



**Basel Convention on the  
Control of Transboundary  
Movements of Hazardous  
Wastes and Their Disposal**

Distr.: General  
8 March 2013

English only



**Rotterdam Convention on the  
Prior Informed Consent  
Procedure for Certain  
Hazardous Chemicals and  
Pesticides in International  
Trade**



**Stockholm Convention on  
Persistent Organic Pollutants**

**Conferences of the parties to  
the Basel, Rotterdam and Stockholm conventions  
Second simultaneous extraordinary meetings**  
Geneva, 28 April–10 May 2013  
Item 4 (d) of the provisional agenda\*

**Enhancing cooperation and coordination among the  
Basel, Rotterdam and Stockholm conventions:  
budget for joint activities and possible necessary  
amendments to the budget of the three conventions  
for the biennium 2014–2015**

**Programmes of work and proposed budgets for the biennium  
2014–2015: budget activity fact sheets**

**Note by the Secretariat**

1. The draft programmes of work and proposed budgets for the biennium 2014–2015 for the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal, the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade and the Stockholm Convention on Persistent Organic Pollutants are contained in documents UNEP/FAO/CHW/RC/POPS/EXCOPS.2/3 and UNEP/FAO/CHW/RC/POPS/EXCOPS.2/INF/11.
2. In addition, the Secretariat has developed budget activity fact sheets, set out in the annex to the present note, which provide supplementary information for each activity on the programmes of work. The fact sheets provide information on aspects that are related to a specific convention, relevant meeting documents, mandates, particulars of the activity, objectives, indicators of achievement, expected outputs, methods of implementation, partners, resources approved for 2012–2013 and resources required for 2014–2015.

\* UNEP/FAO/CHW/RC/POPS/EXCOPS.2/1.

3. Information on this topic is also presented in separate documents for each of the three conventions (UNEP/CHW.11/INF/26, UNEP/FAO/RC/COP.6/INF/15 and UNEP/POPS/COP.6/INF/6).
4. The amounts indicated in the fact sheets pertain to a first funding scenario (maintaining the 2012–2013 level in nominal terms) and a second funding scenario (increasing the operational budget from the 2012–2013 level by 5 per cent in nominal terms). A summary of required cost estimates for a third scenario (Executive Secretary’s scenario) is contained in document UNEP/FAO/CHW/RC/POPS/EXCOPS.2/3. All amounts are in United States dollars, unless otherwise stated.
5. The annex has not been formally edited.

## Annex

### Compilation of budget activity fact sheets for the programmes of work for the biennium 2014–2015

#### Table of contents:

|  |       |         |
|--|-------|---------|
| 1. Conferences and meetings                      | Pages | 4 – 18  |
| 2. Technical assistance and capacity-building    |       |         |
| a) Development of tools and methodologies        | Pages | 19 – 22 |
| b) Capacity-building and training                | Pages | 23 – 33 |
| c) Partnerships                                  | Pages | 34 – 36 |
| d) Regional centres                              | Pages | 37 – 39 |
| 3. Scientific and technical activities           | Pages | 40 – 60 |
| 4. Knowledge & Information Management & Outreach | Pages | 61 – 67 |
| 5. Overall management                            | Pages | 68 – 76 |
| 6. Legal and policy                              | Pages | 77 – 87 |
| 7. Office maintenance and services               | Pages | 88 – 89 |

# 1. Conferences and meetings

## Twelfth meeting of the Conference of the Parties to the Basel Convention

|  |   |
|--|---|
| <b>Programme heading</b>                               | <b>Conferences and meetings</b>   |
| <b>Title of activity</b>                               | <b>Activity 1 (BC): Twelfth meeting of the Conference of the Parties to the Basel Convention (former BC-1)</b>  |
| <b>Convention(s)</b>                                   | <input checked="" type="checkbox"/> Basel Convention<br><input type="checkbox"/> Rotterdam Convention<br><input type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b>                | N/A   |
| <b>Mandate</b>   | Decision BC-10/27 of the Basel Convention<br>Article 15 of the Basel Convention   |
| <b>Why this activity is important?</b>                 | The organization of the meetings of the Conference of the Parties (COP) is a core activity of the Secretariat, in accordance with article 16 of the Convention.   |
| <b>Short description of the activity</b>               | <ol style="list-style-type: none"> <li>1. Prepare, translate and publish pre-session meeting documents;</li> <li>2. Prepare and dispatch invitations; register participants and observers;</li> <li>3. Organize travel of sponsored delegates;</li> <li>4. Provide conference services at the meeting;</li> <li>5. Provide interpretation at the meeting in the six UN languages;</li> <li>6. Coordinate the Conference and its working groups during and between meetings; and provide assistance to the President and Bureau;</li> <li>7. Prepare, translate and publish in-session meeting documents;</li> <li>8. Prepare, translate and publish the meeting report;</li> </ol> <p><u>Duration:</u> Five days (no high-level ministerial session included) for up to 136 funded participants in Geneva, Switzerland, plus one day for pre-meetings (regional and Bureau meetings).</p> |
| <b>Objective</b>                                       | Organization of the 12 <sup>th</sup> meeting of the Conference of the Parties   |
| <b>Indicators of achievement</b>                       | <ol style="list-style-type: none"> <li>1. Percentage of working documents made available to parties in all working languages within deadlines;</li> <li>2. Meeting facilities and services provided to ensure smooth running of the meeting;</li> <li>3. Support provided to the Conference and its working groups during and between meetings;</li> <li>4. Participation of at least one representative per party that is a developing country or country with economy in transition (subject to availability of funding).</li> </ol>  |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Edited and translated meeting documents;</li> <li>2. Participants travel;</li> <li>3. Conference services;</li> <li>4. Logistics (meeting venue, UN security);</li> <li>5. Interpretation;</li> <li>6. Report of the meeting.</li> </ol>  |
| <b>Method of implementation (internal or external)</b> | Internal:<br>- Funds for salaries/travel of conference staff, translation, logistics (USD 612,701) and participation of sponsored delegates at the twelfth meeting of the Conference of the Parties (USD 659,872).  |
| <b>Partner(s)</b>                                      | UNON Conference Services  |
| <b>Resources approved for 2012-2013</b>                | 2012 (USD 200,000): BCTF 200,000<br>2013 (USD 1,054,600): BCTF 454,600, BDTF 600,000<br><b>Total (USD 1,254,600): BCTF 654,600, BDTF 600,000</b>  |
| <b>Resources required for 2014-2015</b>                | 2014: 0<br>2015 (USD 1,272,537): BCTF 612,701, BDTF 659,872<br><b>Total (USD 1,272,537): BCTF 612,701, BDTF 659,872</b>   |

## Seventh meeting of the Conference of the Parties to the Rotterdam Convention

|  |   |
|--|---|
| <b>Programme heading</b>                               | <b>Conference and meetings</b>  |
| <b>Title of activity</b>                               | <b>Activity 2 (RC): Seventh meeting of the Conference of the Parties to the Rotterdam Convention (former RC-1)</b>  |
| <b>Convention(s)</b>                                   | <input type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b>                | N/A   |
| <b>Mandate</b>   | Decision RC-5/14 of the Rotterdam Convention<br>Article 18 of the Rotterdam Convention  |
| <b>Why this activity is important?</b>                 | The organization of the meetings of the Conference of the Parties is a core activity of the Secretariat, in accordance with article 19 of the Convention.   |
| <b>Short description of the activity</b>               | <ol style="list-style-type: none"> <li>1. Prepare, translate and publish pre-session meeting documents;</li> <li>2. Prepare and dispatch invitations; register participants and observers;</li> <li>3. Organize travel of sponsored delegates;</li> <li>4. Provide conference services at the meeting;</li> <li>5. Provide interpretation at the meeting in the six UN languages;</li> <li>6. Coordinate the Conference and its working groups during and between meetings; and provide assistance to the President and Bureau;</li> <li>7. Prepare, translate and publish in-session meeting documents;</li> <li>8. Prepare, translate and publish the meeting report;</li> </ol> <p><u>Duration:</u> Five days (no high-level ministerial session included) for up to 110 funded participants in Rome, Italy<sup>1</sup>, plus one day for pre-meetings (regional and Bureau meetings).</p> |
| <b>Objective</b>                                       | Organization of the 7 <sup>th</sup> meeting of the Conference of the Parties  |
| <b>Indicators of achievement</b>                       | <ol style="list-style-type: none"> <li>1. Percentage of working documents made available to parties in all working languages within deadlines;</li> <li>2. Meeting facilities and services provided to ensure smooth running of the meeting;</li> <li>3. Support provided to the Conference and its working groups during and between meetings;</li> <li>4. Participation of at least one representative per party that is a developing country or country with economy in transition (subject to availability of funding).</li> </ol>  |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Edited and translated meeting documents;</li> <li>2. Participants travel;</li> <li>3. Conference services;</li> <li>4. Logistics (meeting venue, UN security, equipment);</li> <li>5. Interpretation;</li> <li>6. Report of the meeting.</li> </ol>   |
| <b>Method of implementation (internal or external)</b> | Internal:<br>- Salaries/travel of conference staff, translation, logistics (USD 468,175) and participation of sponsored delegates at COP-7 (USD 483,560).   |
| <b>Partner(s)</b>                                      | UNON Conference Services  |
| <b>Resources approved for 2012-2013</b>                | 2012 (USD 310,000): ROTF 310,000<br>2013 (USD 805,000): ROTF 305,000, RVTF 500,000<br><b>Total (USD 1,115,000): ROTF 615,000, RVTF 500,000</b>  |
| <b>Resources required for 2014-2015</b>                | 2014: 0<br>2015 (USD 951,735): ROTF 468,175, RVTF 483,560<br><b>Total (USD 951,735): ROTF 468,175, RVTF 483,560</b>   |

<sup>1</sup> This fact sheet is based on the assumption that the meeting will take place in Rome. A fact sheet for a meeting in Geneva has also been prepared, but is not included in the present compilation.

## Seventh meeting of the Conference of the Parties to the Stockholm Convention

|  |   |
|--|---|
| <b>Programme heading</b>                               | <b>Conferences and meetings</b>   |
| <b>Title of activity</b>                               | <b>Activity 3 (SC): Seventh meeting of the Conference of the Parties to the Stockholm Convention (former SC-1)</b>  |
| <b>Convention(s)</b>                                   | <input type="checkbox"/> Basel Convention<br><input type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b>                | N/A   |
| <b>Mandate</b>   | Decision SC-5/28 of the Stockholm Convention<br>Article 19 of the Stockholm Convention  |
| <b>Why this activity is important?</b>                 | The organization of the meetings of the Conference of the Parties is a core activity of the Secretariat, in accordance with article 19 of the Convention.   |
| <b>Short description of the activity</b>               | <ol style="list-style-type: none"> <li>1. Prepare, translate and publish pre-session meeting documents;</li> <li>2. Prepare and dispatch invitations; register participants and observers;</li> <li>3. Organize travel of sponsored delegates;</li> <li>4. Provide conference services at the meeting;</li> <li>5. Provide interpretation at the meeting in the six UN languages;</li> <li>6. Coordinate the Conference and its working groups during and between meetings; and provide assistance to the President and Bureau;</li> <li>7. Prepare, translate and publish in-session meeting documents;</li> <li>8. Prepare, translate and publish the meeting report;</li> </ol> <p><u>Duration:</u> Five days (no high-level ministerial session included) for up to 139 funded participants in Geneva, Switzerland, plus one day for pre-meetings (regional and Bureau meetings).</p> |
| <b>Objective</b>                                       | Organization of the 7 <sup>th</sup> meeting of the Conference of the Parties  |
| <b>Indicators of achievement</b>                       | <ol style="list-style-type: none"> <li>1. Percentage of working documents made available to parties in all working languages within deadlines;</li> <li>2. Meeting facilities and services provided to ensure smooth running of the meeting;</li> <li>3. Support provided to the Conference and its working groups during and between meetings;</li> <li>4. Participation of at least one representative per party that is a developing country or country with economy in transition (subject to availability of funding).</li> </ol>  |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Edited and translated meeting documents;</li> <li>2. Participants travel;</li> <li>3. Conference services;</li> <li>4. Logistics (meeting venue, UN security);</li> <li>5. Interpretation;</li> <li>6. Report of the meeting.</li> </ol>  |
| <b>Method of implementation (internal or external)</b> | Internal:<br>- Funds for salaries/travel of conference staff, translation, logistics (USD 612,701) and participation of sponsored delegates at the seventh meeting of the Conference of the Parties (US\$ 674,428).   |
| <b>Partner(s)</b>                                      | UNON Conference Services  |
| <b>Resources approved for 2012-2013</b>                | 2012 (USD 200,000): SCTF 200,000<br>2013 (USD 1,000,000): SCTF 400,000, SVTF 600,000<br><b>Total (USD 1,200,000): SCTF 600,000, SVTF 600,000</b>  |
| <b>Resources required for 2014-2015</b>                | 2014: 0<br>2015 (USD 1,287,129): SCTF 612,701, SVTF 674,428<br><b>Total (USD 1,287,129): SCTF 612,701, SVTF 674,428</b>   |

## Ninth meeting of the Open-ended Working Group

|  |   |
|--|---|
| <b>Programme heading</b>                 | <b>Conferences and meetings</b>   |
| <b>Title of activity</b>                 | <b>Activity 4 (BC): Ninth meeting of the Open-ended Working Group of the Basel Convention (former BC-2)</b>   |
| <b>Convention(s)</b>                     | <input checked="" type="checkbox"/> Basel Convention<br><input type="checkbox"/> Rotterdam Convention<br><input type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b>  | UNEP/CHW.11/20, UNEP/CHW.11/20/Add.1  |
| <b>Mandate</b>                           | Decisions VI/36 and BC-10/25 of the Basel Convention  |
| <b>Why this activity is important?</b>   | <p>The Open-ended Working Group (OEWG), one of the subsidiary bodies of the Basel Convention, was given the following mandate by decision VI/36 (Institutional arrangements), adopted by the sixth meeting of the Conference of the Parties (COP) to the Basel Convention:</p> <ol style="list-style-type: none"> <li>1. To assist the Conference of the Parties in developing and keeping under continuous review the implementation of the Convention's work plan, specific operational policies and decisions taken by the Conference of the Parties for the implementation of the Convention, as specified in article 15;</li> <li>2. To consider and advise the Conference of the Parties on issues relating to policy, technical, scientific, legal, institutional, administration, finance, budgetary and other aspects of the implementation of the Convention within the approved budget, including identification of the specific needs of different regions and subregions for training and technology transfer and to consider ways and means of ensuring the establishment and functioning of the Basel Convention Regional Centres for Training and Technology Transfer;</li> <li>3. To prepare its work plan for consideration by the Conference of the Parties;</li> <li>4. To report to the Conference of the Parties on the activities it has carried out between meetings of the Conference of the Parties.</li> </ol> |
| <b>Short description of the activity</b> | <ol style="list-style-type: none"> <li>1. Prepare, translate and publish meeting documents;</li> <li>2. Prepare and dispatch invitations;</li> <li>3. Organize travel of funded members; register participants and observers;</li> <li>4. Support the co-chairs before and during the meeting;</li> <li>5. Provide logistical support including meeting room, intranet document distribution;</li> <li>6. Support the OEWG during and between meetings, including during the pre-meetings;</li> <li>7. Outreach and communications (web announcements, press releases, interviews, speeches, presentations, briefings etc.);</li> <li>8. Finalize and distribute the OEWG's meeting report;</li> <li>9. Conduct follow up communications with members, parties and observers as necessary;</li> <li>10. Present relevant outcomes to the Basel COP for its consideration;</li> </ol> <p><u>Duration:</u> Three days of pre-meetings in English only and two days of OEWG for up to 136 funded participants in Geneva, Switzerland<sup>2</sup>.</p>  |
| <b>Objective</b>                         | Organization of the ninth meeting of the Open-ended Working Group   |
| <b>Indicators of achievement</b>         | <ol style="list-style-type: none"> <li>1. Percentage of working documents made available to the members and parties in all working languages within deadlines;</li> <li>2. Meeting facilities and services provided to ensure smooth running of the meeting;</li> <li>3. Support provided to the OEWG during and between meetings.</li> </ol>   |
| <b>Expected outputs</b>                  | <ol style="list-style-type: none"> <li>1. Edited and translated meeting documents;</li> <li>2. Participants travel;</li> <li>3. Conference services;</li> <li>4. Logistics (meeting venue, UN security);</li> <li>5. Interpretation;</li> <li>6. Report of the meeting.</li> </ol>  |
| <b>Method of implementation</b>          | <p>Internal:</p> <ul style="list-style-type: none"> <li>- Funds for salaries/travel of conference staff, translation, logistics, temporary</li> </ul>   |

<sup>2</sup> At OEWG-8 in September 2012, only 47% of the translation time in plenary was made use of, as work was mainly conducted in technical and legal contact groups. To save costs, it is proposed to hold 3 days of technical and legal pre-meetings (e.g. meetings of small intersessional working groups to prepare technical guidelines, etc.) in English only and hold two days of plenary sessions with translation into the six UN languages.

|   |  |
|---|--|
| <b>(internal or external)</b>           | assistance (USD 382,838) and participation of sponsored delegates (USD 602,888).   |
| <b>Partner(s)</b>                       | UNON Conference Services   |
| <b>Resources approved for 2012-2013</b> | 2012 (USD 979,300): BCTF 429,300 (for conference service), BDTF 550,000 (for participants travel)<br>2013: 0<br><b>Total (USD 979,300): BCTF 429,300, BDTF 550,000</b> |
| <b>Resources required for 2014-2015</b> | 2014 (USD 985,726): BCTF 382,838 (for conference service), BDTF 602,888 (for participants travel)<br>2015: 0<br><b>Total (USD 985,726): BCTF 382,838, BDTF 602,888</b> |



## Tenth and eleventh meetings of the Chemical Review Committee

|  |  |
|--|--|
| <b>Programme heading</b>                               | <b>Conferences and meetings</b>  |
| <b>Title of activity</b>                               | <b>Activity 5 (RC): Tenth and eleventh meetings of the Chemical Review Committee (former RC-2)</b>   |
| <b>Convention(s)</b>                                   | <input type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input type="checkbox"/> Stockholm Convention   |
| <b>Reference to meeting document no</b>                | UNEP/FAO/RC/COP.6/6  |
| <b>Mandate</b>   | Decisions RC-5/6 and RC-5/7 of the Rotterdam Convention<br>Paragraph 6 of Article 18, Article 5 and Article 6 of the Rotterdam Convention  |
| <b>Why this activity is important?</b>                 | The Chemical Review Committee (CRC) is a subsidiary body of the Rotterdam Convention established to review chemicals and pesticide formulations according to the criteria set out by the Convention in Annexes II and IV respectively and make recommendations to the Conference of the Parties for listing such chemicals in Annex III.   |
| <b>Short description of the activity</b>               | <ol style="list-style-type: none"> <li>1. Prepare and publish meeting documents;</li> <li>2. Finalize, translate and publish decision guidance documents;</li> <li>3. Prepare and dispatch invitations;</li> <li>4. Organize travel of funded members; register participants and observers;</li> <li>5. Support the Chair before and during the meeting;</li> <li>6. Provide logistical support including meeting room, intranet document distribution;</li> <li>7. Support the Committee and its working groups during and between meetings;</li> <li>8. Outreach and communications (web announcements, press releases, interviews, speeches, presentations, briefings etc.);</li> <li>9. Finalize and distribute the Committee's meeting report;</li> <li>10. Conduct follow up communications with members, parties and observers as necessary;</li> <li>11. Present relevant outcomes to the Rotterdam COP for its consideration;</li> </ol> <p><u>Duration:</u> Five days for up to 21 funded participants, plus one day for pre-meetings (task group meetings). One meeting to take place in Geneva (2014), one meeting in Rome (2015).</p> |
| <b>Objective</b>                                       | Organization of the tenth and eleventh meetings of the Chemical Review Committee   |
| <b>Indicators of achievement</b>                       | <ol style="list-style-type: none"> <li>1. Percentage of working documents made available to the members and parties within deadlines;</li> <li>2. Meeting facilities and services provided to ensure smooth running of the meeting;</li> <li>3. Support provided to the Committee and its working groups during and between meetings.</li> </ol>   |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Edited and translated meeting documents;</li> <li>2. Participants travel;</li> <li>3. Conference services;</li> <li>4. Logistics (meeting venue, equipment);</li> <li>5. Report of the meetings.</li> </ol>  |
| <b>Method of implementation (internal or external)</b> | Internal:<br>- Salaries/travel of conference staff, logistics (USD 342,066) and participation of sponsored delegates and experts (USD 194,208).  |
| <b>Partner(s)</b>                                      | UNON Conference Services, FAO  |
| <b>Resources approved for 2012-2013</b>                | 2012 (USD 217,500): ROTF 217,500 (17,500 for staff travel, 110,000 for conference service, 90,000 for participants travel)<br>2013 (USD 217,500): ROTF 217,500(17,500 for staff travel, 110,000 for conference service, 90,000 for participants travel)<br><b>Total (USD 435,000): ROTF 435,000</b>  |
| <b>Resources required for 2014-2015</b>                | 2014 (USD 309,961): ROTF 309,961 (208,069 for conference service, 101,892 for participants travel)<br>2015 (USD 226,313): ROTF 226,313 (133,997 for conference service, 92,316 for participants travel)<br><b>Total (USD 536,274): ROTF 536,274</b>  |

## Tenth and eleventh meetings of the Persistent Organic Pollutants Review Committee

|  |   |
|--|---|
| <b>Programme heading</b>                               | <b>Conferences and meetings</b>   |
| <b>Title of activity</b>                               | <b>Activity 6 (SC): Tenth and eleventh meetings of the Persistent Organic Pollutants Review Committee (former SC-3)</b>   |
| <b>Convention(s)</b>                                   | <input type="checkbox"/> Basel Convention<br><input type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b>                | UNEP/POPRC/COP.6/16   |
| <b>Mandate</b>   | Paragraph 6 of Article 19 and Article 8 of the Stockholm Convention<br>Decision SC-5/11 of the Stockholm Convention   |
| <b>Why this activity is important?</b>                 | The Persistent Organic Pollutants Review Committee (POPRC) is a subsidiary body to the Stockholm Convention established for reviewing chemicals proposed for listing in Annex A, Annex B, and/or Annex C. Article 8 of the Stockholm Convention entails the reviewing process of new chemicals and Annex D, Annex E and Annex F specify the information requires for the review.  |
| <b>Short description of the activity</b>               | <ol style="list-style-type: none"> <li>1. Prepare, translate and publish meeting documents;</li> <li>2. Prepare and dispatch invitations;</li> <li>3. Organize travel of funded members; register participants and observers;</li> <li>4. Support the Chair before and during the meeting;</li> <li>5. Provide logistical support including meeting room, intranet document distribution;</li> <li>6. Support the Committee and its working groups during and between meetings;</li> <li>7. Conduct outreach and communications (web announcements, press releases, interviews, speeches, presentations, briefings etc.);</li> <li>8. Finalize and distribute the Committee's meeting report;</li> <li>9. Conduct follow up communications with members, parties and observers as necessary;</li> <li>10. Present relevant outcomes to the Stockholm COP for its consideration;</li> </ol> <p><u>Duration:</u> Five days for up to 23 funded POPRC members and 5 funded experts in Geneva, plus one day for pre-meetings (meetings of intersessional working groups). In 2015, the 14 members, out of which up to 11 are potentially funded, starting their terms of office in 2016 will participate in the POPRC meeting as observers.</p> |
| <b>Objective</b>                                       | Organization of the tenth and eleventh meetings of the Persistent Organic Pollutants Review Committee.  |
| <b>Indicators of achievement</b>                       | <ol style="list-style-type: none"> <li>1. Percentage of working documents made available to the members and parties in all working languages within deadlines;</li> <li>2. Meeting facilities and services provided to ensure smooth running of the meeting;</li> <li>3. Support provided to the Committee and its working groups during and between meetings.</li> </ol>   |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Edited and translated meeting documents;</li> <li>2. Participants travel;</li> <li>3. 5 experts participate in the meetings;</li> <li>4. Members starting their terms of office in 2016 participate as observers in POPRC-11 in 2015;</li> <li>5. Conference services;</li> <li>6. Logistics (meeting venue);</li> <li>7. Interpretations;</li> <li>8. Report of the meetings.</li> </ol>   |
| <b>Method of implementation (internal or external)</b> | Internal:<br>- Funds for salaries/travel of conference staff, translation, logistics (USD 717,402) and participation of sponsored delegates (USD 325,084).  |
| <b>Partner(s)</b>                                      | UNON Conference Services  |
| <b>Resources approved for 2012-2013</b>                | 2012 (USD 490,000): SCTF 450,000 (360,000 for conference service, 90,000 for participants travel), SVTF 40,000 (40,000 for participants travel)<br>2013 (USD 490,000): SCTF 450,000 (360,000 for conference service, 90,000 for participants travel), SVTF 40,000 (40,000 for participants travel)<br><b>Total (USD 980,000): SCTF 900,000, SVTF 80,000</b>   |

|   |  |
|---|--|
| <b>Resources required for 2014-2015</b> | 2014 (USD 494,557): SCTF 470,297 (358,701 for conference service, 111,596 for participants travel), SVTF 24,260 (for experts and future POPRC members travel)<br>2015 (USD 547,929): SCTF 470,297 (358,701 for conference service, 111,596 for participants travel), SVTF 77,632 (for experts and future POPRC members travel)<br><b>Total (USD 1,042,486): SCTF 940,594, SVTF 101,892</b> |
|---|--|

**Meeting of the Expanded Bureau of the Conference of the Parties to the Basel Convention and joint meetings of the bureaux to the Basel, Rotterdam and Stockholm conventions**

|  |   |
|--|---|
| <b>Programme heading</b>                               | <b>Conferences and meetings</b>   |
| <b>Title of activity</b>                               | <b>Activity 7 (BC): Meeting of the Expanded Bureau of the Conference of the Parties to the Basel Convention and joint meetings of the bureaux to the Basel, Rotterdam and Stockholm conventions(former BC-3)</b>  |
| <b>Convention(s)</b>                                   | <input checked="" type="checkbox"/> Basel Convention<br><input type="checkbox"/> Rotterdam Convention<br><input type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b>                | UNEP/CHW.11/23, UNEP/CHW.11/23/Add.1, UNEP/CHW.11/22, UNEP/CHW.11/INF/25  |
| <b>Mandate</b>   | Decisions VI/36 and BC-10/27 of the Basel Convention  |
| <b>Why this activity is important?</b>                 | <p>By decisions II/27, II/28, V/35 and VI/36, the Conference the Parties established the Expanded Bureau as a subsidiary body of the Basel Convention. The organization of the meetings of the Expanded Bureau, in accordance with article 16 of the Convention, is a core activity of the Secretariat.</p> <p>Joint meetings of the bureaux of the Basel, Rotterdam and Stockholm conventions serve the purpose of taking stock of the progress achieved in and giving guidance to the Secretariat on implementing the synergies decisions; if COPs are held back-to-back or simultaneously, the joint bureaux provide guidance for organizing those meetings.</p>                         |
| <b>Short description of the activity</b>               | <ol style="list-style-type: none"> <li>1. Prepare and publish documentation for the meetings;</li> <li>2. Prepare and dispatch invitations;</li> <li>3. Organize travel of sponsored Bureau members;</li> <li>4. Provide logistical support;</li> <li>5. Support the Bureau and the president during and between meetings;</li> <li>6. Prepare the report of the meetings, identify and distribute follow up activities;</li> </ol> <p><u>Duration</u>: two days for up to 11 funded participants for the Basel Convention Expanded Bureau meeting and two days for up to 4 funded Basel Convention Bureau members for the joint bureaux meeting. Both meetings in Geneva, Switzerland.</p> |
| <b>Objective</b>                                       | <ol style="list-style-type: none"> <li>1. Organization of the meeting of the Expanded Bureau of the Conference of the Parties to the Basel Convention;</li> <li>2. Organization of the joint meeting of the bureaux to the Basel, Rotterdam and Stockholm conventions.</li> </ol>   |
| <b>Indicators of achievement</b>                       | <ol style="list-style-type: none"> <li>1. Meeting of the Expanded Bureau of the Basel Convention organized;</li> <li>2. Joint meeting of the bureaux of the Basel, Rotterdam and Stockholm conventions conferences of the parties organized;</li> <li>3. Documentation for the meetings, including meetings reports, available.</li> </ol>  |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Participants travel</li> <li>2. Report of the meeting (staff costs only).</li> </ol>  |
| <b>Method of implementation (internal or external)</b> | Internal:<br>- Basel Expanded Bureau meeting: funds for travel of the members of the Expanded Bureau (USD 34,936);<br>- Joint bureaux meeting: funds for travel of the Basel Convention Bureau members (USD 12,704).  |
| <b>Partner(s)</b>                                      | N/A   |
| <b>Resources approved for 2012-2013</b>                | 2012: 0<br>2013 (USD 46,800): BCTF 46,800<br><b>Total (USD 46,800): BCTF 46,800</b>   |
| <b>Resources required for 2014-2015</b>                | 2014 (USD 47,640): BCTF 47,640<br>2015: 0<br><b>Total (USD 47,640): BCTF: 47,640</b>  |

**Meeting of the Bureau of the Conference of the Parties to the Rotterdam Convention and joint meetings of the bureaux to the Basel, Rotterdam and Stockholm conventions**

|  |   |
|--|---|
| <b>Programme heading</b>                               | <b>Conferences and meetings</b>   |
| <b>Title of activity</b>                               | <b>Activity 8 (RC): Meeting of the Bureau of the Conference of the Parties to the Rotterdam Convention and joint meetings of the bureaux to the Basel, Rotterdam and Stockholm conventions (former RC-3)</b>  |
| <b>Convention(s)</b>                                   | <input type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b>                | N/A   |
| <b>Mandate</b>   | Decisions RC-1/1 and RC-5/14 of the Rotterdam Convention  |
| <b>Why this activity is important?</b>                 | <p>In accordance with its rules of procedures, meetings of the Conference of the Parties are chaired by a President and run under his/her guidance and that of the Bureau, which comprises 7 other members. The organization of meetings of the Bureau is a core activity of the Secretariat in preparation of meetings of the Conference of the Parties.</p> <p>Joint meetings of the bureaux of the Basel, Rotterdam and Stockholm conventions serve the purpose of taking stock of the progress achieved in and giving guidance to the Secretariat on implementing the synergies decisions; if COPs are held back-to-back or simultaneously, the joint bureaux provide guidance for organizing those meetings.</p> |
| <b>Short description of the activity</b>               | <ol style="list-style-type: none"> <li>1. Prepare and publish documentation for the meetings;</li> <li>2. Prepare and dispatch invitations;</li> <li>3. Organize travel of sponsored Bureau members;</li> <li>4. Provide logistical support;</li> <li>5. Support the Bureau and the president during and between meetings;</li> <li>6. Prepare report of the meetings, identify and distribute follow up activities;</li> </ol> <p><u>Duration:</u> two days for up to 4 funded participants for the Rotterdam Convention Bureau meeting and two days for up to 4 funded Rotterdam Convention Bureau members for the joint bureaux meeting. Location of both meetings to be determined.</p>                           |
| <b>Objective</b>                                       | <ol style="list-style-type: none"> <li>1. Organization of the meeting of the Bureau of the Conference of the Parties to the Rotterdam Convention;</li> <li>2. Organization of the joint meeting of the bureaux to the Basel, Rotterdam and Stockholm conventions.</li> </ol>  |
| <b>Indicators of achievement</b>                       | <ol style="list-style-type: none"> <li>1. Meeting of the Bureau of the Rotterdam Convention Conference of the Parties organized;</li> <li>2. Joint meeting of the bureaux of the Basel, Rotterdam and Stockholm conventions conferences of the parties organized;</li> <li>3. Documentation for the meetings, including meetings reports, available.</li> </ol>   |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Participants travel;</li> <li>2. Report of the meeting (staff costs only).</li> </ol>   |
| <b>Method of implementation (internal or external)</b> | <p>Internal:</p> <ul style="list-style-type: none"> <li>- Rotterdam Bureau meeting: funds for travel of the Bureau (USD 12,704);</li> <li>- Joint bureaux meeting: funds travel of the Rotterdam Convention Bureau (USD 12,704).</li> </ul>   |
| <b>Partner(s)</b>                                      | FAO for meetings organized in Rome  |
| <b>Resources approved for 2012-2013</b>                | 2012 (USD 14,000): ROTF 14,000<br>2013 (USD 14,000): ROTF 14,000<br><b>Total (USD 28,000): ROTF 28,000</b>  |
| <b>Resources required for 2014-2015</b>                | 2014 (USD 25,408): ROTF 25,408<br>2015: 0<br><b>Total (USD 25,408): ROTF 25,408</b>   |

