

**Stockholm Convention on Persistent Organic Pollutants**

التفاقية استكهولم بشأن الملوثات العضوية الثابتة - 关于持久性有机污染物的斯德哥尔摩公约 - Convention de Stockholm sur les polluants organiques persistants  
Convenio de Estocolmo sobre Contaminantes Orgánicos Persistentes - Стокгольмская конвенция о стойких органических загрязнителях



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CH-1219 Châtelaine – Geneva  
Switzerland

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8 August 2011

**Subject: Information requests in follow up to the decisions taken by the Conference of the Parties of the Stockholm Convention at its fifth meeting**

Dear Sir/Madam,

I am pleased to inform you that at its fifth meeting held in Geneva from 25 to 29 April 2011, the Conference of the Parties of the Stockholm Convention on Persistent Organic Pollutants agreed on the decisions contained in annex I to the report of the meeting, document UNEP/POPS/COP.5/36. The report is available on the Convention's website: [www.pops.int](http://www.pops.int). Many of the decisions included invitations to Parties and others to provide information.

This letter is to solicit information requested in the decisions adopted by the Conference of the Parties. To facilitate response, attached are the individual requests organized according to the decisions. Information regarding each decision can be submitted separately, particularly since their deadlines for submission vary.

In addition to requests for submitting information, the Conference of the Parties has reminded Parties of some obligations under the Convention, including the following:

- 1) Parties wishing to register for specific exemptions or acceptable purposes to do so by means of a notification in writing to the Secretariat (the relevant forms are attached);
- 2) Parties for whom the deadlines for transmitting their implementation plans have passed to transmit their plans as soon as possible if they have not already done so;
- 3) Parties that have not already done so to nominate official contact points to perform administrative functions and to handle all formal communication under the Convention (the relevant forms are attached);
- 4) Parties and States not party to the Convention that have not already done so to confirm their existing national focal points for information exchange or to nominate new such national focal points (the relevant forms are attached).

If you have any questions, please contact Ms. Andrea Warmuth, Secretariat of the Stockholm Convention (e-mail: [awarmuth@pops.int](mailto:awarmuth@pops.int); tel. +41-22-917-8853; fax: +41-22-917-8098).

We look forward to receiving your submissions.

Yours sincerely,

Jim Willis  
Executive Secretary

**To: Stockholm Convention official contact points  
Stockholm Convention national focal points**  
**Cc: Representatives of permanent missions to the United Nations Office at Geneva**

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**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on endosulfan**

Decision: SC-5/4: Work programme on endosulfan

Background:

At its fifth meeting, the Conference of the Parties decided to list endosulfan in Annex A of the Convention and to undertake a work programme to support the development and deployment of alternatives to endosulfan. As part of this work programme, the Persistent Organic Pollutants Review Committee will review information submitted by Parties and observers and assess the alternatives to endosulfan.

Request:

- Parties are requested and observers are invited to submit to the Secretariat information on the following aspects of chemical and non-chemical alternatives to endosulfan for the uses identified as specific exemptions in Annex A to the Convention:
  - a) Technical feasibility;
  - b) Health and environmental effects;
  - c) Cost-effectiveness;
  - d) Efficacy;
  - e) Risk, taking into account the characteristics of potential persistent organic pollutants as specified in Annex D to the Convention;
  - f) Availability;
  - g) Accessibility;
  - h) Any other available information.

Respondents:

- Parties
- Observers

Deadline for submissions:

- Although the deadline indicated in decision SC-5/4 is **31 July 2011**, please submit any information on alternatives to endosulfan to the Secretariat whenever it becomes available to support the work by the Committee.
- The format for submission of information on chemical and non-chemical alternatives to endosulfan is available in the six official languages of the United Nations on the website of the Convention at <http://chm.pops.int/Implementation/NewPOPs/DecisionSC54Informationrequest/tabid/2236/language/en-US/Default.aspx>.

