United Nations, OECD eChem portal group, Members of the InforMEA initiative, including SAICM and the Minamata Convention.
Socio-economic aspects	<p>Sustainable Development Goals: By ensuring proper access to information related to the sound management of chemicals and wastes, this activity contributed to the Sustainable Development Goal 9 on “Industry, innovation and infrastructure” (target 9.c) and 16 on “Peace, justice and strong institutions” (target 16.10). By supporting the implantation of the conventions, it also contributed to targets 3.9, 6.3, 11.6, 12.3, 12.4 and 12.5.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan, the joint clearing house mechanism collected and provided access to information on gender issues related to chemicals and wastes management, where applicable.</p> <p>Integrated Approach: The joint clearing house mechanism activities contributed to catalysing cooperation and coordination among parties and relevant stakeholders at the global and regional level, including civil society and private sector, as relevant, thus promoting the sound management of chemicals and wastes. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>

PoW number	Activity 26
Title of activity	Publications
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input type="checkbox"/> Voluntary budget
Mandate	Convention Articles: Article 14 of the Rotterdam Convention, Articles 9 and 10 of the Stockholm Convention
Outcomes and activities	<p>Component 26.1: Core publications</p> <p>Outcomes achieved:</p> <p>COP participants, government delegates, stakeholders from academic, civil society and business organizations and other interested individuals are familiar with up-to-date convention texts and other publications promoting the sound management of chemicals and wastes. This therefore contributed to increased public awareness generally, within the development community, and within Parties/governments, of the importance of sustainable management of chemicals and waste in general, and specifically the centrality of chemicals and waste to efforts to implement the Sustainable Development Goals (SDGs). This increased awareness includes recognition of the importance of gender to the sustainable management of chemicals and waste.</p> <p>Activities implemented:</p> <p>The following items have been produced or revised, including translation, graphic design, layout and printing:</p> <p>In 2020:</p> <ul style="list-style-type: none"> • Basel Convention text rev. 2019 (electronic and printed) in 6 languages (AR, CH, EN, FR, RU, SP); • Rotterdam Convention text rev. 2019 (electronic and printed) in 6 languages (AR, CH, EN, FR, RU, SP); • Stockholm Convention text rev. 2019 (electronic and printed) in 5 languages (CH, EN, FR, RU, SP); <p>In 2021:</p> <ul style="list-style-type: none"> • Interlinkages between the chemicals and waste multilateral environmental agreements and biodiversity: Key insights (EN); • Chemicals, wastes and climate change interlinkages and potential for coordinated action (EN). <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Updated conventions texts were available in all six UN languages: High-quality publications were produced in a timely and cost-effective manner. Production of publications was tailored to suit the needs of Parties. Convention texts available on BRS websites (publications section) and distributed as hard copy at major BRS events; 2. Number of other core publications produced and disseminated: Three core publications produced and disseminated in the six UN languages and two other publications produced and disseminated in English language. Publications available on BRS websites (publications section) and distributed as hard copy in response to recorded requests 3. Photos and imagery contained in BRS publications reflect gender aspects: Gender aspects were reflected within photos and imagery contained in core publications. <p>Component 26.2: Technical series</p> <p>Outcomes achieved:</p> <p>Stakeholders within the chemicals and waste cluster, including scientific, policy, and decision-making professionals, benefited from the Secretariat's efforts to share scientific information and data, including that generated by the three conventions' subsidiary bodies and through the clearing-house mechanism. The BRS "Technical Series" disseminated best practices and latest state-of-the-art on relevant themes related to the sound management of chemicals and waste, thus they contributed to enhancing the understanding and awareness amongst decision-makers.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. "Technical Series" publications were developed and produced; 2. The "Technical Series" publications were disseminated through the BRS website, outreach events, including at UNEA-5 and the COPs. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Number of Technical Series published and disseminated:

	<p>In 2020:</p> <ul style="list-style-type: none"> Stockholm Convention technical series N° 1 General guidance on POPs inventory development (AR, CH, EN, FR, SP, RU) Stockholm Convention technical series N° 2 Pocket guide for effective participation in the POPs Review Committee under the Stockholm Convention (EN, FR) 				
Budget performance for 2020-2021					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
23,782	29,817	23,732	18,120	23,782	18,076
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
54,518	-	54,518	-	54,518	-
Partner(s)	UNOG, UNEP DCPI (Nairobi), UNEP Regional Offices, GEN Network, other UN agencies as relevant				
Socio-economic aspects	<p>Sustainable Development Goals: Sound management of chemicals and waste underpins all the SDGs and therefore the re-printing and distribution of the convention texts and other key BRS documents contributed to their achievement, especially progress in achieving Goal 12.4 and others such as Goal 3.9 and 6.3 of the SDGs.</p> <p>Gender mainstreaming: Gender aspects are one very important key theme to be communicated through the publications. The Secretariat ensured that – for example – photos and imagery contained in core publications reflected gender aspects.</p> <p>Integrated Approach: Mainstreaming and the development of partnerships rely upon a higher level of stakeholder awareness of the importance of sustainable management of chemicals and waste in general. The development and dissemination of appropriate publications – including updated versions of the convention texts – was fundamental to this.</p>				

PoW number	Activity 27
Title of activity	Joint communication, outreach and public awareness
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Articles: Article 14 of the Rotterdam Convention, Articles 9 and 10 of the Stockholm Convention
Outcomes and activities	<p>Component 27.1: General Public Awareness and Outreach activities</p> <p>Outcomes achieved:</p> <p>This activity raised awareness amongst COPs participants, government delegates, stakeholders from academic, civil society and business organizations and other interested individuals amongst the general public, concerning the sound management of chemicals and wastes. The component contributed to increase public awareness generally, within the development community, and within Parties/governments, of the importance of sustainable management of chemicals and waste in general, and specifically the centrality of chemicals and waste to efforts to implement the Sustainable Development Goals (SDGs). It increased awareness including recognition of the importance of gender to the sustainable management of chemicals and waste.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. Engaged with media ensuring consistent and tailored outreach to the general public; 2. Maintained and enhanced the BRS online presence (websites and social medial) to ensure a consistent delivery of Secretariat- and conventions- relevant information; 3. Developed and expanded the BRS social media avenues and platforms ensuring regular messaging to an expanding audience of stakeholders and interested general public; 4. Ensured a highly visible BRS presence and outreach at key events of relevance to the broader environment and development agenda, including at the 2021 COPs (on-line segment), UNEA 5.1. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Higher level of awareness of and interest in sustainable management of chemicals and waste amongst stakeholders within the wider development community: A large volume of outreach and communications materials were produced and disseminated including weekly website announcements, longer News Features, regular press releases (19) for media engagement⁶⁰, daily social media messaging, and 9 bi-monthly BRS Newsletters⁶¹ were developed and published; the “20 Voices for 20 Years” video series was launched in 2021 to celebrate the 20th anniversary of the adoption of the Stockholm Convention (5 short videos were produced and released)⁶². The Plastic is Forever international campaign was launched to raise awareness of the work carried out by the conventions – and in particular by the Basel convention – in order to promote the environmentally sound management of plastics waste. As part of the campaign a photography competition was held to promote the Plastic Waste Partnership, an exhibition of finalist entries was held (in person and online) and during the 2021 United Nations Climate Change Conference, the United Nations Palais des Nations in Geneva was illuminated in Basel Convention’s green hues to underline the interlinkages between pollution and the other two planetary threats – biodiversity loss and climate change. In addition the video series of ‘Voices from the Field’ featuring explainers and testimonials from China, Kiribati and Suriname were launched showcasing the development and implementation of the Regional Centre Small Grant Programme on plastics waste, and the Plastic Waste Partnership pilot projects.⁶³ 2. Gender aspects increasingly recognized as important for achieving the sustainable management of chemicals and waste: Gender issues were featured in the news and in publications such as the series of 11 success stories from all three conventions was developed and published in 2021, (in print and digital formats). 3. BRS recognized as an authority and credible source of information on chemicals and waste: The number of visits and page views on the conventions’ websites (368k users, 19.5% increase in terms of page visits compared to previous biennium) as well as the followers in Twitter (2,300 new followers, 3107,4 K tweet impressions), LinkedIn (115.3% increase in page views, 174,3% increase in unique page visitors) and YouTube (56k views) continued to grow over the biennium. The presence and work of the BRS conventions

⁶⁰ See: <http://www.brsmeas.org/Implementation/MediaResources/PressReleases/tabid/2644/language/en-US/Default.aspx>

⁶¹ See: <http://www.brsmeas.org/MediaHub/Publications/Newsletters/tabid/4633/language/en-US/Default.aspx>

⁶² See: <https://www.youtube.com/watch?v=zPD5sp9W54g&list=PLaXNKUjsmyObH8Z67cIyQhvJQYpS4aT2>

⁶³ <http://www.brsmeas.org/MediaHub/News/NewsFeatures/tabid/2716/lapg-17661/4/language/en-US/Default.aspx>

were highlighted during the 76th session of the United Nations General Assembly, and specifically the Food Systems Summit, when the BRS Secretariat launched a mini social media campaign to highlight the impact of plastics in food production and consumption.⁶⁴

Component 27.2: Specific Public Awareness and Outreach activities

Outcomes achieved:

Specific project activities were developed to raise awareness amongst the public, especially children and youth, of the importance of sound management of chemicals and waste, the personal nature of one's health and exposure to chemicals and waste, and the decisions, including as a consumer, which can be taken by everyone to minimize their risk to harmful impacts.

Activities implemented:

1. The presence of the BRS conventions on social media was enhanced, using tools such as fact sheets and social media cards across the Secretariat's social media accounts;
2. A social media challenge was launched to raise awareness amongst the youth on the plastics waste crisis and on the actions taken under the conventions to tackle it.

Evaluation as per the indicators of achievement:

1. BRS messaging disseminated at major events: Factsheets and social media cards were used across the Secretariat's social media accounts, which showed an increase in traffic compared to the previous biennium;
2. Higher level of awareness of and interest in sustainable management of chemicals and waste amongst stakeholders within targeted sub-sectors of youth and children (subject to the availability of funding): The Plastic is Forever photography competition and subsequent exhibition of finalist entries in both digital and physical forms took place in 2021, serving as a fun and easily accessible way of informing youth about the status of the global plastic waste crisis, and inspiring them to engage in the efforts to manage plastic waste pollution.

Budget performance for 2020-2021

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
8,834	6,081	8,833	6,081	8,833	6,093

Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
54,000	167,187	54,000	82,512	54,000	113,843

Partner(s) UNOG, UNEP DCPI (Nairobi), UNEP Regional Offices, GEN Network, other UN agencies as relevant

Socio-economic aspects

Sustainable Development Goals: Sound management of chemicals and waste underpins all of the SDGs and therefore all activities to raise public awareness contributed towards their achievement, especially progress in achieving Goal 12.4 and others such as Goal 3.9 and 6.3 of the SDGs.

Gender mainstreaming: Gender aspects were a key theme to be communicated through the BRS messaging, outreach, and publications. The Secretariat ensured that – for example – photos and imagery contained on websites, in social media, and in core publications reflected gender aspects.

Integrated Approach: Mainstreaming and the development of partnerships relied upon a higher level of stakeholder awareness of the importance of sustainable management of chemicals and waste in general across different sectors including industry, civil society, and academic organisations. Heightened public awareness amongst these sectors of the issues related to sound management of chemicals and waste was therefore fundamental to this.