**Meeting of the Bureau of the Conference of the Parties to the Stockholm Convention and joint meetings of the bureaux to the Basel, Rotterdam and Stockholm conventions**

|  |   |
|--|---|
| <b>Programme heading</b>                               | <b>Conferences and meetings</b>   |
| <b>Title of activity</b>                               | <b>Activity 9 (SC): Meeting of the Bureau of the Conference of the Parties to the Stockholm Convention and joint meetings of the bureaux to the Basel, Rotterdam and Stockholm conventions (former SC-2)</b>  |
| <b>Convention(s)</b>                                   | <input type="checkbox"/> Basel Convention<br><input type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b>                | N/A   |
| <b>Mandate</b>   | Decisions SC-1/1 and SC-5/28 of the Stockholm Convention  |
| <b>Why this activity is important?</b>                 | <p>In accordance with its rules of procedures, meetings of the Conference of the Parties are chaired by a President and run under his/her guidance and that of the Bureau, which comprises 9 other members. The organization of meetings of the Bureau is a core activity of the Secretariat in preparation of meetings of the Conference of the Parties.</p> <p>Joint meetings of the bureaux of the Basel, Rotterdam and Stockholm conventions serve the purpose of taking stock of the progress achieved in and giving guidance to the Secretariat on implementing the synergies decisions; if COPs are held back-to-back or simultaneously, the joint bureaux provide guidance for organizing those meetings.</p> |
| <b>Short description of the activity</b>               | <ol style="list-style-type: none"> <li>1. Prepare and publish documentation for the meetings;</li> <li>2. Prepare and dispatch invitations;</li> <li>3. Organize travel of sponsored Bureau members;</li> <li>4. Provide logistical support;</li> <li>5. Support the Bureau and the president during and between meetings;</li> <li>6. Prepare the report of the meetings, identify and distribute follow up activities;</li> </ol> <p><u>Duration:</u> two days for up to 8 funded participants for the Stockholm Bureau meeting and two days for up to 8 funded Stockholm Convention Bureau members for the joint bureaux meeting. Both meetings in Geneva.</p>   |
| <b>Objective</b>                                       | <ol style="list-style-type: none"> <li>1. Organization of the meeting of the Bureau of the Conference of the Parties to the Stockholm Convention;</li> <li>2. Organization of the joint meeting of the bureaux to the Basel, Rotterdam and Stockholm conventions.</li> </ol>  |
| <b>Indicators of achievement</b>                       | <ol style="list-style-type: none"> <li>1. Meeting of the Bureau of the Stockholm Convention organized;</li> <li>2. Joint meeting of the bureaux of the Basel, Rotterdam and Stockholm conventions organized;</li> <li>3. Documentation for the meetings, including meetings reports, available.</li> </ol>  |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Participants travel;</li> <li>2. Report of the meeting (staff costs only).</li> </ol>   |
| <b>Method of implementation (internal or external)</b> | Internal:<br>- Stockholm Bureau meeting: funds for travel of the Bureau (USD 25,408);<br>- Joint bureaux meeting: funds for travel of the Stockholm Convention Bureau (USD 25,408).   |
| <b>Partner(s)</b>                                      | N/A   |
| <b>Resources approved for 2012-2013</b>                | 2012 (USD 17,000): SCTF 17,000<br>2013 (USD 17,500): SCTF 17,500<br><b>Total (USD 34,500): SCTF 34,500</b>  |
| <b>Resources required for 2014-2015</b>                | 2014 (USD 50,816): SCTF 50,816<br>2015: 0<br><b>Total (USD 50,816): SCTF: 50,816</b>  |

## Meeting of the Basel Convention Implementation and Compliance Committee

|  |  |
|--|--|
| <b>Programme heading</b>                               | <b>Conferences and meetings</b>  |
| <b>Title of activity</b>                               | <b>Activity 10 (BC): Meeting of the Basel Convention Implementation and Compliance Committee (former BC-4)</b>   |
| <b>Convention(s)</b>                                   | <input checked="" type="checkbox"/> Basel Convention<br><input type="checkbox"/> Rotterdam Convention<br><input type="checkbox"/> Stockholm Convention   |
| <b>Reference to meeting document no</b>                | UNEP/CHW.11/10   |
| <b>Mandate</b>   | Decision BC-10/11 of the Basel Convention  |
| <b>Why this activity is important?</b>                 | Paragraph 8 of the terms of reference of the Mechanism for Promoting Implementation and Compliance provides: "The Committee shall meet at least once between each regular meeting of the Conference of the Parties, and in conjunction with meetings of other Convention bodies. The Secretariat shall arrange for and service the meetings of the Committee." (Decision VI/12)  |
| <b>Short description of the activity</b>               | <ol style="list-style-type: none"> <li>1. Prepare and publish pre-session meeting documents in consultation/cooperation with Implementation and Compliance Committee (ICC) members as appropriate;</li> <li>2. Prepare and dispatch invitations; register participants and observers;</li> <li>3. Organize travel of sponsored delegates;</li> <li>4. Organize consultations with the Chair on the scenario for the meeting as well as the attendance of possible observers;</li> <li>5. Prepare outreach and communications (web announcement, briefings etc.);</li> <li>6. Provide logistical support including meeting room, intranet document distribution;</li> <li>7. Coordinate the meeting and provide assistance to the Chair and Bureau;</li> <li>8. Prepare and publish the meeting report;</li> <li>9. Conduct follow up communications with members, parties and observers as necessary;</li> <li>10. Present relevant outcomes to the Basel COP for its consideration;</li> </ol> <p><u>Duration</u>: Three days<sup>3</sup>; Up to 11 ICC members will be funded for the full three-day duration and up to 5 parties concerned by a submission will be funded to participate for one day. Meeting in Geneva, Switzerland.</p> |
| <b>Objective</b>                                       | Organization of the meeting of the Committee   |
| <b>Indicators of achievement</b>                       | <ol style="list-style-type: none"> <li>1. Percentage of working documents made available to the members within deadlines;</li> <li>2. Meeting facilities and services provided to ensure smooth running of the meeting;</li> <li>3. Support provided to the Committee prior to and during the meeting.</li> </ol>  |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Travel of eligible ICC members;</li> <li>2. Travel of parties concerned by a submission;</li> <li>3. Report of the meeting (staff costs only).</li> </ol>  |
| <b>Method of implementation (internal or external)</b> | Internal: staff cost and costs for travel of participants : USD 53,330:<br>- Funds for travel and DSA of 11 eligible ICC members (USD 39,545);<br>- Funds for travel and DSA of 5 eligible parties concerned by a submission (USD 13,785).   |
| <b>Partner(s)</b>                                      | N/A  |
| <b>Resources approved for 2012-2013</b>                | 2012: 0<br>2013 (USD 61,100): BCTF 45,100, BDTF 16,000<br><b>Total (USD 61,100): BCTF 45,100, BDTF 16,000</b>  |
| <b>Resources required for 2014-2015</b>                | 2014 (USD 53,330): BCTF 39,545, BDTF 13,785<br>2015: 0<br><b>Total (USD 53,330): BCTF 39,545, BDTF 13,785</b>  |

<sup>3</sup> In 2012-2013, the ICC meeting was budgeted for 2 days, but funding at the level of the 2012-2013 biennium would be sufficient to cover a 3-days meeting.

**Orientation workshop for members of the Chemical Review Committee**

|  |  |
|--|--|
| <b>Programme heading</b>                               | <b>Conferences and meetings</b>  |
| <b>Title of activity</b>                               | <b>Activity 11 (RC): Orientation workshop for members of the Chemical Review Committee (CRC)<br/>(former RC-18N)</b>   |
| <b>Convention(s)</b>                                   | <input type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input type="checkbox"/> Stockholm Convention   |
| <b>Reference to meeting document no</b>                | UNEP/FAO/RC/COP.6/6  |
| <b>Mandate</b>   | 1. Requested by CRC 8 (meeting report UNEP/FAO/RC/CRC.8/12, paragraph 22-23);<br>2. Requested by COP 5 as a new activity (meeting report, activity no. RC18N).   |
| <b>Why this activity is important?</b>                 | The operation of the CRC involves many specific procedures and policies. In order for new members to fully participate in the pre-session (starts 2 months prior the actual CRC meeting) and in-session work, it is helpful for the members to have a hands-on orientation session. The previous two orientation workshops were considered very effective and therefore continually requested by the CRC.  |
| <b>Short description of the activity</b>               | 1. The workshop will be convened through a series of plenary sessions and breakout groups. Plenary sessions will allow for the introduction of the key elements of the working procedures and policy guidance.<br>2. The work in breakout groups will provide an opportunity to gain practical experience through case studies on the review of notifications in the light of the information requirements and criteria of the Convention, as well as on the preparation of decision guidance documents. Experience from the individual breakout groups will be shared and discussed in plenary.<br>3. The discussions in plenary and in the breakout groups and the presence of some experienced CRC experts will provide an opportunity for the members of the Committee to share their experience and lessons learned in the work of the Committee. |
| <b>Objective</b>                                       | 1. New members of the CRC are familiarized with the role and mandate of the Committee as well as its operational procedures;<br>2. A platform is provided to exchange experiences, transfer knowledge related to the Committee's work, and to foster working relationships among members of the Committee.   |
| <b>Indicators of achievement</b>                       | 1. Level of participation of new members in the pre-session work of the CRC and in the upcoming CRC meetings.  |
| <b>Expected outputs</b>                                | 1. One workshops organized per biennium for 20 funded participants for 3 days;<br>2. Secretariat staff travel to participate in the workshop.  |
| <b>Method of implementation (internal or external)</b> | Internal: Staff travel (USD 3,000);<br>External: Travel and DSA for participants (USD 55,140).   |
| <b>Partner(s)</b>                                      | FAO  |
| <b>Resources approved for 2012-2013</b>                | 2012 (USD 25,000): RVTF 25,000<br>2013 (USD 25,000): RVTF 25,000<br><b>Total (USD 50,000): RVTF 50,000</b>   |
| <b>Resources required for 2014-2015</b>                | 2014 (USD 58,140): RVTF 58,140<br>2015: 0<br><b>Total (USD 58,140): RVTF 58,140</b>  |



## Support the work of and coordination between the scientific bodies of the conventions

|  |  |
|--|--|
| <b>Programme heading</b>                               | <b>Conferences and meetings</b>  |
| <b>Title of activity</b>                               | <b>Activity 12 (S6): Support the work of and coordination between the scientific bodies of the conventions (former S6)</b>   |
| <b>Convention(s)</b>                                   | <input checked="" type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention   |
| <b>Reference to meeting document no</b>                | UNEP/CHW.11/20<br>UNEP/FAO/RC/COP.6/6<br>UNEP/POPS/COP.6/16  |
| <b>Mandate</b>   | Synergies decisions (BC-IX/10, RC-4/11, SC-4/34)<br>Omnibus decisions (BC.Ex-1/1, RC.Ex-1/1, SC.Ex-1/1)  |
| <b>Why this activity is important?</b>                 | Both POPRC and CRC review chemicals, and sometimes the same chemicals. The Basel OEWG has in its work programme the development of draft technical guidelines for the ESM of wastes, including on the development of technical guidelines on POPs waste and on e-waste, which could benefit from inputs of experts working on POPRC or CRC. Enhanced information exchange and effective participation of parties and members in the work of the three subsidiary bodies may increase coordination between them, facilitate their work to address common issues and linkages between the conventions.   |
| <b>Short description of the activity</b>               | <ol style="list-style-type: none"> <li>1. Ensure coordination in the organization of the meetings of the subsidiary bodies, e.g. organization of back-to-back meetings;</li> <li>2. Organize a meeting of the chairs and Bureau members of the subsidiary bodies to identify and address cross-cutting issues;</li> <li>3. Implement follow-up activities identified by the chairs and Bureau members of the subsidiary bodies to enhance synergies at the subsidiary body level;</li> <li>4. Organize webinars and facilitate coordination to support the collaborative work between the scientific bodies of the conventions and to strengthen the linkage between the members of the subsidiary bodies (especially POPRC/CRC) and the contact points of party countries.</li> </ol> |
| <b>Objective</b>                                       | <ol style="list-style-type: none"> <li>1. Enhanced collaboration and cooperation among the subsidiary bodies of the three conventions through technical and policy support provided in a coordinated manner;</li> <li>2. Opportunities provided for the three subsidiary bodies to discuss and share expertise on common issues;</li> <li>3. Strengthened linkage between the members of the subsidiary bodies and the contact points of party countries;</li> <li>4. Information sharing among the three subsidiary bodies on cross-cutting scientific, technical and policy issues.</li> </ol>   |
| <b>Indicators of achievement</b>                       | <ol style="list-style-type: none"> <li>1. Meetings of the subsidiary bodies organized in a coordinated manner;</li> <li>2. Number of cross-cutting issues identified and addressed through the meeting of the Chairs and Bureau members of the subsidiary bodies;</li> <li>3. Number of participants in the webinars.</li> </ol>   |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Enhanced coordination in the organization of the meetings of the subsidiary bodies (Staff cost only);</li> <li>2. A meeting of the Chairs and Bureau members of the three subsidiary bodies is organized. Participants would be 2 from POPRC, 5 from CRC, 5 from OEWG, total 12;</li> <li>3. Webinars for global audience and coordination relating to the collaborative work between the scientific bodies of the conventions and effective participation of parties in the work of the subsidiary bodies (staff cost only);</li> <li>4. Follow up activities identified by the chairs and Bureau members of the subsidiary bodies implemented (staff cost only).</li> </ol>  |
| <b>Method of implementation (internal or external)</b> | Internal<br>- Funds for a meeting of the chairs and Bureau members (USD 20,000).   |

|   |  |
|---|--|
| <b>Partner(s)</b>                       | Regional centres of the Basel and Stockholm conventions, FAO and UNEP regional offices, UNEP   |
| <b>Resources approved for 2012-2013</b> | 2012 (USD 85,000): BCTF 6,650, BDTF 15,000, ROTF 6,650, RVTF 25,000, SCTF 6,700, SVTF 25,000<br>2013: (USD 85,000): BCTF 6,650, BDTF 15,000, ROTF 6,650, RVTF 25,000, SCTF 6,700, SVTF 25,000<br><b>Total (USD 170,000): TFs 40,000, VFs 130,000</b> |
| <b>Resources required for 2014-2015</b> | 2014 (USD 20,000): BDTF 8,000, RVTF 8,000, SVTF 4,000<br><b>Total (USD 20,000): VFs 20,000</b>   |

## 2. Technical assistance and capacity-building

### (a) Development of tools and methodologies

#### Tools and methodologies for training and capacity-building

|  |   |
|--|---|
| <b>Programme heading</b>                 | <b>Technical assistance and capacity-building<br/>(a) Development of tools and methodologies</b>  |
| <b>Title of activity</b>                 | <b>Activity 13 (S1): Tools<sup>4</sup> and methodologies for training and capacity-building, (former S1 and RC-5)</b>   |
| <b>Convention(s)</b>                     | <input checked="" type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b>  | UNEP/CHW.11/15, UNEP/FAO/RC/COP.6/15, UNEP/POPS/COP.6/18  |
| <b>Mandate</b>                           | Article 16 of the Basel Convention, Articles 16 and 19 of the Rotterdam Convention and Articles 12 and 20 of the Stockholm Convention<br>Decisions BC-10/23 and BC-10/29 (Annex I, S1) of the Basel Convention<br>Decisions RC-5/10 and RC-5/12 (Annex I, S1) and Decision RC-5/14 of the Rotterdam Convention<br>Decisions SC-5/20 and SC-5/27 (Annex I, S1) of the Stockholm Convention   |
| <b>Why this activity is important?</b>   | <p>The development of a coherent technical assistance programme requires an integrated and continuous assessment of technical assistance needs and the development of information materials that are designed to respond to those needs. For this, an innovative methodology must be developed in order to allow the planning for the development and update of the materials and information resources available at/for the Secretariat and the requests made by the Parties.</p> <p>The development of interactive methodologies and tools for training and capacity-building, sharing and exchanging information, such as thematic modules, resource kits, electronic tools and video training is part of this approach. Tools will be developed to ensure that Parties have adequate information on the conventions, including updates on the latest decisions, and information about the available means for the implementation at the national level. Up-to-date technologies and training materials will provide for user-friendly and attractive platforms that can be accessed anytime and anywhere by the Parties. The tools developed will be integrated in training activities (e.g. workshops and webinars) and made available for use by parties, regional centres, academia and other interested partners for complementary or follow-up trainings.</p> <p>The development of a methodology linking the needs of the parties to the fast development of information tools will provide the Secretariat with the necessary flexibility to respond to the requests for assistance by eligible parties in a faster and more efficient manner.</p> |
| <b>Short description of the activity</b> | <p><b>Integrated assessment of technical assistance needs for the 3 conventions</b></p> <ol style="list-style-type: none"> <li>1. Develop a database to centralize the information related to the needs from all different information channels (COP decisions, direct or indirect requests for assistance from parties, National Implementation Plans (NIPs), National Action Plans (NAPs), national reports, regional centres' business plans or work plans, feedback on the use of the guidance documents, questionnaire, focused field surveys and expert meetings, and country profiles for all 3 conventions under the synergies website, which will be interactive, i.e. parties might be able to update the information themselves);</li> <li>2. Design a new user friendly information collection instrument to streamline the requests for information sent to parties;</li> <li>3. Translate the collection instrument;</li> <li>4. Translate relevant information received into the 6 UN languages;</li> <li>5. Make the database available online.</li> </ol> <p><b>Toolkit</b></p> <ol style="list-style-type: none"> <li>1. Prepare new material, taking into account the needs of parties and stakeholders, as necessary;</li> <li>2. Review the current content and components of the Resource Kit, as necessary;</li> <li>3. Update and revise current content and components, as necessary;</li> <li>4. Ensure materials are available in appropriate UN languages;</li> <li>5. Provide materials in electronic format and other formats as per users' needs;</li> </ol>   |

<sup>4</sup> Includes the Resource Kit under the Rotterdam Convention

|   |   |
|---|---|
|   | <p>6. Consider possible training opportunities.</p> <p><b>Methodologies and tools for training and capacity-building</b></p> <p>1. <u>Modules</u></p> <ol style="list-style-type: none"> <li>a. Identify tools, including modules, to be updated (existing) or developed (new);</li> <li>b. Develop and upgrade tools, including modules on themes to be selected according to training and capacity-building needs (e.g. strategy for hazardous waste management, priority waste streams (e.g. e-wastes), reporting, and alternatives to newly listed POPs or PIC chemicals);</li> <li>c. Prepare supporting documents (guides, icebreakers, electronic leaflets);</li> <li>d. Translate main modules and supporting documents;</li> <li>e. Make modules available online and integrate them in capacity-building activities.</li> </ol> <p>2. <u>Electronic tools</u></p> <ol style="list-style-type: none"> <li>a. Develop sample in-house electronic tools with the support of a consultant;</li> <li>b. Train the Secretariat staff on the use of the tools;</li> <li>c. Make use of electronic tools for capacity-building activities as appropriate.</li> </ol> <p>3. <u>Video training</u></p> <ol style="list-style-type: none"> <li>a. Develop an in-house pilot video for initial discussion;</li> <li>b. Discuss and agree on the message and content of each video;</li> <li>c. Subcontract to expert companies or consultants with an experience in developing videos;</li> <li>d. Develop audio-visual content on topics related to the Basel, Rotterdam and Stockholm conventions aiming for a professional audience (Designated National Authorities (DNAs), Focal Points (FPs) and Official Contact Points (OCPs));</li> <li>e. Organize the audio-visual material in a structured way in order to provide effective training for governmental officers and experts;</li> <li>f. Make the audio-visual materials available online.</li> </ol> <p>4. <u>Webinars</u></p> <ol style="list-style-type: none"> <li>a. Organize and broadcast webinars and online meetings for the Basel, Rotterdam and Stockholm conventions;</li> <li>b. Organize conferences with experts, training courses and online meetings to disseminate knowledge on the three conventions and to train parties and stakeholders on the issues in the scope of the conventions (in at least 3 UN official languages: EN, FR and SP);</li> <li>c. In order to reach a larger audience, the presentations and materials for the webinars and on-line meetings will, when relevant, be translated into other UN languages.</li> </ol> |
| <p><b>Objective</b></p>                 | <ol style="list-style-type: none"> <li>1. An appropriate database to collect information on needs in a systematic way is developed and used;</li> <li>2. Training modules, electronic tools and video training are developed and used to support countries in implementing the conventions, facilitate the exchange of knowledge and build parties' capacities to enhance synergies among the Basel, Rotterdam and Stockholm conventions at the national level;</li> <li>3. Training courses/modules are delivered to parties, information is disseminated and awareness of the Basel, Rotterdam and Stockholm conventions is raised via the web;</li> <li>4. Parties and other stakeholders are provided with a comprehensive source of information on the Rotterdam Convention, its operational aspects, activities and cooperation with other international bodies through publications and information materials, including the expansion of the Resource Kit to address new information needs, as necessary.</li> </ol>  |
| <p><b>Indicators of achievement</b></p> | <p><b>Integrated assessment of technical assistance needs for the 3 conventions</b></p> <ol style="list-style-type: none"> <li>1. A technical assistance needs database is made public and kept up-to-date on a permanent basis;</li> <li>2. The identification of priority areas for assistance is based on an analysis of extensive information;</li> <li>3. Simplification of information collection from parties through a new tool.</li> </ol> <p><b>Resource Kit</b></p> <ol style="list-style-type: none"> <li>1. Materials meet the needs of parties and stakeholders;</li> <li>2. Existing materials are up-to-date.</li> </ol> <p><b>Methodologies and tools for training and capacity-building</b></p>   |

|  |   |
|--|---|
|  | <ol style="list-style-type: none"> <li>1. <u>Modules</u> <ol style="list-style-type: none"> <li>a. Number of modules developed and available on line;</li> <li>b. Number of supporting materials developed;</li> <li>c. Modules used in training and capacity-building activities undertaken by the Secretariat.</li> </ol> </li> <li>2. <u>Electronic tools</u> <ol style="list-style-type: none"> <li>a. Development of different types of electronic materials;</li> <li>b. Staff trained;</li> <li>c. Materials developed by the staff after the training.</li> </ol> </li> <li>3. <u>Video training</u> <ol style="list-style-type: none"> <li>a. Development of one pilot video;</li> <li>b. Video training on each of the three conventions is available and accessible online using internal/external platforms;</li> <li>c. Number of video materials prepared;</li> <li>d. Number of persons that accessed the video.</li> </ol> </li> <li>4. <u>Webinars</u> <ol style="list-style-type: none"> <li>a. Number of webinars and online meetings organized respectively for the Basel, Rotterdam, Stockholm conventions and on synergies issues;</li> <li>b. Number of training programmes organized remotely;</li> <li>c. Number of persons trained;</li> <li>d. Number of subjects addressed via the web.</li> </ol> </li> </ol>  |
| <b>Expected outputs</b>                                | <p><b>Integrated assessment of technical assistance needs for the 3 conventions</b></p> <ol style="list-style-type: none"> <li>1. Database containing information from needs assessment (staff costs);</li> <li>2. Information is made available on website and translated into 5 languages;</li> <li>3. A tool to integrate the needs assessment of parties to the 3 conventions (staff costs and consultant).</li> </ol> <p><b>Resource kit</b></p> <ol style="list-style-type: none"> <li>1. Updated resource kit (consultancies and sub-contracts);</li> <li>2. Updated resource kit available in 6 languages.</li> </ol> <p><b>Methods and tools for training and capacity-building</b></p> <ol style="list-style-type: none"> <li>1. <u>Modules</u> <ol style="list-style-type: none"> <li>a. Development of different modules;</li> <li>b. Development of supporting materials;</li> <li>c. Translation of modules into three languages;</li> </ol> </li> <li>2. <u>Electronic tools</u> <ol style="list-style-type: none"> <li>a. Development of sample presentation materials;</li> <li>b. Development of sample infographics;</li> <li>c. Development of sample interactive elements (e.g. Flash applications, mobile applications, etc);</li> <li>d. Trained staff;</li> </ol> </li> <li>3. <u>Video training</u> <ol style="list-style-type: none"> <li>a. One pilot video (staff costs);</li> <li>b. Develop three introduction videos (7-10 min) about each convention and translate in three languages (development and translation);</li> <li>c. Develop and publish six training videos (7-10 min) on specific topics of the conventions and translate them into three languages (development and translation);</li> </ol> </li> <li>4. <u>Webinars</u> <ol style="list-style-type: none"> <li>a. 48 Online meetings (24 meetings per year);</li> <li>b. 190 Webinars (80 webinars per year).</li> </ol> </li> </ol> |
| <b>Method of implementation (internal or external)</b> | <p>Internal:</p> <ul style="list-style-type: none"> <li>- Consultants (USD 130,000);</li> <li>- Information/public awareness materials (USD 24,000);</li> <li>- Design /translation (USD 230,000);</li> <li>- Software licenses, equipment and phones (USD 90,000);</li> </ul> <p>External:</p>   |

|   |   |
|---|---|
|   | <ul style="list-style-type: none"> <li>- Sub-contracts (USD 520,000);</li> <li>- Consultancies (USD 120,000);</li> <li>- Contracts to institutions/service providers (USD 60,000).</li> </ul>   |
| <b>Partner(s)</b>                       | Regional centres of the Basel and Stockholm conventions, UNEP and FAO regional offices, academia and other relevant stakeholders  |
| <b>Resources approved for 2012-2013</b> | <ul style="list-style-type: none"> <li>• <b>S1</b><br/>2012 (USD 340,000): BCTF 8,400, BDTF 82,500, ROTF 8,300, RVTF 100,000, SCTF 8,300, SVTF 132,500<br/>2013 (USD 340,000): BCTF 8,400, BDTF 82,500, ROTF 8,300, RVTF 100,000, SCTF 8,300, SVTF 132,500<br/><b>Total (USD 680,000): TFs 50,000, VFs 630,000</b></li> <li>• <b>RC-5</b><br/>2012 (USD 72,500): ROTF 25,000, RVTF 47,500<br/>2013 (USD 67,500): ROTF 20,000, RVTF 47,500<br/><b>Total (USD 140,000): ROTF 45,000, RVTF 95,000</b></li> <li><b>Summary:</b><br/>2012 (USD 412,500): TFs 50,000, VFs 362,500<br/>2013 (USD 407,500): TFs 45,000, VFs 362,500<br/><b>Total (USD 820,000): TFs 95,000, VFs 725,00</b></li> </ul> |
| <b>Resources required for 2014-2015</b> | <p>2014 (USD 614,500): BCTF 15,000, BDTF 182,000, ROTF 40,000, RVTF 182,000, SCTF 15,000, SVTF 180,500</p> <p>2015 (USD 464,500): BCTF 15,000, BDTF 129,500, ROTF 40,000, RVTF 129,500, SCTF 15,000, SVTF 135,500</p> <p><b>Total (USD 1,079,000): BCTF 30,000, BDTF 311,500, ROTF 80,000, RVTF 311,500, SCTF 30,000, SVTF 316,000</b></p>  |

## (b) Capacity-building and training

### Training and capacity-building activities to enhance the implementation of the Basel Convention at the regional level

|  |   |
|--|---|
| <b>Programme heading</b>                 | <b>Technical assistance and capacity-building<br/>(b) Capacity-building and training</b>  |
| <b>Title of activity</b>                 | <b>Activity 14 (BC): Training and capacity-building activities to enhance the implementation of the Basel Convention at the regional level (former BC-11, BC-21, BC-25, BC-26, BC-27, BC-28, BC-29, BC-30, BC-31, BC-32 and BC-33)</b>  |
| <b>Convention(s)</b>                     | <input checked="" type="checkbox"/> Basel Convention<br><input type="checkbox"/> Rotterdam Convention<br><input type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b>  | UNEP/CHW.11/15, UNEP/CHW.11/INF/21  |
| <b>Mandate</b>                           | Decisions BC-10/2, BC-10/3, BC-10/5, BC-10/6, BC-10/7, BC-10/8, BC-10/9, BC-10/13, BC-10/14, BC-10/17, BC-10/22, BC-10/23, Nairobi Declaration, Cartagena Declaration   |
| <b>Why this activity is important?</b>   | This activity will support parties in meeting their obligations under the Basel Convention by developing capacities, expertise and knowledge in specific areas (e.g. priority wastes streams), raising awareness on available tools, and raising the importance of hazardous and other wastes and the environmentally sound management (ESM) of these wastes on the national and regional levels. This activity will also allow the facilitation of pilot projects to demonstrate ESM of hazardous and other wastes and the application of technical guidelines as well as tools for the ESM framework. These projects will be developed and implemented by the Basel Convention regional and coordinating centres and other partners.  |
| <b>Short description of the activity</b> | <ol style="list-style-type: none"> <li>1. Organize and conduct training/capacity-building activities on more effective implementation of the Basel Convention at the regional level to develop capacities, raise knowledge and expertise, as well as facilitate exchange of knowledge and best practices between parties;</li> <li>2. Use methodologies and training materials, including training manuals, tools, toolkits and other supporting documents developed under the activity on "Development of tools and methodologies for training and capacity-building", and additional activities specific to the Basel Convention to support the training activities;</li> <li>3. The training/capacity-building activities will focus on general obligations under the Convention, as well as on specific areas such as: <ol style="list-style-type: none"> <li>a. Supporting the development and implementation of national hazardous waste management strategies and plans in support of the Strategic Framework and the Indonesian-Swiss country-led initiative (CLI);</li> <li>b. Supporting parties in collecting information on the generation, management and disposal of hazardous and other wastes in support of the Strategic Framework and the CLI;</li> <li>c. Supporting parties in integrating waste and hazardous waste issues into their national development plans or strategies in support for the Strategic Framework and the CLI;</li> <li>d. Technical issues addressed in technical guidelines which are already approved and to be approved by COP-11 (e. g. transboundary movements of e-waste, environmentally sound management of used and waste pneumatic tyres, mercury wastes, co-processing of hazardous wastes in cement kilns, POPs wastes, etc.);</li> <li>e. Technical assistance activities related to the decisions taken by the Committee for Administering the Mechanism for Promoting Implementation and Compliance of the Basel Convention;</li> <li>f. National legislation, enforcement and efforts to combat illegal traffic;</li> <li>g. National reporting;</li> <li>h. Environmentally sound dismantling of ships;</li> <li>i. Priority wastes streams, including electronic and electrical wastes, POPs wastes, mercury wastes, and other hazardous substance wastes;</li> <li>j. Implementation of decision V/32 on the emergency mechanism;</li> <li>k. Promotion and implementation of more efficient strategies to achieve prevention and minimization of the generation of hazardous wastes;</li> </ol> </li> </ol> <p>The training format will include face-to-face meetings, webinars, training sessions, and workshops depending on the subject areas, regions/Parties to be involved and their needs and other criteria. A modular approach will be implemented, thus where possible, a single training activity will address more than one of the issues mentioned</p> |

|  |   |
|--|---|
|  | <p>above.</p> <p>4. Facilitate the development and implementation of projects and activities upon request from parties and in cooperation with regional centres on the following priority waste streams: electrical and electronic waste, POPs wastes, healthcare wastes, used oils, used lead acid batteries, dismantling of ships, mercury waste. The activity supports, where appropriate, the participation of the Secretariat and regional centres in meetings where donors and potential partners and stakeholders discuss strategies for projects to be developed and implemented by the regional centres in cooperation with partners.</p>  |
| <b>Objective</b>                                       | Parties' capacities for the implementation of the Basel Convention are strengthened in line with the decisions taken by the conferences of the parties through training and capacity-building activities.   |
| <b>Indicators of achievement</b>                       | <ol style="list-style-type: none"> <li>1. Number of participants trained in areas pertinent to the Basel Convention;</li> <li>2. Number of areas/issues under the Basel Convention addressed in workshops and training sessions;</li> <li>3. Increased awareness and knowledge of parties related to technical and other issues associated with the implementation of the Basel Convention;</li> <li>4. Increased awareness of the technical guidelines and application of the technical guidelines in the field;</li> <li>5. Improved understanding of legal issues related to the implementation of the Basel Convention and assistance and guidance received on legal frameworks to fully meet the requirements for measures related to and required under the Convention;</li> <li>6. Better understanding of measures for better implementation of the Convention following sharing of experience and lessons learned between parties;</li> <li>7. At least three technical guidelines applied by three parties;</li> <li>8. Cooperation with key partners for the development of concepts and project proposals for at least five priority waste stream related projects (subject to requests from parties).</li> </ol> |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Sub-regional and regional training activities (8 workshops, 8 staff travel, 6 consultants hired, public awareness materials);</li> <li>2. Webinars (Costs associated with webinars are included in the activity on "Organization of Webinars and Online meetings");</li> <li>3. Training tools are translated/published;</li> <li>4. Facilitation, in cooperation with regional centres and key partners, of the development and submission of project proposals to donors (8 staff travels, travel for 5 consultants, subcontracts to organize 5 meetings for the development of project strategies and proposals).</li> </ol>   |
| <b>Method of implementation (internal or external)</b> | <p>Internal:</p> <ul style="list-style-type: none"> <li>- Staff travel (USD 80,000);</li> <li>- Organization of workshops (USD 720,000);</li> <li>- Information/public awareness material (USD 20,000);</li> </ul> <p>External:</p> <ul style="list-style-type: none"> <li>- Consultants (USD 155,000);</li> <li>- Sub-contacts (USD 40,000);</li> <li>- Printing/translation (USD 40,000).</li> </ul>  |
| <b>Partner(s)</b>                                      | Regional centres of the Basel and Stockholm conventions, FAO and UNEP regional offices, UNEP, UNDP, UNITAR, SAICM, WHO, etc.  |



|   |   |
|---|---|
| <b>Resources approved for 2012-2013</b> | <ul style="list-style-type: none"> <li>• <b>BC-11</b><br/>2012 (USD 109,500): BCTF 5,000, BDTF 104,500<br/>2013 (USD 109,500): BCTF 5,000, BDTF 104,500<br/><b>Total (USD 219,000): BCTF 10,000, BDTF 209,000</b></li> <li>• <b>BC-21</b><br/>2012 (USD 5,000): BCTF 5,000<br/>2013 (USD 5,000): BCTF 5,000<br/><b>Total (USD 10,000): BCTF 10,000</b></li> <li>• <b>BC-25</b><br/>2012 (USD 255,000): BCTF 5,000, BDTF 250,000<br/>2013 (USD 155,000): BCTF 5,000, BDTF 150,000<br/><b>Total (USD 410,000): BCTF 10,000, BDTF 400,000</b></li> <li>• <b>BC-26</b><br/>2012 (USD 300,000): BDTF 300,000<br/>2013 (USD 30,000): BDTF 30,000<br/><b>Total (USD 330,000): BDTF 330,000</b></li> <li>• <b>BC-27</b><br/>2012 (USD 35,000): BCTF 5,000, BDTF 30,000<br/>2013 (USD 35,000): BCTF 5,000, BDTF 30,000<br/><b>Total (USD 70,000): BCTF 10,000, BDTF 60,000</b></li> <li>• <b>BC-28</b><br/>2012 (USD 5,000): BDTF 5,000<br/>2013 (USD 5,000): BDTF 5,000<br/><b>Total (USD 10,000): BDTF 10,000</b></li> <li>• <b>BC-29</b><br/>2012 (USD 5,000): BDTF 5,000<br/>2013 (USD 5,000): BDTF 5,000<br/><b>Total (USD 10,000): BDTF 10,000</b></li> <li>• <b>BC-30</b><br/>2012 (USD 5,000): BCTF 5,000<br/>2013 (USD 5,000): BCTF 5,000<br/><b>Total (USD 10,000): BCTF 10,000</b></li> <li>• <b>BC-31</b><br/>2012 (USD 150,000): BDTF 150,000<br/>2013 (USD 500,000): BDTF 500,000<br/><b>Total (USD 650,000): BDTF 650,000</b></li> <li>• <b>BC-32</b><br/>2012 (USD 505,000): BDTF 505,000<br/>2013 (USD 505,000): BDTF 505,000<br/><b>Total (USD 1,010,000): BDTF 1,010,000</b></li> <li>• <b>BC-33</b><br/>2012 (USD 1,000,000): BDTF 1,000,000<br/>2013 (USD 1,000,000): BDTF 1,000,000<br/><b>Total (USD 2,000,000): BDTF 2,000,000</b></li> </ul> <p><b>Summary:</b><br/>2012 (USD 2,374,500): BCTF 25,000, BDTF 2,349,500<br/>2013 (USD 2,354,500): BCTF 25,000, BDTF 2,329,500<br/><b>Total (USD 4,729,000): BCTF 50,000, BDTF 4,679,000</b></p> |
| <b>Resources required for 2014-2015</b> | 2014 (USD 420,000): BDTF 420,000<br>2015 (USD 635,000): BDTF 635,000<br><b>Total (USD 1,055,000): BDTF 1,055,000</b>  |