Please submit information to:

Secretariat of the Stockholm Convention  
Attention: POPRC  
United Nations Environment Programme  
11-13 chemin des Anémones  
CH-1219, Châtelaine, Geneva, Switzerland  
Fax: +41-22-917-8098  
**E-mail: [ssc@pops.int](mailto:ssc@pops.int) / [kohno@pops.int](mailto:kohno@pops.int)**

If you have any questions, please contact:

Ms. Kei Ohno  
Secretariat of the Stockholm Convention  
E-mail: [kohno@pops.int](mailto:kohno@pops.int)  
Tel.: +41-22-917-8201  
Fax: +41-22-917- 8098

***Submission by E-mail is much preferred.***

**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on brominated diphenyl ethers and perfluorooctane sulfonic acid**

Decision: SC-5/5: Work programme on brominated diphenyl ethers and perfluorooctane sulfonic acid, its salts and perfluorooctane sulfonyl fluoride

Background:

In response to decision SC-4/19, the Persistent Organic Pollutants Review Committee has developed recommendations on the elimination of brominated diphenyl ethers from the waste stream and on risk reduction for perfluorooctane sulfonic acid, its salts and perfluorooctane sulfonyl fluoride, as contained in the annex to decision POPRC-6/2.

Request:

- Parties are invited to submit information on their experiences in implementing the recommendations where applicable, or other actions that have the same objectives, to the Secretariat no later than six months before the sixth meeting of the Conference of the Parties.

Respondents:

- Parties

Deadline for submissions:

- **6 November 2012;** However, the Secretariat kindly asks you to send information using an interim deadline of 6 June 2012 in order to facilitate the process of compiling the comments and preparing reports for the sixth meeting of the Conference of the Parties.

Please submit information to:

Secretariat of the Stockholm Convention  
Attention: BDEs and PFOS  
United Nations Environment Programme  
11-13 chemin des Anémones  
CH-1219, Châtelaine, Geneva, Switzerland  
Fax: +41-22-917-8098  
**E-mail: [ssc@pops.int](mailto:ssc@pops.int) / [jalvarez@pops.int](mailto:jalvarez@pops.int)**

If you have any questions, please contact:

Ms. Jacqueline Alvarez  
Secretariat of the Stockholm Convention  
E-mail: [jalvarez@pops.int](mailto:jalvarez@pops.int)  
Tel.: +41-22-917-7832  
Fax: +41-22-917-8098

*Submission by E-mail is much preferred.*

**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on information to facilitate evaluation of continued need for DDT for disease  
vector control**

Decision: SC-5/6: DDT

Background:

Paragraph 6 of Part II of Annex B of the Convention requires the Conference of the Parties to evaluate the continued need for DDT for disease vector control on the basis of scientific, technical, environmental and economic information. The Conference of the Parties decided to evaluate continued need for DDT for disease vector control at its sixth meeting, with the objective of accelerating the identification and development of locally appropriate, cost effective and safe alternatives. The evaluation will be based on factual information provided by parties and observers and will facilitate:

- The DDT expert group to undertake an assessment of the continued need for DDT for disease vector control;
- The Persistent Organic Pollutants Review Committee (POPRC) to assess the alternatives to DDT in accordance with the general guidance on considerations related to alternatives and substitutes to listed persistent organic pollutants.

Request:

- Parties and observers are invited to provide information pertaining to the production and use of DDT, including scientific, technical, environmental and economic information.

Respondents:

- Parties that use DDT for disease vector control
- Observers

Deadline for submissions:

- The due date for submission of information in the DDT Questionnaire to facilitate the work of DDT expert group is **30 June 2012**. The Secretariat will circulate the questionnaire on production and use of DDT to facilitate providing the information by 31 January 2012;
- No specific deadline set by the Conference of the Parties on submission of information required for the work of Persistent Organic Pollutants Review Committee. It will decide at its seventh meeting in October 2011 what information it will require for its work at its eighth meeting in October 2012. The type of information required by the Committee and the format for its submission will be circulated after the Committee's seventh meeting to be held from 10 to 14 October 2011.

