

## STOCKHOLM CONVENTION

**Secretariats of the Basel, Rotterdam and Stockholm Conventions**

United Nations Environment Programme

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12 June 2019

**Subject: Call for information and follow-up to the decisions adopted by the Conference of the Parties to the Stockholm Convention at its ninth meeting (Geneva, Switzerland from 29 April to 10 May 2019)**

Dear Sir, Dear Madam,

At its ninth meeting, the Conference of the Parties to the Stockholm Convention adopted a number of decisions that invited Parties and others to provide information. The full set of decisions adopted at the meeting are contained in annex I to the meeting report, which will be made available on the Stockholm Convention website: [www.pops.int](http://www.pops.int). To facilitate your response to the various invitations for information, please find enclosed a summary of the individual decisions.

This letter and relevant forms for submitting the solicited information are also available on the Convention website in the section "[Call for information and follow-up to the ninth meeting of the Conference of the Parties to the Stockholm Convention](#)".

Please note that similar letters are being sent to Parties and observers for the decisions adopted by the conferences of the Parties to the Basel and Rotterdam conventions. The invitations for information contained in joint decisions adopted by the conferences of the Parties have been included in each letter.

Should you have any queries, please contact Mr. Alain Wittig, Secretariat of the Basel, Rotterdam and Stockholm Conventions (Email: [alain.wittig@brsmeas.org](mailto:alain.wittig@brsmeas.org); Tel: +41 22 917 82 27; Fax: +41 22 917 80 98).

We look forward to receiving your submissions.

Yours sincerely,



Rolph Payet  
Executive Secretary

Enc.: Follow-up to the decisions adopted by the Conference of the Parties to the Stockholm Convention at its ninth meeting

To: Stockholm Convention Official Contact Points  
Stockholm Convention National Focal Points  
Members of the Bureau of the tenth meeting of the Conference of the Parties to the Stockholm Convention  
Observers admitted to meetings of the Conference of the Parties to the Stockholm Convention

cc: Representatives of Permanent Missions to the United Nations Office at Geneva

## Follow-up to the decisions adopted by the Conference of the Parties to the Stockholm Convention at its ninth meeting\*

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\* Reissued for technical reasons on 2 July 2019.

## **1. Exemptions**

**Decision:** SC-9/1: Exemptions

**Background:**

In decision SC-9/1, the Conference of the Parties, among other things, invited Parties to submit to the Secretariat, by 31 December 2019, information on hexabromodiphenyl ether and heptabromodiphenyl ether and tetrabromodiphenyl ether and pentabromodiphenyl ether, on decabromodiphenyl ether and on short-chain chlorinated paraffins.

Parties wishing to register for specific exemptions and acceptable purposes, articles in use and closed-system site-limited intermediates that are currently available were also reminded to notify the Secretariat. Further information is available on the Convention website at:

<http://chm.pops.int/Implementation/Exemptions/Overview/tqid/789/Default.aspx>.

**Follow-up:**

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties wishing to register for specific exemptions and acceptable purposes, articles in use and closed-system site-limited intermediates that are currently available are reminded to notify the Secretariat.	Parties	Please use the notification forms available on the Convention website <sup>1</sup> .	No deadline specified in the decision; please submit information as soon as possible.
(b)	Parties and observers are invited to submit to the Secretariat information on decabromodiphenyl ether and short-chain chlorinated paraffins as specified in decisions SC-8/13 and SC-8/14.	Parties Observers	Please make submissions to the Secretariat via the contact point provided below.	<b>31 December 2019</b>
(c)	Parties are invited to submit to the Secretariat information on hexabromodiphenyl ether and heptabromodiphenyl ether, and tetrabromodiphenyl ether and pentabromodiphenyl ether, in accordance with decision SC-7/4	Parties	An online questionnaire will be made available to enable responses to this request.	<b>31 December 2019</b>

**Contact points:**

Ms. Yvonne Ewang-Sanvincenti (Email: [yvonne.ewang@brsmeas.org](mailto:yvonne.ewang@brsmeas.org), Tel: +41 22 917 81 12, Fax: +41 22 917 80 98); Ms. Kei Ohno (Email: [kei.ohno-woodall@brsmeas.org](mailto:kei.ohno-woodall@brsmeas.org), Tel: +41 22 917 8201); Ms. Melisa Lim (Email: [melisa.lim@brsmeas.org](mailto:melisa.lim@brsmeas.org), Tel: +41 22 917 8283).

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<sup>1</sup> See <http://chm.pops.int/tqid/4646/Default.aspx> and <http://chm.pops.int/tqid/4647/Default.aspx>.