**Training and capacity-building activities to enhance the implementation of the Rotterdam Convention at the national and regional levels**

|  |   |
|--|---|
| <b>Programme heading</b>                 | <b>Technical assistance and capacity-building<br/>(b) Capacity-building and training</b>  |
| <b>Title of activity</b>                 | <b>Activity 15 (RC): Training and capacity-building activities to enhance the implementation of the Rotterdam Convention at the national and regional levels (former RC-12 *, RC-14 *, RC-15 *, RC-17 *, RC-22 *, (RC-24))</b>  |
| <b>Convention(s)</b>                     | <input type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b>  | UNEP/FAO/RC/COP.6/15  |
| <b>Mandate</b>                           | Articles 16 and 19 of the Rotterdam Convention<br>Decision RC-5/10 of the Rotterdam Convention  |
| <b>Why this activity is important?</b>   | This activity will support parties in meeting their obligations under the Rotterdam Convention by strengthening their capacities for information exchange, coordination and use of available tools. It will assist parties in developing capacities, expertise and knowledge in specific areas of the Rotterdam Convention. This activity will also facilitate regional centres and FAO regional offices to build parties' capacities through delivering projects in priority areas.  |
| <b>Short description of the activity</b> | <ol style="list-style-type: none"> <li>1. The activities at the national, sub-regional and regional level will aim to develop capacities, increase knowledge and expertise as well as facilitate exchanges of knowledge and best practices among parties.</li> <li>2. The training format will include face-to-face meetings, training sessions, and workshops depending on the subject areas, regions/States to be involved and their needs as well as any other relevant criteria.</li> <li>3. The methodologies and training materials, including training manuals, tools, toolkits and other supporting documents will be developed under the activity "Tools and methodologies for training and capacity-building" and will be used to support the training activities. A modular approach will be implemented, thus where possible, a single training activity will address more than one of the issues mentioned below.</li> <li>4. The training activities will focus on raising parties' capacities, awareness and understanding of general issues under the Convention as well as on specific areas such as: <ol style="list-style-type: none"> <li>a. Strengthening national capacities related to severely hazardous pesticide formulations (SHPF);</li> <li>b. Strengthening national capacities related to industrial chemicals obligations under the convention;</li> <li>c. Strengthening national capacities related to notifications of final regulatory actions for both pesticides and industrial chemicals;</li> <li>d. Strengthening national capacities related to export notifications and the trade in banned or severely restricted chemicals;</li> <li>e. General implementation of the Convention and follow-up activities;</li> <li>f. Strengthening national capacities related to the management of newly listed chemicals;</li> <li>g. Strengthening capacity for information exchange and coordination at the national level;</li> <li>h. Strengthening national capacities related to hazard and risk assessments of priority chemicals (pesticides and industrial) leading to decision-making whether to ban or severely restrict them.</li> </ol> </li> <li>5. Facilitation of the development and implementation of projects and activities in the priority areas on requests from parties and in cooperation with regional centres and FAO regional offices.</li> </ol> |
| <b>Objective</b>                         | Support provided to parties in order for them to strengthen their capacities for the implementation of the Rotterdam Convention in specific areas and in line with the decisions taken by the Conference of the Parties through training and capacity-building activities.  |
| <b>Indicators of achievement</b>         | <ol style="list-style-type: none"> <li>1. Number of participants trained in areas pertinent to the Rotterdam Convention;</li> <li>2. Number of areas/issues of the Rotterdam Convention addressed in workshops and training sessions;</li> <li>3. Increased number of parties having developed elements of National Action Plans (NAPs) or strategies;</li> </ol>   |

|  |   |
|--|---|
|  | <ol style="list-style-type: none"> <li>4. Increase in the number of Import Responses and Notifications of Final Regulatory Actions (FRAs) submitted by parties;</li> <li>5. Increase in the number of parties able to make decisions on industrial chemicals listed in Annex III;</li> <li>6. Increase in the number of reports from parties on results of monitoring activities related to pesticide incidents and severely hazardous pesticide formulations;</li> <li>7. Increased awareness and knowledge of parties related to technical and other issues associated with the implementation of the Rotterdam Convention;</li> <li>8. Increased awareness of the existing tools and guidance documents and their application in the field;</li> <li>9. Improved understanding of measures to implement the Convention following sharing of experience and lessons learned between parties;</li> <li>10. Cooperation with key partners on the development of concepts and project proposals for at least two projects (subject to requests from parties).</li> </ol> |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. 6 national SHPF programmes;</li> <li>2. Consultants and national meetings on FRA;</li> <li>3. 2 national export notification workshops;</li> <li>4. 2 trade partner workshops;</li> <li>5. 8 workshops on the implementation of the Rotterdam Convention (2 regional workshops, 2 sub-regional workshops, 4 national workshops, 6 national follow-up seminars (2 days));</li> <li>6. 6 specific follow-up activities;</li> <li>7. 7 workshops including Farmer Field Schools and Field demonstration plots;</li> <li>8. Sub-regional and regional training activities (8 workshops, 8 staff travel, 6 consultants, public awareness materials);</li> <li>9. Training tools are translated/published;</li> <li>10. Secretariat facilitates cooperation with regional centres, FAO regional offices and other relevant key partners in the development and submission of project proposals to donors.</li> </ol>  |
| <b>Method of implementation (internal or external)</b> | <p>Internal:</p> <ul style="list-style-type: none"> <li>- Staff travel (USD 237,000);</li> <li>- Organization of workshops (USD 847,000);</li> <li>- DSA/travel for meetings (USD 1,278,000);</li> <li>- Information/public awareness material (USD 42,500);</li> <li>- Other expenses (USD 48,000);</li> </ul> <p>External:</p> <ul style="list-style-type: none"> <li>- Consultants (USD 280,000);</li> <li>- Printing/translation (USD 78,500);</li> <li>- Sub-contracts (USD 20,000).</li> </ul>  |
| <b>Partner(s)</b>                                      | <p>Regional centres of the Basel and Stockholm conventions, FAO and UNEP regional offices, United Nations Environment Programme (UNEP), United Nations Development Programme (UNDP), United Nations Industrial Development Organization (UNIDO), World Bank (WB), Regional Development Banks (RDBs), Global Environment Facility (GEF), Strategic Approach to International Chemicals Management (SAICM), World Health Organization (WHO), etc.</p>   |

|  |   |
|--|---|
| <p><b>Resources approved for 2012-2013</b></p> | <ul style="list-style-type: none"> <li>• <b>RC-12 *</b><br/>                     2012 (USD 95,000): RVTF 95,000<br/>                     2013 (USD 95,000): RVTF 95,000<br/> <b>Total (USD 190,000): RVTF 190,000</b></li> <br/> <li>• <b>RC-14 *</b><br/>                     2012 (USD 285,000): RVTF 285,000<br/>                     2013 (USD 195,000): RVTF 195,000<br/> <b>Total (USD 480,000): RVTF 480,000</b></li> <br/> <li>• <b>RC-15 *</b><br/>                     2012 (USD 75,000): RVTF 75,000<br/>                     2013 (USD 75,000): RVTF 75,000<br/> <b>Total (USD 150,000): RVTF 150,000</b></li> <br/> <li>• <b>RC-17 *</b><br/>                     2012 (USD 150,000): RVTF 150,000<br/>                     2013 (USD 50,000): RVTF 50,000<br/> <b>Total (USD 200,000): RVTF 200,000</b></li> <br/> <li>• <b>RC-22 *</b><br/>                     2012 (USD 190,000): RVTF 190,000<br/>                     2013 (USD 122,500): RVTF 122,500<br/> <b>Total (USD 312,500): RVTF 312,500</b></li> <br/> <li>• <b>RC-24</b><br/>                     2012 (USD 152,500): RVTF 152,500<br/>                     2013 (USD 98,500): RVTF 98,500<br/> <b>Total (USD 251,000): RVTF 251,000</b></li> <br/> <li><b>Summary:</b><br/>                     2012 (USD 947,500): RVTF 947,500<br/>                     2013 (USD 636,000): RVTF 636,000<br/> <b>Total (USD 1,583,500): RVTF 1,583,500</b></li> </ul> |
| <p><b>Resources required for 2014-2015</b></p> | <p>2014 (USD 1,305,500): RVTF 1,305,500<br/>                     2015 (USD 1,525,500): RVTF 1,525,500<br/> <b>Total (USD 2,831,000): RVTF 2,831,000</b></p>   |

**Training and capacity-building activities to enhance the implementation of the Stockholm Convention at the regional level**

|  |  |
|--|--|
| <b>Programme heading</b>                 | <b>Technical assistance and capacity-building<br/>(b) Capacity-building and training</b>   |
| <b>Title of activity</b>                 | <b>Activity 16 (SC): Training and capacity-building activities to enhance the implementation of the Stockholm Convention at the regional level (former SC-11, SC-12, SC-13, as well as the technical assistance component of SC-25, SC-28, SC-30 and SC-33)</b>  |
| <b>Convention(s)</b>                     | <input type="checkbox"/> Basel Convention<br><input type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention   |
| <b>Reference to meeting document no</b>  | UNEP/POPS/COP.6/15, UNEP/POPS/COP.6/18, UNEP/POPS/COP.6/INF/18   |
| <b>Mandate</b>                           | Article 12 of the Stockholm Convention<br>Decision SC-5/14 and SC-5/20 of the Stockholm Convention   |
| <b>Why this activity is important?</b>   | This activity will support parties in meeting their obligations under the Stockholm Convention by strengthening their capacities, expertise and knowledge in specific areas (e.g. training on guidance documents on NIPs development, review and updating), raising awareness on available tools, and also facilitating regional centres in building parties' capacities through delivering projects in priority areas.  |
| <b>Short description of the activity</b> | <ol style="list-style-type: none"> <li>1. The activities at the regional level will aim to develop capacities, raise knowledge and expertise as well as facilitate exchange of knowledge and best practices among parties.</li> <li>2. The training format will include face-to-face meetings, training sessions, and workshops depending on the subject areas, regions/States to be involved and their needs and other criteria.</li> <li>3. The methodologies and training materials, including training manuals, tools, toolkits and other supporting documents developed under the activity "Tools and methodologies for training and capacity-building" will be used to support the training activities. A modular approach will be implemented, thus where possible, a single training activity will address more than one of the issues mentioned below.</li> <li>4. The training activities will focus on building capacities and enhancing awareness-raising and understanding of general issues under the Convention as well as on specific areas such as: <ol style="list-style-type: none"> <li>a. Financial assistance facilitation;</li> <li>b. Pesticides, including DDT alternatives;</li> <li>c. Endosulfan;</li> <li>d. Activities towards the 2025–2028 goals for PCB elimination;</li> <li>e. Unintentionally produced POPs;</li> <li>f. Reviewing and updating NIPs for POPs listed at COP-4 and COP-5;</li> <li>g. BDEs and PFOs;</li> <li>h. Toolkit and BAT and BEP;</li> <li>i. Reporting.</li> </ol> </li> <li>5. Facilitation of the development and implementation of projects and activities in priority areas upon request from parties and in cooperation with regional centres.</li> </ol> |
| <b>Objective</b>                         | Parties' capacities for the implementation of the Stockholm Convention are strengthened in specific areas and in line with the decisions taken by the Conference of the Parties through training and capacity-building activities.   |
| <b>Indicators of achievement</b>         | <ol style="list-style-type: none"> <li>1. Number of participants trained in areas pertinent to the Stockholm Convention;</li> <li>2. Number of areas/issues under the Stockholm Convention addressed in workshops and training sessions;</li> <li>3. Increased awareness and knowledge of parties related to technical and other issues associated with the implementation of the Stockholm Convention;</li> <li>4. Increased awareness of the guidance documents, technical guidelines and their application in the field;</li> <li>5. Guidance documents are applied by at least five parties;</li> <li>6. Better understanding of measures for better implementation of the Convention following sharing of experience and lessons learned between parties;</li> <li>7. Cooperation of key partners for the development of concepts and project proposals for two projects (subject to requests from parties);</li> <li>8. Number of parties that have transmitted their updated NIP.</li> </ol>  |

|  |  |
|--|--|
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Sub-regional and regional training activities are implemented (8 workshops, 8 staff travel, 6 consultants, development of public awareness material);</li> <li>2. Training activities related to specific exemptions<sup>5</sup> and acceptable purposes:</li> <li>3. 3 training sessions on monitoring<sup>6</sup> of the presence of BDEs and PFOS in products and articles (consultancy and workshops);</li> <li>4. 3 regional workshops held to increase capacity of parties to maintain inventories of sources and estimates of releases<sup>7</sup> of unintentionally produced POPs and report such releases under Article 15;</li> <li>5. Training tools are developed / updated , translated and published;</li> <li>6. Facilitation, in cooperation with regional centres and key partners, of the development and submission of project proposals to donors.</li> </ol>                           |
| <b>Method of implementation (internal or external)</b> | <p>Internal:</p> <ul style="list-style-type: none"> <li>- Staff travel (USD 75,000);</li> <li>- Organization of workshops (USD1,069,000);</li> <li>- Information/public awareness material (USD 20,000);</li> </ul> <p>External:</p> <ul style="list-style-type: none"> <li>- Consultants (USD 132,000);</li> <li>- Printing/translation (USD 40,000).</li> </ul>  |
| <b>Partner(s)</b>                                      | Regional centres of the Basel and Stockholm conventions, FAO and UNEP regional offices, UNEP, UNDP, UNIDO, WB, RDBs, GEF, SAICM, WHO, etc.   |
| <b>Resources approved for 2012-2013</b>                | <ul style="list-style-type: none"> <li>• <b>SC-11</b><br/>2012 (USD 50,000): SCTF 50,000<br/>2013 (USD 40,000): SCTF 40,000<br/><b>Total (USD 90,000): SCTF 90,000</b></li> <li>• <b>SC-12</b><br/>2012 (USD 820,000): SCTF 110,000, SVTF 710,000<br/>2013 (USD 790,000): SCTF 80,000, SVTF 710,000<br/><b>Total (USD 1,610,000): SCTF 190,000, SVTF 1,420,000</b></li> <li>• <b>SC-13</b><br/>Staff costs only</li> <li>• <b>SC-25</b><br/>Staff costs only</li> <li>• <b>SC-28</b><br/>Staff costs only</li> <li>• <b>SC-30</b><br/>Staff costs only</li> <li>• <b>SC-33</b><br/>2012 (USD 435,000): SCTF 30,000, SVTF 405,000<br/>2013 (USD 430,000): SCTF 30,000, SVTF 400,000<br/><b>Total (USD 865,000): SCTF 60,000, SVTF 805,000</b></li> </ul> <p><b>Summary:</b><br/>2012 (USD 100,000): SCTF 190,000, SVTF 1,115,000<br/>2013 (USD 70,000): SCTF 150,000, SVTF 1,110,000<br/><b>Total (USD 2,565,000): SCTF 340,000, SVTF 2,225,000</b></p> |
| <b>Resources required for 2014-2015</b>                | <p>2014 (USD 735,500): SVTF 735,500<br/>2015 (USD 622,500): SVTF 622,500<br/><b>Total (USD 1,358,000): SVTF 1,358,000</b></p>  |

<sup>5</sup> Activity moved from Scientific and technical activities “Scientific support to parties to the Stockholm Convention” - Exemptions.

<sup>6</sup> Activity moved from Scientific and technical activities “Scientific support to parties to the Stockholm Convention” - Programme of work on industrial POPs.

<sup>7</sup> Activity moved from Scientific and technical activities “Scientific support to parties to the Stockholm Convention” - programme on minimization and elimination of releases of UPOPs.

**Training and capacity-building activities to enhance the implementation of the Basel, Rotterdam, Stockholm conventions at the regional and national level**

|  |  |
|--|--|
| <b>Programme heading</b>                 | <b>Technical assistance and capacity-building<br/>(b) Capacity-building and training</b>   |
| <b>Title of activity</b>                 | <b>Activity 17 (S2/S3): Training and capacity-building activities to enhance the implementation of the Basel, Rotterdam, Stockholm conventions at the regional and national levels (former S2 and S3 and RC-24<sup>8</sup>)</b>  |
| <b>Convention(s)</b>                     | <input checked="" type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention   |
| <b>Reference to meeting document no</b>  | UNEP/CHW.11/15, UNEP/FAO/RC/COP.6/15, UNEP/POPS/COP.6/18   |
| <b>Mandate</b>                           | Articles 10 and 16 of the Basel Convention, Articles 16 and 19 of the Rotterdam Convention and Articles 12 and 20 of the Stockholm Convention<br>Decisions BC-10/29, BC-10/2, BC-10/3, BC-10/13 and BC-10/23 of the Basel Convention<br>Decisions RC-5/12 ,RC-5/10 and RC-5/14 of the Rotterdam Convention<br>Decision SC-5/27 and SC-5/20 of the Stockholm Convention   |
| <b>Why this activity is important?</b>   | Training and capacity-building serves as a main tool to support parties in the implementation of the specific obligations under the three conventions. The activity will also address lack of information exchange and coordination, which is one of main constraints for the implementation of the conventions. Given that several issues are addressed by two or three conventions, regional training and capacity-building activities in these areas will bring such benefits as cost-effectiveness and efficiency. In addition, this activity will address individual needs of parties which experience difficulties in meeting obligations under two or three conventions, as well as the needs of new parties. To increase the cost-efficiency and effectiveness, training and capacity-building activities will cover issues that are relevant to two or three conventions.   |
| <b>Short description of the activity</b> | <ol style="list-style-type: none"> <li>1. The national, sub-regional and regional training and capacity-building activities will take into account the synergies process and will focus on areas relevant to two or three conventions, as relevant, including: <ol style="list-style-type: none"> <li>a. Training on trade aspects of the Basel, Rotterdam and Stockholm conventions;</li> <li>b. Training for customs officers on the Basel, Rotterdam and Stockholm conventions;</li> <li>c. Training on strengthening national legislation for the sound management of hazardous chemicals and wastes using a life-cycle approach, including the development of legal/administrative and/or institutional frameworks;</li> <li>d. Strengthening coordination and information exchange on chemicals and wastes;</li> <li>e. Training on chemicals / wastes regulated by at least two conventions (e. g. e-wastes and POPs containing wastes);</li> <li>f. Training on mobilization of financial resources;</li> <li>g. Support in developing hazardous chemicals and wastes management programmes;</li> <li>h. Training on risk assessment and management tools;</li> <li>i. Reporting.</li> </ol> </li> <li>2. Cross-cutting issues relevant to the three conventions, such as mobilization of financial resources, national administrative and technical capacities, coordination at the regional/national level, mainstreaming of chemicals and wastes management issues into the development agenda will also be addressed in the trainings.</li> <li>3. The methodologies and training materials, including training manuals, tools, toolkits and other supporting documents will be developed/updated under the activity “Tools and methodologies for training and capacity-building” in order to be used to support the training activities.</li> <li>4. Facilitation of the development and implementation of projects and activities addressed by two or three conventions upon request from parties and in cooperation with regional centres.</li> <li>5. Promotion of measures to support States to become a party to the convention(s) or to ratify, accept, accede to or approve relevant amendments and/or the protocol.</li> </ol> <p><b>Integration of pesticide aspects of the Basel, Stockholm and Rotterdam conventions into the programme of FAO</b></p> |

<sup>8</sup> Integrate Rotterdam specific components in individual field projects of the FAO pesticide management programme.

|  |  |
|--|--|
|  | <ol style="list-style-type: none"> <li>1. Continue work to integrate pesticide aspects of the Basel-, Stockholm- and Rotterdam conventions into the programme of FAO field projects on pesticide management;</li> <li>2. Provide countries with specific expert advice, guidance and assistance on the 3 conventions through activities carried out under FAO field pesticide management projects;</li> <li>3. Contribute to FAO's work on Pest and Pesticide Management, including the Joint Meeting on Pesticides, implementation of the revised Code of Conduct, FAOs work on highly hazardous pesticides and FAOs technical assistance on strengthening pesticide management;</li> <li>4. Technically support regular sessions of regional entities covering pesticides and chemicals management.</li> </ol>   |
| <b>Objective</b>                                       | Supporting parties in the implementation of their obligations under the Basel, Rotterdam and Stockholm conventions   |
| <b>Indicators of achievement</b>                       | <ol style="list-style-type: none"> <li>1. Increased number of parties to any of the three conventions and additional ratifications, acceptance, approval of relevant amendments and/or the protocol;</li> <li>2. Number of parties with institutional frameworks established or revised for the implementation of the chemicals and waste conventions;</li> <li>3. Identification of institutional challenges to and infrastructural gaps in the implementation of the conventions in 10 least developed countries;</li> <li>4. Priority activities for the implementation of the three conventions are identified in 15 countries;</li> <li>5. Number of participants trained in areas pertinent to two or three conventions;</li> <li>6. Number of areas/issues addressed in workshops and training sessions;</li> <li>7. Increased awareness and knowledge of parties related to technical and other issues associated with the implementation of the three conventions;</li> <li>8. Increased awareness of the technical guidelines, guidance documents and other relevant materials and their application by the parties;</li> <li>9. Better understanding of measures needed for the implementation of the three conventions following sharing of experience and lessons learned between parties;</li> <li>10. At least six countries develop a strategy to improve coordination and exchange of information at the national level;</li> <li>11. Number of import responses and notifications submitted to the Secretariat is increased;</li> <li>12. Number of parties reporting is increased;</li> <li>13. Cooperation with key partners for the development of concepts and project proposals for two projects (subject to requests from parties);</li> <li>14. Range of activities related to implementation of 3 conventions integrated in field projects of the FAO pesticide management programme.</li> </ol> |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Sub-regional and regional training activities are implemented (8 workshops, 10 staff travel, 8 consultants, public awareness raising materials);</li> <li>2. Two sub-regional workshop support parties to evaluate the continued need for endosulfan and introduce chemical and non-chemical alternatives;</li> <li>3. 2 workshops held to enhance capacity of parties to regulate lindane and to introduce alternatives;</li> <li>4. National projects are implemented (10 projects, 10 staff travel, 9 consultants, development of public awareness raising material);</li> <li>5. Facilitation, in cooperation with regional centres and key partners, of the development and submission of project proposals to donors (6 staff travels);</li> <li>6. Facilitation, in cooperation with regional centres and key partners, of the development and submission of project proposals to donors (8 staff travels);</li> <li>7. Training tools are translated/published;</li> <li>8. Pesticide aspects under the 3 conventions are integrated into FAO field projects (4 field projects);</li> <li>9. Parties' obligations under the 3 conventions are addressed with assistance from FAO in national strategies to manage pesticides (for consultant and travel);</li> <li>10. Regional entities address pesticide aspects of the 3 conventions issues in their regular programme and meetings.</li> </ol>   |
| <b>Method of implementation (internal or external)</b> | <p>Internal:</p> <ul style="list-style-type: none"> <li>- Staff travel (USD 187,500);</li> <li>- Organization of workshops and other training activities (USD 966,000);</li> <li>- Projects (USD 300,000)</li> <li>- Funding of participants travel to attend inception meetings (USD 116,000);</li> <li>- Information/public awareness material (USD 66,500);</li> <li>- Consultants (USD 10,000);</li> </ul>   |



|   |   |
|---|---|
|   | <p>External:</p> <ul style="list-style-type: none"> <li>- Consultants (USD 360,000);</li> <li>- Publishing /translation (USD 67,500);</li> <li>- Other expenses (USD 2,500);</li> <li>- Field projects implemented in cooperation with FAO.</li> </ul>  |
| <b>Partner(s)</b>                       | Regional centres of the Basel and Stockholm conventions, FAO (pesticides management team and regional offices) and UNEP regional offices, UNEP Chemicals, UNDP, GEF, SAICM, WHO, WCO, other MEAs, WTO, academia, private sector.  |
| <b>Resources approved for 2012-2013</b> | <ul style="list-style-type: none"> <li>• <b>S2:</b><br/>2012 (USD 1,275,000): BCTF 6,650, BDTF 115,000, ROTF 6,700, RVTF 100,000, SCTF 116,650, SVTF 930,000<br/>2013 (USD 1,245,000): BCTF 6,650, BDTF 115,000, ROTF 6,700, RVTF 100,000, SCTF 86,650, SVTF 930,000<br/><b>Total (USD 2,520,000): TFs 230,000, VFs 2,290,000</b></li> <li>• <b>S3:</b><br/>2012 (USD 666,300): BCTF 9,150, BDTF 259,000, ROTV 0, RVTF 120,000, SCTF 9,150, SVTF 269,000<br/>2013 (USD 669,700): BCTF 10,800, BDTF 259,000, ROTV 0, RVTF 120,000, SCTF 10,900, SVTF 269,000<br/><b>Total (USD 1,336,000): TFs 40,000, VFs 1,296,000</b></li> <li>• <b>RC-24:</b><br/>2012 (USD 152,500): RVTF 152,500<br/>2013 (USD 98,500): RVTF 98,500<br/><b>Total (USD 251,000): RVTF 251,000</b></li> <li><b>Summary:</b><br/>2012 (USD 2,093,800): TFs 148,300, VFs 1,945,500<br/>2013 (USD 2,013,200): TFs 121,700, VFs 1,891,500<br/><b>Total (USD 4,107,000): TFs 270,000 , VFs 3,837,000</b></li> </ul> |
| <b>Resources required for 2014-2015</b> | <p>2014 (USD 1,003,500): BDTF 236,000, RVTF 473,500, SVTF 294,000<br/>2015 (USD 1,072,500): BDTF 316,000, RVTF 419,500, SVTF 337,000<br/><b>Total (USD 2,076,000): VFs 2,076,000</b></p>  |