Please submit information to:

Secretariat of the Stockholm Convention  
Attention: DDT  
United Nations Environment Programme  
11-13 chemin des Anémones  
CH-1219, Châtelaine, Geneva, Switzerland  
Fax: +41-22-917-8098  
**E-mail: [ssc@pops.int](mailto:ssc@pops.int) / [gmanuweera@pops.int](mailto:gmanuweera@pops.int)**

If you have any questions, please contact:

Mr. Gamini Manuweera  
Secretariat of the Stockholm Convention  
E-mail: [gmanuweera@pops.int](mailto:gmanuweera@pops.int)  
Tel: +41-22-917-8604

*Submission by E-mail is much preferred.*

**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on information on the use of lindane**

Decision: SC-5/8: Exemptions

Background:

At its fifth meeting, the Conference of the Parties took note of the Secretariat's report on the development of reporting and reviewing requirements for the use of lindane (UNEP/POPS/COP.5/18) and requests the Secretariat, subject to the availability of resources, to take the lead in implementing the proposed activities, in cooperation with the World Health Organization, and to report to the Conference of the Parties at its sixth meeting on progress in doing so.

Request:

- Parties are invited to facilitate the provision of information relating to the use of lindane, including through notifications of registration for specific exemptions. The form for notification of specific exemptions is attached to the present letter.

Respondents:

- Parties

Deadline for submissions:

- No specific deadline was set by the Conference of the Parties.

Please submit information to:

Secretariat of the Stockholm Convention  
Attention: Exemptions  
United Nations Environment Programme  
11-13 chemin des Anémones  
CH-1219, Châtelaine, Geneva, Switzerland  
Fax: +41-22-917-8098  
**E-mail: [ssc@pops.int](mailto:ssc@pops.int) / [kohno@pops.int](mailto:kohno@pops.int)**

If you have any questions, please contact:

Ms. Kei Ohno  
Secretariat of the Stockholm Convention  
E-mail: [kohno@pops.int](mailto:kohno@pops.int)  
Tel.: +41-22-917-8201  
Fax: +41-22-917- 8098

*Submission by E-mail is much preferred.*

**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on the procedure under paragraph 2 (b) of Article 3**

**Decision:** SC-5/10: Evaluation of the continued need for the procedure under paragraph 2 (b) of Article 3

**Background:**

At its fifth Meeting, the Conference of the Parties decided that the information currently available on experience with using the procedure under paragraph 2 (b) of Article 3 is insufficient as a basis for evaluating the continued need for the procedure. The Secretariat was thus requested to prepare a further report, based on party reports submitted pursuant to Article 15, on certifications from exporting parties pursuant to paragraph 2 (b) (iii) of Article 3 and on other relevant information for consideration by the Conference of the Parties at its sixth meeting.

**Request:**

- Parties are urged to include in their reports required under Article 15 of the Convention information on their imports and exports of the chemicals listed in Annexes A and B to the Convention, if any, and in so doing to provide as much information as is practicable regarding the destinations of exported chemicals and the purposes for which chemicals are imported;
- Parties are reminded that, when exporting chemicals listed in Annex A or Annex B to the Convention to any State not party to the Convention, them to submit to the Secretariat an annual certification from the importing State according to paragraph 2 (b) (iii) of Article 3 of the Convention.

**Respondents:**

- Parties

**Deadline for submissions:**

- No specific deadline was set by the Conference of the Parties.

**Please submit information to:**

Secretariat of the Stockholm Convention  
Attention: Paragraph 2 (b) of Article 3 procedure  
United Nations Environment Programme  
11-13 chemin des Anémones  
CH-1219, Châtelaine, Geneva, Switzerland  
Fax: +41-22-917-8098  
**E-mail: [ssc@pops.int](mailto:ssc@pops.int) / [kohno@pops.int](mailto:kohno@pops.int)**

**If you have any questions, please contact:**

Ms. Kei Ohno  
Secretariat of the Stockholm Convention  
E-mail: [kohno@pops.int](mailto:kohno@pops.int)  
Tel.: +41-22-917-8201  
Fax: +41-22-917- 8098

***Submission by E-mail is much preferred.***

**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on joint Toolkit and best available techniques and best environmental  
practices expert roster**

**Decision:** SC-5/12: Guidelines on best available techniques and provisional guidance on best environmental practices

**Background:**

At its fifth meeting, the Conference of the Parties adopted a procedure for updating the guidelines on best available techniques and provisional guidance on best environmental practices. The procedure prescribes, among others, that the existing Toolkit expert roster will be supplemented by experts with specific expertise in best available techniques and best environmental practices. Experts need to be nominated for this joint Toolkit and best available techniques and best environmental practices expert roster.