⁶⁴ <http://www.brsmeas.org/MediaHub/News/PressReleases/tabid/2644/language/en-US/Default.aspx>

5. Overall management

PoW number	Activity 28
Title of activity	Executive direction, management and administration
Convention(s)	<input checked="" type="checkbox"/> Basel Convention (BC) <input checked="" type="checkbox"/> Rotterdam Convention (RC) <input checked="" type="checkbox"/> Stockholm Convention (SC)
Budget	<input checked="" type="checkbox"/> Core budget <input type="checkbox"/> Voluntary budget
Mandate	<p>Conventions Articles: BC Article 16, RC Article 19 and SC Article 20 on secretariat functions; SC Article 13 on financial resources and mechanisms;</p> <p>COP(s) decision(s): 2013 omnibus decisions (BC.Ex-2/1, RC.Ex-2/1, SC.Ex-2/1); synergies decisions BC-13/18, RC-8/11 and SC-8/21; decisions BC-14/29, RC-9/17 and SC-9/27 on budgets and programmes of work; decisions BC-14/22, RC-9/10 and SC-9/20 on cooperation between the joint Secretariat of the Basel, Rotterdam and Stockholm Conventions and the Secretariat of the Minamata Convention</p> <p>Financial rules and rules of procedure for the three conventions; Relevant decisions of the United Nations Environment Assembly.</p>
Outcomes and activities	<p>Component 28.1: Overall management and coordination</p> <p>Outcomes achieved:</p> <p>This component contributed towards the management and coordination of the three conventions both externally with relevant stakeholders and internally within the Secretariat. Externally it contributed towards strengthening the sound management of chemicals and wastes at the national, regional and global levels throughout their life-cycles, through the provision of secretariat services to Parties, in particular sound information on chemicals and waste management, capacity building and tools. Internally, it contributed to the management and coordination of the secretariat functioning and the overseeing of the effective and efficient implementation of the approved programmes of work for the three conventions for the biennium.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. Strategic external dialogue and consultations were held throughout the biennium; 2. Strategic internal management, coordination and dialogue were undertaken; 3. Operational synergies were implemented at various levels; 4. Outputs envisaged under the programmes of work for 2020-2021 were delivered. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Delivery of meetings of the conferences of the Parties (COPs) and their subsidiary bodies: the meetings of the conferences of the Parties and their subsidiary bodies were delivered successfully (see related activities' reports 1-10). The meeting documents, reports, decisions and lists of participants of all the meetings were made available on the website⁶⁵. 2. Resources leveraged to support national implementation of the three conventions as a result of cohesive efforts to increase resource mobilization for the three conventions: Resources were leveraged to support national implementation of the three conventions as a result of cohesive efforts to increase resource mobilization for the three conventions. Funding proposals as well as contributions received by the Secretariat to the Technical Cooperation Trust Fund of the Basel Convention and the Special Voluntary Trust Funds of the Rotterdam and Stockholm Conventions for the implementation of the programmes of work for the biennium 2020-2021 were made available on the website. 3. Implementation and delivery of technical assistance activities in collaboration with regional centers and other partners: Information on all the BRS organized webinars and workshops was made available on the website. 4. Openness and transparency of the Secretariat(s) and its activities through web posting of the Secretariat's financial and progress reports: These were made available through meeting documents for the 2021/2022 meetings of the COPs.⁶⁶ 5. Programmes of work of the three conventions delivered by the Secretariat(s) coherently while respecting the legal autonomy of the Basel, Rotterdam and Stockholm (BRS) conventions respectively: despite the challenges faced due to the COVID -19 pandemic, the programmes of work of the three conventions as per the consolidated Secretariat's work plan 2020-2021 were successfully implemented through enhanced cooperation and collaboration with key partners and institutions, including through the Basel and Stockholm conventions regional centres; 6. <u>Secretariat staff trained and working efficiently under and with a matrix structure:</u> In light of the COVID-19 pandemic, the Secretariat deployed its business continuity plan. Secretariat staff were trained as appropriate and worked efficiently under a matrix structure. Amongst others, the following activities were undertaken:

⁶⁵ <http://www.brsmeas.org/20212022COPs/MeetingDocuments/tabid/8810/language/en-US/Default.aspx>

⁶⁶ <http://www.brsmeas.org/20212022COPs/MeetingDocuments/tabid/8810/language/en-US/Default.aspx>

- (a) Monthly meetings of the management team were held to discuss management and policy issues with the participation of the Rome based Senior staff (online).
- (b) Monthly all staff meetings (online)
- (c) Monthly meetings of the project oversight group were held to prioritize and monitor voluntarily - funded project implementation with the aim to ensure that projects stay on schedule and make appropriate use of funds.

Component 28.2: Corporate Services and Operations

Outcomes achieved:

The three conventions received support for the implementation of their programmes of work, in terms of funds management, finances, procurement, travel, human resources, resource mobilisation and corporate legal services. The services were provided in compliance with applicable UN/UNEP rules and regulations, procedures, administrative instructions, policies and business practices, internal controls and processes, as well as in conformity with the financial rules and rules of procedures pertaining to the three conventions, and consistent with the decisions of the respective COPs.

Activities implemented:

1. Coordinated the preparation and monitor the implementation of the programmes of work and budgets for all three conventions;
2. Managed the funds, including management of contributions and income;
3. Administered human resources related issues;
4. Processed and administered all travel, procurement, inventory, consultancy transactions;
5. Prepared financial and programmatic reporting to Parties, donors, UNEP headquarters;
6. Coordinated internal and external audits;
7. Coordinated corporate legal issues, including cooperation with WTO and with UNHCR.

Evaluation as per the indicators of achievement:

1. No audit observations regarding application of UN regulations and rules in BRS: During the biennium 2020-2021, the UN Board of Auditors reviewed BRS accounts and processes twice per year: interim audits in October and year-end audits in April. There were no observations regarding application of UN regulations and rules. At the moment there are three outstanding audit observations regarding streamlining further the processes of BRS. BRS audits are part of UNEP audits and publicly available here: www.un.org/en/auditors
2. All corporate and programmatic activities of the Secretariat, including image and branding of the Conventions, consistent with conventions, applicable law/s, rules, regulations procedures, policies, practices and COPs decisions ensured: The Secretariat has a standard operating procedure that ensures that all corporate and programmatic activities of the Secretariat, including image and branding of the Conventions, are consistent with conventions, applicable law/s, rules, regulations procedures, policies, practices and COPs decisions.
3. Timely delivery of corporate services, advice and operations: Timely delivery of corporate services, advice and operations was provided.
4. Timely delivery of programmes of work: The BRS programmes of work and budgets for 2020-2021 has been implemented in a timely manner during the biennium despite the exceptional circumstances due to the COVID-19 pandemic.

Component 28.3: Staff travel on core funded activities

Outcomes achieved:

The Basel, Rotterdam and Stockholm conventions were taken into account as appropriate in relevant international processes and the Secretariat cooperated actively with partners to implement the programmes of work of the conventions.

Activities implemented:

During the period 2020-2021, the overall travel of the Secretariat was reduced due to the COVID-19 pandemic, since many meetings and activities were either postponed or took place through virtual platforms. The following were implemented:

1. Staff participated in relevant meetings/workshops/activities of other organizations in the chemicals and wastes cluster, in the sustainable development agenda, and in areas of common interest to the conventions (as described in factsheet for POW activity 29);
2. The Secretariat participated in meetings of the Global Environment Facility (GEF Council and other relevant meetings) and met with donors for resource mobilization purposes.

Evaluation as per the indicators of achievement:

1. Secretariat presence at relevant meetings and conferences: The Secretariat actively participated in meetings and conferences organized under the aegis of the three conventions, as well as those organized by relevant organizations thus raising the visibility of the Conventions.
2. Effective cooperation with partners and input is provided to ongoing processes as necessary: The Secretariat actively cooperated with partners and contributed to processes and activities thus enhancing effective and

	collaborative relationships and activities with the Basel and Stockholm Conventions Regional Centres, FAO, UNEP and other institutions and partners.				
	<p>Component 28.4: Rotterdam Convention Secretariat meetings</p> <p>Outcomes achieved: Strengthened information sharing, cooperation and teamwork between the Geneva (UNEP) and Rome (FAO) parts of the Rotterdam Convention Secretariat.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. Due to the COVID-19 pandemic, no face-to-face meetings of the Rotterdam Convention Secretariat were held in 2020-2021. With all staff being on teleworking arrangements for most of the period 2020-2021, the cooperation and coordination between the teams in Geneva and Rome was strengthened, as all staff meetings were held online and allowed for easy participation of team members from Geneva and Rome. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. One annual face-to-face all-hand meetings held between Geneva and Rome staff of the Secretariat of the Rotterdam Convention: No face-to-face staff meetings were held due to the COVID-19 pandemic but, Geneva and Rome staff participated in all relevant meetings online. 2. Technical missions held as necessary for Geneva and Rome staff to participate in relevant staff meetings: No face-to-face technical missions were held due to the COVID-19 pandemic, but Geneva and Rome staff participated in all relevant meetings online. 				
<u>Budget performance for 2020-2021</u>					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
200,774	34,861	213,845	81,476	217,182	18,426
Partner(s)	UNEP, FAO as well as well as other collaborating organizations in the UN-system; civil society, industry, regional centers of the three conventions and regional offices of UNEP and FAO, academia.				
Socio-economic aspects	<p>Sustainable Development Goals: The activity addressed all three dimensions of the Sustainable Development: environmental, economic and social. Among other Sustainable Development Goals (SDGs), it supported the implementation of SDG No. 3 on ensuring healthy lives (target 3.9: by 2030 substantially reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination), SDG No. 5 on gender equality, SDG No. 12 on ensuring sustainable consumption and production patterns (target 12.4: by 2020 achieve environmentally sound management of chemicals and all wastes throughout their lifecycle and minimizing their adverse impacts on human health and the environment); it will also support SDG No. 1 on ending poverty in all its forms everywhere, SDG No.16 on promoting peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels, etc..</p> <p>Gender mainstreaming: The activity was gender-relevant and the Executive Office) actively participates in the Secretariat Gender Task Force which supports the principles of gender equality in the implementation of conventions programmes of work and activities as well as within the Secretariat. The activity contributed to developing an understanding amongst and support from Secretariat staff on the issue of gender equality, particularly in the context of conventions operations and activities and to promoting the consideration of gender issues in hazardous chemicals and wastes management at all levels.</p> <p>Integrated Approach: The activity was supportive of the integrated approach to address the financing of the sound management of chemicals and wastes, in particular in relation to mainstreaming of chemicals and wastes into sustainable development processes, as provided for in the resolutions adopted by the United Nations Environment Assembly of the United Nations Environment Programme at its first session in 2014 and in 2015 on the Agenda 2030 and the 17 SDGs.</p>				