## (c) Partnerships

### Partnerships for Technical Assistance

|  |  |
|--|--|
| <b>Programme heading</b>                 | <b>Technical assistance and capacity-building<br/>(c) Partnerships</b>   |
| <b>Title of activity</b>                 | <b>Activity 18 (S4): Partnerships for technical assistance (former S4, BC-20, BC-21, BC-26 and the partnership part of BC-47)</b>  |
| <b>Convention(s)</b>                     | <input checked="" type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention   |
| <b>Reference to meeting document no</b>  | UNEP/CHW.11/6 , UNEP/CHW/COP.11/15 and UNEP/CHW/COP.11/INF/21  |
| <b>Mandate</b>                           | Synergies decisions (BC-IX/10, RC-4/11, SC-4/34)<br>Decision BC-10/19 on the Basel Convention Partnership Programme<br>Decision BC-20 of the Basel Convention on the Partnership for Action on Computing Equipment   |
| <b>Why this activity is important?</b>   | Involvement and cooperation with partners has a multiplier effect for the implementation of the BC, RC and SC because convention specific issues are taken up and/or strengthened within the work programmes of partners or in programmes of mutual interest among several partners. In partnerships the cooperating partners bring in in-kind contributions and/or respective budgets, therefore partnerships have a substantial resource mobilization effect for capacity-building and technical assistance.<br><br>Under this activity, the Secretariat also facilitates the parties-driven Partnership for Action on Computing Equipment (PACE) to develop e-waste guidelines, proposals for incentives and certification schemes and pilot activities and awareness raising activities for e-waste management.  |
| <b>Short description of the activity</b> | <b>PACE</b><br><ol style="list-style-type: none"> <li>1. Review and update the plan for establishing and implementing strategic partnerships with key partners (BC, RC, SC);</li> <li>2. Serve as secretariat of the PACE and facilitate its operation and activities: <ol style="list-style-type: none"> <li>a. Organize one physical meeting per year;</li> <li>b. Organize teleconferences of the Partnership for Action and Computing Equipment (PACE) working group and sub-groups;</li> <li>c. Support the revision and finalization of the overall guidance document and technical guidelines including the transboundary movement (TBM) section and advice on incentives and certification schemes;</li> <li>d. Facilitate PACE pilot projects in developing countries through the Basel Convention regional and coordinating centres (BCRCs);</li> <li>e. Facilitate dissemination of PACE outreach material.</li> </ol> </li> </ol> <b>Other partnerships</b><br><ol style="list-style-type: none"> <li>1. Promote the implementation of partnerships already established by other partners;</li> <li>2. Initiate new partnerships: <ol style="list-style-type: none"> <li>a. Promote training and development of innovative and alternative technologies relevant to the conventions through partnership with academic institutions and education and science-related UN organizations like UNU and UNESCO (BC, RC, SC);</li> <li>b. Strengthen the involvement of private sector partners and public interest NGOs in convention implementation related activities, like e-waste collection and take-back systems, awareness-raising campaigns with the general public (BC, RC, SC);</li> <li>c. Promote initiatives to establish inter-regional cooperation, like the EU-Africa e-waste enforcement partnership, information exchange networks (BC, RC, SC);</li> </ol> </li> <li>3. Carry out an evaluation / assessment of the outcomes of partnerships undertaken (BC, RC, SC).</li> </ol> |
| <b>Objective</b>                         | Strategic partnerships with key partners, including academia and other MEAs, support the joint implementation of the Basel, Rotterdam and Stockholm conventions.   |

|   |  |
|---|--|
| <p><b>Indicators of achievement</b></p>                       | <ol style="list-style-type: none"> <li>1. Plan on strategic partnerships with key partners is updated and published on website;</li> <li>1. Overall PACE Guidance Document available on the web in languages;</li> <li>2. Guidance on incentives and certification schemes developed;</li> <li>3. One PACE pilot project in a developing country or country with economy in transition (CEIT) implemented;</li> <li>4. Up to two PACE awareness raising workshops carried out through the regional centres;</li> <li>5. Partner agreements for ozone depleting substances (ODS) / POPs and used lead-acid batteries (ULAB) partnerships in Africa and Asia finalized;</li> <li>6. Indicators for e-waste statistics developed and pilot tested;</li> <li>7. Number of projects in developing countries and countries with economies in transition (CEIT) that include provisions for the application of technical guidelines developed under the conventions;</li> <li>8. Number of participants that have attended academic courses related to conventions;</li> <li>9. Number of inspectors and/or competent authorities that have exchanged administrative and awareness raising experience;</li> <li>10. Partnerships evaluation report finalized and published.</li> </ol>  |
| <p><b>Expected outputs</b></p>                                | <p><b>PACE:</b></p> <ol style="list-style-type: none"> <li>1. Two physical PACE meetings held (one meeting each year);</li> <li>2. Overall Guidance Document and technical guidelines on e-waste management and guidance on incentives and certification schemes for e-waste management developed (for 2 consultants);</li> <li>3. One pilot project implemented (sub-contracts);</li> <li>4. Two awareness raising workshops co-funded (for 2 workshops);</li> <li>5. PACE leaflet updated, translated and published;</li> <li>6. PACE guidelines finalized, translated and published.</li> </ol> <p><b>Other partnerships:</b></p> <ol style="list-style-type: none"> <li>1. ODS/POPs partnership extended to Africa and Asia regions (staff time and travel);</li> <li>2. Convention related input provided to the Global Partnership on Waste Management (GPWM): only staff time and travels;</li> <li>3. Academic institutions offer trainings and up to 60 participants have attended academic courses related to the conventions (for participants travel and training fees for up to 60 participants);</li> <li>4. Implementation of the technical guidelines on mercury waste is monitored and documented: only staff time and travel;</li> <li>5. Indicators for e-waste statistics available and presented: only staff time and travel;</li> <li>6. 2 regional ULAB strategies developed through interregional expert meetings (for 2 expert travels);</li> <li>7. Technical guideline on ESM of biomedical and healthcare wastes is promoted through ISWA/WHO healthcare guidelines: only staff time and travels;</li> <li>8. Inspectors/competent authorities are trained and have exchanges experience (2 subcontracts of the European Union Network for the Implementation and Enforcement of Environmental Law (IMPEL) and the Basel Convention Coordinating Centre in Nigeria (BCCC-Nigeria);</li> <li>9. Regional meetings implemented (2 meetings);</li> <li>10. Evaluation and outcome assessment report on partnerships undertaken by the Secretariat, translation and layout/editing of report summary leaflet (for 1 consultant and layout and editing).</li> </ol> |
| <p><b>Method of implementation (internal or external)</b></p> | <p><b>PACE:</b></p> <p>Internal:</p> <ul style="list-style-type: none"> <li>- Layout, translation and publishing of PACE leaflet and guidelines which will not be translated as COP document (USD 37,000);</li> <li>- Travel and DSA for participants of PACE meetings (USD 140,000);</li> </ul> <p>External:</p> <ul style="list-style-type: none"> <li>- Physical meetings, awareness raising workshops and projects organized through the regional centres (USD 140,000);</li> <li>- Consultancies for technical support in guideline development and meetings (USD 200,000).</li> </ul> <p><b>Other partnerships:</b></p> <p>Internal:</p> <ul style="list-style-type: none"> <li>- Travel of regional centres staff and experts (USD 100,000);</li> <li>- Translation, layout, editing (USD 5,000);</li> </ul>  |

|   |  |
|---|--|
|   | <p>External:</p> <ul style="list-style-type: none"> <li>- Travel and course fee of participants to attend convention related academic courses;</li> <li>- Training and information exchange seminars and meetings through regional centres (subcontracts);</li> <li>- Feasibility study and evaluation through consultants.</li> </ul>   |
| <b>Partner(s)</b>                       | <p>Regional centres of the Basel and Stockholm conventions, UNEP DTIE International Environmental Technology Centre (IETC), UNEP DTIE Chemicals, UN University/StEP, academic institutions, International Telecommunication Union (ITU), United Nations Conference on Trade and Development (UNCTAD), Economic Commission for Latin America and the Caribbean (ECLAC), Green Lead Initiative, International Solid Waste Association (ISWA), WHO, European Union Network for the Implementation and Enforcement of Environmental Law (IMPEL).</p>   |
| <b>Resources approved for 2012-2013</b> | <ul style="list-style-type: none"> <li>• <b>BC-20</b><br/>                 2012 (USD 275,000): BCTF 25,000, BDTF 250,000<br/>                 2013 (USD 275,000): BCTF 25,000, BDTF 250,000<br/> <b>Total (USD 550,000): BCTF 50,000, BDTF 500,000</b> </li> <li>• <b>S4</b><br/>                 2012 (USD 200,000): BDTF 100,000, SVTF 100,000<br/>                 2013 (USD 200,000): BDTF 100,000, SVTF 100,000<br/> <b>Total (USD 400,000): BDTF 200,000, SVTF 200,000</b> </li> <li>• <b>BC-21</b><br/>                 2012 (USD 5,000): BCTF 5,000<br/>                 2013 (USD 5,000): BCTF 5,000<br/> <b>Total (USD 10,000): BCTF 10,000</b> </li> <li>• <b>BC-26</b><br/>                 2012: 0<br/>                 2013 (USD 30,000): BDTF 30,000<br/> <b>Total (USD 30,000): BDTF 30,000</b> </li> <li>• <b>BC-47</b> – only the part of the budget of this activity that was allocated for partnerships (budgeted before under former BC-22 which was merged with BC-47 during COP10)<br/>                 2012 (USD 20,000): BCTF 20,000<br/>                 2013 (USD 20,000): BCTF 20,000<br/> <b>Total (USD 40,000): BCTF 40,000</b> </li> </ul> <p><b>Summary :</b><br/>                 2012 (USD 500,000): TFs 50,000, VFs 450,000<br/>                 2013 (USD530,000): TFs 50,000, VFs 480,000<br/> <b>Total (USD 1,030,000): TFs 100,000, VFs 930,000</b></p> |
| <b>Resources required for 2014-2015</b> | <p>2014 (USD 612,000): BCTF 22,000, BDTF 382,500, RVTF 100,000, SVTF 107,500<br/>                 2015 (USD 475,000): BCTF 25,000, BDTF 282,500, RVTF 80,000, SVTF 87,500<br/> <b>Total (USD 1,087,000): TFs 47,000, VFs 1,040,000</b></p>   |

## (d) Regional centres

### Coordination of and support to the Basel and Stockholm Convention regional centres (former BC-15 and SC-14) and Cooperation and coordination between regional centres

|  |  |
|--|--|
| <b>Programme heading</b>                 | <b>Technical Assistance and Capacity-building<br/>(d) Regional centres</b>   |
| <b>Title of activity</b>                 | <b>Activity 19 (S8/S9): Coordination of and support to the Basel and Stockholm Convention regional centres (former BC-15 and SC-14) and cooperation and coordination between regional centres (S8, S9)</b>   |
| <b>Convention(s)</b>                     | <input checked="" type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention   |
| <b>Reference to meeting document no</b>  | UNEP/CHW.11/5 and UNEP/POPS/COP.6/19 UNEP/CHW.11/INF/21  |
| <b>Mandate</b>                           | Article 14 of the Basel Convention and Article 12 of the Stockholm Convention Decisions BC-10/3, BC-10/4, BC-10/23, BC-10/27 and BC-10/29 of the Basel Convention Decisions SC-5/20, SC-5/21 and SC-5/27 of the Stockholm Convention Decision RC-5/12 of the Rotterdam Convention  |
| <b>Why this activity is important?</b>   | The activity is aimed at strengthening and ensuring the smooth functioning of the regional centres under the Basel and Stockholm conventions and at meeting the technical assistance needs of parties for the implementation of the Basel, Rotterdam and Stockholm conventions. In addition to providing support to the regional centres, assistance will be made available to the parties in the process of selection, operation and performance evaluation of the centres as applicable.   |
| <b>Short description of the activity</b> | <p><b>Strengthening of the regional centres</b></p> <ol style="list-style-type: none"> <li>1. Further strengthen the regional centres by integrating the activities mentioned in decision BC-10/3 (Basel Convention regional centres (BCRCs));</li> <li>2. Maintain constant communication with regional centres to facilitate the exchange of information on issues relating to technical assistance and capacity-building at the national and regional levels;</li> <li>3. Assist regional centres in preparing their business plans or work plans and their activity reports, e.g., by providing guidance, relevant information and templates etc.;</li> <li>4. Follow up on the timely submission of business plans or work plans and activity reports by the regional centres;</li> <li>5. Collect and manage information that is required for the evaluation of performance and sustainability of the centres relating to Stockholm Convention regional centres (SCRCs);</li> <li>6. Organize orientation programmes (train the trainers) aimed at disseminating new guidelines/guidance developed under the conventions through the regional centres;</li> <li>7. Facilitate the establishment and functioning of regional coordination/cooperation networks among the regional centres;</li> <li>8. Provide necessary coordination and facilitation of the tasks of regional centres including for the implementation of pilot projects as and when necessary;</li> <li>9. Promote the centres as regional nodes for the information exchange under the clearing-house mechanism for the conventions;</li> <li>10. Provide guidance on the implementation of the objectives of the Strategic Framework at the regional level;</li> <li>11. Monitor the implementation of Framework Agreements.</li> </ol> <p><b>Coordination and cooperation among centres</b></p> <ol style="list-style-type: none"> <li>1. Facilitate networking and cooperation between centres inside and beyond regional boundaries through support from international programmes;</li> <li>2. Organize annual meetings of the Basel and Stockholm convention regional centres and, if needed, with FAO and UNEP regional offices;</li> <li>3. Cooperate on issues of common interest such as technical assistance, institutional and management approaches;</li> <li>4. Basel and Stockholm convention regional centres serve as an entry point for parties to channel their needs for technical assistance;</li> <li>5. Regularly exchange information on regional centres' work plans, business plans and activities through the use of electronic means, with a view to enhancing coordination of regional activities and strategies and identifying opportunities for the development of joint activities;</li> <li>6. Develop joint sub/regional project proposals through intersessional working groups under co-ordination of the Secretariat.</li> </ol> |

|  |   |
|--|---|
| <b>Objective</b>                                       | <ol style="list-style-type: none"> <li>1. Enhanced cooperation and coordination among the Basel and Stockholm convention centres and identification of opportunities for the development of joint activities;</li> <li>2. Enhanced coordination and collaboration between the regional centres, regional offices and other entities engaged in technical assistance at the regional level.</li> </ol>   |
| <b>Indicators of achievement</b>                       | <ol style="list-style-type: none"> <li>1. Business plans, work plans and activity reports by the centres are received in a timely manner;</li> <li>2. Number of activities executed by the regional centres;</li> <li>3. Number of projects executed by the regional centres;</li> <li>4. Number of activities/projects for which the regional centres mobilize resources for their implementation;</li> <li>5. Parties receive adequate technical assistance in the areas of their need through regional centres;</li> <li>6. A systematic and scientific performance evaluation methodology based on performance evaluation criteria is in place.</li> </ol>  |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Coordination of technical assistance and information exchange activities (4 regional consultation meetings<sup>9</sup>);</li> <li>2. Enhanced governance and operation of the regional centres (8 visits to regional centres-2 centres/year for BCRCs and SCRCs);</li> <li>3. 1 annual meeting of the Basel Convention regional centres (travel and DSA for 13 centres, conference services);</li> <li>4. 1 annual meeting of the Stockholm Convention regional centres (travel and DSA for 13 centres, conference services);</li> <li>5. 1 Joint meeting of the Basel and Stockholm Convention regional centres (travel and DSA for 26 centres, conference services) and the UNEP and FAO regional offices (no budget included);</li> <li>6. A minimum of 6 webinars (the cost of the webinars are included in the webinar proposal);</li> <li>7. Information material (for development and for publishing/translation);</li> <li>8. Improved financial resource efficiency in delivering technical assistance (staff time);</li> <li>9. Basel and Stockholm convention regional centres exercise a more synergistic approach as delivery mechanisms under the Basel, Rotterdam and Stockholm conventions (a minimum of 4 webinars budgeted under the activity on webinars and 3 coordination visits to regional centres per year);</li> <li>10. Joint activities developed and implemented by regional centres on a bilateral or multilateral basis (for 4 activities);</li> <li>11. Increased satisfaction of the parties to the three conventions receiving technical assistance from the Basel and Stockholm convention regional centres (for development, translation and publishing of information materials);</li> <li>12. Reporting to the conferences of the parties to the Basel, Rotterdam and Stockholm conventions (staff costs only).</li> </ol> |
| <b>Method of implementation (internal or external)</b> | <p>Internal:</p> <ul style="list-style-type: none"> <li>- Staff travel (USD 70,000);</li> <li>- Travel and DSA of meeting participants (USD183,600);</li> <li>- Information/public awareness material (USD 46,000);</li> </ul> <p>External:</p> <ul style="list-style-type: none"> <li>- Subcontracts (USD 240,000);</li> <li>- Publishing/translation (USD 85,000).</li> </ul>   |

<sup>9</sup> Support for regional consultations on specific issues, including the setting up of information exchange platforms, building country profile of the parties they support, etc

|   |  |
|---|--|
| <b>Partner(s)</b>                       | Basel Convention regional and coordinating centres for training and technology transfer, Stockholm Convention regional and sub-regional centres for capacity-building and the transfer of technology, UNEP multilateral environmental agreement focal points for chemicals and wastes, FAO regional offices and FAO sub-regional offices.  |
| <b>Resources approved for 2012-2013</b> | <ul style="list-style-type: none"> <li>• <b>BC-15</b><br/>2012 (USD 10,000): BCTF 10,000<br/>2013 (USD 10,000): BCTF 10,000<br/><b>Total (USD 20,000): BCTF 20,000</b></li> <li>• <b>SC-14</b><br/>2012 (USD 50,000): SCTF 50,000<br/>2013 (USD 30,000): SCTF 30,000<br/><b>Total (USD 80,000): SCTF 80,000</b></li> <li>• <b>S8</b><br/>2012 (USD 100,000): BDTF 37,500, RVTF 22,500, SVTF 40,000<br/>2013 (USD 100,000): BDTF 37,500, RVTF 22,500, SVTF 40,000<br/><b>Total (USD 200,000): BDTF 75,000, RVTF 45,000, SVTF 80,000</b></li> <li>• <b>S9</b><br/>2012 (USD 50,000): BDTF 10,000, RVTF 20,000, SVTF 20,000<br/>2013 (USD 50,000): BDTF 10,000, RVTF 20,000, SVTF 20,000<br/><b>Total (USD 100,000): BDTF 20,000, RVTF 40,000, SVTF 40,000</b></li> </ul> <p><b>Summary:</b><br/>2012 (USD 210,000): TFs 60,000, VFs 150,000<br/>2013 (USD 190,000): TFs 40,000, VFs 150,000<br/><b>Total (USD 400,000): TFs 100,000, VFs 300,000</b></p> |
| <b>Resources required for 2014-2015</b> | <p>2014 (USD 392,050): BCTF 70,500, BDTF 90,000, RVTF 48,000; SCTF 90,050, SVTF 93,500<br/>2015 (USD 287,300): BCTF 5,000, BDTF 99,650, RVTF 58,000; SCTF 25,000, SVTF 99,650<br/><b>Total (USD 679,350): TFs 190,550, VFs 488,800</b></p>   |

### 3. Scientific and technical activities

#### Scientific support to parties to the Basel Convention

| Programme heading                        | Scientific and technical activities  |
|--|--|
| <b>Title of activity</b>                 | <b>Activity 20 (S7): Scientific support to parties to the Basel Convention (former BC-12, BC-13, S7)</b>   |
| <b>Convention(s)</b>                     | <input checked="" type="checkbox"/> Basel Convention<br><input type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b>  | UNEP/CHW.11/7, UNEP/CHW.11/7/Add.1, UNEP/CHW.11/INF/16, UNEP/CHW.11/INF/17, UNEP/CHW.11/8, UNEP/CHW.11/9, UNEP/POPS/COP.6/14   |
| <b>Mandate</b>                           | <p><b>Technical Guidelines for POPs Waste Management</b><br/>           Synergies decisions (BC-IX/10, SC-4/34), Section II C, paragraphs 4 and 5; Omnibus decisions (BC.Ex-1/1, SC.Ex-1/1).</p> <p><b>Technical Guidelines for ESM of hazardous waste (HW)</b><br/>           BC-12: VIII/17, BC-10/5, BC-10/25, BC-10/27</p> <p><b>Scientific advisory support to parties</b><br/>           BC-13: BC-10/10, BC-10/11, BC-10/15, BC-10/25, BC-10/27, SC-5/9</p>   |
| <b>Why this activity is important?</b>   | <p>The COPs mandated the Secretariat to update the technical guidelines on POPs, as well as developing new ones, as appropriate. There is a need to ensure the coherent application of the obligations under the two conventions, related to scientific and technical issues that can promote the environmentally sound management of industrial chemicals and pesticides.</p> <p>The OEWG-8 agreed on a work plan for the updating and developing of new technical guidelines on POPs which would be considered by COP-11 of the Basel Convention. Some technical guidelines adopted by previous COPs need to be updated and the COP-8 has mandated the work, however, due to lack of resources the work has not started. These are included in the present POW.</p> <p>In addressing continuous needs of parties, the Secretariat provides technical advice, either through guidelines development, emails and, meetings. Inputs into various processes at the international levels are also required, for instance with the WCO, OECD, UNEP, SAICM, etc.</p>  |
| <b>Short description of the activity</b> | <p><b>Technical Guidelines for POPs Waste Management</b></p> <ol style="list-style-type: none"> <li>1. Continue updating the general technical guidelines for ESM of wastes containing POPs to also cover the newly listed POPs;</li> <li>2. Continue developing specific technical guidelines for ESM of wastes containing PFOS;</li> <li>3. Update the specific technical guidelines for ESM of wastes containing pesticides to also cover alpha hexachlorocyclohexane, beta hexachlorocyclohexane, chlordecone, lindane, endosulfan and pentachlorobenzene;</li> <li>4. Update the specific technical guidelines for ESM of wastes containing PCBs, PCTs or PBBs to also cover hexabromobiphenyl;</li> <li>5. Update the specific technical guidelines for ESM of wastes containing unintentionally produced PCDDs, HCB or PCBs to also cover pentachlorobenzene;</li> <li>6. Develop (new) specific technical guidelines for ESM of wastes containing commercial octabromodiphenyl ether and commercial pentabromodiphenyl ether;</li> <li>7. Continuously identify scientific and technical issues that cut across the three conventions and relate to the work of the three scientific subsidiary bodies and develop activities, such as webinars and communication materials, to enhance parties' understanding of these issues;</li> <li>8. Provide further technical and scientific information and guidance to the parties to the three conventions to allow them to deal with the chemicals and persistent organic pollutant wastes, in particular the newly listed ones;</li> <li>9. Provide guidance and technical information to parties to the three conventions to assist them to manage chemicals and wastes following a life cycle approach.</li> </ol> <p><b>Technical guidelines for ESM of HW</b></p> |



|                                  |   |
|----------------------------------|---|
|                                  | <ol style="list-style-type: none"> <li>1. Facilitate and oversee the update of technical guidelines for: <ol style="list-style-type: none"> <li>a. wastes collected from households; and</li> <li>b. technical guidelines for incineration on land.</li> </ol> </li> </ol> <p><b>Scientific advisory support to parties.</b></p> <ol style="list-style-type: none"> <li>1. Provide guidance and scientific/technical advisory support to parties on the technical aspects of the conventions including technologies, illegal traffic issue, environmental impacts of wastes and emerging chemical and wastes issues;</li> <li>2. Represent the Secretariat at international meetings related to the provision of support to parties in scientific/technical matters, e.g., proposals for inclusion of some waste streams in the harmonized system (HS) codes to the World Customs Organization Harmonized System Committee (WCO HSC), OECD Working Groups, INCs of mercury, etc.</li> </ol>   |
| <b>Objective</b>                 | <p><b>Technical Guidelines for POPs Waste Management</b></p> <ol style="list-style-type: none"> <li>1. To provide parties to the Basel, Rotterdam and Stockholm conventions with technical and scientific information and guidance enabling them to manage wastes containing the ten persistent organic pollutants listed under the Stockholm Convention in 2009 and 2010, in an environmentally sound manner.</li> <li>2. To identify common scientific and technical issues among the conventions in order to support the work of and coordination among the scientific bodies of the conventions.</li> </ol> <p><b>Technical guidelines for ESM of HW</b></p> <ol style="list-style-type: none"> <li>1. To develop technical guidelines, manual, tools and case studies on scientific/technical aspects of the ESM of hazardous and other wastes, other than POPs Waste, facilitated and overseen, as reflected in the Work Programme of the Basel Convention.</li> </ol> <p><b>Scientific advisory support to parties.</b></p> <ol style="list-style-type: none"> <li>1. To provide guidance and scientific/technical advisory support to parties as requested and necessary.</li> </ol>  |
| <b>Indicators of achievement</b> | <p><b>Technical Guidelines for POPs Waste Management</b></p> <ol style="list-style-type: none"> <li>1. The update of the general technical guidelines for ESM of wastes containing POPs to also cover the newly listed POPs is facilitated;</li> <li>2. The work on development and or updating of specific technical guidelines for ESM of wastes containing the following POPs to cover newly listed POPs relevant to each document are facilitated: <ol style="list-style-type: none"> <li>a. PFOS;</li> <li>b. Pesticides;</li> <li>c. PCBs, PCTs or PBBs;</li> <li>d. Unintentionally produced PCDDs, HCB or PCBs ;</li> <li>e. BDEs (Commercial octabromodiphenyl ether and commercial pentabromodiphenyl ether);</li> </ol> </li> <li>3. Parties to the Basel, Rotterdam and Stockholm conventions receive technical and scientific information and guidance to support them in dealing with the chemicals and new persistent organic pollutant wastes, in particular the newly listed ones;</li> <li>4. Parties to the three conventions receive guidance on scientific and technical issues to support them in implementing a life-cycle approach to sound chemicals management.</li> </ol> <p><b>Technical guidelines for ESM of HW</b></p> <ol style="list-style-type: none"> <li>1. The update of technical guidelines for wastes collected from households and technical guidelines for incineration on land according to the prevailing Work Programme is facilitated.</li> </ol> <p><b>Scientific advisory support to parties</b></p> <ol style="list-style-type: none"> <li>1. Parties' inquiries related to scientific/technical matters within the Secretariat's capacity are addressed in an efficient and timely manner;</li> <li>2. Parties' objectives in implementing the Convention are accomplished and supported at international meetings/fora.</li> </ol> |

|   |   |
|---|---|
| <p><b>Expected outputs</b></p>                                | <p><b>Development of technical Guidelines for POPs Waste Management</b></p> <ol style="list-style-type: none"> <li>1. Updated general technical guidelines for ESM of wastes containing POPs to also cover the newly listed POPs (for translations and e-publication design)</li> <li>2. Draft specific technical guidelines for ESM of wastes containing PFOS (for translations and e-publication design)</li> <li>3. Updated specific technical guidelines for ESM of wastes containing pesticides to also cover alpha hexachlorocyclohexane, beta hexachlorocyclohexane, chlordecone, lindane, endosulfan and pentachlorobenzene (consultancy, translations and e-publication design cost)</li> <li>4. Updated specific technical guidelines for ESM of wastes containing PCBs, PCTs or PBBs to also cover hexabromobiphenyl (consultancy, translations and e-publication design costs)</li> <li>5. Updated specific technical guidelines for ESM of wastes containing unintentionally produced PCDDs, HCB or PCBs to also cover pentachlorobenzene (consultancy, translations and e-publication design costs)</li> <li>6. Draft specific technical guidelines for ESM of wastes containing commercial octabromodiphenyl ether and commercial pentabromodiphenyl ether (consultancy, translations and e-publication design costs)</li> <li>7. Scientific and technical issues that cut across the three conventions and relate to the work of the three scientific subsidiary bodies are continuously identified (staff costs only).</li> </ol> <p><b>Development of technical guidelines for ESM of HW</b></p> <ol style="list-style-type: none"> <li>1. Technical guidelines for transboundary movement of E-Waste (adopted in 2013) are translated into UN languages and disseminated in softcopy form;</li> <li>2. Technical guidelines for wastes collected from households are updated and disseminated in softcopy form;</li> <li>3. Technical guidelines for incineration on land are updated and disseminated in softcopy form.</li> </ol> <p><b>Scientific advisory support to parties</b></p> <ol style="list-style-type: none"> <li>1. Scientific and technical advice is provided (staff costs only);</li> <li>2. Parties' objectives in implementing the Convention are supported at international meetings for a (travel costs);</li> <li>3. Proposals for submission to WCO-HSC are prepared (for consultancy).</li> </ol> |
| <p><b>Method of implementation (internal or external)</b></p> | <p>Internal:</p> <p><b>Development of technical Guidelines for POPs Waste Management</b></p> <ul style="list-style-type: none"> <li>- Consultancies to prepare/revise the guidelines (USD 120,000);</li> <li>- Printing/translation of various guidelines (USD 40,000).</li> </ul> <p><b>Development of technical guidelines for ESM of HW</b></p> <ul style="list-style-type: none"> <li>- Consultancies to update the technical guidelines (USD 100,000);</li> <li>- Translation and layout of e-publications of technical guidelines (USD 30,000).</li> </ul> <p><b>Scientific advisory support to parties</b></p> <ul style="list-style-type: none"> <li>- Consultancy to assist in preparation of documents for submission to WCO-HSC (USD 30,000);</li> <li>- Staff travel to meetings (USD 40,000).</li> </ul>   |
| <p><b>Partner(s)</b></p>                                      | <p>Parties, regional centres of the Basel and Stockholm conventions, other relevant international organizations within the UN system, industrial associations, NGOs, and other relevant stakeholders.</p>   |

|  |  |
|--|--|
| <p><b>Resources approved for 2012-2013</b></p> | <ul style="list-style-type: none"> <li>• <b>S7</b><br/>                     2012 (USD 37,500): BDTF 17,500, SVTF 20,000<br/>                     2013 (USD 37,500): BDTF 17,500, SVTF 20,000<br/> <b>Total (75,000): BDTF 35,000, SVTF 40,000</b></li> <br/> <li>• <b>BC-12</b><br/>                     2012 (USD 35,000): BCTF 35,000<br/>                     2013 (USD 35,000): BCTF 35,000<br/> <b>Total (USD 70,000): BCTF 70,000</b></li> <br/> <li>• <b>BC-13</b><br/>                     2012 (USD 10,000): BCTF 10,000<br/>                     2013 (USD 10,000): BCTF 10,000<br/> <b>Total (USD 20,000): BCTF 20,000</b></li> <br/> <li><b>Summary:</b><br/>                     2012 (USD 82,500): BCTF 45,000, BDTF 17,500, SVTF 20,000<br/>                     2013 (USD 82,500): BCTF 45,000, BDTF 17,500, SVTF 20,000<br/> <b>Total (USD 165,000): BCTF 90,000, BDTF 35,000, SVTF 40,000</b></li> </ul> |
| <p><b>Resources required for 2014-2015</b></p> | <p>2014 (USD 200,000): BDTF 110,000 SVTF 90,000<br/>                     2015 (USD 150,000): BDTF 85,000 SVTF 65,000<br/> <b>Total (350,000): BDTF 195,000, SVTF 155,000</b></p>   |

**Scientific Support to the parties of the Rotterdam Convention**

| <b>Programme heading</b>                 | <b>Scientific and technical activities</b>  |
|--|---|
| <b>Title of activity</b>                 | <p><b>Activity 21 (RC): Scientific Support to parties to the Rotterdam Convention:</b></p> <ul style="list-style-type: none"> <li>• Support to parties to increase numbers of notifications (former RC-16 *)</li> <li>• Information exchange and PIC procedure, including PIC Circular (former RC-29 *, RC-31)</li> <li>• Support to the meeting of the CRC</li> <li>• Decision guidance document (DGD) preparation (former RC-32)</li> </ul>   |
| <b>Convention(s)</b>                     | <input type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b>  | UNEP/FAO/RC/COP.6/16, UNEP/FAO/RC/COP.6/6   |
| <b>Mandate</b>                           | Articles 4, 5, 6, 7, 10, 11, 13 and 14 of the Rotterdam Convention (RC-29, 31)<br>Article 7 (RC-32)   |
| <b>Why this activity is important</b>    | These activities form the scientific basis of operations related to Rotterdam Convention, and support the main information exchange and scientific body mechanisms mandated by the Convention.  |
| <b>Short description of the activity</b> | <p><b>Support to parties to increase numbers of notifications</b></p> <ol style="list-style-type: none"> <li>1. Develop additional training and guidance material, as appropriate;</li> <li>2. Contact regional centres and FAO field offices frequently for information on actions taken by parties related to chemicals;</li> <li>3. Send periodic reminders to DNAs;</li> <li>4. Prepare a survey to identify parties with legislation on industrial chemicals that provide for the ban or severe restriction of those chemicals.</li> </ol> <p><b>Information exchange and PIC procedure, including PIC Circular</b></p> <ol style="list-style-type: none"> <li>1. Provide support to parties on the PIC procedure and information exchange provisions of the Convention as requested;</li> <li>2. Review information submitted by parties under these provisions;</li> <li>3. Liaise and coordinate with parties, as necessary;</li> <li>4. Process the information submitted including archive, data entry, verification and other internal actions;</li> <li>5. Publish information on the website and in the PIC Circular in three languages (English, French and Spanish);</li> <li>6. Produce, publish and circulate the PIC Circular publication and the register of Designated National Authorities (DNAs).</li> </ol> <p><b>Support to the meeting of the CRC</b></p> <ol style="list-style-type: none"> <li>1. Verify information on new notifications, and request other necessary information for the work of the CRC;</li> <li>2. Coordinate and facilitate the work of task groups;</li> <li>3. Prepare meeting documents.</li> </ol> <p><b>Decision guidance document (DGD) preparation</b></p> <ol style="list-style-type: none"> <li>1. Facilitate the inter-sessional drafting groups in the preparation of draft DGDs;</li> <li>2. Facilitate the translation of draft DGDs in 6 UN languages;</li> <li>3. Present draft DGDs to the CRC for finalization;</li> <li>4. Update all language versions of final draft DGDs as approved by the CRC;</li> <li>5. Disseminate final draft DGDs in 6 languages to all parties 6 months prior to the COP.</li> </ol> |

|   |   |
|---|---|
| <p><b>Objective(s)</b></p>                                    | <p><b>Support to parties to increase numbers of notifications</b></p> <ol style="list-style-type: none"> <li>1. More parties submit more notifications on final regulatory actions to ban or severely restrict chemicals.</li> </ol> <p><b>Information exchange and PIC procedure, including PIC Circular</b></p> <ol style="list-style-type: none"> <li>1. To support parties in the operation of the Prior Informed Consent procedure and information exchange provisions of the Convention through the review of information submitted and liaison made with parties concerning Designated National Authorities, Official Contact Points, notifications of final regulatory action, proposals for severely hazardous pesticides formulations, import responses for Annex III chemicals and other relevant information;</li> <li>2. To communicate information requested in Articles 4, 5, 6, 7, 10, 11, 13 and 14 of the Convention to parties.</li> </ol> <p><b>Support to the meeting of the CRC</b></p> <ol style="list-style-type: none"> <li>1. To support the work of task groups, intersessional drafting groups and any other scientific work pertinent to the CRC.</li> </ol> <p><b>Decision guidance document (DGD) preparation</b></p> <ol style="list-style-type: none"> <li>1. To facilitate the preparation, translation and dissemination of the DGDs.</li> </ol> |
| <p><b>Indicators of achievement</b></p>                       | <ol style="list-style-type: none"> <li>1. Higher number of notifications submitted compared to previous biennium;</li> <li>2. Information submitted by parties in accordance with various Articles is reviewed and addressed in a timely manner;</li> <li>3. Information requests from parties are addressed in a timely manner;</li> <li>4. PIC Circular published twice each year, within deadlines, with complete and correct information;</li> <li>5. Scientific work of CRC is facilitated;</li> <li>6. Draft DGDs are produced by the CRC according to the work plan;</li> <li>7. Final draft DGDs translated in 6 UN languages and disseminated to all parties 6 months prior to the meeting of the Conference of the parties.</li> </ol>  |
| <p><b>Expected outputs</b></p>                                | <p><b>Support to parties to increase numbers of notifications</b></p> <ol style="list-style-type: none"> <li>1. Additional material to provide guidance and assist with making notifications prepared (consultant for 40 days and translation to 6 languages);</li> <li>2. Survey report on industrial chemicals legislation (time of staff + intern);</li> <li>3. Working directly with parties for notifications (staff time);</li> <li>4. Emphasis on notifications in workshops (covered under other activities<sup>10</sup>).</li> </ol> <p><b>Information exchange and PIC procedure, including PIC Circular</b></p> <p>Information is made available to parties on the PIC procedure, the Convention and its operational aspects (staff costs);<br/>         PIC circular published (staff costs for 4 PIC circulars).</p> <p><b>Support to the meeting of the CRC</b></p> <ol style="list-style-type: none"> <li>1. Scientific support to the work of the CRC provided (staff costs).</li> </ol> <p><b>Decision guidance document (DGD) preparation</b></p> <ol style="list-style-type: none"> <li>1. Draft DGDs available in 6 languages (staff costs only).</li> </ol>  |
| <p><b>Method of implementation (internal or external)</b></p> | <p>Internal (staff costs ) and external</p>   |