**Request:**

- Parties and others are invited to nominate experts with specific expertise in best available techniques and best environmental practices to the joint Toolkit and best available techniques and best environmental practices expert roster called for in the procedure;

Note: Information in the current Toolkit expert roster can be viewed under the following link:

[Toolkit expert Roster](#)

Parties and others are also invited to inform the Secretariat of any changes in the involvement of the nominated experts in the Toolkit expert roster to facilitate its update as needed.

**Respondents:**

- Parties
- Others

**Deadline for submissions:**

- No specific deadline was set by the Conference of the Parties; however, nominations are required by **10 November 2011** in order to initiate the work of the expert group.

Note: The expert roster remains open for further submissions

**Please submit information to:**

Secretariat of the Stockholm Convention  
Attention: BAT BEP  
United Nations Environment Programme  
11-13 chemin des Anémones  
CH-1219, Châtelaine, Geneva, Switzerland  
Fax: +41-22-917-8098

**E-mail:** [ssc@pops.int](mailto:ssc@pops.int) / [kmagulova@pops.int](mailto:kmagulova@pops.int)

**If you have any questions, please contact:**

Ms. Katarina Magulova  
Secretariat of the Stockholm Convention  
E-mail: [kmagulova@pops.int](mailto:kmagulova@pops.int)  
Tel. (+41) 22 9178170, Fax (+41) 22 9178098

***Submission by E-mail is much preferred.***



**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on guidelines on best available techniques and  
provisional guidance on best environmental practices**

**Decision:** SC-5/12: Guidelines on best available techniques and provisional guidance on best environmental practices

**Background:**

At its fifth meeting, the Conference of the Parties reminded Parties to take into consideration the guidelines and guidance when applying best available techniques and best environmental practices and to assist decision-making in the implementation of action plans and other actions related to their obligations under Article 5.

**Request:**

- Parties are invited to share their experiences on taking the guidelines and guidance into consideration when applying best available techniques and best environmental practices, such as in the form of case studies, via the Convention clearing house mechanism. The relevant form for presenting comments on applying best available techniques and best environmental practices is attached.

**Respondents:**

- Parties

**Deadline for submissions:**

- No specific deadline was set by the Conference of the Parties; however comments are required by **30 October 2012** in order to be included in the report to be prepared by the Secretariat on this issue for the sixth meeting of the Conference of the Parties.

**Please submit information to:**

Secretariat of the Stockholm Convention  
Attention: BAT BEP  
United Nations Environment Programme  
11-13 chemin des Anémones  
CH-1219, Châtelaine, Geneva, Switzerland  
Fax: +41-22-917-8098  
**E-mail:** [ssc@pops.int](mailto:ssc@pops.int) / [kmagulova@pops.int](mailto:kmagulova@pops.int)

**If you have any questions, please contact:**

Ms. Katarina Magulova  
Secretariat of the Stockholm Convention  
E-mail: [kmagulova@pops.int](mailto:kmagulova@pops.int)  
Tel. (+41) 22 9178170  
Fax (+41) 22 9178098

***Submission by E-mail is much preferred.***

**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on review and updating of the Standardized Toolkit for Identification and  
Quantification of Dioxin and Furan Releases**

**Decision:** SC-5/13: Review and updating of the Standardized Toolkit for Identification and Quantification of Dioxin and Furan Releases

**Background:**

At its fifth Meeting, the Conference of the welcomed the conclusions and recommendations of the Toolkit experts and endorsed their inclusion in the revision of the toolkit.

**Request:**

- Parties are invited to provide comments on their experience on taking into account the new information and the conclusions and recommendations of the Toolkit experts when developing source inventories and release estimates under Article 5 and reporting estimated releases under Article 15, taking into consideration the source categories identified in Annex C.
- Parties, non-parties, intergovernmental and non-governmental organizations and industry bodies are invited to generate relevant data and information on chemicals listed in Annex C to the Convention, as identified in the Toolkit review and updating process, and to provide that information to the Secretariat.

**Respondents:**

- Parties
- Non-Party States\*
- Intergovernmental organizations\*
- Non-governmental organizations\*
- Industry bodies\*

\*For the request on generating relevant data and information on chemicals listed in Annex C to the Convention only.