PoW number	Activity 29
Title of activity	International cooperation and coordination
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input type="checkbox"/> Voluntary budget
Mandate	<p>Convention Article: Paragraph 1(d) of Article 16 of the Basel Convention; paragraph 2(c) of Article 19 of the Rotterdam Convention; and paragraph 2(c) of Article 20 of the Stockholm Convention</p> <p>COP decision(s): 2019 BRS COPs decisions on international cooperation and coordination (BC-14/21, RC-9/9 and SC-9/19) and on cooperation between the joint Secretariat of the Basel, Rotterdam and Stockholm Conventions and the Secretariat of the Minamata Convention (BC-14/22, RC-9/10 and SC-9/20)</p>
Outcomes and activities	<p>A detailed report on cooperative activities undertaken by the Secretariat with international bodies, including intergovernmental organizations, international and regional organizations, other multilateral environmental agreement secretariats, international non-governmental organizations, and networks, covering the years 2019, 2020 and 2021 is set out in the document made available to the face-to-face segment of the 2021/2022 meetings of the conferences of the Parties (UNEP/CHW.15/INF/41-UNEP/FAO/RC/COP.10/INF/24-UNEP/POPS/COP.10/INF/45). Only key activities that were conducted in 2020-2021 are reported below.</p> <p>Component 29.1: Cooperation and coordination within the chemicals and wastes cluster</p> <p>Outcomes achieved:</p> <p>Enhanced implementation of the conventions through continued and coordinated efforts in areas that support the achievement of the sound management of chemicals and wastes beyond 2020.</p> <p>Activities implemented:</p> <p>The Secretariat cooperated with:</p> <ol style="list-style-type: none"> 1. The secretariat of the Minamata Convention on Mercury, to which the Secretariat provided secretariat services, on a cost recovery basis, for the organization of the online segment of the fourth meeting of the Conference of the Parties held from 1 to 5 November 2021. In 2020 and 2021, the Secretariat continued to carry out cooperative activities with the secretariat of the Minamata Convention on programmatic issues, such as mercury wastes; the provision of technical assistance, including through regional centres; financial resources; compliance; legal issues and effectiveness evaluation; organizational issues, in particular with regard to the organization and servicing of meetings, including joint regional preparatory meetings; outreach and awareness-raising efforts; and knowledge and information management. Cooperation also took place through the joint task force comprising the two secretariats and the Chemicals and Health Branch of UNEP under which an initial list of inter-secretariat working groups was identified in March 2021 to address each of the areas identified in decision MC-3/11. Details of cooperative activities undertaken with the secretariat of the Minamata Convention is further provided in document on cooperation and coordination with the Minamata Convention on Mercury (UNEP/CHW.15/20-UNEP/FAO/RC/COP.10/16-UNEP/POPS/COP.10/20) and a joint report on cooperation and coordination between the secretariats of the Basel, Rotterdam and Stockholm conventions and of the Minamata Convention (UNEP/CHW.15/INF/40-UNEP/FAO/RC/COP.10/INF/23-UNEP/POPS/COP.10/INF/44). 2. The secretariat of the Strategic Approach to International Chemicals Management (SAICM), by contributing to its activities and meetings, including by providing relevant input to the intersessional process considering SAICM and the sound management of chemicals and waste beyond 2020. The Secretariat participated in the virtual groups set up between October 2020 and February 2021. At the request of the SAICM secretariat, the Secretariat delivered a presentation “indicators and data consolidation under the BRS conventions: challenges and lessons learned” during the face-to-face meeting of the technical working group on targets, indicators and milestones for SAICM and the sound management of chemicals and waste beyond 2020 held in Geneva, Switzerland, on 13 and 14 February 2020. 3. The Inter-Organization Programme for the Sound Management of Chemicals (IOMC), and, as such, participated in the 53rd, 54th, 55th and 56th meetings of the Inter-Organization Coordinating Committee of the Inter-Organization Programme for the Sound Management of Chemicals (IOCC) of the IOMC as an observer. The Secretariat participated in the annual interagency meetings on sound chemicals management, held via teleconference on 25 March 2021 and 29 June 2021. 4. The e-wastes coalition established by the United Nations Environment Management Group (EMG); in which the Secretariat continued to take part. At the twenty-sixth Senior Officials Meeting of EMG, held on 28 October 2020, the decision was taken to transfer the coordination of the e-waste coalition to its member agencies. During the reporting period, the Secretariat contributed to the efforts of the members agencies to finalize terms of reference for the coalition as well as a work plan, a communications strategy and a fundraising strategy.

5. In 2020-2021, the Secretariat continued to engage with UNEP at the programmatic level with a view to contributing to the implementation of certain aspects of the UNEP medium-term strategy for 2018–2021, the UNEP programme of work for 2020–2021 and resolutions of the United Nations Environment Assembly of relevance to the conventions. Cooperation also aimed at the provision by UNEP of programmatic support to the conventions. Cooperation has taken place through the participation of UNEP and the Secretariat as observers in meetings of the respective governing bodies, on a bilateral basis and through the use of coordination mechanisms such as the UNEP Senior Management Team; the joint task force on programmatic cooperation on chemicals and waste between the secretariat of the Basel, Rotterdam and Stockholm conventions, the secretariat of the Minamata Convention on Mercury and the Chemicals and Health Branch of the UNEP Economy Division; and the multilateral environmental agreement focal points network between the UNEP Law Division and multilateral environmental agreements whose secretariat functions are administered by UNEP.
6. The Chemicals and Health Branch of UNEP, on specific substances and wastes covered and processes established under the conventions, e.g. PCB, DDT, mercury wastes, e-wastes, waste lead-acid batteries, the global monitoring plan (GMP) for POPs, the Toolkit for Identification and Quantification of Releases of Dioxins, Furans and Other Unintentional POPs, the guidelines and guidance on best available techniques and best environmental practices and other UNEP-led processes that contribute to the conventions, such as the special programme on institutional strengthening. Cooperation has taken place through the use of the joint task force on programmatic cooperation among UNEP Chemicals and Health Branch, the Secretariat of the BRS Conventions and the secretariat of the Minamata Convention. Among other things, the Secretariat:
- (a) Participated in the activities of the Global Alliance for the development of alternatives to DDT and the road map for the development of alternatives to DDT (see documents UNEP/POPS/COP.10/5 and UNEP/POPS/COP.10/INF/9) and the activities of the PCB Elimination Network (PEN) (see document UNEP/POPS/COP.10/INF/14);
- (b) Contributed to the overarching framework of the UNEP Global Mercury Partnership as a member of the Partnership Advisory Group and the Mercury Partnership area groups on reduction in products, supply and storage and on waste management. The Secretariat participated in the first meeting of the Waste Management Area in July 2021;
- (c) Implemented training and capacity building activities in Latin America, in the context of a joint capacity-building programme on the environmentally sound management of waste lead-acid batteries and contributed to the guidance manual for policymakers and regulators for the environmentally sound management of waste or used lead-acid batteries in Africa;
- (d) Cooperated with the Branch under the process for the review and update of the Toolkit for Identification and Quantification of Releases of Dioxins, Furans and Other Unintentional POPs and the guidelines and guidance on best available techniques and best environmental practices (see document UNEP/POPS/COP.10/8) as well as in the implementation of the global monitoring plan (see document UNEP/POPS/COP.10/18, UNEP/POPS/COP.10/INF/40/Rev.1, UNEP/POPS/COP.10/INF/41, UNEP/POPS/COP.10/INF/42);
- (e) Contributed to various reports and assessments developed by the Branch that were presented to the fifth session of the United Nations Environment Assembly;⁶⁷
- (f) Attended, as observer, the meetings of the executive board of the Special Programme to support institutional strengthening at the national level for implementation of the Basel, Rotterdam and Stockholm conventions, the Minamata Convention and SAICM and continued to participate in the internal task team of the Special Programme.
- (g) Participated in the Branch's project on "National Training Integrated Health and Environment Observatories and Legal and Institutional Strengthening for the Sound Management of Chemicals in Africa (African ChemObs)";
7. The Law Division of UNEP and its activities, such as:
- (a) Its network of MEA focal points which brings together the MEAs administered by UNEP and convenes on a regular basis to exchange information on issues of common interest;
- (b) The Green Customs Initiative, by attending the 15th meeting, held online from 1 to 3 December 2020. The Secretariat contributed to updating the GCI Guide, and public awareness materials, and coordinated and provided input to intersessional work of policy/legal nature;
- (c) The Regional Enforcement Network (REN) to Combat Illegal Trade in Harmful Chemicals and Hazardous Waste in Asia for which the Secretariat is part of the steering committee;
- (d) The Montevideo Programme for the Development and Periodic Review of Environmental Law, by participating in the first Global Meeting of National Focal Points under the Fifth Montevideo Programme (online session, 2-4 June 2021);

⁶⁷ <https://www.unep.org/resources/report/chemicals-and-waste-reports-unea-5>.

- (e) The Programme on Capacity-Building related to Multilateral Environmental Agreements (MEAs) in the African, Caribbean and Pacific countries – Phase 3 (ACP MEAs 3). The Secretariat benefited from ACP financial support for the organization of the 2022/2021 regional preparatory meetings and took part in the activities of other implementing partners carried out in 2020-2021.
- (f) The annual UNEP/University of Eastern Finland Course on International Environmental Agreements and delivered a presentation on synergies between biodiversity loss and tackling pollution online on 23 November 2021.
- (g) The online courses on implementation of MEAs for Parties in the Africa, Middle East and North Africa and South-East Asia regions. In the online courses, the Secretariat provided a series of presentations and interactive debates on overview of the conventions as well as addressing specific aspects of implementation.
- (h) The United Nations Information Portal on Multilateral Environment Agreements (InforMEA) which aims at developing harmonized and interoperable information systems among MEAs to the benefit of Parties and the environment community at large.
- (i) The WasteForce project of which UNEP is one of nine implementing partners and which seeks to deter and disrupt illegal trade and management of waste by developing tools for enforcement, forensics and capacity-building.
- (j) The project supported by the Nordic Council of Ministers aimed at strengthening coordination and collaboration between biodiversity and chemicals and waste clusters of MEAs at all levels. The project consists of two parts. Under the second part of the project, the Secretariat took part in a consultation workshop for MEA secretariats and focal points which was held online on 23, 24 and 27 September 2021
- (k) Issues related to human rights and the environment more specifically pursuant to the Memorandum of Understanding concluded in August 2019 between UNEP Executive Director and the UN High Commissioner for Human Rights. In this respect, the Secretariat is part of the UNEP-OHCHR Community of Practice Group and of the Environmental Rights Coordination Group, which hold informal discussions on human rights & the environment.