<sup>10</sup> Technical assistance activities to increase capacity and highlight importance (e.g. provide human resources, such as through the use of experts from within the region, to assist in collecting and reviewing relevant information and preparing notifications; address notifications with top priority in national, sub-regional and regional workshops and allocate more time specifically to notifications when working with parties; hold webinars (online seminars) to provide training regarding the submission of notifications, discuss specific issues related to notifications and assist in their completion).

|   |   |
|---|---|
| <b>Partner(s)</b>                       | Expertise from other sources such as experts from the CRC committee, UNEP and FAO are needed. Regional centres of the Basel and Stockholm conventions, FAO and UNEP regional offices, UNITAR.   |
| <b>Resources approved for 2012-2013</b> | <ul style="list-style-type: none"> <li>• <b>RC-16 *</b><br/>2012 (USD 92,000): RVTF 92,000<br/>2013 (USD 80,000): RVTF 92,000<br/><b>Total (USD 172,000): RVTF 172,000</b></li> <li>• <b>RC-29 *</b><br/>Staff costs only</li> <li>• <b>RC-31</b><br/>2012 (USD 30,000): ROTF 30,000<br/>2013 (USD 30,000): ROTF 30,000<br/><b>Total (USD 60,000): ROTF 60,000</b></li> <li>• <b>RC-32</b><br/>2012 (USD 45,000): ROTF 45,000<br/>2013 (USD 15,000): ROTF 15,000<br/><b>Total (USD 60,000): ROTF 60,000</b></li> </ul> <p><b>Summary:</b><br/>2012 (USD 167,000): ROTF 75,000, RVTF 92,000<br/>2013 (USD 137,000): ROTF 45,000, RVTF 92,000<br/><b>Total (USD 304,000): ROTF 120,000, RVTF 184,000</b></p>  |
| <b>Resources required for 2014-2015</b> | <p><b>Support to parties to increase numbers of notifications</b><br/>2014 (USD 15,500): RVTF 15,500<br/>2015 (USD 15,500): RVTF 15,500<br/><b>Total (USD 31,000): RVTF 31,000</b></p> <p><b>Information exchange and PIC procedure, including PIC Circular</b><br/>2014 (USD 30,000): ROTF 30,000<br/>2015 (USD 30,000): ROTF 30,000<br/><b>Total (USD 60,000): ROTF 60,000</b></p> <p><b>Support to the meeting of the CRC:</b> Staff costs only</p> <p><b>Decision guidance document (DGD) preparation</b><br/>Staff costs only (Funds related to the translation of DGDs have been included in the budget of the meetings of the Chemical Review Committee.)</p> <p><b>Summary:</b><br/>2014 (USD 45,500): ROTF 30,000, RVTF 15,500<br/>2015 (USD 45,500): ROTF 30,000, RVTF 15,500<br/><b>Total (USD 91,000): ROTF 60,000, RVTF 31,000</b></p> |

### Scientific support to parties of the Stockholm Convention

| Programme heading                       | Scientific and technical activities  |
|---|--|
| <b>Title of activity</b>                | <p><b>Activity 22 (SC): Scientific support to parties to the Stockholm Convention:</b></p> <ul style="list-style-type: none"> <li>• Exemptions (former SC-31)</li> <li>• Scientific and technical work of POPRC (new)</li> <li>• Programme of work on industrial POPs (PFOS, BDEs, PCBs [ and HBCD]; former SC-32N and SC-35 )</li> <li>• Programme of work on pesticides (DDT, endosulfan and lindane) (former SC-28)</li> <li>• Unintentionally produced POPs (former SC-30)</li> </ul>  |
| <b>Convention(s)</b>                    | <input type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b> | <p><b>Exemptions:</b><br/>UNEP/POPS/COP.6/5</p> <p><b>Scientific and technical work of POPRC</b><br/>UNEP/POPS/COP.6/16</p> <p><b>Programme of work on industrial POPs</b><br/>UNEP/POPS/COP.6/6, UNEP/POPS/COP.6/7, UNEP/POPS/COP.6/9, UNEP/POPS/COP.6/10 and UNEP/POPS/COP.6/14</p> <p><b>Programme of work on pesticides</b><br/>UNEP/POPS/COP.6/4, UNEP/POPS/COP.6/5, UNEP/POPS/COP.6/11</p> <p><b>Unintentionally produced POPs</b><br/>UNEP/POPS/COP.6/12, UNEP/POPS/COP.6/13</p>  |
| <b>Mandate</b>                          | <p><b>Exemptions</b><br/>Article 4, Annex A, Parts IV and V and Annex B, Parts II and III of the Stockholm Convention<br/>Decision SC-5/8 of the Stockholm Convention</p> <p><b>Scientific and technical work of POPRC</b><br/>Article 8 of the Stockholm Convention<br/>Decision SC-5/11 of the Stockholm Convention</p> <p><b>Programme of work on industrial POPs</b><br/>Article 6 of the Stockholm Convention<br/>Decisions SC-5/5, SC-5/8, SC-5/9 and SC-5/16 of the Stockholm Convention</p> <p><b>Programme of work on pesticides</b><br/>Decisions SC-5/3, SC-5/4, SC-5/6, and SC-5/8 of the Stockholm Convention<br/>Articles 3, 4, 15 and Annex A, Part VI and Annex B, Part II of the Stockholm Convention<br/>Synergies decisions (BC-IX/10, SC-4/34), Section II C,5<br/>Omnibus decisions (BC.Ex-1/1, SC.Ex-1/1)<br/>Decisions RC-5/5 and RC-5/10 of the Rotterdam Convention</p> <p><b>Unintentionally produced POPs</b><br/>Decisions SC-5/12 and SC-5/13 of the Stockholm Convention</p> |
| <b>Why this activity is important</b>   | <p><b>Exemptions</b><br/>This activity enables implementation of Article 4 of the Stockholm Convention and parties to benefit from the provisions related to specific exemptions and acceptable purposes of POPs. It also provides support for parties in this process.</p> <p><b>Scientific and technical work of POPRC</b><br/>This activity supports implementing article 8 of the Convention by supporting parties in submitting proposals for listing new chemicals and enabling POPRC in evaluating the proposals. It supports POPRC , during and between its meetings, in providing guidance to parties in relation to the substitution and elimination of POPs.</p> <p><b>Programme of work on industrial POPs</b><br/>This activity provides guidance and tools to support parties in identifying and eliminating industrial POPs. It supports the evaluation by the COP of the progress made by parties in</p>   |

|   |  |
|---|--|
|   | <p>eliminating industrial POPs and the continued need for specific exemptions and acceptable purposes for these chemicals, as mandated by the Convention.</p> <p><b>Programme of work on pesticides</b><br/>This activity introduces safer and sustainable solutions to enable parties to prohibit or restrict POPs with the aim of improving public health and environmental safety of the country and globally. This activity also support the exchange of information related to endosulfan under RC and provide the basis for technical assistance called for by COP 5of the Rotterdam Convention for newly listed chemicals.</p> <p><b>Unintentionally produced POPs</b><br/>Through this activity, parties are provided with a tool together with guidance how to use it, to minimize and where feasible eliminate unintentional releases of POPs from various activities as listed in Annex C but also in Annex A parts III-V and Annex B part III of the Stockholm Convention. They also receive a tool together with the necessary guidance how to develop and maintain release inventories of POPs listed in Annex C to the Stockholm Convention.</p>  |
| <p><b>Short description of the activity</b></p> | <p><b>Exemptions</b></p> <ol style="list-style-type: none"> <li>1. Provide guidance to parties to support them in registering for specific exemptions and acceptable purposes;</li> <li>2. Revise the form for notification of specific exemptions for endosulfan and revise the register of specific exemptions to include endosulfan;</li> <li>3. Revise the draft processes for the evaluation by the COP of the progress made in eliminating BDEs in articles and the continued need for specific exemptions and/or acceptable purposes for BDEs and PFOS, on the basis of amendments decided by COP-6;</li> <li>4. Revise the draft format for reporting by parties that use or produce PFOS on the progress made in eliminating PFOS in accordance with para. 3 of part III of Annex B to the Convention (as part of reporting under Article 15), on the basis of amendments decided by COP-6;</li> <li>5. Continuously update the registers of specific exemptions and acceptable purposes to reflect incoming notifications from parties;</li> <li>6. Develop scientific content for technical assistance workshops and awareness raising activities related to specific exemptions and/or acceptable purposes for newly listed POPs;</li> <li>7. Update the Stockholm Convention website on exemptions as required to reflect the outputs of activities 2 to 5.</li> </ol> <p><b>Scientific and technical work of POPRC</b></p> <ol style="list-style-type: none"> <li>1. Coordinate and facilitate the work of the ad-hoc working groups of the POPRC in implementing the work programme adopted at POPRC-9 and POPRC-10;</li> <li>2. Support POPRC and its working groups during meetings;</li> <li>3. Support the development of technical documents;</li> <li>4. Collect and compile scientific and technical information from parties and observers as requested by the decisions of the POPRC;</li> <li>5. Verify whether proposals submitted by parties for the listing of new chemicals, if any, contain the information specified in Annex D;</li> <li>6. Develop scientific content for technical assistance workshops and awareness raising activities related to the work of POPRC.</li> </ol> <p><b>Programme of work on industrial POPs</b></p> <ol style="list-style-type: none"> <li>1. Activities to support the COP in evaluating the progress made in eliminating industrial POPs and the continued need for specific exemptions and/or acceptable purposes for BDEs and PFOS:             <ol style="list-style-type: none"> <li>a. Collect and analyse information for the evaluation of specific exemptions and/or acceptable purposes for BDEs and PFOS pursuant to parts IV and V of Annex A and para. 5 of part III of Annex B, respectively;</li> <li>b. Facilitate the assessment of alternatives to PFOS by POPRC;</li> <li>c. Continue to collect information on parties' experience in implementing the recommendations of POPRC on elimination of BDEs from the waste stream and risk reduction for PFOS;</li> <li>d. On the basis of collected information, and that contained in national reports submitted under Article 15, prepare reports on the progress made to eliminate PFOS, BDEs, and PCBs to support the evaluation of those chemicals by COP-7;</li> </ol> </li> <li>2. Develop guidance documents and tools to promote the substitution of PFOS and identification of products, articles and wastes containing PFOS, for applications listed as specific exemptions and acceptable purposes;</li> </ol> |



|                  |   |
|------------------|---|
|                  | <p>3. Establish a repository of information from parties and stakeholders on the presence of industrial POPs in products, articles and wastes and alternatives to these chemicals;</p> <p>4. Develop scientific content for technical assistance workshops and awareness raising activities related to the management of industrial POPs.</p> <p><b>Programme of work on pesticides</b></p> <p>1. Activities to facilitate parties managing <u>endosulfan</u> with the aim of its elimination:</p> <ol style="list-style-type: none"> <li>Develop guidance documents and undertake activities to promote the outcomes of the POPRC work on the assessment of chemical and nonchemical alternatives to endosulfan;</li> <li>Establish an online database for parties to access scientific and technical information on chemical and nonchemical alternatives to endosulfan, in order to enable parties to evaluate less hazardous chemical alternatives and methods;</li> <li>Undertake activities to support parties to evaluate the continued need for endosulfan and to introduce less hazardous chemical and nonchemical alternatives;</li> <li>All activities related to endosulfan will be carried out in cooperation and coordination with FAO (RC Rome).</li> </ol> <p>2. Activities to facilitate parties managing <u>lindane</u> towards its elimination</p> <ol style="list-style-type: none"> <li>Collect information on the progress made by parties in regulating the use of lindane and promoting alternatives;</li> <li>Undertake an assessment, in collaboration with WHO, of reporting and reviewing requirements for lindane as requested by decision SC-5/8;</li> <li>Develop guidance documents for sound managements of lindane and undertake activities to support parties eliminating lindane.</li> </ol> <p>3. Activities to implement acceptable purpose use of <u>DDT</u> for disease vector control</p> <ol style="list-style-type: none"> <li>Facilitate the evaluation by the COP on the continued need for DDT for disease vector control pursuant to decision SC-3/2 by collecting related scientific, technical and economic information from parties;</li> <li>Collaborate with the WHO and develop guidance documents to improve decision making on managing DDT in disease vector control and tools for the assessment of locally effective and affordable alternatives to DDT;</li> <li>Support WHO activities to enhance the scientific and technical capacity of parties to reduce reliance on DDT for disease vector control;</li> <li>Collaborate with UNEP Chemicals in assisting parties to introduce sustainable solutions to DDT through Global Alliance for alternatives to DDT as a member of the Advisory Group.</li> </ol> <p><b>Unintentionally produced POPs</b></p> <ol style="list-style-type: none"> <li>The Best Available Techniques and Best Environmental Practices (BAT&amp;BEP) expert group will review the guidance on BAT&amp;BEP relevant to the newly listed POPs, update/supplement it as necessary and perform further work as per the agreed work plan;</li> <li>Parties will be invited to use the updated guidelines on BAT&amp;BEP and to provide feedback on their usefulness;</li> <li>The Toolkit expert group will develop the technical content of a training programme to increase the capacity of parties to update and revise their source inventories and release estimates of unintentionally produced POPs; Toolkit experts will also support regional implementation of the training package;</li> <li>Support the work of the expert groups on BAT&amp;BEP and the Toolkit.</li> </ol> <p><u>Implementation approach for unintentionally produced POPs activities:</u></p> <ul style="list-style-type: none"> <li>- 2 meetings (in 2014 and 2015) of the Toolkit and BAT&amp;BEP expert groups will be organized back-to-back to allow synergies between the expert groups and save travel costs;</li> <li>- Communicate with parties on the relevant issues;</li> <li>- Secretariat and UNEP Chemicals jointly implement the process of reviewing and updating the Toolkit;</li> <li>- Make use of the UNIDO BAT&amp;BEP forums to raise awareness of parties on the updated BAT&amp;BEP guidelines.</li> </ul> |
| <b>Objective</b> | <p><b>Exemptions</b></p> <ol style="list-style-type: none"> <li>Scientific and technical support is provided for parties to facilitate the notification for the register of specific exemptions and acceptable purposes in accordance with Article 4 of the Convention;</li> </ol>  |

|   |  |
|---|--|
|   | <p>2. Processes are developed and revised to enable the COP to evaluate the progress made in eliminating newly listed POPs and the continued need for specific exemptions and/or acceptable purposes for newly listed POPs.</p> <p><b>Scientific and technical work of POPRC</b></p> <ol style="list-style-type: none"> <li>1. Implementation of the scientific and technical work of POPRC is enabled;</li> <li>2. Submission by parties of proposals for listing new chemicals under the Convention is supported.</li> </ol> <p><b>Programme of work on industrial POPs</b></p> <ol style="list-style-type: none"> <li>1. Evaluation by the COP of progress made in eliminating industrial POPs and the continued need for specific exemptions and/or acceptable purposes for these chemicals are supported;</li> <li>2. Work of POPRC in providing recommendations related to the substitution and elimination of industrial POPs are supported and implementation of such recommendations are promoted;</li> <li>3. Parties and observers are provided with scientific and technical knowledge and tools needed to develop strategies and implement measures towards the identification, substitution and sound management of industrial POPs.</li> </ol> <p><b>Programme of work on pesticides</b></p> <ol style="list-style-type: none"> <li>1. Parties and observers are supported to acquire scientific and technical knowledge needed to implement measures on sound management of endosulfan and lindane with the aim of their ultimate elimination and to restrict the use of DDT for disease vector control, pursuant to Article 3 and to comply with Article 4 and Article 15 of the Stockholm Convention;</li> <li>2. Parties are provided with scientific and technical support for the assessment and introduction of alternatives pursuant to Annexes A and B of the Stockholm Convention;</li> <li>3. Support provided to the evaluation of the continued need for DDT under Annex B of the Stockholm Convention by the COP towards elimination of continued reliance by introducing locally safe, effective and affordable alternatives.</li> </ol> <p><b>Unintentionally produced POPs</b></p> <ol style="list-style-type: none"> <li>1. Parties are provided with up-to-date guidance on minimizing and where feasible eliminating releases of unintentionally produced POPs, guidance on developing and maintaining source inventories and release estimates of unintentionally produced POPs, as well as training and support in using the guidance.</li> </ol> |
| <p><b>Indicators of achievement</b></p> | <p><b>Exemptions</b></p> <ol style="list-style-type: none"> <li>1. Timely updated registers of specific exemptions and acceptable purposes;</li> <li>2. Forms for notification of specific exemptions and acceptable purposes for newly listed POPs;</li> <li>3. Processes to enable the COP to evaluate the progress made in eliminating BDEs in articles and the continued need for specific exemptions and/or acceptable purposes for BDEs and PFOS;</li> <li>4. Scientific and technical content provided for technical assistance workshops and awareness raising activities related to specific exemptions and acceptable purposes.</li> </ol> <p><b>Scientific and technical work of POPRC</b></p> <ol style="list-style-type: none"> <li>1. Number of ad-hoc working groups supported between meetings;</li> <li>2. Number of scientific and technical reports developed;</li> <li>3. Number of compilations of information collected from parties and observers;</li> <li>4. Scientific and technical content provided for technical assistance workshops and awareness raising activities related to the work of POPRC.</li> </ol> <p><b>Programme of work on industrial POPs</b></p> <ol style="list-style-type: none"> <li>1. Information collected from parties and reports to enable the evaluation by the COP of progress made in eliminating BDEs in articles and PCBs and the continued need for specific exemptions and/or acceptable purposes for BDEs and PFOS;</li> <li>2. Information on alternatives to industrial POPs and their assessment by POPRC;</li> <li>3. Number of guidance documents and tools developed to provide parties with scientific and technical knowledge for the identification, sound management and phase-out of industrial POPs;</li> <li>4. Scientific content developed for technical assistance workshops and awareness raising activities related the management of industrial POPs.</li> </ol>  |

|                                |   |
|--------------------------------|---|
|                                | <p><b>Programme of work on pesticides</b></p> <ol style="list-style-type: none"> <li>1. Number of activities undertaken by the Secretariat to facilitate parties acquiring scientific and technical knowledge needed for sound management of POPs pesticides;</li> <li>2. Scientific and technical reports compiled on sound management of POPs pesticides and introduction of locally safe, effective and affordable alternatives;</li> <li>3. Assessment of scientific and technical information on the production and use of DDT by the DDT expert group to facilitate the evaluation of continued need for DDT for disease vector control by the COP under Annex B, Part II of the Stockholm Convention.</li> </ol> <p><b>Unintentionally produced POPs</b></p> <ol style="list-style-type: none"> <li>1. Guidelines on BAT&amp;BEP are updated with guidance relevant to the newly listed POPs;</li> <li>2. Awareness of parties on the updated BAT&amp;BEP guidelines is raised and feedback on their usefulness is provided by them;</li> <li>3. The revised Toolkit is used by parties to update and revise their source inventories and release estimates of unintentionally produced POPs and report them under Article 15.</li> </ol>  |
| <p><b>Expected outputs</b></p> | <p><b>Exemptions</b></p> <ol style="list-style-type: none"> <li>1. Revised and updated registers of specific exemptions and acceptable purposes that reflect exemptions for newly listed POPs and incoming notifications by parties (staff cost only);</li> <li>2. Forms for notification of specific exemptions and acceptable purposes for newly listed POPs (staff cost only);</li> <li>3. Processes to enable the COP to evaluate the progress made in eliminating BDEs in articles and the continued need for specific exemptions and/or acceptable purposes for BDEs and PFOS (consultancy);</li> <li>4. Scientific content for and participation in technical assistance workshops and awareness raising activities related to specific exemptions and acceptable purposes (staff cost and travel)<sup>11</sup>.</li> </ol> <p><b>Scientific and technical work of POPRC</b></p> <ol style="list-style-type: none"> <li>1. Ad-hoc working groups are provided with the required support for effective implementation of the work programmes adopted by POPRC, between meetings (staff cost only);</li> <li>2. Documents developed by the intersessional working groups are prepared for consideration by POPRC and the COP as requested (staff cost only);</li> <li>3. Proposals for the listing of new chemicals are verified and forwarded to POPRC (consultancy);</li> <li>4. Scientific and technical documents are developed to support the work of POPRC (consultancy);</li> <li>5. Technical support is provided during meetings of the POPRC (staff cost only);</li> <li>6. Scientific content for and participation in technical assistance workshops and awareness raising activities related to the work of POPRC are developed (staff cost only).</li> </ol> <p><b>Programme of work on industrial POPs</b></p> <ol style="list-style-type: none"> <li>1. Information collected and reports developed to support evaluation by the COP of the progress made in eliminating BDEs in articles, PCBs and the continued need for specific exemptions and/or acceptable purposes for BDEs and PFOS (consultancy);</li> <li>2. The work of POPRC on the assessment of alternatives to PFOS is facilitated and support provided (consultancy);</li> <li>3. Guidance documents and tools to promote the phase-out of PFOS through the use of alternatives and support parties in identifying industrial POPs in products and articles (consultancy, publishing);</li> <li>4. A repository is established and posted on the Convention website to provide parties with ready access to information on the presence of industrial POPs in products and articles and alternatives to these chemicals (consultancy);</li> <li>5. Scientific content for and participation in technical assistance workshops and awareness raising activities related to the management of industrial POPs are developed (staff cost and travel)<sup>12</sup>.</li> </ol> |

<sup>11</sup> Modules in two workshops to promote reduced reliance on specific exemptions and acceptable purposes (for workshops and for staff travel)

|  |  |
|--|--|
|  | <p><b>Programme of work on pesticides</b></p> <ol style="list-style-type: none"> <li>1. Guidance documents to promote chemical and nonchemical alternatives to endosulfan developed (consultancy);</li> <li>2. An online database with scientific and technical information on chemical and nonchemical alternatives to endosulfan (consultancy);</li> <li>3. Scientific content for technical assistance workshops on endosulfan and lindane<sup>13</sup>(staff cost and travel only );</li> <li>4. A framework (consultancy) and an expert consultation for the assessment of continued need of lindane as a second-line treatment for the control of head lice and scabies;</li> <li>5. Evaluation of continued need for DDT for disease vector control by the COP (DDT expert group meeting, consultant);</li> <li>6. Guidance documents on sound management of DDT to enhance scientific and technical capacity for parties to reduce reliance on DDT (consultancy).</li> </ol> <p><b>Unintentionally produced POPs</b></p> <ol style="list-style-type: none"> <li>1. Two joint expert group meetings (15 funded participants in each meeting);</li> <li>2. The Guidelines on BAT&amp;BEP are updated with guidance relevant to the newly listed POPs (cost included in output 1);</li> <li>3. The Toolkit is available in languages;</li> <li>4. Secretariat staff participating in relevant workshops (staff cost and travel)<sup>14</sup>.</li> </ol>  |
| <p><b>Method of implementation (internal or external)<sup>15</sup></b></p> | <p><b>Exemptions</b></p> <ul style="list-style-type: none"> <li>- Consultants (USD 20,000).</li> </ul> <p><b>Scientific and technical work of POPRC</b></p> <ul style="list-style-type: none"> <li>- Consultancies to support the verification of proposals and development of scientific and technical documents (USD 76,000).</li> </ul> <p><b>Programme of work on industrial POPs</b></p> <ul style="list-style-type: none"> <li>- Consultancies to provide scientific support, collect information and develop guidance/reports and develop a repository of information (USD 65,000);</li> <li>- Publishing (USD 5,000);</li> <li>- Staff travel to relevant meetings (USD 8,000).</li> </ul> <p><b>Programme of work on pesticides</b></p> <ul style="list-style-type: none"> <li>- Consultancies (USD 57,000);</li> <li>- Travel and DSA of participants of the DDT expert group meeting and the expert consultations on lindane (USD 70,000);</li> <li>- Staff travel to relevant meetings, including the steering committee meeting of the DDT Global Alliance (USD 8,000).</li> </ul> <p><b>Unintentionally produced POPs</b></p> <ul style="list-style-type: none"> <li>- Consultancies to update the BAT&amp;BEP guidelines (USD 20,000);</li> <li>- Travel and DSA of joint expert group meeting participants (15 funded participants per each meeting ) (USD 80,000);</li> <li>- Publishing/translation of the Toolkit (USD 25,000);</li> <li>- Staff travel to relevant meetings (USD 18,000).</li> </ul> |
| <p><b>Partner(s)</b></p>   | <p>WHO, UNEP Chemicals, FAO pesticide management division, Rotterdam Convention Secretariat and regional centres of the Basel and Stockholm conventions, UNEP Chemicals, UNIDO</p>   |
| <p><b>Resources approved for 2012-2013</b></p>                             | <p><b>Exemptions</b></p> <ul style="list-style-type: none"> <li>• SC-31</li> </ul> <p>Staff cost only</p>  |

<sup>12</sup> Modules in two workshops to support parties in introducing alternatives to PFOS

<sup>13</sup> Two sub-regional workshops held to support parties to evaluate continued need for endosulfan and introduce chemical and nonchemical alternatives and staff travel. Modules in two workshops held to enhance capacity for parties to regulate lindane and to introduce alternatives

<sup>14</sup> Three regional workshops to increase the capacity of parties to update and revise their source inventories and release estimates.

|  |   |
|--|---|
|  | <p><b>Scientific and technical work of POPRC</b><br/>Under the 2012-2013 biennium, these activities were captured as part of activity numbers SC-3, SC-28 and SC-35.</p> <p><b>Programme of work on industrial POPs</b></p> <ul style="list-style-type: none"> <li>• <b>SC-32N</b><br/>2012 (USD 30,000): SVTF 30,000<br/>2013 (USD 30,000): SVTF 30,000<br/><b>Total (USD 60,000): SVTF 60,000</b></li> <li>• <b>SC-35</b><br/>2012 (USD 230,000): SCTF 150,000, SVTF 80,000<br/>2013 (USD 200,000): SCTF 100,000, SVTF 100,000<br/><b>Total (USD 430,000): SCTF 250,000, SVTF 180,000</b></li> </ul> <p><b>Programme of work on pesticides</b></p> <ul style="list-style-type: none"> <li>• <b>SC-28</b><br/>2012 (USD 565,000): SVTF 565,000<br/>2013: 0<br/><b>Total (USD 565,000): SVTF 565,000</b></li> </ul> <p><b>Unintentionally produced POPs</b></p> <ul style="list-style-type: none"> <li>• <b>SC-30</b><br/>2012 (USD 80,000): SVTF 80,000<br/>2013 (USD 75,000): SVTF 75,000<br/><b>Total (USD 155,000): SVTF 155,000</b></li> </ul> <p><b>Summary:</b><br/>2012 (USD 905,000): SCTF 150,000, SVTF 755,000<br/>2013 (USD 305,000): SCTF 100,000, SVTF 205,000<br/><b>Total (USD 1,210,000): SCTF 250,000, SVTF 960,000</b></p> |
|--|---|

|   |   |
|---|---|
| <b>Resources required for 2014-2015</b> | <b>Exemptions</b><br>2014: 0<br>2015 (USD 20,000): SVTF 20,000<br><b>Total (USD 20,000): SVTF 20,000</b><br><br><b>Scientific and technical work of POPRC</b><br>2014 (USD 38,000): SCTF 8,000, SVTF 30,000<br>2015 (USD 38,000): SCTF 8,000, SVTF 30,000<br><b>Total: (USD 76,000): SCTF 16,000, SVTF 60,000</b><br><br><b>Programme of work on industrial POPs</b><br>2014 (USD 40,000): SCTF 15,000, SVTF 25,000<br>2015 (USD 30,000): SVTF 30,000<br><b>Total (USD 70,000): SCTF 15,000, SVTF 55,000</b><br><br><b>Programme of work on pesticides</b><br>2014 (USD 90,000): SCTF 60,000, SVTF 30,000<br>2015 (USD 37,000): SVTF 37,000<br><b>Total (USD 127,000): SCTF 60,000 SVTF 67,000</b><br><br><b>Unintentionally produced POPs</b><br>2014 (USD 85,000): SVTF 85,000<br>2015 (USD 40,000): SVTF 40,000<br><b>Total (USD 125,000): SVTF 125,000</b><br><br><b>Summary:</b><br>2014 (USD 253,000): SCTF 83,000, SVTF 170,000<br>2015 (USD 145,000): SCTF 8,000, SVTF 137,000<br><b>Total (USD 416,000): SCTF 91,000, SVTF 327,000</b> |
|---|---|