**Deadline for submissions:**

- No specific deadline was set by the Conference of the Parties; however comments are required by **30 October 2012** in order to be included in the report to be prepared by the Secretariat on this issue for the sixth meeting of the Conference of the Parties.

**Please submit information to:**

Secretariat of the Stockholm Convention  
Attention: Toolkit  
United Nations Environment Programme  
11-13 chemin des Anémones  
CH-1219, Châtelaine, Geneva, Switzerland  
Fax: +41-22-917-8098  
**E-mail: [ssc@pops.int](mailto:ssc@pops.int) / [kmagulova@pops.int](mailto:kmagulova@pops.int)**

**If you have any questions, please contact:**

Ms. Katarina Magulova  
Secretariat of the Stockholm Convention  
E-mail: [kmagulova@pops.int](mailto:kmagulova@pops.int)  
Tel. (+41) 22 9178170  
Fax (+41) 22 9178098

***Submission by E-mail is much preferred.***

**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on implementation plans**

Decision: SC-5/14: Implementation plans

Background:

At its fifth meeting, the Conference of the Parties encouraged parties to use the existing guidance under the Stockholm Convention when developing, reviewing or updating their implementation plans.

Request:

- Parties are encouraged to provide the Secretariat with comments on how to improve the usefulness of the guidance based on their experiences in using it.

Respondents:

- Parties

Deadline for submissions:

- No specific deadline was set by the Conference of the Parties; however comments are required by **30 October 2012** in order to be included in the report to be prepared by the Secretariat on this issue for the sixth meeting of the Conference of the Parties.

Please submit information to:

Secretariat of the Stockholm Convention  
Attention: Implementation Plans  
United Nations Environment Programme  
11-13 chemin des Anémones  
CH-1219, Châtelaine, Geneva, Switzerland  
Fax: +41-22-917-8098  
**E-mail: [ssc@pops.int](mailto:ssc@pops.int) / [ssharma@pops.int](mailto:ssharma@pops.int)**

If you have any questions, please contact:

Mr. Suman Sharma  
Secretariat of the Stockholm Convention  
E-mail: [ssharma@pops.int](mailto:ssharma@pops.int)  
Tel.: +41-22-917-8210  
Fax: +41-22-917- 8098

*Submission by E-mail is much preferred.*

**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on reporting**

Decision: SC-5/16: Reporting

Background:

At its fifth meeting, the Conference of the Parties welcomed the reports submitted by parties pursuant to Article 15 of the Convention using the enhanced version of the electronic system for reporting.

Request:

- Parties that have not yet done so are urged to submit their national reports pursuant to Article 15 of the Convention no later than 31 July 2011;
- Parties shall submit their third national reports pursuant to Article 15 to the Secretariat by 31 August 2014 for consideration by the Conference of the Parties at its seventh meeting.

Respondents:

- Parties

Deadline for submissions:

- **31 July 2011** for submitting reports under the second reporting round;
- **31 August 2014** for submitting reports under the third reporting round.

Please submit information using the electronic reporting system available at:

<http://www.pops.int/Art15/login.aspx>

If you require the account information for the electronic reporting system or if you have any questions, please contact:

Mr. Suman Sharma  
Secretariat of the Stockholm Convention  
E-mail: [ssharma@pops.int](mailto:ssharma@pops.int)  
Tel.: +41-22-917-8210  
Fax: +41-22-917- 8098

**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on effectiveness evaluation**

Decision: SC-5/17: Effectiveness evaluation

Background:

At its fifth meeting, the Conference of the Parties took note of the proposed framework for effectiveness evaluation (UNEP/POPS/COP.5/31, annex) and requested the Secretariat to collect and compile the information outlined in the proposed framework and to use the elements and indicators set forth therein to prepare a report for consideration by the Conference of the Parties at its sixth meeting.

Request:

- Parties and others are invited to submit comments on the proposed framework for effectiveness evaluation set forth in the annex to document UNEP/POPS/COP.5/31 before 30 October 2011; the comments received from parties will be integrated into the proposed framework for consideration by the Conference of the Parties at its sixth meeting.