8. The Ecosystems Division of UNEP, such as: the activities of the Global Partnership on Marine Litter (GPML) as a member of the partnership. The Secretariat contributed to a number of assessment reports produced by UNEP related to marine plastic litter and microplastics. It participated in their webinars and GPML Digital consultations and participated in the fourth meeting of the Ad Hoc Open-Ended Expert Group on Marine Litter and Microplastics held from 9 to 11 November 2020 online. The Secretariat participates in Data Harmonization Community of Practice working space on the Global Platform of the GPML. The Secretariat is one of the collaborating agencies for the UN Decade for the Ecosystem Restoration which is jointly coordinated by FAO and UNEP (Ecosystem Division).
9. The Science Division of UNEP, such as in the Polar Group to contribute to the work in the Arctic and Antarctic regions relevant to chemicals and waste management.
10. Other divisions and offices of UNEP, such as the Governance Affairs Office, as described in the detailed report on activities pertaining to cooperation and coordination (document UNEP/CHW.15/INF/41-UNEP/FAO/RC/COP.10/INF/24-UNEP/POPS/COP.10/INF/45).
11. The Food and Agriculture Organization of the United Nations (FAO) and its Plant Production and Protection Division (NSP), Social Protection Division and Statistics Division on issues related to pesticides management, agriculture and food production as they relate to the implementation of the Basel, Rotterdam and Stockholm conventions. Over the reporting period, the Secretariat closely cooperated with various NSP teams, namely the Pest and Pesticide Management team, the team on Ecosystem Approach to Crop Production Intensification and the team on Transboundary Plant Pests and Diseases. In 2021, the Secretariat supported the Statistics Division of FAO in publishing the FAO STATS analytical brief No. 29 on pesticide use and pesticide trade. The Secretariat provided technical support to and collaborated with the Social Protection Division of FAO and its Decent Rural employment unit for aspects related to decent rural employment and child labour in agriculture for all matters related to the use of hazardous pesticides. In the biennium 2020-2021, many activities were carried out at multiple levels in the framework of the 2021 international year for the elimination of child labour (IYECL), including development of brochures, technical and information notes, and contribution to the Pesticide Discussion Forum of the University of Cape Town's Postgraduate Programme on Pesticide Risk Management for issues addressing hazardous child labour and promoting occupational and safety measures in agriculture. The Secretariat actively contributed to the High Level Global Solutions Forum "Acting together to end hazardous child labour in agriculture" in close collaboration with the International Labour Organization (ILO), and in partnership with the International Partnership for Cooperation on Child Labour in Agriculture (IPCCLA) and the Alliance 8.7. The Secretariat further contributed to the discussion among FAO, EU, OECD and IBMA regarding the development of an appropriate regulatory system for biopesticides that ensures their safety and consistency without hampering their continuous development and placing on the market.
12. The Secretariat collaborated with FAO country-, regional-, and sub-regional offices which provided direct technical support to the Secretariat as necessary. The Secretariat closely cooperated with the FAO Legal

Office on all matters related to pesticide policies and legal gap analysis and reporting on the implementation of the memorandum of understanding between FAO, Rotterdam Convention COP and UNEP.

13. The Secretariat contributed to the FAO/UNWTO publication “Mountain tourism – Towards a more sustainable path”⁶⁸ in the section on pollution and taking part in the open-ended Scientific Committee for the International Year of Sustainable Mountain Development (IYM) 2022 facilitated by the Mountain Partnership hosted by FAO.
14. With various MEA secretariats of multilateral environmental agreements tackling chemicals and wastes issues, including of the Vienna Convention and its Montreal Protocol, by regularly exchanging information on legal, compliance, enforcement and institutional issues.
15. Regional conventions addressing chemicals and wastes matters, in particular:
 - (a) The United Nations Economic Commission for Europe (UNECE) and its conventions, by regularly exchanging information on the outcomes of the global monitoring plan for POPs with the UNECE Convention on Long-range Transboundary Air Pollution (LRTAP) and by participating in the work and meetings of the Committee of Experts on the Transport of Dangerous Goods (TDG) and on the Globally Harmonized System (GHS) of Classification and Labelling of Chemicals, and related sub-committees, with a view to ensuring consistency and coherence of the classification and labelling of chemicals and wastes;
 - (b) The secretariat of the Bamako Convention on issues of common concern. The Secretariat contributed to relevant preparations for and participated in the third meeting of the Conference of the Parties to the Bamako Convention (Brazzaville, Congo, 12-14 February 2020). The Secretariat provided technical input to the Secretariat of the Bamako Convention in preparation for the first meeting of the Legal Technical Working Group (LTWG), a body established by decision 1/7 of the Conference of the Parties to the Bamako Convention to review the list of hazardous substances to be considered as hazardous waste under Article 2 paragraph 1(d) of the Bamako Convention and to review the proposal by Burkina Faso to establish a new Annex to the Convention.
16. The World Health Organization (WHO) on environmental health and health risk assessment; particularly to assist the Stockholm Convention’s DDT expert group in conducting its activities, to support the Stockholm Convention’s GMP for POPs, through the WHO/UNEP Human Milk Survey (whose most recent data are available in the five regional monitoring reports and in the third global monitoring report (See documents UNEP/POPS/COP.10/18 and UNEP/POPS/COP.10/INF/41); and under the Basel Convention on the health aspects of mercury waste and e-waste management (e.g. new edition of the E-waste Challenge Massive Open Online Course (MOOC) and the Follow-up Partnership to PACE).
17. The Organisation for Economic Co-operation and Development (OECD). Over the period, the Secretariat participated, as observers, in the meetings of the Global perfluorinated chemicals (PFC) Group, working party of resource productivity and waste, working party on exposure assessment, working party on risk management, joint meeting of the chemicals committee and working party on chemicals, pesticides and biotechnology and plastics sustainability expert group. Furthermore, the Secretariat participated in the work of the OECD partnership for effective international rule-making and attended the 8th annual meeting of international organisations (online, 13-14 September 2021), participated in the panel discussions during the World Circular Economy Forum on “Towards a mutually supportive trade and circular economy” (15 September 2021) and 2020 session of the global forum on the environment.
18. International Labour Organization (ILO) on issues related to industrial chemicals, pesticides management and decent employment in agriculture, e-wastes (e.g. in the context of the e-waste coalition and the follow up Partnership to PACE) and sustainable ship recycling, as appropriate.
19. World Trade Organization (WTO) on environment and trade issues, such as in Informal Dialogue on Plastics Pollution and Environmentally Sustainable Plastics Trade (IDP) meetings.

Evaluation as per the indicators of achievement:

1. Coherence is enhanced between conventions’ activities and those of others; and potential linkages and synergies are appropriately taken into account and fostered: Coherence was enhanced between conventions’ activities and those of others; and potential linkages and synergies were appropriately taken into account and fostered;
2. Effective and coherent exchange of experience and expertise is promoted for the effective implementation of the three conventions: Effective and coherent exchange of experience and expertise was promoted for the effective implementation of the three conventions;
3. Coordinated efforts are undertaken in areas that support the achievement of the sound management of chemicals and wastes beyond 2020: Coordinated efforts were undertaken in areas that support the achievement of the sound management of chemicals and wastes beyond 2020.

Component 29.2: Cooperation on the broader sustainable development and environment agenda

⁶⁸ <https://www.fao.org/3/cb7884en/cb7884en.pdf>.

Outcomes achieved:

Enhanced implementation of the conventions, through further integration of chemicals and wastes issues into the implementation and monitoring of the sustainable development goals and UNEA resolutions at the national, regional and international levels

Activities implemented:

The Secretariat:

1. Participated in the online segment of the fifth session of the United Nations Environment Assembly (UNEA), held on 22 and 23 February 2021. The Secretariat also continued its cooperation with UNEP by observing or contributing information to various UNEA processes, such as the work of the ad hoc open-ended expert group on marine litter and microplastics, the review by the Committee of Permanent Representatives to UNEP of the efficiency and effectiveness of UNEP governing bodies with regard to ways of enhancing cooperation between UNEP and the multilateral environmental agreements, and UNEP follow-up to General Assembly resolution 73/333 on the follow-up to the report of the ad hoc open-ended working group established pursuant to General Assembly resolution 72/277. Also co-organized the online event on Addressing E-waste through Tracking, Traceability and Circular Approach held on 18 February 2021 under the UN Science-Policy-Business Forum on the Environment (18-20 February 2021);
2. Contributed to the 2020 and 2021 sessions of the High-Level Political Forum which were held from 7 to 16 July 2020 and from 6 to 15 July 2021, respectively, in New York, United States of America, by submitting written inputs on the relevance and contribution of each of the convention and by providing input to the preparation of the 2020 and 2021 reports of the Secretary-General on progress in achieving the Sustainable Development Goals;
3. Contributed to the activities of the Environment Management Group (EMG) and provided input to several issue management groups, such as those on tackling e-waste and on environmental sustainability management, as well as to the consultative process on biodiversity, the consultative process on advancing environmental and social sustainability in the United Nations System and the Task Team on Marine Litter and Microplastics;
4. Explored linkages with broader environmental and sustainable development agendas, by developing jointly with the Minamata Convention an exploratory study on the four conventions' linkages to biodiversity and an assessment report on the conventions' linkages to climate change.

Evaluation as per the indicators of achievement:

1. The conventions are taken into account in the implementation of the sustainable development goals and other frameworks related to the broader environmental/sustainable development agendas: The conventions were taken into account in the implementation of the sustainable development goals and other frameworks related to the broader environmental/sustainable development agendas;
2. Mainstreaming of the sound management of chemicals and wastes into national sustainable development plans and into the international development assistance priorities is promoted: Mainstreaming of the sound management of chemicals and wastes into national sustainable development plans and into the international development assistance priorities was promoted;
3. Reach and influence of the conventions is promoted: Reach and influence of the conventions was promoted.

Component 29.3: Other cooperation and coordination**Outcomes:**

Enhanced implementation of the conventions through effective and coherent exchange of experiences and expertise as well as cooperative activities with other organizations that have areas of common interest with the conventions.

Activities implemented:

The Secretariat cooperated with:

1. Interpol, and participated in its activities and meetings. In 2020, the Secretariat provided feedback on the report on Identifying the criminal trends emerging in recyclable plastic waste sector;
2. The European Union Network for the Implementation and Enforcement of Environmental Law (IMPEL), and participated in its annual conferences, namely the 2020 conference held online on 27 May 2020 and the 2021 conference held online on 17 and 18 November 2021;
3. The World Customs Organization (WCO) on enforcement matters, such as provided support to the Operation DEMETER VI in 2020 and DEMETER VII in 2021 to monitor and control cross-border movements of hazardous and other waste. The Secretariat participated in the 40th session of the WCO Enforcement Committee which held from 24 to 28 February 2020 in Brussels, Belgium. The Secretariat also cooperated with WCO in connection to the Harmonized Commodity Description and Coding System, with respect to the assignment of Harmonized System codes to wastes covered by the Basel Convention and chemicals listed in Annex III to the Rotterdam Convention, in particular attended the 57th, 58th and 59th sessions of the Harmonized System Review Subcommittee, held in 2020 and 2021.
4. The Secretariat cooperated with the United Nations Office on Drugs and Crimes (UNODC) by supporting eight national training workshops Asia and Africa.