### Effectiveness Evaluation and the Global Monitoring Plan

|  |  |
|--|--|
| <b>Programme heading</b>                 | <b>Scientific and technical activities</b>   |
| <b>Title of activity</b>                 | <b>Activity 23 (SC): Effectiveness Evaluation and the Global Monitoring Plan (former SC-24, SC-25 and SC-26)</b>   |
| <b>Convention(s)</b>                     | <input type="checkbox"/> Basel Convention<br><input type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention   |
| <b>Reference to meeting document no</b>  | UNEP/POPS/COP.6/27, UNEP/POPS/COP.6/27/Add.1, UNEP/POPS/COP.6/28   |
| <b>Mandate</b>                           | Decisions SC-5/17 and SC-5/18 of the Stockholm Convention, and Article 16 of SC  |
| <b>Why is this activity important?</b>   | This activity is required by Article 16 of the Convention and enables parties to evaluate whether the measures agreed in the Convention are effective to achieve its goal. The global monitoring plan, besides providing major input for the effectiveness evaluation, is a unique source of relatively coherent data on POPs levels in air as well as on human exposure to POPs from all regions. The programme also strengthens sampling and analytical capacity in developing countries.  |
| <b>Short description of the activity</b> | <p><b>Effectiveness evaluation</b></p> <ol style="list-style-type: none"> <li>1. Provide relevant information and guidance to the global monitoring plan for POPs (GMP) coordination group and the Toolkit expert group in support of their participation in the process;</li> <li>2. Obtain adequate data supporting the effectiveness evaluation process and facilitate data processing;</li> <li>3. Support the effectiveness evaluation process;</li> <li>4. Communicate with parties and invite nominations to the effectiveness evaluation committee.</li> </ol> <p><b>Global monitoring plan</b></p> <ol style="list-style-type: none"> <li>1. Support the global coordination group (GCG) and the regional organization groups (ROGs) under the GMP to implement the second GMP phase as outlined in the implementation plan (UNEP/POPS/COP.3/23/Rev.1) and to produce the regional monitoring reports;</li> <li>2. Organize two meetings of the global coordination group in 2014 and 2015 as well as intersessional GCG/ROG communication via webinars and teleconferences;</li> <li>3. Implement the second phase of the human milk survey in cooperation with WHO;</li> <li>4. Support sustainability of air monitoring activities through continuing strategic partnerships;</li> <li>5. Support the collection, processing, storing and presentation of monitoring data in regions lacking such capacity.</li> </ol> <p><u>Implementation approach:</u></p> <ul style="list-style-type: none"> <li>- The GMP is implemented regionally by the ROGs, global coordination is ensured by the GCG;</li> <li>- The human milk survey is implemented in close cooperation with WHO including the WHO regional offices and health sector representatives in the participating countries;</li> <li>- Strategic partners in close cooperation with the GMP ROGs and parties produce monitoring data and increase analytical capacity in regions.</li> </ul> |
| <b>Objective</b>                         | <ol style="list-style-type: none"> <li>1. Effectiveness evaluation mechanism is set up at COP-7 and implementation of the process for effectiveness evaluation can be initiated immediately after COP-7;</li> <li>2. Second phase of the global monitoring plan for POPs implemented.</li> </ol>   |

|   |  |
|---|--|
| <p><b>Indicators of achievement</b></p>                       | <p><b>Effectiveness evaluation</b></p> <ol style="list-style-type: none"> <li>1. The GMP coordination group and the Toolkit expert group are enabled to contribute to the effectiveness evaluation process;</li> <li>2. Data and outputs from the 4<sup>th</sup> national reports under Article 15 are adequate to support the effectiveness evaluation procedure;</li> <li>3. Secretariat is prepared to support parties (nominated expert group) in performing effectiveness evaluation immediately after COP-7.</li> </ol> <p><b>Global monitoring plan</b></p> <ol style="list-style-type: none"> <li>1. Monitoring data for all listed POPs in the core media are available from all regions;</li> <li>2. Sustainable monitoring data from at least two different points in time are available from all regions enabling to evaluate changes in levels over time in the future;</li> <li>3. Second regional monitoring reports are available from all five UN Regions.</li> </ol>   |
| <p><b>Expected outputs</b></p>                                | <p><b>Effectiveness evaluation</b></p> <ol style="list-style-type: none"> <li>1. All stakeholders are enabled to participate in the process for effectiveness evaluation immediately after COP-7 (only staff costs).</li> </ol> <p><b>Global monitoring plan</b></p> <ol style="list-style-type: none"> <li>1. Two meetings of the GMP global coordination group (ToR adopted by SC-4/31) (for each meeting, 20 members of the global coordination group and regional organization groups are funded);</li> <li>2. Second regional monitoring reports from all UN Regions;</li> <li>3. Sustainable monitoring data;</li> <li>4. 10<sup>th</sup> and 11<sup>th</sup> Summer School on Ecotoxicology, environmental chemistry and POPs monitoring (for each summer school, a minimum of 10 participants are funded depending on the funds raised);</li> <li>5. POPs monitoring data warehouse with 5 regional nodes, supporting the production of the regional and global monitoring reports, effectiveness evaluation and global public access to POPs monitoring data. The development of the data warehouse was initiated in 2012-2013; the final GMP data tool will be available via the Convention's CHM;</li> <li>6. Staff travel to relevant meetings (staff and travel cost).</li> </ol> |
| <p><b>Method of implementation (internal or external)</b></p> | <p>Internal:</p> <ul style="list-style-type: none"> <li>- Staff travel (USD 10,000);</li> <li>- Travel and DSA for meeting participants of the GMP coordination group (ToR adopted by SC-4/31) (USD 120,000) (for each meeting, 20 members of the global coordination group and regional organization groups are funded);</li> </ul> <p>External:</p> <ul style="list-style-type: none"> <li>- Travel and DSA for participants of the Summer School (USD 120,000) (for each annual summer school, a minimum of 10 participants are funded depending on the funds raised);</li> <li>- Subcontracts for the preparation of the second regional monitoring reports, collection of sustainable monitoring data and setting up a POPs monitoring data warehouse (USD 400,000).</li> </ul>   |
| <p><b>Partner(s)</b></p>                                      | <p>All parties are partners in the effectiveness evaluation process; GMP partners are: MONET, Global Atmospheric Passive Sampling (GAPS), Asia POPs Air Monitoring Programme, Arctic Monitoring and Assessment Programme (AMAP), European Monitoring and Evaluation Programme (EMEP), Stockholm Convention Regional Centre for Central and Eastern Europe - Research Centre for Toxic Compounds in the Environment (RECETOX), UNEP Chemicals, WHO.</p>   |



|   |  |
|---|--|
| <b>Resources approved for 2012-2013</b> | <ul style="list-style-type: none"> <li>• <b>SC-24</b><br/>2012 (USD 125,000): SCTF 40,000 SVTF 85,000<br/>2013 (USD 60,000): SVTF 60,000<br/><b>Total (USD 185,000): SCTF 40,000, SVTF 145,000</b></li> <br/> <li>• <b>SC-25</b><br/>2012 (USD 600,000): SVTF 600,000<br/>2013 (USD 320,000): SVTF 320,000<br/><b>Total (USD 920,000): 920,000</b></li> <br/> <li>• <b>SC-26</b><br/>Only staff costs</li> <br/> <li><b>Summary:</b><br/>2012 (USD 725,000): SCTF 40,000, SVTF 685,000<br/>2013 (USD 380,000): SVTF 380,000<br/><b>Total (USD 1,105,000): SCTF 40,000, SVTF 1,065,000</b></li> </ul> |
| <b>Resources required for 2014-2015</b> | 2014 (USD 420,000): SCTF 60,000, SVTF 360,000<br>2015 (USD 220,000): SCTF 60,000, SVTF 160,000<br><b>Total (USD 640,000): SCTF 120,000, SVTF 520,000</b>   |

**National reporting**

|  |   |
|--|---|
| <b>Programme heading</b>                 | <b>Scientific and technical activities</b>  |
| <b>Title of activity</b>                 | <b>Activity 24 (S15): National reporting (former BC-37, BC-38, BC-39, SC-27, SC-34, S-15)</b>   |
| <b>Convention(s)</b>                     | <input checked="" type="checkbox"/> Basel Convention<br><input type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention   |
| <b>Reference to meeting document no</b>  | UNEP/CHW.11/13, UNEP/CHW.11/INF/19, UNEP/POPS/COP.6/26, UNEP/POPS/COP.6/INF/28  |
| <b>Mandate</b>                           | Decisions BC-10/14 of the Basel Convention and SC-5/16 of the Stockholm Convention  |
| <b>Why this activity is important?</b>   | To support parties to comply with their national reporting obligations under the Basel Convention (Article 13) and the Stockholm Convention (Article 15). These obligations are also related to the effective implementation of these conventions.  |
| <b>Short description of the activity</b> | <p><b>For the Basel Convention</b></p> <ol style="list-style-type: none"> <li>1. Data entry and quality assurance of national reports;</li> <li>2. Liaise with parties to seek clarifications on national reports;</li> <li>3. Translate reports, when necessary, and enter their English versions into the database;</li> <li>4. Produce compilations of national reports data;</li> <li>5. Continue to develop and maintain the national reporting online tools to the Basel Convention<sup>16</sup>;</li> <li>6. Develop an electronic tool for parties to support data collection for national inventories of hazardous waste;</li> <li>7. Provide technical and scientific support on national reporting for webinars in this area.</li> </ol> <p><b>For the Stockholm Convention</b></p> <ol style="list-style-type: none"> <li>1. Provide substantive technical and scientific support on national reporting training for regional workshops, and webinars in this area;</li> <li>2. Provide adequate technical support and guidance to the parties in developing and submitting the 3<sup>rd</sup> report cycle;</li> <li>3. Provide adequate guidance to parties to ensure that information submitted through the national reports meets the requirements for effectiveness evaluation;</li> <li>4. Update the reporting format to accommodate newly listed POPs.</li> </ol> <p><b>For joint activities in the area of reporting</b></p> <ol style="list-style-type: none"> <li>1. Organize webinars on national reporting to take place in 2014 and 2015;</li> <li>2. Develop a software to automatically harvest selected content from national reporting systems of both conventions, and show it on the synergies website, under the country profile section.</li> </ol> |
| <b>Objective</b>                         | <ol style="list-style-type: none"> <li>1. Parties supported to comply with their national reporting obligations to the Basel Convention according to Article 13, para (3);</li> <li>2. Monitoring of the implementation of the Basel Convention by its parties and reports presented on this matter to the Conference of the parties on a regular basis;</li> <li>3. Increased parties' compliance with national reporting requirements under Article 15 of the Stockholm Convention using the updated electronic reporting system;</li> <li>4. Required information for the effectiveness evaluation under Article 16 of the Stockholm Convention is collected and processed.</li> </ol>   |

<sup>16</sup> As of August 2012, the development of a new reporting system has just started. The aim is to finish its first version by mid 2013.

|                                  |  |
|----------------------------------|--|
| <b>Indicators of achievement</b> | <p><b>For the Basel Convention</b></p> <ol style="list-style-type: none"> <li>1. All reports provided to the Secretariat are entered into the database;</li> <li>2. All reports provided in Arabic, Chinese or Russian are translated to English and entered into the database<sup>17</sup>;</li> <li>3. Compilations are done and published on the Basel Convention website;</li> <li>4. Compilations and information on national reporting are provided to the requesting organizations (e.g. OECD, UNEP, United Nations Economic Commission for Europe (UNECE), the press in general, etc);</li> <li>5. Electronic tools are further developed to support national reporting activities;</li> <li>6. An electronic tool for parties to support data collection for national inventories of hazardous waste is developed;</li> <li>7. Webinars are organized and parties are better trained to comply with the obligation on national reporting.</li> </ol> <p><b>For the Stockholm Convention</b></p> <ol style="list-style-type: none"> <li>1. All parties receive a notification and user manuals of the updated reporting system and do not encounter any technical problem in using it for both generating the 3<sup>rd</sup> report and reviewing - and if necessary revising - the previously submitted report(s);</li> <li>2. The reporting rate of the 3<sup>rd</sup> national reports is increased.</li> </ol> <p><b>For joint activities in the area of reporting</b></p> <ol style="list-style-type: none"> <li>1. Parties are trained simultaneously in the processes related to national reporting of both conventions;</li> <li>2. A sub-set of information provided by parties under the two national reporting systems is shown in the synergies website, under the country profile section.</li> </ol>   |
| <b>Expected outputs</b>          | <p><b>For the Basel Convention</b></p> <ol style="list-style-type: none"> <li>1. Data reported by parties are in the database (staff costs only);</li> <li>2. Questions related to the data reported are clarified (staff costs only);</li> <li>3. Reports provided in Arabic, Chinese or Russian are translated into English;</li> <li>4. Compilations mandated by the COP are produced and are made available on the Basel Convention website (staff costs only);</li> <li>5. Parties are trained in the areas related to national reporting to comply with their obligations under the Basel Convention (staff costs only);</li> <li>6. Queries on the data provided on national reports can be accessed on the Basel Convention website (maintenance of reporting system) (consultancy);</li> <li>7. Generic inventory tool developed to assist developing country parties to organize and collect data on hazardous wastes (IT consultant).</li> </ol> <p><b>For the Stockholm Convention</b></p> <ol style="list-style-type: none"> <li>1. Substantive technical and scientific support is provided to four regional training workshops and a series of webinars on the updated electronic reporting system to help parties improve their capacity in national reporting (staff costs only<sup>18</sup>);</li> <li>2. Reporting system is updated to include new POPs and information collected serves as a basis for evaluating the effectiveness of the Convention;</li> <li>3. All submitted reports are translated into English to facilitate consistent information and data analysis.</li> </ol> <p><b>For joint activities in the area of reporting</b></p> <ol style="list-style-type: none"> <li>1. The realization of webinars in 2014 and 2015 with the participation of experts to give presentations;</li> <li>2. Selected information provided in national reports for both conventions is shown, in a common place, i.e. the synergies website, under the country profile section (IT consultant to develop joint system for synergies website).</li> </ol> |

<sup>17</sup> The current and the new national reporting systems, which is under development, accept submissions in English, Spanish and French. The Secretariat can deal with the content of reports in these three languages. However, for Arabic, Chinese and Russian translations are necessary.

<sup>18</sup> Costs for these workshops are reflected in the budget of the technical assistance activities.

|  |  |
|--|--|
| <b>Method of implementation (internal or external)</b> | Internal:<br>- Consultancies to support development and maintenance of the reporting tools, inventory guidance and participation of experts in webinars (USD 104,000);<br>- Translation of Arabic, Chinese and Russian submissions under the Basel Convention and translation of Stockholm Convention reporting format (USD 70,000).   |
| <b>Partner(s)</b>                                      | Regional centres to the Basel and Stockholm conventions  |
| <b>Resources approved for 2012-2013</b>                | <ul style="list-style-type: none"> <li>• <b>BC-37:</b><br/>Staff time only</li> <br/> <li>• <b>BC-38:</b><br/>2012 (USD 65,000): BCTF 20,000, BDTF 45,000<br/>2013 (USD 65,000): BCTF 20,000, BDTF 45,000<br/><b>Total (USD 130,000): BCTF 40,000, BDTF 90,000</b></li> <br/> <li>• <b>BC-39:</b><br/>2012 (USD 100,000): BDTF 100,000<br/>2013 (USD 100,000): BDTF 100,000<br/><b>Total (USD 200,000): BDTF 200,000</b></li> <br/> <li>• <b>SC-27:</b><br/>2012 (USD 20,000): SCTF 5,000, SVTF 15,000<br/>2013 (USD 20,000): SCTF 5,000, SVTF 15,000<br/><b>Total (USD 40,000): SCTF 10,000, SVTF 30,000</b></li> <br/> <li>• <b>SC-34:</b><br/>2012 (USD 115,000): SVTF 115,000<br/>2013 (USD 55,000): SVTF 55,000<br/><b>Total (USD 170,000): SVTF 170,000</b></li> <br/> <li>• <b>S15:</b><br/>2012 (USD 90,000): BDTF 45,000, SVTF 45,000<br/>2013 (USD 100,000): BDTF 50,000, SVTF 50,000<br/><b>Total (USD 190,000): BDTF 95,000, SVTF 95,000</b></li> <br/> <li><b>Summary:</b><br/>2012 (USD 390,000): TFs 25,000, TVs 365,000<br/>2013 (USD 340,000): TFs 25,000, TVs 315,000<br/><b>Total (USD 730,000): TFs 50,000, TVs 680,000</b></li> </ul> |
| <b>Resources required for 2014-2015</b>                | 2014 (USD 115,000): BCTF 40,000, BDTF 45,000, SVTF 30,000<br>2015 (USD 69,000): BDTF 42,000, SVTF 27,000<br><b>Total (USD 184,000): TFs 40,000, VFs 144,000</b>  |

## 4. Knowledge and information management and outreach

### Clearing-house mechanism for information exchange, including the prior informed consent (PIC) database and the Rotterdam Convention website, in English, French and Spanish

|  |   |
|--|---|
| <b>Programme heading</b>                 | <b>Knowledge and information management and outreach</b>  |
| <b>Title of activity</b>                 | <b>Activity 25 (S10): Clearing-house mechanism for information exchange, including the prior informed consent (PIC) database (former S10) and the Rotterdam Convention website, in English, French and Spanish (former RC-30, RC-33)</b>  |
| <b>Convention(s)</b>                     | <input checked="" type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b>  | UNEP/FAO/CHW/RC/POPS/EXCOPS.2/INF/10,<br>UNEP/FAO/CHW/RC/POPS/EXCOPS.2/INF/16   |
| <b>Mandate</b>                           | <p><b>Clearing-house mechanism</b><br/> 2009 Synergies decisions (BC-IX/10, RC-4/11, SC-4/34), section I A, paragraphs 2 and 3, section III B, paragraph 4<br/> Omnibus decisions (BC.Ex-1/1, RC.Ex-1/1, SC.Ex-1/1), section III<br/> 2011 synergies decisions (BC-10/29, RC-5/12, SC-5/27), section I, paragraph 10, annex I, S10, annex III</p> <p><b>Rotterdam Convention website in English, French and Spanish</b><br/> Decisions RC-5/14, RC-30 and RC-33 of the Rotterdam Convention</p>   |
| <b>Why this activity is important</b>    | A joint clearing-house mechanism, consisting of integrated information packages, IT tools and systems, as well as information exchange networks, will provide for one entry point to a wide range of sources of available information relevant to chemicals and wastes management.  |
| <b>Short description of the activity</b> | <p>Joint clearing-house mechanism activities will build on existing information exchange activities and strategies of each convention and will base its future development on the joint clearing-house mechanism strategy. Activities will include:</p> <ol style="list-style-type: none"> <li>1. Develop new information packages, IT tools and systems to support the implementation of projects of the three conventions, further enhancing the clearing-house mechanism;</li> <li>2. Maintain and further enhance the conventions websites, online collaboration platforms, national reporting systems, surveys and questionnaires, calendar of meetings, and other information systems serving external users;</li> <li>3. Maintain and further enhance internal Knowledge Management Systems, such as Document Management, Correspondence Tracking, Contacts Management, Meeting and Conference Services Management, as well as project related information systems;</li> <li>4. Maintain and enhance legacy database systems such as PIC and POPs databases, laboratory database, countries and ratifications databases, chemicals and wastes databases;</li> <li>5. Train Secretariat staff, as well as parties and other stakeholders, on the use of these systems.</li> </ol>   |
| <b>Objective</b>                         | <ol style="list-style-type: none"> <li>1. A joint clearing-house mechanism, consisting of integrated information packages, IT tools and systems, as well as information exchange networks, and providing one entry point to a wide range of sources of available information relevant to chemicals and wastes management, is further developed and enhanced;</li> <li>2. Ready access is provided to available scientific and technical information related to substances regulated under the three conventions, to enhance understanding of the potential impacts of these substances on human health and the environment and facilitate integrated life-cycle approach to their sound management.</li> <li>3. Facilitation of sharing of information and transfer of expertise on the implementation of the Basel, Rotterdam and Stockholm conventions between stakeholders in a more integrated and user-friendly environment;</li> <li>4. A joint strategy for the further implementation and enhancement of the clearing-house mechanism across the three conventions is developed;</li> <li>5. Support provided to information exchange and the PIC procedure by ensuring the availability of information and archiving information submitted by parties in an electronic information repository;</li> <li>6. Enhanced information outreach through the provision of information on the Rotterdam Convention website in English, French and Spanish.</li> </ol> |
| <b>Indicators of</b>                     | 1. Number of information packages that have been integrated for the three conventions;  |

|  |   |
|--|---|
| <b>achievement</b>                                     | <ol style="list-style-type: none"> <li>2. Number of IT tools and systems that have been developed/enhanced to serve all three conventions;</li> <li>3. Number of information exchange networks or stakeholder teams that are using the clearing-house platform to exchange information and share expertise online;</li> <li>4. Number of information sources that are feeding the clearing-house mechanism and are accessible through one entry point;</li> <li>5. Joint strategy for further development and enhancement of the clearing-house mechanism available for discussion at COPs;</li> <li>6. Database that meets the needs of the Secretariat for data entry and extraction for PIC Circular, website, DNA and OCP contact information, etc.;</li> <li>7. Database that allows information needed by parties to be available, such as import responses, notifications, proposals, DNA and OCP contact information, etc.;</li> <li>8. As many web pages as possible available in languages;</li> <li>9. As little delay as possible between posting of English content and French and Spanish content on the Rotterdam Convention website.</li> </ol>   |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. <u>Information management</u> <ol style="list-style-type: none"> <li>a. Information management service that will identify, catalogue and ensure proper records keeping, translation and packaging of information for dissemination to different target audiences (temporary assistance, subcontracts);</li> <li>b. Up-to-date and effective websites for communication to our stakeholders and the public (temporary assistance, special licenses);</li> <li>c. Information on RC website provided in English, French and Spanish (translation).</li> </ol> </li> <li>2. <u>Infrastructure, tools and systems</u> <ol style="list-style-type: none"> <li>a. New and improved tools and systems for websites (new systems development);</li> <li>b. (consultants, licenses, subcontracts);</li> <li>c. Effective tools for internal management of information at the Secretariat level, such as improvement of conference services management and correspondence tracking (consultants, licenses, subcontracts);</li> <li>d. Continuity of legacy systems and services provided to our stakeholders, such as PIC Circular, status of ratification, chemicals and wastes databases, etc. (consultants, licenses, subcontracts) (PIC database);</li> <li>e. Secretariat staff, parties and other stakeholders are trained to use the clearing-house mechanism and tools effectively for the performance of their functions and activities (one workshop).</li> </ol> </li> <li>3. <u>Online stakeholder networking and collaboration</u> <ol style="list-style-type: none"> <li>a. Maintenance and further development of an effective online platform for parties and other stakeholders to exchange information between themselves and with the Secretariat (consultants, licenses, subcontracts);</li> <li>b. A knowledge management service to catalyze online collaboration, provide user support and facilitate identification and compilation of knowledge into online knowledge bases (temporary assistance);</li> <li>c. Online rosters of experts (system) (consultants, licenses, subcontracts).</li> </ol> </li> </ol> |
| <b>Method of implementation (internal or external)</b> | <p>Internal:</p> <ul style="list-style-type: none"> <li>- CHM costs (USD 365,200);</li> </ul> <p>External:</p> <ul style="list-style-type: none"> <li>- Consultants (USD 20,000);</li> <li>- Translation (USD 74,000).</li> </ul>   |
| <b>Partner(s)</b>                                      | <p>FAO, UNEP, regional centres, other MEAs members of the InforMEA project as necessary</p>   |

|   |  |
|---|--|
| <b>Resources approved for 2012-2013</b> | <ul style="list-style-type: none"> <li>• <b>S10</b><br/> 2012 (USD 192,600): BCTF 28,000, BDTF 37,600, ROTF 20,000, RVTF 39,500,<br/> SCTF 28,000, SVTF 39,500<br/> 2013 (USD 192,600): BCTF 28,000, BDTF 37,600, ROTF 20,000, RVTF 39,500,<br/> SCTF 28,000, SVTF 39,500<br/> <b>Total (USD 385,200): TFs 152,000, VFs 233,200</b></li> <br/> <li>• <b>RC-30</b><br/> 2012 (USD 10,000): ROTF 10,000<br/> 2013 (USD 10,000): ROTF 10,000<br/> <b>Total (USD 20,000): ROTF 20,000</b></li> <br/> <li>• <b>RC-33</b><br/> 2012 (USD 37,000): ROTF 12,000, RVTF 25,000<br/> 2013 (USD 37,000): ROTF 12,000, RVTF 25,000<br/> <b>Total (USD 74,000): ROTF 24,000, RVTF 50,000</b></li> <br/> <li><b>Summary:</b><br/> 2012 (USD 239,600): BCTF 28,000, BDTF 37,600, ROTF 42,000, RVTF 64,500,<br/> SCTF 28,000, SVTF 39,500<br/> 2013 (USD 239,600): BCTF 28,000, BDTF 37,600, ROTF 42,000, RVTF 64,500,<br/> SCTF 28,000, SVTF 39,500<br/> <b>Total (USD 479,200): TFs 196,000, VFs 283,200</b></li> </ul> |
| <b>Resources required for 2014-2015</b> | 2014 (USD 239,600): BCTF 22,500, BDTF 44,300, ROTF 42,000, RVTF 63,800,<br>SCTF 28,000, SVTF 39,000<br>2015 (USD 239,600): BCTF 22,500, BDTF 44,300, ROTF 42,000, RVTF 63,800,<br>SCTF 28,000, SVTF 39,000<br><b>Total (USD 479,200): TFs 185,000, VFs 294,200</b>   |

## Publications

|  |   |
|--|---|
| <b>Programme heading</b>                               | <b>Knowledge and information management and outreach</b>  |
| <b>Title of activity</b>                               | <b>Activity 26 (S14): Publications<br/>(former S14, BC- 46, RC- 7 and SC-15)</b>  |
| <b>Convention(s)</b>                                   | <input checked="" type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b>                | N/A   |
| <b>Mandate</b>   | Decisions BC-10/29 of the Basel Convention, RC-5/12 of the Rotterdam Convention and SC-5/27 of the Stockholm Convention   |
| <b>Why this activity is important?</b>                 | To support parties in implementing the three conventions.   |
| <b>Short description of the activity</b>               | 1. To generate legal, technical and other publications that are required by parties. Only essential publications, for example, text of the conventions, will be printed and others will be produced as e-publications <sup>19</sup> .<br>2. The present activity covers only costs associated with design, layout & printing. Costs associated with content development and drafting of the publications are included under respective activities.  |
| <b>Objective</b>                                       | To provide parties and other stakeholders with information pertaining to the three conventions.   |
| <b>Indicators of achievement</b>                       | 1. Publications are produced in a timely and cost-effective manner;<br>2. Production of publications is tailored to suit the needs of parties;<br>3. Increase in the number of publications downloaded.   |
| <b>Expected outputs</b>                                | <p><b>Translation, graphic design, layout and printing of envisaged items:</b></p> <p><u>In 2014</u></p> <ol style="list-style-type: none"> <li>Basel Convention text (electronic and printed) in 6 languages;</li> <li>Rotterdam Convention text (electronic and printed) in 6 languages;</li> <li>Stockholm Convention text (electronic and printed) in 6 languages.</li> </ol> <p><u>In 2015</u></p> <ol style="list-style-type: none"> <li>Synergized leaflet related to trade control measures under BC, RC and SC (electronic and printed) in 6 languages;</li> <li>Synergized leaflet related to notifications of legal information under the BC, RC and SC (electronic and printed) in 6 languages;</li> <li>Synergized leaflet related to legal frameworks implementing the BC, RC and SC (electronic and printed) in 6 languages;</li> <li>Technical guidelines on e-waste (electronic only).</li> </ol> <p><b>Translation, graphic design, layout of additional (unforeseen) publications:</b></p> <p><u>In 2014 (Convention-specific)</u><br/>200 pages design &amp; layout in English only</p> <p><u>In 2015 (Convention-specific)</u><br/>60 pages graphic design in 6 languages<br/>60 pages in translation into 5 languages</p> |
| <b>Method of implementation (internal or external)</b> | External:<br>- Translators, graphic designers, printers etc.  |

<sup>19</sup> This activity does not include public awareness and outreach materials.



|   |   |
|---|---|
| <b>Partner(s)</b>                       | UNEP, UNOG etc.   |
| <b>Resources approved for 2012-2013</b> | <ul style="list-style-type: none"> <li>• <b>S14</b><br/>2012 (USD 57,500): BCTF 19,150, ROTF 19,150, SCTF 19,200<br/>2013 (USD 57,500): BCTF 19,150, ROTF 19,150, SCTF 19,200<br/><b>Total (USD 115,000): TFs 115,000</b></li> <li>• <b>BC-46</b><br/>2012 (USD 8,850): BCTF 8,850<br/>2013 (USD 8,850): BCTF 8,850<br/><b>Total (USD 8,850): BCTF 8,850</b></li> <li>• <b>RC-7</b><br/>2012 (USD 20,000): ROTF 20,000<br/>2013:<br/><b>Total (USD 20,000): ROTF 20,000</b></li> <li>• <b>SC-15</b><br/>2012 (USD 15,000): SCTF 15,000<br/>2013 (USD 15,000): SCTF 15,000<br/><b>Total (USD 30,000): SCTF 30,000</b></li> </ul> <p><b>Summary:</b><br/>2012 (USD 101,350): BCTF 28,000, ROTF 39,150, SCTF 34,200<br/>2013 (USD 81,350): BCTF 28,000, ROTF 19,150, SCTF 34,200<br/><b>Total (USD 182,700): TFs 182,700</b></p> |
| <b>Resources required for 2014-2015</b> | <p>2014 (USD 141,350): BCTF 48,000, ROTF 39,150, SCTF 54,200<br/>2015 (USD 111,350): BCTF 38,000, ROTF 29,150, SCTF 44,200<br/><b>Total (USD 252,700): TFs 252,700</b></p>  |

**Joint communication, outreach and public awareness**

|  |  |
|--|--|
| <b>Programme area</b>                    | <b>Knowledge and information management and outreach</b>   |
| <b>Title of activity</b>                 | <b>Activity 27 (S12/S13): Joint communication, outreach and public awareness (former BC-45, RC-26,RC-27and S12/13)</b>   |
| <b>Convention(s)</b>                     | <input checked="" type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention   |
| <b>Reference to meeting document</b>     | N/A  |
| <b>Mandate</b>                           | Synergies decisions (BC-IX/10, RC-4/11, SC-4/34), section III A<br>Omnibus decisions (BC.Ex-1/1, RC.Ex-1/1, SC.Ex-1/1), section III  |
| <b>Why this activity is important?</b>   | Joint communication, public awareness and outreach informs parties, media and other stakeholders of major developments and achievements of the conventions, thereby increasing public and political support for their implementation. The activity is a component of key strategic partnerships, e.g. EU-UNEP-ENRTP, assisting ongoing and future resource mobilization.   |
| <b>Short description of the activity</b> | <ol style="list-style-type: none"> <li>1. Revise and update joint communication and outreach strategy for the conventions including further development of the Rotterdam Right-to-Know strategic component;</li> <li>2. Further develop new communication tools, including BRS Convention/Twitter, Safe Planet on Facebook, Flickr &amp; YouTube, and contribute to development of customized conference meetings app(s);</li> <li>3. Prepare outreach materials, including press releases, speeches, articles, posters, social media materials;</li> <li>4. Design new brands for the conventions, including campaigns and logos; ensure brand integrity and management;</li> <li>5. Conduct communication and outreach activities for the Basel Waste Solutions Circle, clearinghouse and Safe Planet including through organization of, or participation in partner-led social media and other events at local, regional or international level, COPs side events and other major meetings (e.g. UNEP Governing Council, conventions subsidiary bodies, regional centres outreach initiatives) and web pages/dedicated websites (e.g. Synergies, SafePla.net);</li> <li>6. Contribute to development of a possible goodwill ambassadorship programme for the chemicals and waste MEAs cluster, in partnership with UNEP DCPI;</li> <li>7. Organization of joint media/NGO workshop(s) in conjunction with BC COP-12, RC COP-7 and SC COP-7.<sup>20</sup></li> </ol> |
| <b>Objective</b>                         | Implementation of the biennial chemicals and wastes MEAs work programmes supported through heightened media, public and stakeholder awareness of major developments and achievements of the conventions.   |
| <b>Indicators of achievement</b>         | <ol style="list-style-type: none"> <li>1. Number of press releases and media coverage of the Basel, Rotterdam and Stockholm conventions;</li> <li>2. Number of outreach materials produced;</li> <li>3. Steadily increasing number of participants in social media platforms;</li> <li>4. Web traffic and usage of outreach materials statistics;</li> <li>5. Number of events organized/participated in/contributed to;</li> <li>6. Number of target groups participating in events and initiatives (e.g. Basel Waste Solutions Circle, Safe Planet);</li> <li>7. Media placements (news reportage etc.).</li> </ol>  |

<sup>20</sup> This activity would precede BC COP-12, RC COP-7 and SC COP-7 as a single workshop in the case that the 2015 COPs were organized back-to-back; otherwise multiple workshops would be organized prior to each individual COP.

|  |   |
|--|---|
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Updated joint communication strategy, including further development the Right-to-Know component (staff time only);</li> <li>2. Twitter service expanded to all COPs and subsidiary bodies meetings (staff time only);</li> <li>3. Social media trainings for 1 staff;</li> <li>4. UNEP/GRID development and hosting agreement for SafePla.net website (consultancy);</li> <li>5. Outreach materials (electronic) (consultancy);</li> <li>6. 10 press releases and 1 media kit (staff time only);</li> <li>7. Branded visual identity at 2015 COPs (consultancy);</li> <li>8. Outreach for Basel Waste Solutions Circle and other convention initiatives, e.g. electronic publicity materials, trophies, video public service announcements (PSAs), bulletins (consultancy);</li> <li>9. Goodwill ambassadorship programme (electronic publicity materials, video public service announcements, goodwill ambassadors' travel, staff travel and consultancy);</li> <li>10. 1 joint media workshop for 15 participants (travel and DSA of participants);</li> <li>11. Miscellaneous expenses (licenses etc.).</li> </ol> |
| <b>Method of implementation (internal or external)</b> | <p>Internal:</p> <ul style="list-style-type: none"> <li>- Staff travel (USD ,8,800);</li> <li>- Consultants (USD 109,000);</li> <li>- Travel and DSA for participants (USD 59,700);</li> <li>- Training/workshops (USD 1,200);</li> <li>- Miscellaneous (USD 1,000).</li> </ul>   |
| <b>Partner(s)</b>                                      | UNOG, Stockholm and Basel convention regional centres, UNEP and FAO regional offices, Basel Waste Solutions Circle, NGO networks OzonAction, Strategic Approach to International Chemicals Management Secretariat, and other United Nations, international, non-profit and private-sector organizations, as appropriate.  |
| <b>Resources approved for 2012-2013</b>                | <ul style="list-style-type: none"> <li>• <b>BC-45</b><br/>2012: 0<br/>2013 (USD 50,000): BCTF: 50,000<br/><b>Total (USD 50,000): BCTF 50,000</b></li> <li>• <b>RC-26</b><br/>2012 (USD 70,000): RVTF 70,000<br/>2013 (USD 30,000): RVTF 30,000<br/><b>Total (USD 100,000): RVTF 100,000</b></li> <li>• <b>RC-27</b><br/>2012 (USD 40,000): RVTF 40,000<br/>2013 (USD 20,000): RVTF 20,000<br/><b>Total (USD 60,000): RVTF 60,000</b></li> <li>• <b>S12/13</b><br/>2012 (USD 91,000): BDTF 22,000, RVTF 31,000, SVTF 38,000<br/>2013 (USD 276,000): BDTF 97,000<sup>21</sup>, RVTF 141,000, SVTF 38,000<br/><b>Total (USD 367,000): BDTF 119,000, RVTF 172,000, SVTF 76,000</b></li> </ul> <p><b>Summary:</b><br/>2012 (USD 201,000): BDTF 22,000, RVTF 141,000, SVTF 38,000<br/>2013 (USD 376,000): BCTF 50,000, BDTF 97,000, RVTF 191,000, SVTF 38,000<br/><b>Total (USD 577,000): TFs 50,000, VFs 527,000</b></p>   |
| <b>Resources required for 2014-2015</b>                | <p>2014 (USD 88,000): BDTF 29,000, RVTF 29,000, SVTF 30,000<br/>2015 (USD 90,700): BDTF 30,200, RVTF 30,200, SVTF 30,300<br/><b>Total (USD 178,700): VFs 178,700</b></p>  |

<sup>21</sup> USD 75,000 in 2013 were for the publication of Vital Waste Graphics IV, relevant to Basel Convention only.