Respondents:

- Parties
- Others

Deadline for submissions:

- **30 October 2011**

Please submit information to:

Secretariat of the Stockholm Convention  
Attention: Effectiveness evaluation  
United Nations Environment Programme  
11-13 chemin des Anémones  
CH-1219, Châtelaine, Geneva, Switzerland  
Fax: +41-22-917-8098  
**E-mail: [ssc@pops.int](mailto:ssc@pops.int) / [kmagulova@pops.int](mailto:kmagulova@pops.int)**

If you have any questions, please contact:

Ms. Katarina Magulova  
Secretariat of the Stockholm Convention  
E-mail: [kmagulova@pops.int](mailto:kmagulova@pops.int)  
Tel. (+41) 22 9178170  
Fax (+41) 22 9178098

*Submission by E-mail is much preferred.*

**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on technical assistance**

Decision: SC-5/20: Guidance on technical assistance

Background:

At its fifth meeting, the Conference of the Parties requested the Secretariat to submit a report on progress in the application of the guidance on technical assistance and transfer of environmentally sound technology at the sixth meeting of the Conference of the Parties, which should include analysis of obstacles and barriers to gaining access to technical assistance and technology transfer and recommendations on how to overcome them. This report is to be based on the information to be provided pursuant to paragraphs 2, 3 and 5 of decision SC-5/20 (see below).

Request:

	<b>Ref.</b>	<b>Request</b>	<b>Respondent</b>	<b>Deadline</b>
<b>a</b>	SC-5/20 par. 2	Developing country parties and parties with economies in transition are invited to provide information on their technical assistance and technology transfer needs and the barriers and obstacles in that regard *	Developing country Parties and Parties with economies in transition	No deadline set by the Conference of the Parties; However, to coordinate the submissions with those under the needs assessment, information is requested by <b>31 December 2011</b>
<b>b</b>	SC-5/20 par. 3	Developed country Parties are invited to provide information on the technical assistance and technology transfer that they have available to be transferred to developing country Parties and Parties with economies in transition, together with the barriers and obstacles to responding to the technical assistance and technology transfer needs of developing-country parties and parties with economies in transition *	Developed country parties	No deadline set by the Conference of the Parties
<b>c</b>	SC-5/20 par.4	Parties and others are invited to share agro-ecological knowledge, experience, strategies and practices that could be relevant as alternatives to persistent organic pollutants	All parties and others	No deadline set by the Conference of the Parties
<b>d</b>	SC-5/20 par. 5	Parties and relevant international and non-governmental organizations, including regional centres, are invited to provide information on the experience in implementing the guidance on technical assistance and transfer of environmentally sound technologies set out in the annex to decision SC-1/15	All parties, relevant intergovernmental and non-governmental organizations and Regional Centres	<b>31 March 2012</b>

\* A questionnaire will be made available shortly on the Stockholm Convention website to enable responses to this request.

Please submit information to:

Secretariat of the Stockholm Convention  
Attention: Technical assistance  
United Nations Environment Programme  
11-13 chemin des Anémones  
CH-1219, Châtelaine, Geneva, Switzerland  
Fax: +41-22-917-8098  
**E-mail: [ssc@pops.int](mailto:ssc@pops.int) / [ta@pops.int](mailto:ta@pops.int)**

If you have any questions, please contact:

Ms. Maria Cristina Cardenas  
Secretariat of the Stockholm Convention  
E-mail: [mcardenas@pops.int](mailto:mcardenas@pops.int)

***Submission by E-mail is much preferred.***

**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on Needs Assessment (1)**

Decision: SC-5/22: Needs assessment (paragraph 3)

Background:

The Conference of the Parties at its fifth meeting requested the Secretariat to prepare a report reviewing the availability of financial resources additional to those provided through the Global Environment Facility and ways and means of mobilizing and channelling those resources in support of the objective of the Convention, as requested by the Conference of Plenipotentiaries on the Stockholm Convention on Persistent Organic Pollutants in its resolution 2, for consideration by the Conference of the Parties at its sixth meeting. This report shall be prepared on the basis of the information requested below.

Request:

- Developed-country parties, other parties and other sources, including relevant funding institutions and the private sector are invited to provide further information to the Secretariat on ways in which they can support the Convention.