5. The Asian Network for Prevention of Illegal Transboundary Movement of Hazardous Waste, and attended its 2020 and 2021 workshops, held online on 19 and 20 November 2020 and on 10 and 11 November 2021.
6. The International Maritime Organization (IMO), in particular on the issues of ship dismantling and waste generated onboard ships.
7. The Joint Group of Experts on the Scientific Aspects of Marine Environmental Protection (GESAMP), in particular in the working group 40 on plastics and microplastics in the ocean and the development of a new working group 45 on impacts on contaminants in the ocean.
8. The International Telecommunication Union (ITU), in the framework of an administrative agreement signed in March 2012 in the framework of an administrative agreement signed in March 2012. The Secretariat co-organized the 10th Green Standards Week focusing on “Driving Sustainable Digital Transformation through sustainable e-waste management and circular economy”, which took place online from 14 to 16 December 2021. ITU is a co-author of the revised version of the e-waste challenge Massive Open Online Course (MOOC) which was launched by the Secretariat in February 2020.
9. The secretariats of other multilateral environmental agreements, including of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), the Convention on Biological Diversity (CBD), the United Nations Framework Convention on Climate Change (UNFCCC), the United Nations Convention to Combat Desertification (UNCDD), the Convention on the Law of the Sea (UNCLOS) and the Framework Tobacco Convention;
10. The Secretary General’s report on ocean affairs and the law of the sea for consideration by the General Assembly at its seventy-seventh session. The report was mandated by the United Nations General Assembly resolution 76/72 of 9 December 2021, entitled “Oceans and the law of the sea” and covers the main developments in oceans and the law of the sea for the period September 2021 to August 2022.
11. The secretariats of Regional Seas conventions and action plans, in particular:
12. The Secretariat of the Barcelona Convention for the Protection of the Marine Environment and the Coastal Region of the Mediterranean and its Protocols under the signed memorandum of understanding and participated in: the 1st meeting of the Working Group of Experts on Upgrading the Regional Plan on Marine Litter Management in the Mediterranean held online on 31 March and 1 April 2021; the 2nd meeting of the Working Groups of Experts on developing the new Regional Plans on Urban Wastewater Treatment and Sewage Sludge Management, and for updating the Regional Plan on Marine Litter in the Mediterranean held online on 25 and 26 May 2021;
13. The Secretariat participated in the Coordinating Body on the Seas of East Asia (COBSEA)’s SEA of Solutions, from 24 to 26 November 2020 and from 16 to 18 November 2021;
14. The Secretariat of the Abidjan Convention for Cooperation in the Protection, Management and Development of the Marine and Coastal Environment of the Atlantic Coast of the West, Central and Southern Africa Region and participated in a webinar series on regional action plans and transboundary challenges held on 6 May 2021 organized under the Convention;
15. The Secretariat participated in the Northwest Pacific Action Plan (NOWPAP) Tripartite Environment Ministers Meeting among China, Japan, and Korea held online on 15 September 2021.
16. The Office of the United Nations High Commissioner for Human Rights (OHCHR). The Secretariat works closely with the Special Rapporteur on ‘human rights and toxics’; the Special Rapporteur on human rights and the environment; and the Special Rapporteur on the right to food. The Secretariat assists them in the implementation of their mandates as they relate to the Basel, Rotterdam and Stockholm conventions, through: i) formal and informal meetings – e.g., workshops (for example, a cycle of workshops was held online in 2020-2021, in cooperation with the UN Special Rapporteur on toxics and with FAO); ii) input in the preparation of reports: iii) sharing of information or expertise on relevant thematic matters. The Secretariat participated in relevant regular sessions of the Human Rights Council, in March, June and September 2020-2021, as well as activities related thereto. During the 48th session of the Human Rights Council, the Secretariat participated in a side-event on plastics and human rights on 27 September 2021. During the reporting period, the Secretariat participated and contributed to a wide range of events and interactive dialogues co-organised by/with OHCHR and other organizations.
17. The Caribbean Community (CARICOM), the Asia and Pacific Plant Protection Commission (APPC) and the Sahelian Pesticide Committee (SPC) and their activities and processes, in particular on issues related to the Rotterdam Convention and pesticide management.
18. The Gender and Safeguards unit of UNEP in the implementation of the UN System-wide Action Plan on Gender Equality (SWAP) and associated activities.
19. The World Resource Forum (WRF), on waste management issues. The Secretariat is a member of the advisory board of the project on Voluntary certification schemes for waste treatment coordinated by the WRF. The Secretariat participates in the sounding board of the second phase of the Sustainable Recycling Industry

Initiative. The WRS is a co-author of the E-waste Challenge Massive Open Online Course (MOOC) re-launched by the Secretariat in February 2020.

20. The International Union for Conservation of Nature (IUCN), and participated in the IUCN World Conservation Congress which took place from 3 to 11 September 2021 in Marseille, France. Furthermore, it cooperated in the delivery of technical assistance in particular on activities related to plastic pollution including in the development of plastic waste inventories.

Evaluation as per the indicators of achievement:

- Experiences and expertise are shared, in the form of success stories or techniques, for an enhanced implementation of the conventions at global, regional and national levels: Experiences and expertise were shared, in the form of success stories or techniques, for an enhanced implementation of the conventions at global, regional and national levels;
- Cooperative activities are undertaken when relevant: Cooperative activities were undertaken when relevant.

Budget performance for 2020-2021

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
3,333	-	3,333	-	3,333	-

Financial and in-kind support		
Basel Convention	Rotterdam Convention	Stockholm Convention
Expenditure	Expenditure	Expenditure
-	14,120*	-

(*) from FAO Regular Programme budget to the Rotterdam Convention Secretariat in Rome.

Partner(s)

Secretariat of the Minamata Convention on Mercury, Secretariat of the Strategic Approach to International Chemical Management, UNEP and its divisions/offices, such as Economy Division/Chemicals and Health Branch, Law Division, Science Division, FAO and its divisions; other intergovernmental organizations, such as UNDESA (High-Level Political Forum on Sustainable Development), WHO, OECD, ILO, IMO, ITU, IOMC, UNIDO, UNDP, UNITAR, UN Habitat, World Bank, GEF, UNU, OHCHR, OPCW, UNCRD; other international and regional organizations, such as WCO, WTO, INTERPOL, OSCE, other MEAs such as Montreal Protocol, CITES, CBD, UNFCCC, UNCCD, Convention on the Law of the Sea, Waigani/Bamako conventions, UNECE conventions, regional seas conventions, e.g. Barcelona Convention; and networks, such as IMPEL, INECE, Asian Network and the EMG.

Socio-economic aspects

Sustainable Development Goals: This activity contributed to the implementation of the 2030 Agenda for Sustainable Development and the Sustainable Development Goals (SDGs), in particular, Goal 3 on ensuring healthy lives and promoting well-being for all at all ages; Goal 11 on making cities and human settlements inclusive, safe, resilient and sustainable; and Goal 12 on ensuring sustainable consumption and production patterns. By promoting cooperation and coordination with the entities and processes engaged in the 2030 Agenda at all levels, this activity ensured that the sound management of chemicals and wastes and the conventions are an integral part of the efforts towards achieving the SDGs.

Gender mainstreaming: In line with the BRS Gender Action Plan⁶⁹, the Secretariat paid special attention to gender balance and encouraged its partners to take into account these aspects when undertaking cooperative activities with the Secretariat. The Secretariat also advised, where appropriate, any potential gender impacts of the cooperative activities undertaken.

Integrated Approach: This activity contributed to further integrating chemicals and wastes issues into national, regional and international sustainable development agendas, in line with the integrated approach to financing options for chemicals and wastes. It also assisted in catalysing coordination among relevant partners, including civil society and private sector, as relevant, for an improved implementation of the conventions.

⁶⁹ Document UNEP/CHW.14/INF/55-UNEP/FAO/RC/COP.9/INF/45-UNEP/POPS/COP.9/INF/58, annex.

PoW number	Activity 30
Title of activity	Financial resources and mechanisms
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	<p>Convention Article: Articles 13 and 14 of the Stockholm Convention</p> <p>COP decision(s): Decisions BC-14/28 and BC-14/29 of the Basel Convention, RC-9/16 and RC-9/17 of the Rotterdam Convention, and SC-9/26 and SC-9/27 of the Stockholm Convention</p> <p>Decision SC-9/15 of the Stockholm Convention</p>
Outcomes and activities	<p>Component 30.1: Financial resources under the Basel, Rotterdam and Stockholm conventions</p> <p>Outcomes achieved: Through this activity, the Secretariat ensured that voluntary funds for activities under the Technical Cooperation Trust Fund (BD) and Voluntary Special Trust Funds (RV, SV) of the three conventions were being jointly raised in a predictable, sustainable, effective, cost-efficient and coordinated manner. This was achieved, among others, through the preparation of reports and the monitoring of the implementation of donor funded activities.</p> <p>Activities implemented:</p> <p>The activity aimed at mobilizing financial resources for activities of the programmes of work of the three conventions that were to be funded by voluntary contributions. It also sought to implement the pertinent parts of the 2013 omnibus decisions¹³⁵ with regard to the integrated approach to financing. Specific activities included:</p> <ol style="list-style-type: none"> 1. Ensured that the activities of the programmes of work of the Secretariat take as a reference the integrated approach and that reports on the implementation of the integrated approach are prepared for consideration by the COPs in 2021; 2. Contributed to the Internal Task Team and the Executive Board meetings of the Special Programme to support institutional strengthening at the national level for implementation of the Basel, Rotterdam and Stockholm Conventions, the Minamata Convention and the Strategic Approach to International Chemicals Management; 3. Raised funds for activities under the Technical Cooperation Trust Fund (BD) and Voluntary Special Trust Funds (RC, SV) of the three conventions; 4. Communicated regularly with potential donors and other key partners on funding opportunities; 5. Updated and further developed the joint resource mobilization and management strategy, which included the mobilization of resources from non-state actors; 6. Implemented donor recognition activities for acknowledging the contributions received by donors. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Funding proposals and reports meet conventions and donor requirements: Thanks to the centralized resource mobilization approach of the Secretariat, 100% of the funding proposals and reports submitted met both conventions and donor requirements. 2. Percentage of the voluntary programme of work budgets raised: A total of USD 28.96 mio has been raised in the 2021-2022 biennium, which represents 85.4 % of the COP approved budget for 2020-2021 and interim budget for 2022. 3. Number and type of Secretariat activities that contribute to the implementation of the integrated programme: As regards the integrated approach, all concept notes contain a reference on the contribution of activities towards its implementation. All funded activities therefore contribute to the implementation of the integrated approach. 4. Number and type of donor recognition activities (subject to the availability of funding): Two important donor recognition activities have been implemented, which comprised a visual display at the face-to-face segment of the conference of the Parties in June 2022 and an information document submitted to the above meeting (see documents UNEP/CHW.15/INF/57/Rev.1-UNEP/FAO/RC/COP.10/INF/39/Rev.1). <p>Component 30.2: Activities related to the Financial Mechanism of the Stockholm Convention</p> <p>Outcomes achieved: Key outcomes of this activity included the submission of the reports on 5th review of the Financial Mechanism and needs assessment for 2022-2026, the strengthening of the relations with the GEF, including the provision of input to GEF replenishment negotiations and GEF projects taking into account the guidance of the COP to the GEF Council and other policy documents.</p> <p>Activities implemented:</p> <p>The activity sought to implement the decisions of the COP with regard to its financial mechanism, including the provisions set out in the memorandum of understanding (MoU) between the COP and the GEF Council. Specific activities included:</p> <ol style="list-style-type: none"> 1. Communicated regularly with GEF, developed country Parties, other Parties and other sources, including relevant funding institutions and the private sector on matters related to the financial mechanism of the Convention. 2. Participated in relevant meetings of the GEF, such as GEF Council, GEF Assembly and other meetings.

3. Hired a consultant to prepare the 5th review of the Financial Mechanism of the Stockholm Convention.
4. Assessed the funding needs of Parties to the Convention for the period 2022-2026 between the COP and the GEF Council and guidance to the financial mechanism.
5. Undertook a series of validation interviews to support the financial needs assessment (the field trips to ten selected countries, two per UN region, could not be undertaken due to COVID reasons).