## 5. Overall management

### Executive direction and management

| Programme area   | Overall management   |
|--|--|
| <b>Title of activity</b>                               | <b>Activity 28 (S18): Executive direction and management (former BC-46, BC-49, RC-37, RC-38, SC-40, SC-41 )</b>  |
| <b>Convention(s)</b>                                   | <input checked="" type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention   |
| <b>Reference to meeting document</b>                   | N/A  |
| <b>Mandate</b>   | Decision BC-10/29 of the Basel Convention<br>Decision RC-5/12 of the Rotterdam Convention<br>Decision SC-5/27 of the Stockholm Convention  |
| <b>Why this activity is important</b>                  | This activity is important to ensure coherent delivery of the programmes of work and to manage the staff and financial resources efficiently and effectively in a way which responds to needs of the three conventions and in conformity with United Nations policies and procedures.  |
| <b>Short description of the activity</b>               | <ol style="list-style-type: none"> <li>1. Provide the necessary vision and leadership to inspire the staff of the Secretariat to excellence in helping parties meet the goals and objectives of the conventions;</li> <li>2. Identify, catalyze and achieve synergies in leading the Secretariat of the three conventions and supporting the work of the parties;</li> <li>3. Overall management of the organization and holding of the conferences of the parties and subsidiary body meetings;</li> <li>4. Overall management of the provision of technical assistance to parties, including through Basel and Stockholm regional centres, and other regional delivery mechanisms;</li> <li>5. Overall management of the provision of high quality scientific and technical support to parties;</li> <li>6. Administer the human capital and financial resources of the Secretariat;</li> <li>7. Coordinate and cooperate with the secretariats of other relevant MEAs and IGOs, especially FAO in the administration of the Rotterdam Secretariat.</li> </ol> |
| <b>Objective</b>                                       | Provision of leadership, implementation of the mandates of the Basel, Rotterdam and Stockholm conventions, building of synergies, ensuring coherent delivery of the programmes of work and management of the staff and financial resources efficiently and effectively in a way which responds to needs of the three conventions and in conformity with United Nations policies and procedures.  |
| <b>Indicators of achievement</b>                       | <ol style="list-style-type: none"> <li>1. Delivery of meetings of the conferences of the parties and their subsidiary bodies;</li> <li>2. Resources leveraged to support national implementation of the three conventions as a result of cohesive efforts to increase resource mobilization for the three conventions;</li> <li>3. Implementation and delivery of technical assistance activities in collaboration with regional centres and other partners;</li> <li>4. Increased openness and transparency of the Secretariat and its activities through innovations such as web posting of the Secretariat's quarterly financial and progress reports;</li> <li>5. Programmes of work of the three conventions delivered by the Secretariat coherently while respecting the legal autonomy of the three MEAs;</li> <li>6. Secretariat staff trained and working efficiently under a matrix structure.</li> </ol>  |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Operational synergies at various levels (staff costs only);</li> <li>2. Delivery of the outputs envisaged under the programme of work for 2014 -2015 (staff costs only);</li> <li>3. Long term sustainability of the Secretariat (staff costs only);</li> <li>4. Ongoing dialogue and feedback with parties and partners (staff costs only);</li> <li>5. Effective and collaborative relationships and activities with other institutions (staff travel).</li> </ol>   |
| <b>Method of implementation (internal or external)</b> | Internal:<br>- Staff travel (USD 645,305);<br>- Hospitality (USD 15,000);<br>- Staff training for the Secretariat (Geneva and Rome) (USD 50,000).  |

|   |  |
|---|--|
| <b>Partner(s)</b>                       | Parties and Governments, UNEP, FAO, the regional centres of the Basel and Stockholm conventions, other convention secretariats, SAICM, WHO, etc.   |
| <b>Resources approved for 2012-2013</b> | <ul style="list-style-type: none"> <li>• <b>BC-46</b><br/>2012 (USD 8,850): BCTF 8,850<br/>2013 (USD 8,850): BCTF 8,850<br/><b>Total (USD 17,700): BCTF 17,700</b></li> <br/> <li>• <b>BC-49</b><br/>Staff costs only</li> <br/> <li>• <b>RC-37</b><br/>2012 (USD 35,000): BCTF 35,000<br/>2013 (USD 35,000): BCTF 35,000<br/><b>Total (USD 70,000): BCTF 70,000</b></li> <br/> <li>• <b>RC-38</b><br/>Staff costs only</li> <br/> <li>• <b>SC-40</b><br/>2012 (USD 210,000): SCTF 180,000, SVTF 30,000<br/>2013 (USD 210,000): SCTF 180,000, SVTF 30,000<br/><b>Total (USD 420,000): SCTF 360,000, SVTF 60,000</b></li> <br/> <li>• <b>SC-41</b><br/>Staff costs only</li> <br/> <li><b>Summary:</b><br/>2012 (USD 253,850): BCTF 8,850, ROTF 35,000, SCTF 180,000, SVTF 30,000<br/>2013 (USD 253,850): BCTF 8,850, ROTF 35,000, SCTF 180,000, SVTF 30,000<br/><b>Total (USD 507,700): TFs 447,700, VFs 60,000</b></li> </ul> |
| <b>Resources required for 2014-2015</b> | 2014 (USD 367,257): BCTF 116,400, ROTF 100,457, SCTF 150,400<br>2015 (USD 358,048): BCTF 99,900, ROTF 122,248, SCTF 135,900<br><b>Total (USD 725,305): TFs 725,305</b>   |

**International cooperation and coordination**

| Programme heading                          | Overall management   |
|--|--|
| <b>Title of activity</b>                   | <p><b>Activity 29 (S19): International cooperation and coordination</b></p> <ul style="list-style-type: none"> <li>• Coordination of work related to the Financial Mechanism of the Stockholm Convention and the Global Environment Facility (GEF) (former SC-6, SC-7, SC-8 and SC-9)</li> <li>• Cooperation between the Basel Convention and the International Maritime Organization (former BC-47 and BC-10)</li> <li>• General cooperation and coordination (former BC-47, RC-39, RC-40, SC-42)</li> </ul>  |
| <b>Convention(s)</b>                       | <p><input checked="" type="checkbox"/> Basel Convention<br/> <input checked="" type="checkbox"/> Rotterdam Convention<br/> <input checked="" type="checkbox"/> Stockholm Convention</p>  |
| <b>Reference to meeting document no</b>    | <p><b>Coordination of work related to the Financial Mechanism of the Stockholm Convention and the GEF</b><br/>                     UNEP/SC/COP.6/21, UNEP/SC/COP.6/22, UNEP/SC/COP.6/INF/24, UNEP/SC/COP.6/23, UNEP/SC/COP.6/INF/25, UNEP/SC/COP.6/24, UNEP/SC/COP.6/INF/26, UNEP/SC/COP.6/25, UNEP/SC/COP.6/INF/27</p> <p><b>Cooperation between the Basel Convention and the International Maritime Organization</b><br/>                     UNEP/CHW.11/17, UNEP/CHW.11/16</p> <p><b>General cooperation and coordination</b><br/>                     BC: UNEP/CHW.11/18, UNEP/CHW.11/INF/35, UNEP/CHW.11/INF/24<br/>                     RC: UNEP/FAO/RC/COP.6/17, UNEP/FAO/RC/COP.6/INF/2<br/>                     SC: UNEP/SC/COP.6/INF/35</p>   |
| <b>Mandate</b>                             | <p><b>Coordination of work related to the Financial Mechanism of the Stockholm Convention and the GEF</b><br/>                     Decisions SC-5/22, SC-5/23, SC-5/24, SC-5/25 and SC-5/26 of the Stockholm Convention</p> <p><b>Cooperation between the Basel Convention and the International Maritime Organization</b><br/>                     Decisions BC-10/16 and BC-10/17 of the Basel Convention</p> <p><b>General cooperation and coordination</b><br/>                     Decisions VIII/7, IX/11, BC-10/3 and BC-10/15 of the Basel Convention<br/>                     Decisions RC-5/14 and RC-5/13 of the Rotterdam Convention<br/>                     Decisions SC-5/28 of the Stockholm Convention</p>  |
| <b>Why are these activities important?</b> | <p><b>Coordination of work related to the Financial Mechanism of the Stockholm Convention and the GEF</b><br/>                     In compliance with Article 14 of the Stockholm Convention, the GEF is, on an interim basis, the principal entity entrusted with the operations of the financial mechanism referred to in Article 13 of the Convention.</p> <p><b>Cooperation between the Basel Convention and the International Maritime Organization</b><br/> <u>Wastes generated on ships:</u><br/>                     The importance of clarifying which legal regime applies to wastes generated on board of ships goes back to the adoption of the Convention (Article 1.4). Following the 2006 Probo Koala incident, this matter received additional attention with calls to clarify the relationship between BC and IMO relevant conventions, both at the legal level and in respect to the implementation of the respective relevant treaties (improved sea-land interface), including as requested in decision BC-10/16.</p> <p><u>Ship recycling:</u><br/>                     The issue of ship recycling has been on BC's agenda since the late 1990's, as end-of-life ships comprise of an array of hazardous wastes. Technical guidelines on ship dismantling were adopted in 2002. At BC COP-7 in 2004, parties recognized that ship may become waste, as defined in Article 2. In decision BC-10/17, COP encouraged parties to ratify the Hong Kong Convention, which establishes obligations to ensure environmentally sound recycling of ships, and acknowledged that BC should continue to assist countries to apply the Convention as it relates to ships.</p> |

|   |   |
|---|---|
|   | <p><b>General cooperation and coordination</b><br/>Cooperation with relevant organizations such as UNEP, FAO, other multilateral environmental agreements, intergovernmental organizations (e.g. WTO, WHO, OHCHR), other international and regional institutions, and non-governmental organizations/networks ensures that the conventions' processes have the best legal, policy, scientific and other relevant information available. The COPs and their subsidiary bodies also seek to ensure that the hazardous chemicals and wastes related activities of other organizations are coherent with the conventions' processes and respond to parties' needs, and that potential linkages and synergies are appropriately taken into account and fostered. Meetings of the Rome and Geneva staff of the Rotterdam Convention Secretariat are important to foster collaboration and information exchange among staff members.</p>   |
| <p><b>Short description of the activities</b></p> | <p><b>Coordination of work related to the Financial Mechanism of the Stockholm Convention and the GEF</b></p> <ol style="list-style-type: none"> <li>1. The activity seeks to implement the decisions of the COP with regard to the financial mechanism, including regular reviews of the mechanism and the assessment of funding needs, as well as the provisions of the Memorandum of Understanding (MoU), adopted in SC-1/11, between SC COP and GEF Council, including provisions for reciprocal representation. Specific activities include:       <ol style="list-style-type: none"> <li>a. Follow-up on possible COP-6 decisions on the 3rd review of the Financial Mechanism and the assessment of funding needs under the Stockholm Convention;</li> <li>b. Communicate regularly with GEF, developed country parties, other parties and other sources, including relevant funding institutions and the private sector on matters related to the Financial Mechanism of the Convention;</li> <li>c. Liaise with UNEP/FAO resource mobilization units and regional offices on matters related to the Financial Mechanism;</li> <li>d. Participate in relevant meetings of entities under the Financial Mechanism;</li> <li>e. Participate in the GEF-6 replenishment process.</li> </ol> </li> </ol> <p><b>Cooperation between the Basel Convention and the International Maritime Organization</b></p> <ol style="list-style-type: none"> <li>1. Publish a leaflet on the legal relationship between BC and IMO conventions (in 6 languages); and a leaflet (6 languages) and a guidance manual (English only), in cooperation with IMO, on how to improve the sea-land interface to ensure that MARPOL waste is managed in an environmentally sound manner, once offloaded from ships;</li> <li>2. Participate in sessions of the IMO Maritime Safety Committee (MSC) and Marine Environment Protection Committee (MEPC);</li> <li>3. Organize sessions of the ILO/IMO/BC Working Group on Ship Scrapping;</li> <li>4. Implement the activities requested in the decision on waste generated on ships that are not legal in nature (BC-10/16) and implement the activities requested on ship recycling that are other than delivering technical assistance to parties (BC-10/17).</li> </ol> <p><b>General cooperation and coordination</b></p> <ol style="list-style-type: none"> <li>1. Participate in activities and meetings of relevant bodies, such as COPs of other MEAs, UNEP Governing Council, FAO Governing Bodies, etc.;</li> <li>2. Participate in activities and meetings of relevant partners such as: UNEP (UNEP chemicals, UNEP DELC, SAICM), UN Office of Legal Affairs (UN OLA), WHO, WTO, ILO, WCO, IOMC, CSD, OHCHR, etc.;</li> <li>3. Hold 4 annual meetings of the Rotterdam Convention Secretariat including staff located in Geneva and Rome.</li> </ol> |

|   |   |
|---|---|
| <p><b>Objective</b></p>                 | <p><b>Coordination of work related to the Financial Mechanism of the Stockholm Convention and the GEF</b></p> <ol style="list-style-type: none"> <li>1. COP-6 decisions on the 3rd review of the Financial Mechanism and the assessment of funding needs under the Stockholm Convention are followed-up;</li> <li>2. GEF and other potential donors under the Financial Mechanism are informed on relevant COP decisions and priorities for financial assistance.</li> </ol> <p><b>Cooperation between the Basel Convention and the International Maritime Organization</b></p> <ol style="list-style-type: none"> <li>1. <u>Wastes generated on ships</u>: Application of BC to wastes generated on board ships clarified and ESM of hazardous wastes and other wastes generated on ships promoted;</li> <li>2. <u>Ship recycling</u>: Enhanced implementation of the global regime relating to ship recycling.</li> </ol> <p><b>General cooperation and coordination</b></p> <ol style="list-style-type: none"> <li>1. Conventions' processes have the best relevant information available; enhanced coherence between conventions' activities and those of others, and reach and influence of the conventions promoted;</li> <li>2. Information exchange and collaboration between Rome and Geneva staff of the Rotterdam Convention Secretariat is fostered.</li> </ol>   |
| <p><b>Indicators of achievement</b></p> | <p><b>Coordination of work related to the Financial Mechanism of the Stockholm Convention and the GEF</b></p> <ol style="list-style-type: none"> <li>1. Follow-up on COP-6 decisions on the 3rd review of the Financial Mechanism and the assessment of funding needs under the Stockholm Convention is reflected in COP-7 documents;</li> <li>2. Institutions under the financial mechanism are better informed about relevant COP decisions;</li> <li>3. COP guidance to the financial mechanism is incorporated in GEF and other donor strategies;</li> <li>4. COP decisions are forwarded to the GEF-6 replenishment process.</li> </ol> <p><b>Cooperation between the Basel Convention and the International Maritime Organization</b></p> <ol style="list-style-type: none"> <li>1. Leaflet clarifying the legal regime applicable to wastes generated on board ships is published in the six UN languages;</li> <li>2. A leaflet (in the six UN languages) and a guidance manual (in English) are published, in cooperation with IMO, on improving the sea-land interface;</li> <li>3. Participation in relevant meetings, as mentioned in "Description of activities";</li> <li>4. Activities delivered in line with work programme on waste generated on ships (BC-10/16) and on ship recycling (BC-10/17).</li> </ol> <p><b>General cooperation and coordination</b></p> <ol style="list-style-type: none"> <li>1. Number of effective collaborative activities established with partners on issues relevant to the conventions;</li> <li>2. Better informed decision-making in the conventions' processes and activities;</li> <li>3. Links with high profile initiatives are forged and chemicals issues are further integrated into national, regional and international agenda;</li> <li>4. 4 annual fact-to-face meetings held between Geneva and Rome staff of the Rotterdam Convention Secretariat.</li> </ol> |
| <p><b>Expected outputs</b></p>          | <p><b>Coordination of work related to the Financial Mechanism of the Stockholm Convention and the GEF</b></p> <ol style="list-style-type: none"> <li>1. COP-7 documents on issues related to the Financial Mechanism of the SC (staff time only);</li> <li>2. GEF and other potential donors under the Financial Mechanism are better informed about relevant COP decisions and priorities for financial assistance. Through these activities the entities under the Financial Mechanism are better enabled to take into account in their programmes and activities relevant guidance provided by the COP on financial matters (staff time only, staff travel for the biennium is included in the activity «Executive direction and management»);</li> </ol> <p><b>Cooperation between the Basel Convention and the International Maritime Organization</b></p> <ol style="list-style-type: none"> <li>3. Publication of a leaflet on the legal relationship between BC and IMO:</li> </ol>   |



|   |  |
|---|--|
|   | <p>Design/layout/printing; translation;</p> <p>4. Publication of a leaflet on how to improve the sea-land interface: Design/layout/printing; translation;</p> <p>5. Publication of the guidance manual, in cooperation with IMO, on improving the sea-land interface: Design/layout/printing;</p> <p>6. Participation in the 2 annual sessions of the IMO Marine Environment Protection Committee and Maritime Safety Committee (MSC) each (duration of mission: 5 days, location: London), other relevant meetings organized by IMO, and possible meetings of the ILO/IMO/BC Working Group on Ship Scrapping, as appropriate. (staff time only, staff travel for biennium is included in the activity "Executive direction and management");</p> <p><b>General cooperation and coordination</b></p> <p>1. Staff time only (staff travel for each convention is included in the activity "Executive direction and management" for possible missions to attend UNEP and FAO related meetings: UNEP governing council, Mercury convention, UNEP DELC, SAICM, FAO governing bodies, or meeting of other institutional partners: IOMC, other MEAs governing bodies, WHO, ILO, WCO, Interpol, CSD, etc.;</p> <p>2. Furthermore, staff travel for 4 annual Rotterdam Convention Secretariat meetings is included in the activity "Executive direction and management".</p> |
| <p><b>Method of implementation (internal or external)</b></p> | <p><b>Coordination of work related to the Financial Mechanism of the Stockholm Convention and the GEF</b></p> <ul style="list-style-type: none"> <li>- Internal: staff time only;</li> </ul> <p><b>Cooperation between the Basel Convention and the International Maritime Organization</b></p> <ul style="list-style-type: none"> <li>- Internal: staff time only;</li> <li>- External: funds for design, layout, translation and printing (USD 20,000: USD 10,000 per year);</li> </ul> <p><b>General cooperation and coordination</b></p> <ul style="list-style-type: none"> <li>- Internal: staff time only.</li> </ul>  |
| <p><b>Partner(s)</b></p>                                      | <p>GEF Secretariat, GEF Evaluation Office, UNEP (UNEP chemicals, UNEP DELC, SAICM), FAO, other UN organizations, programmes, funds, intergovernmental organizations, such as ILO, IMO, WTO, UN OLA, WHO, IOMC, CSD, OHCHR, etc. other MEAs, other international and regional institutions, etc.</p>  |

|  |   |
|--|---|
| <p><b>Resources approved for 2012-2013</b></p> | <p><b>Coordination of work related to the Financial Mechanism of the Stockholm Convention and the GEF</b></p> <ul style="list-style-type: none"> <li>• <b>SC-6</b><br/>2012 (USD 50,000): SCTF 50,000<br/>2013 (USD 30,000): SCTF 30,000<br/><b>Total (USD 80,000): SCTF 80,000</b></li> <br/> <li>• <b>SC-7N</b><br/>20142: 0<br/>2013 (USD 100,000): SCTF 100,000<br/><b>Total (USD 100,000): SCTF 100,000</b></li> <br/> <li>• <b>SC-8</b><br/>Staff costs only</li> </ul> <p><b>Cooperation between the Basel Convention and the International Maritime Organization</b></p> <p><u>Wastes on ships</u><br/>Funding was part of BC-47</p> <p><u>Ship recycling</u></p> <ul style="list-style-type: none"> <li>• <b>BC-10</b><br/>2012 (USD 7,500): BCTF 7,500<br/>2013 (USD 7,500): BCTF 7,500<br/><b>Total (15,000): BCTF 15,000</b></li> </ul> <p><b>General cooperation and coordination</b></p> <ul style="list-style-type: none"> <li>• <b>BC-47</b><br/>2012 (USD 70,000): BCTF 35,000, BDTF 35,000<br/>2013 (USD 70,000): BCTF 35,000, BDTF 35,000<br/><b>Total (USD 140,000): BCTC 70,000, BDTF 70,000</b></li> <br/> <li>• <b>RC-39 and RC-40</b><br/>2012 (USD 20,000): ROTF 20,000<br/>2013 (USD 20,000): ROTF 20,000<br/><b>Total (USD 40,000): ROTF 40,000</b></li> <br/> <li>• <b>SC-42</b><br/>2012 (USD 25,000): SCTF 25,000<br/>2013 (USD 25,000): SCTF 25,000<br/><b>Total (USD 50,000): SCTF 50,000</b></li> </ul> <p><b>Summary:</b><br/>2012 (USD 172,500): TFs 137,500, VFs 35,000<br/>2013 (USD 252,500): TFs 217,500, VFs 35,000<br/><b>Total (USD 425,000): TFs 355,000, VFs 70,000</b></p> |
| <p><b>Resources required for 2014-2015</b></p> | <p>2014 (USD 10,000): BDTF 10,000<br/>2015 (USD 10,000): BDTF 10,000<br/><b>Total (USD 20,000): BDTF 20,000</b></p>   |

## Resource mobilization

|  |   |
|--|---|
| <b>Programme heading</b>                               | <b>Overall management</b>   |
| <b>Title of activity</b>                               | <b>Activity 30 (S16): Resource mobilization (former BC-50, RC-41, SC-43, Financial Assistance related part of SC-9 and S16)</b>   |
| <b>Convention(s)</b>                                   | <input checked="" type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b>                | UNEP/FAO/CHW/RC/POPS/EXCOPS.2/2/Add.4,<br>UNEP/FAO/CHW/RC/POPS/EXCOPS.2/INF/10,<br>UNEP/FAO/CHW/RC/POPS/EXCOPS.2/INF/13   |
| <b>Mandate</b>   | Synergies decisions (BC-IX/10, SC-4/34), section IV B;<br>Omnibus decisions (BC.Ex-1/1, RC.Ex-1/1, SC.Ex-1/1), section III, paragraph 3 (e)   |
| <b>Why this activity is important?</b>                 | This activity is important to ensure that funds are being raised in a more predictable and sustainable manner for activities under the Technical Cooperation Trust Fund (BD) and Voluntary Special Trust Funds (RC, SV) of the three conventions and to ensure that relations with donors, including reporting, and other key funding sources of the conventions, are further established and maintained.   |
| <b>Short description of the activity</b>               | <ol style="list-style-type: none"> <li>1. Raise funds for activities under the Technical Cooperation Trust Fund (BD) and Voluntary Special Trust Funds (RC, SV) of the three conventions;</li> <li>2. Update and further develop the joint resource mobilization and management strategy;</li> <li>3. Develop and implement a programme to facilitate and improve the access to financial support;</li> <li>4. Liaise with UNEP/FAO resource mobilization units with regard to UNEP/FAO negotiations with donors, other external resource mobilization activities and relations to the GEF;</li> <li>5. Coordinate the development and submission of proposals to potential donors;</li> <li>6. Develop strategies for awareness raising among donors regarding funding proposals, including the organization of donor round-tables;</li> <li>7. Undertake donor and partner profiling;</li> <li>8. Maintain the resource mobilization database;</li> <li>9. Meet donors;</li> <li>10. Monitor and report in compliance with donor requirements.</li> </ol> |
| <b>Objective</b>                                       | Maximized access to public and private sector funding for implementing the chemicals and wastes agenda in a synergistic manner.   |
| <b>Indicators of achievement</b>                       | <ol style="list-style-type: none"> <li>1. Funding opportunities identified;</li> <li>2. Number of projects for which funding is obtained;</li> <li>3. Funding proposals and reports meet conventions and donor requirements.</li> </ol>   |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Funds raised for activities under the Technical Cooperation Trust Fund (BD) and Voluntary Special Trust Funds (RC, SV) of the three conventions (staff costs only);</li> <li>2. Joint resource mobilization and management strategy updated and further developed (staff costs only);</li> <li>3. Organization of a series of missions to donors and other funding partners for information and public awareness materials (BD/RV/SV) (Staff travel (BC/RO/SC) is included in the activity «Executive direction and management»);</li> <li>4. Organization of two donor round table meetings per biennium (BC/RO/SC) [<i>excl. participants travel</i>];</li> <li>5. Resource mobilization database fully operational and maintained (consultancy) (BC/RO/SC);</li> <li>6. Proposals developed and submitted to potential donors, including donor profiles and reports on funding received (staff costs only).</li> </ol>   |
| <b>Method of implementation (internal or external)</b> | Internal: <ul style="list-style-type: none"> <li>- Special events for raising funds; partnerships with other United Nations entities and the private sector (USD 12,000);</li> <li>- Consultants (USD 12,000)<sup>22</sup>;</li> <li>- Development of information/public awareness material (USD 6,000).</li> </ul>   |

<sup>22</sup> The consultant for the RM database is crucial for the successful implementation of the RM strategy. It is proposed to include the funds in the general trust funds.

|   |  |
|---|--|
| <b>Partner(s)</b>                       | United Nations organizations, FAO, international financial institutions and the private sector.  |
| <b>Resources approved for 2012-2013</b> | <ul style="list-style-type: none"> <li>• <b>BC-50</b><br/>Staff costs only</li> <br/> <li>• <b>RC-41</b><br/>2012 (USD 20,000): ROTF 20,000<br/>2013 (USD 20,000): ROTF 20,000<br/><b>Total (USD 40,000): ROTF 40,000</b></li> <br/> <li>• <b>SC-9</b><br/>2012 (USD 50,000): SVTF 50,000<br/>2013 (USD 60,000): SVTF 60,000<br/><b>Total (USD 110,000): SVTF 110,000</b></li> <br/> <li>• <b>S16</b><br/>2012 (USD 45,000): BCTF 15,000, ROTF 15,000, SCTF 15,000<br/>2013 (USD 45,000): BCTF 15,000, ROTF 15,000, SCTF 15,000<br/><b>Total (USD 90,000): TFs 90,000</b></li> <br/> <li><b>Summary:</b><br/>2012 (USD 115,000): TFs 65,000, VFs 50,000<br/>2013 (USD 125,000): TFs 65,000, VFs 60,000<br/><b>Total (USD 240,000): TFs 130,000, VFs 110,000</b></li> </ul> |
| <b>Resources required for 2014-2015</b> | <p>2014 (USD 15,000): BCTF 4,000; BDTF 1,000; RCTF 4,000; RVTF 1,000; SCTF 4,000; SVTF 1,000</p> <p>2015 (USD 15,000): BCTF 4,000; BDTF 1,000; RCTF 4,000; RVTF 1,000; SCTF 4,000; SVTF 1,000</p> <p><b>Total (USD 30,000): TFs 24,000, VFs 6,000</b></p>  |

## 6. Legal and policy

### Legal and policy activities specific to the Basel Convention

| Programme heading                       | Legal and policy  |
|---|---|
| <b>Title of activity</b>                | <p><b>Activity 32 (BC): Legal and policy activities specific to the Basel Convention:</b></p> <ul style="list-style-type: none"> <li>• Work programme of the Implementation and Compliance Committee (ICC) (former BC-6)</li> <li>• Implementation Fund (former BC-7)</li> <li>• Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information) (former BC-8)</li> <li>• Strategic Framework for the implementation of the Basel Convention for 2012 – 2021 (former BC-18)</li> </ul>   |
| <b>Convention(s)</b>                    | <input checked="" type="checkbox"/> Basel Convention<br><input type="checkbox"/> Rotterdam Convention<br><input type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b> | <p><b>Work programme of the ICC</b><br/> UNEP/CHW.11/10, UNEP/CHW.11/10/Add.1, UNEP/CHW.11/INF/14, UNEP/CHW.11/INF/18, UNEP/CHW.11/11</p> <p><b>Implementation Fund</b><br/> UNEP/CHW.11/10</p> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information)</b><br/> UNEP/CHW.11/12, UNEP/CHW.11/3</p> <p><b>Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</b><br/> UNEP/CHW.11/4, UNEP/CHW.11/INF/3, UNEP/CHW.11/INF/4</p>   |
| <b>Mandate</b>                          | <p><b>Work programme of the ICC</b><br/> Decisions BC-10/11 and BC-10/12 of the Basel Convention</p> <p><b>Implementation Fund</b><br/> Decision BC-10/11 of the Basel Convention</p> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information)</b><br/> Articles 3, 4(1), 11 and 13(2) of the Basel Convention, Decisions BC-10/11 and BC-10/3 of the Basel Convention</p> <p><b>Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</b><br/> Decisions BC-10/2 and BC-10/27 of the Basel Convention</p>   |
| <b>Why this activity is important?</b>  | <p><b>Work programme of the ICC</b><br/> The ICC was specifically established to assist parties to comply with their obligations under the Convention and to facilitate, promote, monitor and aim to secure the implementation of and compliance with the obligations under the Convention. The work programme of the Committee is adopted by the Conference of the parties and reflects parties' shared view of the activities to be undertaken to promote the implementation of and compliance with the Convention.</p> <p><b>Implementation Fund</b><br/> The provision of financial support to parties that are developing countries or countries with economies in transition and that are the subject of a submission made in accordance with paragraph 9 of the terms of reference of the ICC may be critical in view of resolving an implementation and compliance matter.</p> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information)</b><br/> In order to effectively implement the Convention and to ensure compliance with relevant national implementing laws, regulations and policies, the parties need sound and complete information about their own and other parties' additional requirements under their national legal frameworks. Making this information available at least in English is essential to supporting cooperation between parties and making available information with a view to promoting environmentally sound management of hazardous wastes.</p> |

|   |   |
|---|---|
|   | <p>These activities also fall within the scope of and enable the Secretariat to fulfil its mandate under Art. 16 of the Convention.</p> <p><b>Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</b><br/>The Strategic Framework is essential to guide the implementation and enforcement of the Convention by parties in the coming decade; Adequate implementation of the Strategic Framework contributes to achieving the objectives of the Convention.</p>  |
| <p><b>Short description of the activity</b></p> | <p><b>Work programme of the ICC</b></p> <ol style="list-style-type: none"> <li>1. Coordinate the ICC’s intersessional work;</li> <li>2. Support ICC in identifying priorities and working methods, communication with ICC members, organization of consultations;</li> <li>3. Support the ICC in implementing the ICC work programme (fundraising with support of resource mobilization officer, hiring and supervision of consultants, internal procedures);</li> <li>4. Support the ICC in developing its draft programme of work and its report to the COP;</li> <li>5. Follow-up on COP decisions related to the ICC’s work.</li> </ol> <p><b>Implementation Fund</b></p> <ol style="list-style-type: none"> <li>1. Under its specific submissions mandate, the ICC is mandated to consider any submission made to it in accordance with paragraph 9 with a view to determining the facts and root causes of the matter of concern and, assist in its resolution. Decision BC-10/11 requests the ICC to give priority to dealing with specific submissions. The Implementation Fund was established within the BDTF by Decision BC-IX/2 for the purpose of assisting any party that is a developing country or country with an economy in transition and that is the subject of a submission made in accordance with paragraph 9 of the terms of reference of the ICC. In its Decision BC-10/11, the COP decided that the resources in the implementation fund may be used to fund activities listed in the compliance action plans approved by the ICC and authorized the Committee to direct the use of the implementation fund in the intersessional period between COP-10 and COP-11. There are currently 9 submissions before the ICC for which the ICC may potentially decide that access to resources in the Implementation Fund is required to resolve the implementation and compliance matter.</li> </ol> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information)</b></p> <ol style="list-style-type: none"> <li>1. Collect, organize, provide quality control and follow up of information transmitted by parties on national definitions, import / export restrictions and Art 11 agreements, including as a follow up to section F of the CLI decision;</li> <li>2. Arrange for translation of notifications received;</li> <li>3. Liaise with the parties concerned that made the notification to confirm translated text is agreed;</li> <li>4. Post the notifications and translations on the Basel Convention website.</li> </ol> <p><b>Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</b></p> <ol style="list-style-type: none"> <li>1. Collect data, based on feedback received from parties, and maintain a database on the progress in relation to the strategic framework for the implementation of the Convention for the purpose of the COP-13 mid-term evaluation;</li> <li>2. Facilitate actions to mobilize financial resources for the strategic framework implementation;</li> <li>3. Cooperate closely with parties, BCRCs and other stakeholders to support the development and implementation of the activities set out in the strategic framework.</li> </ol> |

|  |   |
|--|---|
| <b>Objective</b>                                       | <p><b>Work programme of the ICC</b><br/>ICC supported in achieving its mandate given by the COP.</p> <p><b>Implementation Fund</b><br/>Parties that are developing countries or countries with economies in transition and that are the subject of a submission made in accordance with paragraph 9 of the terms of reference of the Implementation and Compliance Committee are assisted in resolving their implementation and compliance matter.</p> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information)</b><br/>Updated collection of legal information transmitted by parties within the Secretariat and availability of information in as many of the official languages of the United Nations as possible is maintained.</p> <p><b>Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</b><br/>Strategic Framework guides the implementation of the Basel Convention.</p> |
| <b>Indicators of achievement</b>                       | <p><b>Work programme of the ICC</b><br/>Assistance is provided to the satisfaction of the ICC and its Chair.</p> <p><b>Implementation Fund</b><br/>Assistance is provided to resolve the implementation and compliance matter.</p> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information)</b><br/>Notifications made available in several or all of the six official languages of the United Nations; Notifications are regularly updated on the Basel Convention website.</p> <p><b>Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</b><br/>Percentage of financial resources mobilized for activities aimed at achieving the objectives of the strategic framework; Percentage of parties contributing to the database/reports.</p>  |
| <b>Expected outputs</b>                                | <p><b>Work programme of the ICC</b><br/>Activities requested by the COP and that the ICC decides to implement are undertaken<sup>23</sup>.</p> <p><b>Implementation Fund:</b><br/>Implementation of the activities in the compliance action plan approved by the ICC<sup>24</sup>.</p> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information)</b><br/>Notifications made available in several or all of the six official languages of the United Nations; (cost estimate per output varies according to length of document and language for translation).</p> <p><b>Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</b><br/>Reports and databases are prepared (staff costs only); Financial resources are mobilized (staff costs only).</p>   |
| <b>Method of implementation (internal or external)</b> | <p><b>Work programme of the ICC</b><br/>- External: sub contracts (USD 120,000).</p> <p><b>Implementation Fund:</b><br/>- External: sub contracts (USD 300,000).</p> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information)</b><br/>- Internal: Funds for translation of information transmitted by parties pursuant to Articles 3 and 4 (USD 70,000).</p> <p><b>Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</b><br/>- Internal: staff costs only.</p>   |
| <b>Partner(s)</b>                                      | <p><b>Work programme of the ICC</b><br/>As decided by the ICC.</p>  |

<sup>23</sup> Depending on work programme approved by the COP as recommended by the ICC and on the decision of the ICC on how to prioritize its activities.