Respondents:

- Developed-country parties
- Other parties
- Relevant funding institutions
- Private sector
- Others

Deadline for submissions:

- **31 December 2011**

Please submit information to:

Secretariat of the Stockholm Convention  
Attention: Needs Assessment  
United Nations Environment Programme  
11-13 chemin des Anémones  
CH-1219, Châtelaine, Geneva, Switzerland  
Fax: +41-22-917-8098  
**E-mail: [ssc@pops.int](mailto:ssc@pops.int) / [fmoser@pops.int](mailto:fmoser@pops.int)**

*Submission by E-mail is much preferred.*

If you have any questions, please contact:

Mr. Frank Moser  
Programme Officer  
Secretariat of the Stockholm Convention  
E-mail: [fmoser@pops.int](mailto:fmoser@pops.int)  
Tel: +41 22 917 8951  
Fax: +41 22 917 8098

**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on Needs Assessment (2)**

Decision: SC-5/22: Needs assessment (paragraph 12)

Background:

The Conference of the Parties at its fifth meeting adopted the terms of reference for the assessment of the funding needed by developing-country parties and parties with economies in transition to implement the Convention over the period 2015–2019 set forth in annex I to decision SC-5/22. The methodology to be applied for this assessment is set out in section B of the above annex.

Request:

- Parties, the Global Environment Facility and relevant international and non-governmental organizations are invited to provide information to the Secretariat on their views of and experiences in applying the methodology used to undertake the needs assessment, including information on priority setting in national implementation plans as appropriate, for the continuous improvement of the methodology.

Respondents:

- Parties
- Global Environment Facility
- Relevant international and non-governmental organizations

Deadline for submissions:

- No deadline set by the Conference of the Parties; however existing information is requested by **30 October 2012** in order to be included in the report to be prepared by the Secretariat on this issue to be submitted to the Conference at its sixth meeting.

Please submit information to:

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Attention: Needs Assessment  
United Nations Environment Programme  
11-13 chemin des Anémones  
CH-1219, Chatelaine, Geneva, Switzerland  
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If you have any questions, please contact:

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**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on Needs Assessment (3)**

Decision: SC-5/22: Needs assessment (paragraphs 6, 9 and 10)

Background:

The Conference of the Parties at its fifth meeting adopted the terms of reference for the assessment of the funding needed by developing-country parties and parties with economies in transition to implement the Convention over the period 2015–2019 set forth in annex I to decision S-5/22.

Request:

- Parties and others are invited to provide the relevant information required to undertake the assessment of funding needed by developing-country parties and parties with economies in transition to implement the Convention over the period 2015–2019 for consideration by the Conference of the Parties at its sixth meeting.
- In assessing and reporting on the resources used during the period 2010–2014 and the funding needed for 2015–2019 for the implementation of the Convention as set forth in paragraph 6 of the above decision, Parties are requested:
  - to use the format set forth in table 2 of annex II to the above decision (this also applies for the development of new or amending existing implementation plans); and
  - to include executive summaries, identifying critical substantive and financial issues pertinent to their national implementation plans, in their submissions on funding needs.

Respondents:

- Parties

Deadline for submissions:

- **30 September 2012;** A format for information collection and other supporting information will be sent by the Secretariat closer to the deadline.

Please submit information to:

Secretariat of the Stockholm Convention  
Attention: Needs Assessment  
United Nations Environment Programme  
11-13 chemin des Anémones  
CH-1219, Châtelaine, Geneva, Switzerland  
Fax: +41-22-917-8098  
**E-mail: [ssc@pops.int](mailto:ssc@pops.int) / [fmoser@pops.int](mailto:fmoser@pops.int)**

If you have any questions, please contact:

Mr. Frank Moser  
Programme Officer  
Secretariat of the Stockholm Convention  
E-mail: [fmoser@pops.int](mailto:fmoser@pops.int)  
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*Submission by E-mail is much preferred.*

**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on the 3<sup>rd</sup> Review of the Financial Mechanism (1)**

Decision: SC-5/25: Review of the Financial Mechanism (paragraphs 3 (a) and 5 of the annex to the decision)

Background:

The Conference of the Parties at its fifth meeting adopted the terms of reference for the third review of the financial mechanism set out in the annex to decision SC-5/25 and requested the Secretariat to compile information relevant to the third review of the financial mechanism and submit it to the Conference of the Parties for consideration at its sixth meeting.