Evaluation as per the indicators of achievement:

1. Follow-up on COP-9 decisions on the Financial Mechanism is properly reflected in COP-10 documents: The decision taken at COP-9 on the financial mechanism has been followed up and properly reflected in COP-10 documents.
2. Institutions under the Financial Mechanism are better informed about relevant COP decisions: Proactive reach out and information sessions during the COP and GEF Council meetings, Bureau meetings and the participation of the Secretariat in the GEF chemicals and waste task force resulted in an increased knowledge of institutions under the financial mechanism about relevant COP decisions.
3. COP decisions and relevant outcomes are forwarded to the GEF: The GEF Secretariat has received all relevant COP decisions.
4. Financial needs are assessed and validated through field missions: financial needs have been assessed through telephone interviews, since field missions were not possible due to the pandemic (see documents UNEP/POPS/COP.10/15/Rev.1, UNEP/POPS/COP.10/INF/33, and UNEP/POPS/COP.10/INF/56).

Budget performance for 2020-2021

General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
-	-	-	70	165,000	166,759

Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
12,000	-	12,000	-	97,000	46,295

Partner(s) Donor and recipient countries, bilateral and multilateral aid agencies, international financial institutions, private sector entities, the GEF Secretariat, GEF Implementing Agencies and IOMC organizations.

Socio-economic aspects

Sustainable Development Goals: Implementing the programme of work activity on financial resources and mechanisms addresses Sustainable Development Goal (SDG) 3 on ensuring healthy lives and more specifically target 3.9, which aims at substantially reducing the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination by 2030. It also addresses SDG 12 on ensuring sustainable consumption and production patterns with its target 12.4 to achieve, by 2020, environmentally sound management of chemicals and all wastes throughout their lifecycle and minimizing their adverse impacts on human health and the environment). Funding the implementation of the Basel, Rotterdam and Stockholm Conventions also contributed to SDG 1 on No Poverty, SDG 2 on Zero Hunger, SDG 6 on Clean Water and Sanitation, SDG 11 on Sustainable Cities and Communities, SDG 14 on Life Below Water, SDG 15 Life on Land, SDG 16 on Peace, Justice and Strong Institutions and SDG 17 Partnerships for the Goals.

Gender mainstreaming: The proposed activity is gender-relevant. The activity on financial resources and mechanisms seeks to apply the principles of gender equality in the implementation of the voluntary funded activities of the Secretariat. This was achieved by ensuring that funding proposals and reports take into account, if appropriate, gender-related aspects, such as promoting equality among women and men, girls and boys and acknowledging differences in access to services, resources and decision-making. It thus contributed to promoting the consideration of gender issues in hazardous chemicals and wastes management at all levels.

Integrated Approach: Through this activity, the respective decisions of the meetings of the conferences of the Parties with regard to the GEF, the IA and the Special Programme were also followed up.

6. Legal and policy activities

PoW number	Activity 32
Title of activity	Legal and policy activities specific to the Basel Convention
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	<p>Convention Article: Articles 3, 4, 9, 11, 13, 15 and 16 of the Basel Convention</p> <p>COP decisions: Decisions VI/12, BC-13/1, BC-13/9, BC-13/10, BC-13/21, BC-14/15, BC-14/29 and BC-14/1 of the Basel Convention</p>
Outcomes and activities	<p>Component 32.1: Work programme of the Implementation and Compliance Committee (ICC)</p> <p>Outcomes achieved:</p> <p>All the activities entrusted by the COP to the Implementation and Compliance Committee (ICC) under its 2020-2021 work programme were implemented with the support of the Secretariat. The outcomes of these activities were considered by COP-15 which adopted decision BC-15/17 in which it, among other things, welcomed the work undertaken by the ICC since COP-14, adopted the work programme of the ICC for 2022-2023 and adopted two guidance documents developed by the ICC.</p> <p>Activities implemented:</p> <p><u>The Secretariat:</u></p> <ol style="list-style-type: none"> Coordinated within the Secretariat the ICC's intersessional work; Supported the ICC in identifying priorities and working methods, communicated with ICC members, and organized consultations; Thanks to the generous financial support provided by the Governments of Germany and Norway, supported the ICC in implementing its work programme, under the guidance of the ICC (see document UNEP/CHW.15/12/rev.2 and its two addenda as well as the INF documents listed therein); Supported the ICC in developing its draft programme of work for 2022-2023 and its report to the COP (see document see document UNEP/CHW.15/12/rev.2 and its two addenda as well as the INF documents listed therein); Followed-up on the COP-14 decisions related to the ICC's work. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Assistance for the operation of the ICC is provided to the satisfaction of the ICC and its Chair: Assistance for the operation of the ICC was provided to the satisfaction of the ICC and its Chair; Support to the ICC for undertaking the activities of its work programme is provided to the satisfaction of the ICC and its Chair: Support to the ICC for undertaking the activities of its work programme was provided to the satisfaction of the ICC and its Chair (subject to the availability of funding). <p>Component 32.2: Implementation Fund</p> <p>Outcomes achieved:</p> <p>Ten eligible Parties were supported in their efforts to undertake the activities of their ICC-approved compliance action plan towards resolution of their implementation and compliance difficulties: Bhutan, Burkina Faso, Central African Republic, Chad, Equatorial Guinea, Liberia, the Niger, Saint Vincent and the Grenadines, the Sudan and Togo.</p> <p>Activities implemented (subject to the availability of funding):</p> <p>Thanks to the generous financial support provided by the Governments of Norway and Switzerland, the Secretariat liaised with the ten Parties benefitting from the implementation fund, negotiated legal agreements including by inserting a gender component where appropriate and oversaw their implementation, hired consultants and supervised their work. Over the biennium, the compliance difficulties faced by Bhutan were deemed resolved by the ICC. For more information, see document UNEP/CHW.15/12/Rev.2.</p> <p>Indicators of achievement:</p> <ol style="list-style-type: none"> Resources in the implementation fund were used to assist with the resolution of the implementation and compliance matter (subject to the availability of funding) <p>Component 32.3: Notifications of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions), 11 (agreements) and 13 (2) (transmission of information)</p> <p>Outcomes achieved:</p> <p>Parties and others have continued and improved access to information notified pursuant to Articles 3 (national definitions of hazardous wastes), 4(1) (import prohibitions), 11 (multilateral, bilateral and regional agreements and arrangements), and 13(2) (transmission of information) in several or all of the UN languages.</p>

Activities implemented:

1. Received and processed information transmitted by Parties on national definitions, import / export restrictions and Article 11 agreements (including provide quality control and follow up, arrange for translation, liaised with Parties to confirm translated texts and post on the website) (staff costs only);
2. Translated notifications of national definitions, import / export prohibitions (subject to the availability of funding).

Indicators of achievement:

1. Notifications made available in at least English, or several / all of the UN official languages (subject to the availability of funding) : Adjustments were made to the notifications and reporting electronic systems so as to automate and further facilitate the publication of notifications transmitted by the focal points through the annual national reporting questionnaire, including translations thereof

Component 32.4: Strategic framework for the implementation of the Basel Convention for 2012 – 2021**Outcomes achieved:**

The report of the final evaluation was submitted to the COP for consideration at its fifteenth meeting in 2021, thereby allowing the COP to assess progress towards the attainment of the goals and objectives of the strategic framework and identify possible ways forward for the post 2021 strategic framework.

Activities implemented:

1. As described in more detail in document UNEP/CHW.15/3, the Secretariat, in consultation with the Small Intersessional Working Group prepared a report on the final evaluation of the strategic framework and presented it to the Open-ended Working Group at its twelfth meeting and the Conference of the Parties at its fifteenth meeting. The final version of the report is set out in document UNEP/CHW.15/INF/5;
2. Thanks to the generous financial support provided by the Government of Norway, the Secretariat retained a consultant to support the working group in preparing the report;
3. Due to the COVID-19 pandemic, the first meeting of the working group, initially scheduled to take place in Geneva, was convened by electronic means from 20 to 22 April 2020. A second meeting of the working group was also held online from 22 October 2020;
4. In addition, the Secretariat prepared a compilation of views from Parties and observers on whether to develop a future strategic framework and/or effectiveness evaluation pursuant to paragraph 7 of the Article 15 of the Convention set out in document UNEP/CHW.15/INF/6/Rev.1.

Evaluation as per the indicators of achievement:

1. Effective support is provided by the Secretariat to the SIWG on the strategic framework: Effective support was provided by the Secretariat to the SIWG on the strategic framework
2. Meeting facilities and services were provided to ensure smooth running of the meeting (subject to the availability of funding) : due to the COVID-19 pandemic, the Secretariat organized the meetings online using an online meeting platform (MS Teams) and provided technical support for members and observers to participate in the meetings
3. Travel of sponsored members organized (subject to the availability of funding): no travel was organized due to the COVID-19 pandemic;
4. Draft report is provided to OEWG-12: (subject to the availability of funding): 100% completed
5. Final report is presented to COP-15 (subject to the availability of funding): 100% completed

Budget performance for 2020-2021

Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
763,914	132,022	-	-	-	-

Partner(s)	<p>Work programme of the ICC As decided by the ICC.</p> <p>Implementation Fund Parties concerned. Other partners to be decided by the ICC (e.g. BCRC) or the Party concerned by a submission.</p> <p>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions), 11 (agreements) and 13 (2) (transmission of information) Parties and BCRCs.</p> <p>Strategic framework for the implementation of the Basel Convention for 2012 – 2021 Focal points and competent authorities of Parties; BCRCs, UNEP.</p>
Socio-economic aspects	<p>Sustainable Development Goals: All four parts of this activity contributed to the implementation of the 2030 Agenda for Sustainable Development and the Sustainable Development Goals (SDGs), in particular, Goal 3 on ensuring healthy lives and promoting well-being for all at all ages; Goal 11 on making cities and human settlements inclusive, safe, resilient and sustainable; and Goal 12 on ensuring sustainable consumption and production patterns.</p> <p>Gender mainstreaming: To be in line with the BRS Gender Action Plan, the Secretariat, where appropriate, encouraged governments to take into account gender-related aspects in nominating representatives to the meetings of the convention bodies and in other relevant activities where suitable. The Secretariat also advised, where appropriate, any potential gender impacts of the legal and policy matters considered.</p> <p>Integrated Approach: All four parts of this activity could contribute to catalysing coordination among relevant stakeholders within Parties, including civil society and private sector, as relevant, for an improved implementation and compliance with the Convention.</p>

