

## Annex VI

### **Additional explanatory notes for Annex E information submission form**

#### **I. General guidance on the submission of information specified in Annex E**

##### **A. Procedure**

1. In accordance with Article 8 of the Convention, a Party may submit a proposal for listing a chemical in Annexes A, B and/or C. The Persistent Organic Pollutants Review Committee examines the proposal and applies the screening criteria specified in Annex D to the Convention. The Committee's evaluation of the chemical against the criteria of Annex D is set out in an annex to the report of the meeting of the Committee at which it is undertaken. The meeting report is made available on the Convention's website ([www.pops.int](http://www.pops.int)).
2. When the Committee is satisfied that the screening criteria set out in Annex D have been fulfilled, it invites Parties and observers to submit the information specified in Annex E of the Convention. The Committee then prepares a draft risk profile based on the submitted information. The draft risk profile is set out in an addendum to the report of the meeting at which it is adopted by the Committee and is made available on the Convention's website.

##### **B. How to submit information**

1. Annex E information may be submitted to the Secretariat using a form provided by the Committee. The form may be obtained from the Convention focal points and from the Convention website. It is preferable that Annex E information be submitted in electronic format and in English; information may, however, be submitted in the other official languages of the United Nations (Arabic, Chinese, French, Russian and Spanish) and in hard copy. Please note that if you are completing the form electronically, the size of the boxes will adjust to the amount of text inserted and thus a complete form may be longer than the current number of pages. If you are completing a hard copy of the form, please include additional pages as required. The deadline for submitting information is indicated in the letter from the Secretariat inviting Parties and observers to provide information.

##### **C. Reminders to those submitting information**

2. Parties and observers providing Annex E information should do so in a concise manner with clear and precise references. If the information on a specific item is not available, please so indicate. The information does not have to be national in nature; information from international sources may be cited.
3. If it is possible and relevant, provide additional information to support the Committee's scientific considerations in preparing the risk profile, such as study methods, tissue concentrations for comparative purposes and citations including original copies of papers not readily available in the public domain. Information which has not been peer-reviewed may still be useful to the Committee.
4. The explanatory notes under each item have been developed by the Committee to guide and facilitate submissions; they have no legal status.

##### **D. Guidance for information collection**

5. A guidance document entitled "Handbook for effective participation in the work of the POPs Review Committee" outlines the methodology for the identification and compilation of information required by the Committee. The handbook is available on the Convention website, and hard copies may be obtained from the Secretariat.
6. It is suggested that each Party establish an ad hoc working group, perhaps building on the committee established to develop the Party's national implementation plan, to assist the national focal point in collecting and submitting relevant information.

7. Following are some other potential sources of information:
- (a) National expertise (e.g., universities, research centres, non-governmental organizations, trade unions);
  - (b) Industry sources (e.g., producers, importers, suppliers, downstream users);
  - (c) International literature;
  - (d) Chemicals databases.

## II. Form for submission of the information specified in Annex E of the Stockholm Convention pursuant to Article 8 of the Convention

<b>Introductory information</b>	
Name of the submitting Party or observer	
Contact details (name, telephone number, e-mail address) of the submitting Party or observer	
Chemical name (as used by the POPs Review Committee)	
Date of submission	

<b>(a) Sources, including as appropriate the following (provide summary information and relevant references)</b>	
(i) Production data	
Quantity	
Location	
Other	
(ii) Uses	
(iii) Releases	
Discharges	
Losses	
Emissions	
Other	

### Explanatory notes:

1. Indicate units for all data.
2. Information on imports, exports and existing stockpiles could be included under item (i) Production data: Other. Information on uses could include uses for agriculture (e.g., pesticides), for public health and for industrial purposes and uses by the informal sector.

<b>(b) Hazard assessment for endpoints of concern, including consideration of toxicological interactions involving multiple chemicals (provide summary information and relevant references)</b>

### Explanatory note:

3. Information on endpoints of concern should cover, in particular, experimental data concerning human toxicity and ecotoxicity (i.e., toxicity for terrestrial, telluric, aquatic and benthic fauna) and any information on toxicological interactions involving multiple chemicals. Data on contamination of foodstuffs, water, soil or sediment may be entered in part (d) below.

<b>(c) Environmental fate (provide summary information and relevant references)</b>	
Chemical and physical properties	
Persistence	
How are chemical and physical properties and persistence linked to environmental transport, transfer within and between environmental compartments, degradation and transformation to other chemicals?	
Bioconcentration or bioaccumulation factor, based on measured values (unless monitoring data are judged to meet this need)	

**Explanatory note:**

4. Information on potential for long-range transport could include the results of modelling of long-range environmental transport.

<b>(d) Monitoring data (provide summary information and relevant references)</b>

**Explanatory notes:**

5. Provide monitoring data, if possible, with an indication of the quality of the data or its degree of reliability, trend data and additional data on the criteria in Annex D, particularly persistence, bioaccumulation, long-range environmental transport and exposure.

6. Environmental monitoring data and data on exposure in various compartments or media could include data from ambient air, maternal milk, human blood, biota, food products, water, soil, sediments, waste, effluents, etc.

<b>(e) Exposure in local areas (provide summary information and relevant references)</b>	
General	
As a result of long-range environmental transport	
Information regarding bioavailability	

**Explanatory note:**

7. Information on exposure in local areas could include the following:

(a) General: Data on exposure in local areas, including data on human health and wild fauna and flora, data on occupational exposure, etc;

(b) As a result of long-range environmental transport: Data concerning exposure in areas far from the sources of production or use of a chemical, experimental data or modelling results indicating possible long-range transport, etc;

(c) Information regarding bioavailability: Studies describing how the chemical is absorbed by humans and other animals, concentrations in biological samples, half-life, etc.

<b>(f) National and international risk evaluations, assessments or profiles and labelling information and hazard classifications, as available (provide summary information and relevant references)</b>

**Explanatory note:**

8. Information on national and international risk evaluations could include the following:

(a) Rationale for the regulation of toxic chemical substances such as assessment information;

(b) Information and hazard classifications;

(c) National and international risk evaluations prepared by governmental and intergovernmental organizations, regional economic integration organizations and non-governmental organizations. The government and national stakeholders such as the academic community, civil society and others in the private sector may provide the data required.

(g) Status of the chemical under international conventions

**Explanatory note:**

9. Information need not be provided on the most well-known instruments. A list of those instruments appears in document UNEP/POPS/POPRC.1/INF/10.

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