<sup>24</sup> Subject to ICC-9 outcome

|  |  |
|--|--|
|  | <p><b>Implementation Fund</b><br/>Parties concerned. Other partners to be decided by the ICC.</p> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information)</b><br/>Parties, BCRCs, FAO.</p> <p><b>Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</b><br/>Focal Points and Competent Authorities of parties; possibly Basel Convention regional and coordinating centres, UNEP.</p>   |
| <p><b>Resources approved for 2012-2013</b></p> | <p><b>Work programme of the ICC</b></p> <ul style="list-style-type: none"> <li>• <b>BC-6</b><br/>2012 (USD 60,000): BDTF 60,000<br/>2013 (USD 55,000): BDTF 55,000;<br/><b>Total (USD 115,000): BDTF 115,000</b></li> </ul> <p><b>Implementation Fund</b></p> <ul style="list-style-type: none"> <li>• <b>BC-7</b><br/>2012 (USD 150,000): BDTF 150,000<br/>2013 (USD 150,000): BDTF 150,000<br/><b>Total (USD 300,000): BDTF: 300,000</b></li> </ul> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information)</b></p> <ul style="list-style-type: none"> <li>• <b>BC-8</b><br/>2012 (USD 35,000): BDTF 35,000<br/>2013 (US 35,000): BDTF 35,000<br/><b>Total (USD 70,000): BDTF 70,000</b></li> </ul> <p><b>Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</b></p> <ul style="list-style-type: none"> <li>• <b>BC-18</b><br/>2012 (USD 40,000):BDTF USD 40,000<br/>2013 (USD 40,000):BDTF USD40,000<br/><b>Total (USD 80,000): BDTF 80,000</b></li> </ul> <p><b>Summary:</b><br/>2012 (USD 285,000):BDTF USD 285,000<br/>2013 (USD 280,000):BDTF USD 280,000<br/><b>Total (USD 565,000): BDTF 565,000</b></p> |



|  |  |
|--|--|
| <b>Resources required for 2014-2015<sup>25</sup></b> | <p><b>Work programme of the ICC</b><br/> 2014 (USD 60,000): BDTF 60,000<br/> 2015 (USD 60,000): BDTF 60,000<br/> <b>Total (USD 120,000): BDTF 120,000</b></p> <p><b>Implementation Fund</b><br/> 2014 (USD 150,000): BDTF 150,000<br/> 2015 (USD 150,000): BDTF 150,000<br/> <b>Total (USD 300,000): BDTF 300,000</b></p> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information)</b><br/> 2014 (USD 35,000): BDTF 35,000<br/> 2015 (USD 35,000): BDT 35,000<br/> <b>Total (USD 70,000): BDTF 70,000</b></p> <p><b>Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</b><br/> 2014: 0<br/> 2015: 0<br/> <b>Total (USD 0) <sup>26</sup></b></p> <p><b>Summary:</b><br/> 2014 (USD 245,000): BDTF 245,000<br/> 2015 (USD 245,000): BDT 245,000<br/> <b>Total (USD 490,000): BDTF 490,000</b></p> |
|--|--|

<sup>25</sup> Subject to ICC endorsement during ICC-9

<sup>26</sup> For 2014-2014, only staff costs are expected. Costs associated with the midterm evaluation, to take place at COP-13 will be included in the proposed 2016-2017 programme budget. Costs associated with the final evaluation, to take at COP-15, will be included in the proposed 2019-2021 programme budget. See paragraph 19 of the annex to Decision BC-10/2

**Legal and Policy Activities under the Basel, Rotterdam and Stockholm conventions and National legislation, Illegal traffic and trade, and Enforcement under the Basel, Rotterdam and Stockholm conventions**

|   |   |
|---|---|
| <b>Programme heading</b>                | <b>Legal and policy</b>   |
| <b>Title of activity</b>                | <b>Activity 33 (S20): Legal and policy activities under the Basel, Rotterdam and Stockholm conventions <sup>27</sup> (former BC-9, RC-36, SC-39, SC-4) and National legislation, Illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions (former BC-11) <sup>28</sup></b>   |
| <b>Convention(s)</b>                    | <input checked="" type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention  |
| <b>Reference to meeting document no</b> | <p><b>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</b></p> <ol style="list-style-type: none"> <li>1. <u>Legal and Policy Activities:</u> <ol style="list-style-type: none"> <li>a. BC: UNEP/CHW.11/2, UNEP/CHW.11/3, UNEP/CHW.11/3/Add.2, UNEP/CHW.11/8, UNEP/CHW.11/12, UNEP/CHW.11/17, UNEP/CHW.11/21, UNEP/CHW.11/22, UNEP/CHW.11/23;</li> <li>b. RC: UNEP/FAO/RC/COP.6/2, UNEP/FAO/RC/COP.6/3, UNEP/FAO/RC/COP.6/17, UNEP/FAO/RC/COP.6/18, UNEP/FAO/RC/COP.6/19;</li> <li>c. SC: UNEP/SC/COP.6/2, UNEP/SC/COP.6/3, UNEP/SC/COP.6/5, UNEP/SC/COP.6/6, UNEP/SC/COP.6/7, UNEP/SC/COP.6/8, UNEP/SC/COP.6/30, UNEP/SC/COP.6/31, UNEP/SC/COP.6/32;</li> <li>d. Synergies: UNEP/FAO/CHW/RC/POPS/EXCOPS. 2/2/Add.1, UNEP/FAO/CHW/RC/POPS/EXCOPS. 2/INF/10, UNEP/FAO/CHW/RC/POPS/EXCOPS. 2/INF/13;</li> </ol> </li> <li>2. <u>Compliance under SC:</u> <ol style="list-style-type: none"> <li>a. UNEP/SC/COP.6/29;</li> </ol> </li> <li>3. <u>Compliance under RC:</u> <ol style="list-style-type: none"> <li>a. UNEP/FAO/RC/COP.6/13;</li> </ol> </li> </ol> <p><b>National legislation, Illegal traffic and trade, and Enforcement under the Basel, Rotterdam and Stockholm conventions</b></p> <ol style="list-style-type: none"> <li>1. BC: UNEP/CHW.11/3, UNEP/CHW.11/10 and UNEP/CHW.11/12;</li> <li>2. BC, RC and SC: UNEP/FAO/CHW/RC/POPS/EXCOPS.2/2/Add.4.</li> </ol> |
| <b>Mandate</b>                          | <p><b>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</b></p> <ol style="list-style-type: none"> <li>1. Legal and Policy Activities: Decisions BC-10/1, BC-10/3, BC-10/13, BC-10/16, BC-10/27 of the Basel Convention; decisions RC-5/3, RC-5/4, RC-5/5 and RC-5/14 of the Rotterdam Convention; and decisions SC-5/1, SC-5/3, SC-5/8, SC-5/10, SC-5/28 and SC-5/29 of the Stockholm Convention;</li> <li>2. Compliance under SC and RC: Decisions SC-5/19 of the Stockholm Convention and RC-5/8 of the Rotterdam Convention;</li> <li>3. Compliance and synergies under BC, RC and SC: Section II, part B of decisions IX/10, RC-4/11 and SC-4/34.</li> </ol> <p><b>National legislation, Illegal traffic and trade, and Enforcement under the Basel, Rotterdam and Stockholm conventions</b></p> <ol style="list-style-type: none"> <li>1. BC: Decisions BC-10/11, BC-10/13, BC-10/18 and BC-10/27 (cross reference to Decision BC-10/2 on the SF and decision BC-10/3 on the CLI) of the Basel Convention;</li> <li>2. BC, RC and SC: synergies decisions IX/10, RC-4/11 and SC-4/34 (section I, part A, para 3 and 4).</li> </ol>   |
| <b>Why this activity is important?</b>  | <p><b>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</b></p> <ol style="list-style-type: none"> <li>1. Legal and policy activities underpin a variety of the functions of the Secretariat, both with respect to the implementation of the conventions (Convention-specific</li> </ol>   |

<sup>27</sup> Includes the extension of SC-5 to RC and the newly proposed joint activity on compliance. Former RC-7 (publication of Convention text) and translation/design/editing/printing of the three conventions' texts + legal leaflets included in the "Publications" activities (2012: BCTF: USD 20,000; ROTF: USD 20,000, SCTF: USD 20,000; 2013: BCTF: USD 10,000; ROTF: USD 10,000, SCTF: USD 10,000). Travel funds from the general trust fund (BCTF) are included in the activity "Executive direction and management".

<sup>28</sup> Travel funds from the general trust fund (BCTF) are included in the activity "Executive direction and management".

|   |   |
|---|---|
|   | <p>activities), law in general (generic legal and policy activities) and the operations of the Convention and the Secretariat (corporate legal activities).</p> <p><b>National legislation, Illegal traffic and trade, and Enforcement under the Basel, Rotterdam and Stockholm conventions:</b></p> <ol style="list-style-type: none"> <li>1. BC: Developing legal frameworks and preventing and combating illegal traffic are core requirements under the Convention (art. 4.4 and 9.5) and Secretariat's activities pertaining to national legislation, illegal traffic and enforcement support the effective implementation of these requirements and hence the achievement of a fundamental objective of the Convention;</li> <li>2. BC, RC and SC: the synergies decisions list "combating illegal traffic and trade in hazardous chemicals and wastes" as a specific area for enhanced cooperation and coordination among the conventions.</li> </ol>  |
| <p><b>Short description of the activity</b></p> | <p><b>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</b></p> <ol style="list-style-type: none"> <li>1. <u>Legal and Policy Activities:</u> <ol style="list-style-type: none"> <li>a. Provide legal and policy advice, analysis and guidance pertaining to the implementation and enforcement of the Basel, Rotterdam and Stockholm conventions;</li> <li>b. Undertake legal processes pertaining to the conventions (e.g. operations with the Depositary);</li> <li>c. Undertake party-status related activities;</li> <li>d. Collect, organize and disseminate legislation provided by parties as well as other legal information;</li> <li>e. Produce legal publications (such as the Convention texts or leaflets on legal matters);</li> <li>f. Provide the legal input to legal technical assistance activities<sup>29</sup>;</li> <li>g. Communicate and cooperate with partners on legal matters (including participation in meetings);</li> <li>h. Undertake corporate legal activities.</li> </ol> </li> <li>2. <u>Compliance under SC and under RC:</u> <ol style="list-style-type: none"> <li>a. Provide the intersessional support as requested;</li> <li>b. Prepare documents for the SC and RC COPs-7.</li> </ol> </li> <li>3. <u>Compliance and synergies under BC, RC and SC:</u> <ol style="list-style-type: none"> <li>a. Secretariat in consultation with the Committees, or Committees, as part of their respective work programmes, prepare/s proposals for consideration by the BC, RC and SC COPs exploring possibilities for enhancing coordination among the BC, RC and SC compliance/non-compliance.</li> </ol> </li> </ol> <p><b>National legislation, Illegal traffic and trade, and Enforcement under the Basel, Rotterdam and Stockholm conventions</b></p> <ol style="list-style-type: none"> <li>1. <u>BC specific:</u> <ol style="list-style-type: none"> <li>a. Assist parties on request to address alleged cases of illegal traffic and resolve differences between parties;</li> <li>b. Cooperate with other MEAs and Partners (e.g. IMPEL TFS (transfrontier shipment of waste), INECE, UNEP, WCO, Interpol, Asian Network);</li> <li>c. Serve as the Secretariat of the cooperative arrangements on preventing and combating illegal traffic in hazardous wastes and other wastes and contribute to activities undertaken within its framework<sup>30</sup>;</li> <li>d. Promote cooperation between enforcement networks and stimulation of the creation of new enforcement networks (section E of the CLI decision);</li> <li>e. Collect and disseminate examples of best practices in preventing and combating illegal traffic, including the Form for confirmed cases of illegal traffic (including as requested by section E of the CLI decision).</li> </ol> </li> <li>2. <u>BC, RC and SC:</u> <ol style="list-style-type: none"> <li>a. Cooperate with GCI and its Partners (including outside the GCI framework) as well as with the UNEP ROAP REN to improve the capacity of parties in preventing and combating illegal traffic and trade in hazardous chemicals and wastes (Coordinator of cooperation with GCI Secretariat and its partners, communication with GCI Secretariat and its partners, Secretariat representative</li> </ol> </li> </ol> |

<sup>29</sup> Funding for legal technical assistance work pertaining to the implementation and enforcement (including illegal traffic/trade) of the conventions (consultants, training/workshops, subcontracts, staff travel) is included in the technical assistance activities.

<sup>30</sup> Subject to COP endorsement

|  |   |
|--|---|
|  | <p>during GCI partners meetings, coordination of and input to intersessional work of policy/legal nature, attendance in GCI workshops as appropriate; cooperation with UNEP ROAP and participation in meetings);</p> <p>b. Develop an analysis on possible synergies in preventing and combating illegal traffic and trade in hazardous chemicals and wastes, building on lessons learned under the Basel Convention and other relevant MEAs;</p> <p>c. Identify new opportunities of cooperation with partners to develop activities aimed at combating illegal traffic and trade in hazardous chemicals and wastes.</p>   |
| <b>Objective</b>                                       | <p><b>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</b></p> <ol style="list-style-type: none"> <li>1. Generic as well as Convention-specific legal and policy activities are undertaken;</li> <li>2. Corporate legal activities are undertaken;</li> <li>3. Support is provided to RC parties and the SC parties / Bureau in implementing decisions adopted by COPs-6;</li> <li>4. Enhanced coordination among the BC, RC and SC compliance/non-compliance mechanisms.</li> </ol> <p><b>National legislation, Illegal traffic and trade, and Enforcement under the Basel, Rotterdam and Stockholm conventions</b></p> <ol style="list-style-type: none"> <li>1. Strengthened prevention and combating of illegal traffic and trade.</li> </ol>   |
| <b>Indicators of achievement</b>                       | <p><b>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</b></p> <ol style="list-style-type: none"> <li>1. Legal and policy activities: Legal and policy activities undertaken to the satisfaction of parties, stakeholders, Secretariat staff and management;</li> <li>2. Compliance under SC and under RC: Support on compliance is provided to the satisfaction of the RC parties and SC Bureau;</li> <li>3. Compliance and synergies under BC, RC and SC: Proposals for enhancing coordination among the BC, RC and SC compliance/non-compliance mechanisms are submitted to the consideration of the BC, RC and SC COPs.</li> </ol> <p><b>National legislation, Illegal traffic and trade, and Enforcement under the Basel, Rotterdam and Stockholm conventions</b></p> <ol style="list-style-type: none"> <li>1. Parties' requests for assistance in resolving cases of illegal traffic area dealt with to their satisfaction;</li> <li>2. Cooperation with partners is fostered;</li> <li>3. Enforcement entities better coordinate their activities;</li> <li>4. Synergies between BC, RC and SC on illegal traffic/trade issues are identified and fostered.</li> </ol>  |
| <b>Expected outputs</b>                                | <p><b>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</b></p> <ol style="list-style-type: none"> <li>1. Legal and policy activities: activities are undertaken (staff costs only; staff travel for participation in legal meetings is included in the activity «Executive direction and management»);</li> <li>2. Compliance under SC and under RC: parties and SC Bureau are provided with the support requested; RC and SC COP-7 documents on compliance prepared for the COPs (staff costs only);</li> <li>3. Compliance and synergies under BC, RC and SC: Proposals put forward to the COPs (staff costs only).</li> </ol> <p><b>National legislation, Illegal traffic and trade, and Enforcement under the Basel, Rotterdam and Stockholm conventions</b></p> <ol style="list-style-type: none"> <li>1. Parties have an increased understanding of preventing and combating illegal traffic and trade in hazardous chemicals and wastes (sub-contracts);</li> <li>2. Cooperation between the Secretariat and enforcement partners/networks is fostered: USD 30,000 (staff travel funded from the general trust fund is included in the activity “Executive direction and management”);</li> <li>3. Through the cooperative arrangements on preventing and combating illegal traffic (CAPCIT), cooperation between enforcement entities/networks is improved, new activities are undertaken and activities are more coordinated (travel and DSA of participants).<sup>31</sup></li> </ol> |
| <b>Method of implementation (internal or external)</b> | <p><b>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</b></p> <ul style="list-style-type: none"> <li>- Internal: only staff costs</li> </ul> <p><b>National legislation, Illegal traffic and trade, and Enforcement under the Basel, Rotterdam and Stockholm conventions</b></p> <p>Internal:</p> <ul style="list-style-type: none"> <li>- Staff travel to meetings of enforcement partners (WCO, Interpol, Asian network,</li> </ul>  |

<sup>31</sup> Subject to COP endorsement

|   |   |
|---|---|
|   | <p>IMPEL, INECE, DELC, MEAs): USD 30,000 (BDTF);</p> <p>External:</p> <ul style="list-style-type: none"> <li>- Travel of participants: USD 80,000 (BDTF): two two-day meetings of participants to the CAPCIT (15 funded participants)<sup>32</sup>;</li> <li>- Sub contract: USD 120,000 (BDTF): rental of venue for CAPCIT meeting, staff travel and activities in the framework of the CAPCIT; analysis on possible synergies in preventing and combating illegal traffic and trade in hazardous chemicals and wastes, building on lessons learned under the Basel Convention and other relevant MEAs), publication of guidance on the take back provision.</li> </ul>  |
| <b>Partner(s)</b>                       | <p><b>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</b><br/>BCRCs, SCRCs, Depository and Office of Legal Affairs, UNEP, FAO, WTO, IGOs, NGOs, private sector, SC Bureau, BC, RC and SC Committees</p> <p><b>National legislation, Illegal traffic and trade, and Enforcement under the Basel, Rotterdam and Stockholm conventions</b><br/>BCRCs, SCRCs, UNEP, FAO, GCI, Interpol, WCO, INECE, IMPEL TFS, Asian Network, other IGOs and NGOs with a mandate on illegal traffic/trade issues</p>   |
| <b>Resources approved for 2012-2013</b> | <p><b>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</b><br/>Only staff costs.</p> <p><b>National legislation, Illegal traffic and trade, and Enforcement under the Basel, Rotterdam and Stockholm conventions</b></p> <ul style="list-style-type: none"> <li>• <b>BC-11</b><br/>2012 (USD 114,500): BCTF 5,000 (staff travel for international cooperation),<br/>BDTF 109,500 (94,500 for sub contract and 15,000 for staff travel)<br/>2013 (USD 114,500): BCTF 5,000 (staff travel for international cooperation),<br/>BDTF 109,500 (94,500 for sub contract and 15,000 for staff travel)<br/><b>Total (USD 229,000): BCTF 10,000, BDTF 219,000</b></li> <li>• <b>BC-47</b><br/>2012: Part of USD 70,000 (BCTF) for staff travel for international cooperation<br/>2013: Part of USD 70,000 (BCTF) for staff travel for international cooperation</li> </ul> <p><b>Summary (NB: only part of BC-47 was used for this activity and a full comparison of costs is thus not possible):</b><br/>2012 (USD 184,500): BCTF 75,000, BDTF 109,500<br/>2013 (USD 184,500): BCTF 75,000, BDTF 109,500<br/><b>Total (USD 369,000): BCTF 150,000, BDTF 219,000</b></p> |
| <b>Resources required for 2014-2015</b> | <p><b>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</b><br/>Staff costs only</p> <p><b>National legislation, Illegal traffic and trade, and Enforcement under the Basel, Rotterdam and Stockholm conventions</b><br/>2014 (USD 155,000): BDTF 155,000<br/>2015 (USD 75,000): BDTF 75,000<br/><b>Total (USD 230,000): BDTF 230,000</b></p>  |

<sup>32</sup> This is a place holder: the adoption of TORs is subject to a COP decision.

**Coordinate and provide support to parties in follow up to the country-led initiative on environmentally sound management and further legal clarity**

|  |  |
|--|--|
| <b>Programme heading</b>                 | <b>Legal and policy</b>  |
| <b>Title of activity</b>                 | <b>Activity 34 (BC): Coordination and provision of support to parties in follow up to the country-led initiative on environmentally sound management and further legal clarity (former BC-19)</b>  |
| <b>Convention(s)</b>                     | <input checked="" type="checkbox"/> Basel Convention<br><input type="checkbox"/> Rotterdam Convention<br><input type="checkbox"/> Stockholm Convention   |
| <b>Reference to meeting document no</b>  | UNEP/CHW.11/3, UNEP/CHW.11/INF/2, UNEP/CHW.11/3/Add.1, UNEP/CHW.11/3/Add.2   |
| <b>Mandate</b>                           | Decisions BC-10/3 and BC-10/27 of the Basel Convention   |
| <b>Why this activity is important?</b>   | The decision on the country-led initiative (CLI) takes a cross-cutting approach to supporting and ensuring effective implementation and enforcement of the Convention. It achieves benefits for parties on multiple fronts, including, guidance on the environmentally sound management (ESM) of wastes, a common understanding on the interpretation of terms used under the Convention, facilitating the entry into force of the Ban Amendment, ensuring political and public engagement and supporting implementation of the Strategic Framework and other activities.  |
| <b>Short description of the activity</b> | <ol style="list-style-type: none"> <li>1. Further work on ESM as per COP-11 decision (placeholder for activities to be specified later)<sup>1</sup>:             <ol style="list-style-type: none"> <li>a. The assumption is that the technical expert group’s mandate will be prolonged and one more meeting will be scheduled at similar funding levels as 2012-2013 biennium;</li> <li>b. Consultant(s) will be engaged at similar levels as previously (USD 100,000 per year) to continue to build on previous work and continue to provide support to the Secretariat as well as the technical expert group or other work on ESM as may be mandated by COP;</li> </ol> </li> <li>2. Further work on legal clarity as per COP-11 decision (placeholder for activities to be specified at COP-11):             <ol style="list-style-type: none"> <li>a. The assumption is that a consultant will be engaged (USD 50,000 per year), building on work done and reports produced during previous biennium;</li> </ol> </li> <li>3. Linkages to other activities under the CLI:<br/>Other specific activities following up on decision BC-10/3 on the Indonesian-Swiss led initiative to improve the effectiveness of the Basel Convention have been incorporated into other relevant activities, including:             <ol style="list-style-type: none"> <li>a. Section II. Technical Assistance &amp; Capacity-building: Training and capacity-building activities to enhance implementation of the Basel Convention at the regional and national levels; Coordination of and support to the Basel Convention regional centres;</li> <li>b. Section VI, Overall Management: International cooperation and coordination;</li> <li>c. Section VII. Legal and policy activities: Notification of information; National legislation, illegal traffic and trade and enforcement.</li> </ol> </li> </ol> |
| <b>Objective</b>                         | To coordinate and provide support to parties for ESM and further legal clarity   |
| <b>Indicators of achievement</b>         | <ol style="list-style-type: none"> <li>1. Effective support provided as per the work programme<sup>2</sup>.</li> <li>2. Work on ESM and further legal clarity completed as per mandate from COP e.g. technical expert group meetings held; draft ESM framework is further developed and refined; guidance on how to achieve legal clarity is developed further etc.</li> </ol>   |
| <b>Expected outputs</b>                  | <ol style="list-style-type: none"> <li>1. Consultant(s) engaged to undertake further work on ESM, in particular development of the framework and support to technical expert group, in accordance with such mandates as may be received from COP;</li> <li>2. Consultant(s) engaged to undertake work on further legal clarity (develop guidance), in accordance with such mandates as may be received from COP;</li> <li>3. Possible meeting of ESM technical expert group (TEG) organized and participation of members from developing countries / CEIT funded (for travel and DSA of participants (staff travel for 2014 to participate in the TEG meeting is included in the activity “Executive direction and management”).</li> </ol>  |
| <b>Method of implementation</b>          | External:<br>- TEG members’ travel to face-to-face meeting (USD 80,000), consultants (USD  |

<sup>1</sup> Work on specific Basel Convention technical guidelines is covered under the activity “Scientific support to Parties to the Basel Convention”.

<sup>2</sup> This is working on the assumption that the COP will provide a mandate for such activities.

|                                  |  |
|----------------------------------|--|
| (internal or external)           | 300,000).  |
| Partner(s)                       | UNEP, BCRCs, PACE, IGOs , NGOs and private sector  |
| Resources approved for 2012-2013 | 2012 (USD 281,400): BCTF 81,400, BDTF 200,000<br>2013 (USD 200,00): BDTF 200,000<br><b>Total (USD 481,400): BCTF 81,400, BDTF 400,000</b>  |
| Resources required for 2014-2015 | 2014 (USD 230,000): BCTF 80,000, BDTF 150,000<br>2015 (USD 150,000): BDTF 150,000<br><b>Total (USD 380,000): BCTF 80,000, BDTF 300,000</b> |

## 7. Office maintenance and services

### Office maintenance and services

|  |  |
|--|--|
| <b>Programme heading</b>                               | <b>Office maintenance and services</b>   |
| <b>Title of activity</b>                               | <b>Activity 36 (S11): Office maintenance and services (former BC-54, RC-44, RC-45, RC-46, RC-47, SC-46, SC-47, SC-48, SC-49)</b>   |
| <b>Convention(s)</b>                                   | <input checked="" type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention   |
| <b>Reference to meeting document</b>                   | N/A  |
| <b>Mandate</b>   | Decisions BC-10/29, RC-5/12 and SC-5/27  |
| <b>Why this activity is important</b>                  | The provision of office space and services will allow the Secretariat to implement the programmes of work and deliver the outputs envisaged under the programme of work for 2014-2015.   |
| <b>Short description of the activity</b>               | <ol style="list-style-type: none"> <li>1. Procurement of furniture and office supplies;</li> <li>2. Rental and maintenance of equipment including photocopiers and communications equipment;</li> <li>3. Rental of offices including cleaning services.</li> </ol> |
| <b>Objective</b>                                       | To ensure the provision of office space and services to allow for the effective and efficient delivery by the Secretariat of the outputs envisaged under the programmes of work for 2014-2015.   |
| <b>Indicators of achievement</b>                       | All contracts related to rental and maintenance of offices and equipment extended on time.   |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Office supplies;</li> <li>2. Non-expendable equipment;</li> <li>3. Office space and utilities;</li> <li>4. Maintenance of office equipment;</li> <li>5. Communications.</li> </ol>                                       |
| <b>Method of implementation (internal or external)</b> | Internal:<br><ul style="list-style-type: none"> <li>- Contracts;</li> <li>- Purchase.</li> </ul>   |
| <b>Partner(s)</b>                                      | UNON, UNOG and external services providers   |
| <b>Resources approved for 2012-2013</b>                | 2012 (USD 595,000) : BCTF USD 233,500; ROTF USD 161,500; SCTF USD 200,000<br>2013 (USD 590,000) : BCTF USD 233,500; ROTF USD 156,500; SCTF USD 200,000<br><b>Total (USD 1,185,000): TFs 1,185,000</b>  |
| <b>Resources required for 2014-2015</b>                | 2014 (USD 456,912): BCTF 148,738, ROTF 142,909, SCTF 165,265<br>2015 (USD 479,758): BCTF 156,175, ROTF 150,054, SCTF 173,528<br><b>Total (USD 936,670): TFs 936,670</b>  |



### Joint information technology services

|  |  |
|--|--|
| <b>Programme heading</b>                               | <b>Office maintenance and services</b>   |
| <b>Title of activity</b>                               | <b>Activity 36 (S11): Joint information technology services (former S11)</b>   |
| <b>Convention(s)</b>                                   | <input checked="" type="checkbox"/> Basel Convention<br><input checked="" type="checkbox"/> Rotterdam Convention<br><input checked="" type="checkbox"/> Stockholm Convention   |
| <b>Reference to meeting document</b>                   | UNEP/FAO/CHW/RC/POPS/EXCOPS.2/INF/10   |
| <b>Mandate</b>   | 2009 Synergies decisions (BC-IX/10, RC-4/11, SC-4/34), section IV D, paragraph 10 (b) Omnibus decisions (BC.Ex-1/1, RC.Ex-1/1, SC.Ex-1/1), section III, paragraph 3 (c) 2011 Synergies decisions (BC-10/29, RC-5/12 and SC-5/27), section I, paragraph 4, Annex I Section IV S11   |
| <b>Why this activity is important</b>                  | Joint IT service provision of basic information technology and services to ensure smooth running of the Secretariat.   |
| <b>Short description of the activity</b>               | Provide to the Secretariat of the Basel, Rotterdam and Stockholm conventions the basic information technology and services required to run the Secretariat, including: <ol style="list-style-type: none"> <li>1. Provision, maintenance and disposal of hardware, including personal computers, network equipment, audio-visual equipment, etc.;</li> <li>2. Selection, installation and training of software packages;</li> <li>3. Network administration, including cabling, switches, servers, network printers, etc.;</li> <li>4. E-mail and e-fax administration, including user account maintenance;</li> <li>5. Internet, including remote access for Secretariat staff and external users;</li> <li>6. Network security, covering anti-spam, anti-virus, backup, firewalls, etc.;</li> <li>7. Helpdesk and troubleshooting.</li> </ol> |
| <b>Objective</b>                                       | Provide to the Secretariat of the Basel, Rotterdam and Stockholm conventions the basic information technology and services required to run the Secretariat, including: improved services provided to parties during meetings of the conferences of the parties and other relevant meetings by using new technologies to facilitate negotiations; efficient communication mechanisms between parties, the Secretariat and other convention stakeholders; enhanced capacity to store and process the information generated in the process of convention implementation.  |
| <b>Indicators of achievement</b>                       | Procedures and resources are in place to ensure the implementation of the Secretariat's programme of work in a cost efficient and timely manner.   |
| <b>Expected outputs</b>                                | <ol style="list-style-type: none"> <li>1. Effective and efficient delivery of IT service within the Secretariat (staff time only);</li> <li>2. Consultants;</li> <li>3. Purchase of non-expendable equipment and software (Geneva);</li> <li>4. Purchase of non-expendable equipment and software (Rome).</li> </ol>   |
| <b>Method of implementation (internal or external)</b> | Internal:<br>- Non-expandable equipment (USD 221,700);<br><br>External:<br>- Consultants: USD 30,000, (USD 15,000 per year).   |
| <b>Partner(s)</b>                                      | FAO, UNEP, UNOG  |
| <b>Resources approved for 2012-2013</b>                | 2012 (USD 174,500): BCTF 31,500, ROTF 31,500, SCTF 31,500, SVTF 80,000<br>2013 (USD 94,500): BCTF 31,500, ROTF 31,500, SCTF 31,500<br><b>Total (USD 269,000): TFs 189,000 , VFs 80,000</b>   |
| <b>Resources required for 2014-2015</b>                | 2014 (USD 110,850): BCTF 38,800, ROTF 33,250, SCTF 38,800<br>2015 (USD 110,850): BCTF 38,800, ROTF 33,250, SCTF 38,800<br><b>Total (USD 221,700): TFs 221,700</b>  |