PoW number	Activity 33
Title of activity	Joint legal and policy activities under the Basel, Rotterdam and Stockholm conventions; national legislation, illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	<p>Conventions Article: Articles 10 and 16 of the Basel Convention, 18 of the Rotterdam Convention and 19 of the Stockholm Convention</p> <p>COP decisions:</p> <p>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</p> <ol style="list-style-type: none"> 1. Compliance under SC and RC: Report of the ninth meeting of the Conference of the Parties to the Stockholm Convention and decision SC-7/26 of the Stockholm Convention; decision RC-9/7 of the Rotterdam Convention; 2. Compliance and synergies under BC, RC and SC: Section II, part B of the synergies decisions (IX/10, RC-4/11 and SC-4/34); 3. Chemicals and waste-related indicators for the Sustainable Development Goals (SDGs): decisions BC-13/16, RC-8/10 and SC-8/20; <p>National legislation, illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions</p> <ol style="list-style-type: none"> 1. BC: Decisions BC-13/10, BC-13/21 and BC-14/17 of the Basel Convention; 2. RC: Decision RC-8/14 and RC-9/1 of the Rotterdam Convention; 3. SC: Decision SC-8/24 of the Stockholm Convention 4. Decisions BC-14/24, RC-9/12, and SC-9/22 on synergies in preventing and combating illegal traffic and trade in hazardous chemicals and wastes
Outcomes and activities	<p>Component 33.1: Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</p> <p>Outcomes achieved:</p> <p>The Secretariat's legal operations were undertaken in line with relevant rules and regulations; Parties were supported in their efforts towards the development of compliance procedures and mechanisms under the Stockholm convention; Parties and incoming members of the Compliance Committee of the Rotterdam Convention were supported in initiating the work of the Committee; and the legal and policy aspects of the implementation and further development of the conventions were addressed. Progress was made in providing information of relevance to the chemicals and waste-related indicators for the Sustainable Development Goals.</p> <p>Activities implemented:</p> <p><u>The Secretariat:</u></p> <ol style="list-style-type: none"> 1. Provided upon request legal and policy advice and analysis pertaining to the implementation and enforcement of the Basel, Rotterdam and Stockholm Conventions to 59 Parties. Legal and policy advice was also provided upon request to a number of other stakeholders including non-governmental and inter-governmental organizations, industry and academia; 2. Undertook Party-status related activities (communication with Parties and the Depositary to the conventions, including transmission of texts of amendments and updates to website pages) 3. Collected and disseminated information on country contacts under the Basel, Rotterdam and Stockholm conventions; 4. Provided legal advisory services to the conventions' bodies (BRS 2021-2022 COPs, BC OEWG-12, RC CRC-16, RC CRC-17, SC POPRC-16, SC POPRC-17, as well as ad hoc subsidiary bodies under the three conventions); 5. Conducted international cooperation activities on legal and policy matters of relevance to the Basel, Rotterdam and Stockholm conventions, including by participating in the first Global Meeting of National Focal Points under the Fifth Montevideo Programme for the Development and Periodic Review of Environmental Law (online session, 2-4 June 2021); the annual UNEP/University of Eastern Finland Course on International Environmental Agreements and delivered a presentation on synergies between biodiversity loss and tackling pollution online on 23 November 2021; the UNEP Law Division 2021 online courses on implementation of MEAs for Parties in the Africa, Middle East and North Africa and South-East Asia regions; For more information on the international cooperation activities on legal and policy matters of relevance to the Basel, Rotterdam and Stockholm conventions, see the report on the implementation of activity 29 of the work programme of work as well as the documents for the 2021-2022 meetings of the conferences of the Parties (UNEP/CHW.15/INF/41 UNEP/FAO/RC/COP.10/INF/24 UNEP/POPS/COP.10/INF/45).

	<ol style="list-style-type: none"> 6. Supported the negotiations on compliance under the Stockholm Convention during the tenth meeting of the conference of the Parties (document UNEP/POPS/COP.10/19). 7. Provided legal advice upon request to the Parties to the Basel Convention with the transmission of amendments proposals for consideration by the fifteenth meeting of the Conference of the Parties 8. Undertook preliminary work in support of the Compliance Committee under the Rotterdam Convention by communicating with Parties and incoming members of the Committee, including development of new and updates to existing website pages; organised consultations between incoming members; supported the work of and communications between the incoming members including circulation of questionnaires to Parties; 9. Supported the synergies and compliance under the Basel, Rotterdam and Stockholm conventions by supporting the Implementation and Compliance Committee of the Basel Convention in identifying, in its proposed work programme of work for 2022-2023, activities to be undertaken in cooperation with the Compliance Committee of the Rotterdam Convention, and by proposing to the tenth meeting of the Conference of the Parties to the Rotterdam Convention activities of the Committee's inaugural programme of work for 2022-2023 to be undertaken in cooperation with the Implementation and Compliance Committee of the Basel Convention (see documents UNEP/CHW.15/12/Rev.2 and UNEP/FAO/RC/COP.10/14/Rev.1; 10. Produced updated convention texts, re-printed relevant convention texts. 11. Provided the legal input to legal technical assistance activities (see fact sheets on activities 13, 14, 15, 16 for technical assistance activities and 2021-2022 COPs document UNEP/CHW.15/16-UNEP/FAO/RC/COP.10/15-UNEP/POPS/COP.10/13). 12. Provided legal input to the undertaking of all other substantive activities of the work programme as needed (e.g. development of technical guidelines under the Basel Convention, national reporting under the Basel Convention and Stockholm Convention, plastic waste amendments to the Basel Convention, knowledge and information management and outreach) and to the Executive Office. 13. Liaised as needed with UNEP and FAO on legal matters in follow up to the three memorandums of understanding between the COPs and UNEP and, under RC, FAO, adopted by the 2019 meetings of the conferences of the Parties; and coordinated the development of the reports by UNEP and FAO on the implementation of the memorandums of understanding. 14. Implemented outreach and awareness-raising activities on the role of the Basel, Rotterdam and Stockholm conventions for the sustainable development; 15. Expertise on conventions' related issues within the SDGs indicator framework was provided and information on selected indicators was collected
	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Legal and policy advice, information, analysis and assistance is provided in a timely manner and to the satisfaction of Parties, partners, stakeholders, Secretariat staff and management: Legal and policy advice, information, analysis and assistance was provided in a timely manner and to the satisfaction of Parties, partners, stakeholders, Secretariat staff and management 2. Support on compliance is provided to the satisfaction of the RC and SC Parties: Support on compliance was provided to the satisfaction of the RC and SC Parties; 3. At least 3 events organized by the Secretariat or partners promoting the role of the conventions in the implementation of the sustainable development goals and other frameworks related to the broader environmental/sustainable development agendas: Information of relevance to the chemicals and waste-related indicators for the Sustainable Development Goals is transmitted to UNEP: Information on indicator 12.4.1 under Sustainable Development Goals was collected and transmitted to UNEP in 2020; updated information was transmitted in 2021. At least 3 events organized by the Secretariat or partners promoting the role of the conventions in the implementation of the sustainable development goals and other frameworks related to the broader environmental/sustainable development agendas (subject to the availability of funding) : The Secretariat participated in the Launch Event of the Chemicals and Waste Platform for UNEP/UN Major Group for Children and Youth held on 18 February 2021, provided input on the three conventions and SDGs into the UNEP Issue brief and Hub on SDG 12, as well as the One Planet Network (10YFP) High Level Political Forum report. Furthermore, the Secretariat contributed to the SDG data challenges and opportunities dashboard and UNEP Roadmap on Environment Statistics, Accounting and Analysis. 4. <u>Demonstration on BRS conventions contribution to at least one additional indicator under the SDG framework</u> (subject to the availability of funding) : The contribution of the Basel Convention towards indicator 14.1, in particular with regard to the plastic pollution under the SDG framework was demonstrated through the participation in numerous events Updating of database for the SDG indicator 12.4.1 is facilitated (subject to the availability of funding) : no funding was available for this activity.
	<p>Component 33.2: National legislation, illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions</p> <p>Outcomes achieved:</p> <p>Parties have a better understanding of and are in a better position to develop legal frameworks, prevent and combating illegal traffic and enforce the Basel, Rotterdam and Stockholm conventions</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. The Secretariat assisted Parties to the Basel Convention on request to address six alleged cases of illegal traffic and resolve differences between Parties;

	<ol style="list-style-type: none"> 2. Collected, organized and disseminated legislation provided by Parties to the Basel, Rotterdam and Stockholm conventions, as well as other legal information (staff costs only); 3. Synergies in preventing and combating illegal traffic and trade in hazardous chemicals and wastes under the Basel, Rotterdam and Stockholm conventions were promoted through the regional training workshops for Eastern Europe, and Asia and the Pacific regions. The latter was postponed to take place in 2022 to allow hybrid format (see more information in POW 13). 4. The Secretariat cooperated with other MEAs and partners (e.g. IMPEL, INECE, UNEP, REN WCO, GCI, INTERPOL) on activities aimed at preventing and combating illegal traffic and trade (staff costs only). A detailed description is available in the information document UNEP/CHW.15/INF/41 - UNEP/FAO/RC/COP.10/INF/24- UNEP/POPS/COP.10/21/INF/45
	<p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Texts of national legislation and other measures adopted by Parties are collected, organized and made available on the Conventions' websites in a timely manner: Texts of national legislation and other measures are available on the Basel Convention website for 140 Parties and on the Rotterdam Convention website for 25 Parties; 2. Legal information is collected, organized and made available on the Conventions' websites in a timely manner: Information was made available on the respective Conventions' websites including related to: country contacts (new designations and updates); notifications; and Basel Convention notifications of national definitions of hazardous wastes, import/export prohibitions and Article 11 agreements and arrangements were automated in relation to information transmitted within national reports; 3. Parties' requests for assistance in resolving cases of illegal traffic were dealt with to their satisfaction; 4. Participation in at least 3 activities on enforcement matters organized by or in cooperation with other MEAs and partners: The Secretariat supported the WCO-led Demeter enforcement operations VI and VII and the national training workshops under the SIDA–WCO Trade Facilitation and Customs Modernization (TFCM) Programme for Sustainable Development in Sub-Saharan Africa. Also, the Secretariat co-organized together with FAO and OECD the webinar on Addressing illegal trade and traffic of industrial chemicals, pesticides and waste for sound management of chemicals and waste beyond 2020 held on 21 March 2021; 5. Information is available on synergies in preventing and combating illegal traffic and trade in hazardous chemicals and wastes under the Basel, Rotterdam and Stockholm conventions: programmes of regional training workshops which promoted synergies in preventing and combating illegal traffic and trade in hazardous chemicals and wastes under the Basel, Rotterdam and Stockholm conventions included in the regional training workshops for Parties in Eastern Europe and Asia.