## 2. DDT

Decision: SC-9/2: DDT

Background:

In decision SC-9/2, the Conference of the Parties, among other things, concluded that countries that rely on indoor residual spraying for disease vector control may need DDT for that purpose in specific settings where locally safe, effective and affordable alternatives are still lacking for a sustainable transition away from DDT and further decided to evaluate at its tenth meeting the continued need for DDT for disease vector control. The Conference of the Parties also reminded Parties that require DDT for disease vector control of the need to notify the Secretariat once they start using DDT for the acceptable purpose in accordance with the provisions of part II of Annex B to the Convention, and urged Parties, in particular Parties that are listed in the DDT register, to respond to the DDT questionnaire in accordance with the provisions of part II of Annex B to the Convention.

Follow-up:

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties identified by the Conference of the Parties at its ninth meeting are invited to nominate DDT expert group members whose terms of office will commence on 1 September 2019, taking due consideration of gender balance and of relevant expertise.	Bangladesh, Botswana, Netherlands, Panamá, Perú, Romania, Serbia, Uganda, Yemen	Please make submissions to the Secretariat via the contact point provided below.	<b>30 June 2019</b>
(b)	Parties that require DDT for disease vector control are reminded to notify the Secretariat once they start using DDT for the acceptable purpose in accordance with the provisions of part II of Annex B to the Convention	Parties	Please make submissions to the Secretariat via the contact point provided below.	No deadline specified in the decision; please submit information if applicable.
(c)	Parties are urged, in particular Parties that are listed in the DDT register, to respond to the DDT questionnaire in accordance with the provisions of part II of Annex B to the Convention	Parties	Please make submissions to the Secretariat via the contact point provided below.	No deadline specified in the decision; please submit information as soon as possible.

Contact point:

Ms. Lina Fortelius (Email: [Lina.Fortelius@brsmeas.org](mailto:Lina.Fortelius@brsmeas.org), Tel: +41 22 917 81 47, Fax: +41 22 917 80 98).

### **3. Polychlorinated biphenyls**

Decision: SC-9/3: Polychlorinated biphenyls

Background:

In decision SC-9/3, the Conference of the Parties, among other things, decided to undertake, at its eleventh meeting, a review of progress towards the elimination of polychlorinated biphenyls in accordance with paragraph (h) of part II of Annex A to the Convention and further decided to re-establish a small intersessional working group, working by electronic means and, subject to the availability of resources, through a face-to-face meeting, to assist the Secretariat in the preparation for consideration by the Conference of the Parties at its eleventh meeting of: a report on progress towards the elimination of PCB, a guidance on a standardized approach to developing PCB inventories and analysis for the identification and quantification of PCB, as recommended by the small intersessional working group and a draft strategy for Parties to meet the 2025 and 2028 goals of the Stockholm Convention.

Follow-up:

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties are urged to provide information on progress in eliminating PCBs in their fifth national reports submitted pursuant to Article 15 of the Convention, in accordance with paragraph (g) of part II of Annex A to the Convention, as decided in decision SC-9/16 on reporting	Parties	Electronic reporting system of the Stockholm Convention, available on the Convention website. <sup>1</sup>	<b>31 August 2022</b>
(b)	Parties are invited to consider serving as lead country in the preparation of the report on progress towards the elimination of polychlorinated biphenyls and to inform the Secretariat accordingly.	Parties	Please make submissions to the Secretariat via the contact point provided below.	<b>30 April 2020</b>
(c)	Parties and observers are invited to nominate experts, taking due consideration of regional and gender balance and of relevant expertise, to participate in the small intersessional working group established in paragraph 6 of decision SC-9/3 and to inform the Secretariat of their nominations.	Parties Observers	Please make submissions to the Secretariat via the contact point provided below.	<b>30 April 2020</b>

Contact point:

Ms. Kei Ohno (Email: [kei.ohno-woodall@brsmeas.org](mailto:kei.ohno-woodall@brsmeas.org), Tel: +41 22 917 82 01, Fax: +41 22 917 8098).

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<sup>1</sup> <http://chm.pops.int/Countries/Reporting/ElectronicReportingSystem/tabid/3669/Default.aspx>.

#### **4. Evaluation of the effectiveness of the procedure under paragraph 2(b) of Article 3 of the Convention**

Decision: SC-9/6: Evaluation of the effectiveness of the procedure under paragraph 2(b) of Article 3 of the Convention

Background:

The Conference of the Parties concluded that there is a need to enhance the effectiveness of the procedure under paragraph 2 (b) of Article 3 of the Stockholm Convention, through the increased provision of information related to the procedure and on the status of those Parties that have consented to be bound by the Convention and by the amendments to its annexes. The Conference of the Parties also decided to review the effectiveness of the procedure set out in paragraph 2 (b) of Article 3 at its eleventh meeting and invited Parties to continue to share through the Secretariat any information relevant to the implementation of paragraph 2 (b) (iii) of Article 3 and the review of its effectiveness, including any challenges that they might be facing in this regard.