The review, among other things, shall draw on the sources of information requested below.

Request:

- Parties are encouraged to submit to the Secretariat information on their on their experiences gained through activities funded by the financial mechanism for the period from November 2008 to July 2012, with special emphasis on those activities concluded during the same period.

Respondents:

- Parties

Deadline for submissions:

- As soon as possible and not later than **30 November 2012**.

Please submit information to:

Secretariat of the Stockholm Convention  
Attention: Review of the Financial Mechanism  
United Nations Environment Programme  
11-13 chemin des Anémones  
CH-1219, Chatelaine, Geneva, Switzerland  
Fax: +41-22-917-8098  
**E-mail: [ssc@pops.int](mailto:ssc@pops.int) / [fmoser@pops.int](mailto:fmoser@pops.int)**

If you have any questions, please contact:

Mr. Frank Moser  
Programme Officer  
Secretariat of the Stockholm Convention  
E-mail: [fmoser@pops.int](mailto:fmoser@pops.int)  
Tel: +41 22 917 8951  
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*Submission by E-mail is much preferred.*

**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on the 3<sup>rd</sup> Review of the Financial Mechanism (2)**

**Decision:** SC-5/25: Review of the Financial Mechanism (paragraphs 3 (e), 3 (g) and 6 of the annex to the decision)

**Background:**

The Conference of the Parties at its fifth meeting adopted the terms of reference for the third review of the financial mechanism set out in the annex to decision SC-5/25 and requested the Secretariat to compile information relevant to the third review of the financial mechanism and submit it to the Conference of the Parties for consideration at its sixth meeting.

The review, among other things, shall draw on the information requested below. The review will also draw on reports and information provided by other relevant entities providing multilateral, regional and bilateral financial and technical assistance pursuant to paragraph 6 of Article 13 of the Convention.

**Request:**

- Intergovernmental and non-governmental organizations are requested to provide to the Secretariat relevant information pursuant to the objectives of the present review.

**Respondents:**

- Intergovernmental organizations
- Non-governmental organizations
- Other relevant entities

**Deadline for submissions:**

- As soon as possible and not later than **30 November 2012**.

**Please submit information to:**

Secretariat of the Stockholm Convention  
Attention: Review of the Financial Mechanism  
United Nations Environment Programme  
11-13 chemin des Anémones  
CH-1219, Châtelaine, Geneva, Switzerland  
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**E-mail: [ssc@pops.int](mailto:ssc@pops.int) / [fmoser@pops.int](mailto:fmoser@pops.int)**

**If you have any questions, please contact:**

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Secretariat of the Stockholm Convention  
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**Follow up to the decisions taken by the Conference of the Parties  
of the Stockholm Convention at its fifth meeting**

**Request on the 3<sup>rd</sup> Review of the Financial Mechanism (3)**

**Decision:** SC-5/25: Review of the Financial Mechanism (paragraphs 3 (c), 3 (d) and 4 of the annex to the decision)

**Background:**

The Conference of the Parties at its fifth meeting adopted the terms of reference for the third review of the financial mechanism set out in the annex to decision SC-5/25 and requested the Secretariat to compile information relevant to the third review of the financial mechanism and submit it to the Conference of the Parties for consideration at its sixth meeting.

The review, among other things, shall draw on the information requested below.

**Request:**

- The entities entrusted with the operation of the financial mechanism are requested to provide to the Secretariat information pursuant to the objectives of the present review, including reports submitted to the Conference of the Parties as set forth in paragraphs 14 and 15 of the draft memorandum of understanding between the GEF Council and the Conference of the Parties to the Stockholm Convention (UNEP/POPS/COP.1/19); and other reports, including, among other things, the reports of the GEF Evaluation Office

**Respondents:**

- The entities entrusted with the operation of the financial mechanism
- GEF

**Deadline for submissions:**

- No deadline set by the Conference of the Parties; however existing information is requested by **30 October 2012** in order to be included in the report to be prepared by the Secretariat on this issue to be submitted to the Conference at its sixth meeting.

**Please submit information to:**

Secretariat of the Stockholm Convention  
Attention: Review of the Financial Mechanism  
United Nations Environment Programme  
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