Budget performance for 2020-2021

Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
50,000	-	-	17,049	-	-

Partner(s)	<p>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions: Parties, BCRCs, SCRCs, Depositary and Office of Legal Affairs, UNEP, FAO, WTO, IMO, OHCHR, other IGOs, NGOs, private sector, SC Bureau, RC Bureau, BC Implementation and Compliance Committee (RC and SC compliance committees if established).</p> <p>National legislation, illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions: Parties, BCRCs, SCRCs, UNEP, FAO, GCI, INTERPOL, WCO, INECE, IMPEL, Asian Network, other IGOs and NGOs with a mandate on national legislation as well as illegal traffic/trade issues.</p>
Socio-economic aspects	<p>Sustainable Development Goals: These activities had the potential to contribute to various degrees to the implementation of the 2030 Agenda for Sustainable Development and the Sustainable Development Goals, in particular Goal 3 on ensuring healthy lives and promoting well-being for all at all ages; Goal 11 on making cities and human settlements inclusive, safe, resilient and sustainable; and Goal 12 on ensuring sustainable consumption and production patterns.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan⁷⁰, the Secretariat also advised, where appropriate, any potential gender impacts of the legal and policy matters considered.</p> <p>Integrated Approach: These legal and policy activities as a whole, in particular preventing and combating illegal traffic and trade, carried an important potential to contribute to catalysing coordination among relevant</p>

⁷⁰ Document UNEP/CHW.13/INF/46-UNEP/FAO/RC/COP.8/INF/32-UNEP/POPS/COP.8/INF/49, appendix I.

	stakeholders within Parties, including civil society and private sector, as relevant, for an improved implementation and enforcement of the three conventions.
PoW number	Activity 34
Title of activity	Coordination and provision of support to Parties in follow-up to the country-led initiative on environmentally sound management and further legal clarity
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
Budget	<input type="checkbox"/> Core budget <input checked="" type="checkbox"/> Voluntary budget
Mandate	Convention Article: Articles 1, 2 and 4 as well as Annexes I, III, IV, VIII (A1180) and IX (B1110) of the Basel Convention, COP decision(s): Decisions BC-13/2, BC-14/3 and BC-14/16 and BC-14/29 of the Basel Convention
Outcomes and activities	<p>Component 34.1: Developing guidelines for environmentally sound management</p> <p>Outcomes achieved: The Secretariat completed the work to update the Environmentally Sound Management Toolkit.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> Finalise the pilot projects to promote environmentally sound management (subject to the availability of funding): all 4 pilot projects testing the ESM toolkit were completed by the end of the biennium; Provide Secretariat support to update the ESM Toolkit (staff costs only): the practical manual to ensure ESM of TBMs was completed and was submitted for adoption by COP-15; Translate Environmentally Sound Management Toolkit (subject to the availability of funding): the tools in the Toolkit have been translated into the 6 UN languages, subject to the availability of resources. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> Work on the ESM Toolkit is completed: Updates to the ESM Toolkit have been made available on the website of the Basel Convention. <p>Component 34.2: Legal clarity</p> <p>Outcomes achieved: Parties and the expert working group on the review of the Annexes I, III, IV and related aspects of Annexes VIII (A1180) and IX (entry B1110) were effectively supported in their work as mandated by COP-14. Options for possible amendment proposals of Annexes IV, entry A1180 in Annex VIII and B1110 in Annex IX were developed by the expert working group. The analysis of the possible consequential implications of the review of Annexes I, III and IV for other annexes of the Convention and for relevant decisions of the conference of the parties, inter alia, for the notification and movement documents and the reporting format, was undertaken. The expert working group considered whether any additional constituents or characteristics in relation to plastic waste should be added to annex I or III, respectively, to the Convention.</p> <p>Activities implemented: Information on the work of the expert working group and its outcomes was made available to the fifteenth meeting of the Conference of the Parties in document UNEP/CHW.15/13 and the information documents referenced therein.</p> <ol style="list-style-type: none"> Thanks to a generous financial contribution from Germany, a consultant was hired to support the expert working group with its review of annexes I, III, IV and related aspects of Annex IX in advance of the group's 5-9 October 2020 sessions of its fourth meeting. (subject to the availability of funding); Due to the COVID-19 pandemic, the expert group could not meet face-to-face for its fourth meeting. Instead, the Secretariat organized five groups of online sessions of its fourth meeting as follows: from 05 to 09 October 2020 (part I), from 01 to 03 February 2021 (part II) from 17 to 21 May 2021 (part III), from 11 to 15 October 2021 (part IV) and on 13 January 2022 (part V). Thanks to generous financial contributions from Norway, eligible members received a communication allowance to enable their online participation during the sessions (subject to the availability of funding); The Secretariat provided support to the expert working group on the review of the annexes I, III, IV and related aspects of Annexes VIII (A1180) and IX (B1110) (staff costs only). <p>Evaluation of the indicators of achievement:</p> <ol style="list-style-type: none"> <u>Effective support was provided by the Secretariat to Parties and the expert working group on the review of the annexes I, III, IV and related aspects of annexes VIII and IX to the Convention:</u> 100% complete Effective support was provided by consultants (subject to the availability of funding): 100% complete <u>Meeting facilities and services provided to ensure smooth running of the meeting, (subject to the availability of funding):</u> Due to the COVID-19 pandemic, no face-to-face meeting could be organized and instead the Secretariat made arrangements for online sessions of the group's fourth meeting and provided services to ensure a smooth running of the meeting

	4. <u>Travel of sponsored members organized (subject to the availability of funding)</u> : Due to the COVID-19 pandemic and the online format of the fourth meeting of the expert working group, no travel of sponsored members was organized. Instead, the Secretariat provided a communication allowance to eligible members to enable their online participation.				
<u>Budget performance for 2020-2021</u>					
Voluntary trust fund					
Basel Convention (BDTF)		Rotterdam Convention (RVTF)		Stockholm Convention (SVTF)	
Funding estimate	Expenditure	Funding estimate	Expenditure	Funding estimate	Expenditure
529,840	67,725	-	-	-	-
Partner(s)	Interested Parties and others were invited to contribute to the work undertaken by the Secretariat to update the ESM Toolkit. The work on legal clarity is led by Parties. Observers from industry and civil society participated as observers in the work of the expert working group on legal clarity.				
Socio-economic aspects	<p>Sustainable Development Goals: This activity contributed to the implementation of the 2030 Agenda for Sustainable Development and the Sustainable Development Goals (SDGs), in particular, Goal 12 on ensuring sustainable consumption and production patterns.</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan⁷¹, the Secretariat, where appropriate, encouraged governments and observers to take into account gender-related aspects in nominating representatives to the meetings of the expert working group, and in other relevant activities where suitable. The Secretariat also advised, where appropriate, any potential gender impacts of matters considered, in particular as to vulnerable groups who may suffer greater exposure to and harm from hazardous wastes.</p> <p>Integrated Approach: The activity catalysed cooperation among Parties and relevant stakeholders, including civil society and private sector, thus promoting the integration of chemicals and wastes into national budgets, sectors and development plans, and enhancing private-public relationships and partnerships. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>				

⁷¹ Document UNEP/CHW.14/INF/55-UNEP/FAO/RC/COP.9/INF/45-UNEP/POPS/COP.9/INF/58, annex.

7. Office maintenance and services

PoW number	Activity 35				
Title of activity	Office maintenance and services				
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention				
Budget	<input checked="" type="checkbox"/> Core budget <input type="checkbox"/> Voluntary budget				
Mandate	N/A				
Outcomes and activities	<p>Component 35.1: Office maintenance and services (Geneva and Rome)</p> <p>Outcomes achieved: Sufficient office facilities, services and supplies were available to enable the Secretariat to operate in an efficient and effective manner.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. Communications (excluding Internet Services) – Geneva based Secretariat; 2. Communications (excluding Internet Services) – Rome based Secretariat; 3. Maintenance of office equipment – Geneva based Secretariat; 4. Maintenance of office equipment – Rome based Secretariat; 5. Office maintenance, utilities – Geneva based Secretariat; 6. Office supplies – Geneva based Secretariat; 7. Office supplies – Rome based Secretariat). <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Availability of office space, services and equipment to facilitate the delivery of the programme of work: All essential contracts related to rental, services and maintenance for the office and equipment have been processed for 2020-2021 to ensure the availability of office space, services and equipment to facilitate the delivery of the programme of work. 				
<u>Budget performance for 2020-2021</u>					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
359,340	368,342	209,670	225,437	359,340	377,908
Partner(s)	UNON, UNOG and external service providers				
Socio-economic aspects	Sustainable Development Goals: N/A Gender mainstreaming: N/A Integrated Approach: N/A				

PoW number	Activity 36
Title of activity	Joint information technology services
Convention(s)	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
Budget	<input checked="" type="checkbox"/> Core budget <input type="checkbox"/> Voluntary budget
Mandate	N/A
Outcomes and activities	<p>Component 36.1: Joint information technology services</p> <p>Outcomes achieved:</p> <p>This component ensured the continued operations of the Secretariat during the COVID-19 pandemic during which teleworking and online meetings were the main of interacting within the Secretariat and with Parties and observers. It also ensured the secured storage and processing of the information generated during the conventions' processes as well as the provision of services to Parties and observers during the online segment of the meetings of the conferences of the Parties and other online meetings held during the period. It also provided the Secretariat with IT equipment and services necessary to implement the programmes of work.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. Internet access was provided at all times, including remote access for Secretariat staff and external users; online meetings and workshops; 2. Internet connectivity maintenance was provided to ensure stability of the websites, meeting platforms and internal online working tools and meeting platforms; 3. IT equipment (e.g. personal computers, network equipment, teleconferencing/audio-visual equipment) was purchased for Secretariat staff hosted in Geneva and Rome, following UN rules and procedures; obsolete-end of cycle laptops, desktops, servers and other equipment (between 5 and 10 years old) were disposed of following the standard UN policies and procedures, 4. Software licenses were selected, purchased, installed and staff trained for offices in Geneva and Rome; 5. Support for the use of Umoja and other UN enterprise applications were delivered and accessible to all Geneva staff; 6. Provision of network administration, including cabling, switches, servers and network printers; and the provision of a helpdesk service available all times during working hours and 24 hours 7 days a week on call, including servicing of meetings. <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Procedures and resources are in place to ensure the implementations of the programmes of work for 2020-2021: 100% completed 2. Delivery of IT services in a cost efficient and timely manner to the Secretariat and Parties: 100% completed 3. Quality of IT services provided during COPs and other meetings: Positive feedback received during online segment of the COPs. <p>Component 36.2: Webcasting</p> <p>Outcomes achieved:</p> <p>The outcome of this component was the provision of webcasting/online meeting services to the Secretariat, Parties and observers of the conventions. It enabled the Secretariat to continue to implement the Conventions' workprogramme, in particular meetings, in 2020-2021, despite the COVID-19 pandemic. It also contributed to reaching out to additional Parties and observers through online training activities, briefings and meetings under the various programme of work activities, thus enhancing technical assistance and information exchange services to Parties and observers in a resource efficient manner.</p> <p>Activities implemented:</p> <ol style="list-style-type: none"> 1. Provision of online meeting platforms (e.g. WebEx, interprefy, interactio) to meetings held under the conventions <p>Evaluation as per the indicators of achievement:</p> <ol style="list-style-type: none"> 1. Licences and equipment purchased to organize webcastings/webinars: 100% purchased according to needs 2. Webcasting services available to support programme of work activities: 100% provided according to needs More than 200 online events were held using the Webex platform in 2020, and around 150 in 2021. 3. Number of users trained through webinars: About 4200 persons were trained in Technical Assistance webinars in 2020 and approximately 2500 were trained in 2021.

Budget performance for 2020-2021					
General trust fund					
Basel Convention (BCTF)		Rotterdam Convention (ROTF)		Stockholm Convention (SCTF)	
Budget	Expenditure	Budget	Expenditure	Budget	Expenditure
100,000	85,568	80,000	68,757	100,000	84,288
Partner(s)	FAO, UNEP, UNOG and UNON				
Socio-economic aspects	<p>Sustainable Development Goals: By ensuring proper access to information related to the sound management of chemicals and wastes, this activity contributed to the Sustainable Development Goal 9 on “Industry, innovation and infrastructure” (target 9.c) and 16 on “Peace, justice and strong institutions” (target 16.10).</p> <p>Gender mainstreaming: In line with the BRS Gender Action Plan, the Secretariat collected and provided access to information on gender issues related to webcastings/webinars.</p> <p>Integrated Approach: The webcastings/webinars contributed to catalysing cooperation and coordination among Parties and relevant stakeholders at the global and regional level, including civil society and private sector, as relevant, thus promoting the sound management of chemicals and wastes. As such, this activity contributed to the integrated approach to financing options for chemicals and wastes.</p>				