Follow-up:

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties wishing to export chemicals listed in Annex A or B to a State not party to the Convention, as defined in paragraph 2 (d) of Article 3 are reminded to transmit the certification from the importing State using the revised certification template	Parties	Please submit to the Secretariat using the revised certification template. <sup>1</sup>	The exporting Party shall transmit the certification within sixty days of receipt in accordance with paragraph 2 of Article 3
(b)	Parties are invited to continue to share through the Secretariat any information relevant to the implementation of paragraph 2 (b) (iii) of Article 3 and the review of its effectiveness, including any challenges that they might be facing in this regard.	Parties	Please make submissions to the Secretariat via the contact point provided below.	No deadline specified in the decision; please submit information as soon as possible

Contact point :

Ms. Marylène Beau (Email: [marylene.beau@brsmeas.org](mailto:marylene.beau@brsmeas.org), Tel: +41 22 917 83 87, Fax: +41 22 917 8098).

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<sup>1</sup> <http://chm.pops.int/Procedures/ExporttoanNonPartyState/tabid/3349/Default.aspx>.

## **5. Guidelines and guidance on best available techniques and best environmental practices**

Decision: SC-9/7: Guidelines and guidance on best available techniques and best environmental practices

**Background:**

In decision SC-9/7, the Conference of the Parties, among other things, adopted the workplan for the review and updating of the guidelines and guidance on best available techniques and best environmental practices and requested the experts on the Toolkit and on best available techniques and best environmental practices to continue the work on the ongoing review and updating of the Toolkit and on the guidelines and guidance in accordance with the workplan referred to above.

**Follow-up:**

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties and others with relevant expertise are invited to nominate experts to the joint Toolkit and best available techniques and best environmental practices expert roster and participate actively in the review and updating process.	Parties Others	Please make submissions to the Secretariat via the contact point provided below.	The joint Toolkit and BAT and BEP expert roster is open for nominations at all times.
(b)	Parties and others are invited to submit information on the work areas specified in the workplan annexed to decision SC-9/7 related to the review and updating of guidelines and guidance on BAT and BEP to the Secretariat.	Parties Others	Please make submissions to the Secretariat via the contact point provided below.	Continuously throughout the biennium

**Contact point:**

Ms. Ana Witt (Email: [ana.witt@brsmeas.org](mailto:ana.witt@brsmeas.org), Tel: +41 22 917 8553, Fax: +41 22 917 8098).

## **6. Implementation plans**

Decision: SC-9/9: Implementation plans

Background:

In decision SC-9/9, the Conference of the Parties, among other things, welcomed the implementation plans transmitted by Parties pursuant to Article 7 of the Stockholm Convention and urged those Parties that had not transmitted their implementation plans to transmit them as soon as possible. The Conference of the Parties also took note of the information on progress in developing an electronic template for the reporting of quantitative information contained in national implementation plans in a harmonized manner with the reporting under Article 15 of the Convention and of the revised guidance on developing and updating national implementation plans for the Stockholm Convention and the preliminary draft guidance prepared by the Secretariat.

Follow-up:

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties are invited to submit to the Secretariat, comments on the electronic template for the reporting of quantitative information contained in national implementation plans in a harmonized manner, when it becomes available.	Parties	Please submit comments to the Secretariat via the contact point provided below.	No deadline specified.
(b)	Parties and observers are invited to provide comments to the Secretariat on the revised guidance on developing and updating national implementation plans for the Stockholm Convention and the preliminary draft guidance prepared by the Secretariat.	Parties Observers	Please submit comments to the Secretariat via the contact point provided below.	<b>31 August 2020</b>

Contact point:

Ms. Kei Ohno (Email: [kei.ohno-woodall@brsmeas.org](mailto:kei.ohno-woodall@brsmeas.org), Tel: +41 22 917 82 01, Fax: +41 22 917 8098).

## **7. Actions related to perfluorooctanoic acid (PFOA), its salts and PFOA-related compounds**

**Decision:** SC-9/13: Actions related to perfluorooctanoic acid (PFOA), its salts and PFOA-related compounds

**Background:** In decision SC-9/13, the Conference of the Parties, among other things, decided to consider, at its thirteenth meeting, the time frame for the subsequent reviews of specific exemptions for the production and use of PFOA, its salts and PFOA-related compounds for the use of perfluoroctyl iodide for the production of perfluoroctyl bromide for the purpose of producing pharmaceutical products listed in Annex A to the Stockholm Convention, which will be compiled by the Secretariat.

**Follow-up:**

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Each Party in the register of specific exemptions for the production and use of PFOA, its salts and PFOA-related compounds for the use of perfluoroctyl iodide for the production of perfluoroctyl bromide for the purpose of producing pharmaceutical products listed in Annex A to the Stockholm Convention is invited to report to the Secretariat, justifying its need for the registration of that exemption.	Parties	Please make submissions to the Secretariat via the contact point provided below.	<b>1 December 2025</b>
(b)	Parties and observers are invited to provide to the Secretariat, the following information on the specific exemptions referred to in paragraph 1 of the decision SC-9/13 above: <ul style="list-style-type: none"> <li>(a) Production</li> <li>(b) Uses</li> <li>(c) Efficacy and efficiency of possible control measures</li> <li>(d) Information on the availability, suitability and implementation of alternatives</li> <li>(e) Status of control and monitoring capacity</li> <li>(f) Any national or regional control actions taken</li> </ul>	Parties Observers	Please make submissions to the Secretariat via the contact point provided below.	<b>1 December 2025</b>
(c)	Parties and others are invited to provide further information regarding the identification of substances covered by the listing of PFOA, its salts and PFOA-related compounds, taking into account the information available in document UNEP/POPS/POPRC.13/INF/6/Add.1.	Parties Others	Please make submissions to the Secretariat via the contact point provided below.	No deadline specified.

**Contact point:**

Ms. Kei Ohno (Email: [kei.ohno-woodall@brsmeas.org](mailto:kei.ohno-woodall@brsmeas.org), Tel: +41 22 917 82 01, Fax: +41 22 917 8098).

## **8. Financial mechanism**

**Decision:** SC-9/15: Financial mechanism

**Background:**

In decision SC-9/15, the Conference of the Parties, among other things, adopted the terms of reference for the fifth review of the financial mechanism (see annex I to decision SC-9/15) and the terms of reference for the assessment of the funding needed by developing-country Parties and Parties with economies in transition for the implementation of the Convention over the period 2022-2026 (see annex II to decision SC-9/15). The Conference of the Parties also invited developed country Parties, other Parties and other sources to provide to the Secretariat information on ways in which they can provide support for the implementation of the Stockholm Convention, including new and additional financial resources.

**Follow-up:**

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Developed-country Parties are invited to use, as appropriate, online questionnaires and other formats and, in accordance with paragraphs 2 and 3 of Article 13 of the Convention, to provide the Secretariat with information on ways in which they can provide support, including new and additional financial resources, for the implementation of the Stockholm Convention, including information on access to such support.	Parties	Please make submissions via the information request that will be sent by the Secretariat in due course.	<b>31 August 2020</b>
(b)	Other Parties are invited to use, as appropriate, online questionnaires and other formats and, in accordance with paragraphs 2 and 3 of Article 13 of the Convention, to provide the Secretariat with information on ways in which they can provide support, including financial resources, in accordance with their capabilities, for the implementation of the Stockholm Convention, including information on access to such support.	Parties	Please make submissions via the information request that will be sent by the Secretariat in due course.	<b>31 August 2020</b>
(c)	Parties and others are invited to provide the relevant information required to undertake the assessment of funding needs by developing-country Parties and Parties with economies in transition for the implementation of the Convention over the period 2022-2026, as set out in annex II to decision SC-9/15.	Parties Others	Please make submissions via the information request that will be sent by the entity in charge with the assessment in due course.	<b>31 August 2020</b>

(d)	Parties are encouraged to provide information pursuant to paragraph 3 (a) of the terms of reference of the fifth review of the financial mechanism of the Stockholm Convention, as set out in annex II to decision SC-9/15.  The entities entrusted with the operation of the financial mechanism as well as intergovernmental and non-governmental organizations are requested to provide relevant information pursuant to the objectives of this review.	Parties Others	Please make submissions via the information request that will be sent by the entity in charge with the review in due course.	<b>As soon as possible and not later than 31 August 2020</b>
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Contact point:

Mr. Frank Moser (Email: [frank.moser@brsmeas.org](mailto:frank.moser@brsmeas.org), Tel: +41 22 917 8951, Fax: +41 22 917 8098).

## **9. Reporting pursuant to Article 15 of the Stockholm Convention**

Decision: SC-9/16: Reporting pursuant to Article 15 of the Stockholm Convention

Background:

In decision SC-9/16, the Conference of the Parties, among other things, welcomed with appreciation the work of the small intersessional working group pertaining to the manual for completing the updated format for national reporting under Article 15 and took note of the draft manual for completing the updated format for national reporting under Article 15.

Follow up:

	<b>Calls for information</b>	<b>Respondents</b>	<b>Methods of submission</b>	<b>Deadlines for submission</b>
(a)	Parties are urged to submit their fourth national reports pursuant to Article 15 of the Convention	Parties	Electronic reporting system of the Stockholm Convention, available on the Convention website.	No deadline specified in the decision; please submit information as soon as possible. The original deadline was 31 August 2018.
(b)	Parties are invited to submit their fifth national report to the Secretariat for consideration by the Conference of the Parties at its eleventh meeting.	Parties	Electronic reporting system of the Stockholm Convention, available on the Convention website.	<b>31 August 2022</b>

Contact point:

Ms. Carla Valle-Klann (Email: [carla.valle@brsmeas.org](mailto:carla.valle@brsmeas.org), Tel: +41 22 917 86 86, Fax: +41 22 917 80 98).

## **10. Synergies in preventing and combating illegal traffic and trade in hazardous chemicals and wastes**

Decision: SC-9/22: Synergies in preventing and combating illegal traffic and trade in hazardous chemicals and wastes

Background:

In decision SC-9/22, the Conference of the Parties, among other things, encouraged Parties that have not yet done so to establish national-level coordination mechanisms with a view to facilitating the exchange of information among relevant authorities responsible for the implementation and enforcement of the provisions of the Basel, the Rotterdam Convention and the Stockholm Convention aimed at controlling the export and import of the chemicals and wastes covered under the conventions, and encouraged Parties to provide information to the Secretariat about cases of illegal traffic and trade in the chemicals and wastes covered by the Basel, Rotterdam and Stockholm conventions, where the provision of such information is appropriate under existing reporting procedures.

Follow up:

Calls for information	Respondents	Methods of submission	Deadlines for submission
Parties to provide information to the Secretariat about cases of illegal traffic and trade in the chemicals and wastes covered by the Basel, Rotterdam and Stockholm conventions, where the provision of such information is appropriate under existing reporting procedures.	Parties	Please make submissions to the Secretariat via the contact point provided below.	As appropriate

Contact point:

Ms. Tatiana Terekhova (Email: [tatiana.terekhova@brsmeas.org](mailto:tatiana.terekhova@brsmeas.org), Tel: +41 22 917 83 40, Fax: +41 22 917 80 98).

## **11. From science to action**

Decision: SC-9/23: From science to action

Background:

In decision SC-9/23, the Conference of the Parties, among other things, took note of the road map for further engaging Parties and other stakeholders in informed dialogue for enhanced science-based action in the implementation of the conventions<sup>1</sup> and encouraged Parties and others to initiate action that promotes the implementation of the road map.

Follow up:

Calls for information	Respondents	Methods of submission	Deadlines for submission
Parties and observers are invited to submit to the Secretariat, information on action being undertaken to promote the implementation of the road map.	Parties Observers	Please make submissions to the Secretariat via the contact point provided below.	<b>30 November 2020</b>

Contact point:

Ms. Kei Ohno Woodall (Email: [kei.ohno-woodall@brsmeas.org](mailto:kei.ohno-woodall@brsmeas.org), Tel: +41 22 917 82 01, Fax: +41 22 917 80 98).

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<sup>1</sup> UNEP/CHW.14/INF/40–UNEP/FAO/RC/COP.9/INF/35–UNEP/POPS/COP.9/INF/44.

## **12. Dates and venue of the next meetings of the Conferences of the Parties to the Basel, Rotterdam and Stockholm Conventions**

**Decision:** SC-9/25: Dates and venue of the next meetings of the conferences of the Parties to the Basel, Rotterdam and Stockholm conventions

**Background:**

In decision SC-9/25, the Conference of the Parties, among other things, invited Parties to submit offers to host the 2023 meetings of the conferences of the Parties, if possible by 31 March 2021, for consideration during the meetings of the conferences of the Parties in 2021.

**Follow up:**

Calls for information	Respondents	Methods of submission	Deadlines for submission
Parties are invited to submit offers to host the 2023 meetings of the Conferences of the Parties for consideration during the meetings of the conferences of the Parties in 2021.	Parties	Please make submissions to the Secretariat via the contact point provided below.	If possible by <b>31 March 2021</b>

**Contact point:**

Mr. David Ogden (Email: [david.ogden@brsmeas.org](mailto:david.ogden@brsmeas.org); Tel: +41 22 917 81 90, Fax: +41 22 917 80 98).

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