



Stockholm Convention on Persistent Organic Pollutants

Conference of the Parties to the Stockholm Convention on Persistent Organic Pollutants Eighth meeting

Geneva, 24 April–5 May 2017

Item 7 of the provisional agenda*

Programme of work and budget

Information on financial matters

Note by the Secretariat

1. By decision SC-1/3, subsequently amended by decision SC-5/2, the Conference of the Parties to the Stockholm Convention adopted financial rules for the operation of the Conference of the Parties, its subsidiary bodies and the Secretariat. Paragraph 1 of rule 3, which deals with the budget, requires the Executive Secretary to dispatch information on actual income and expenditure for each year of the previous biennium and estimates of actual expenditure in the current biennium, to all Parties to the Convention at least 90 days before the opening of the meeting of the Conference of the Parties at which the budget for a given biennium is to be adopted.
2. In accordance with paragraph 1 of rule 3 the Secretariat has prepared the present note, which provides information on income and expenditures for the biennium 2014–2015 and detailed income for the year 2016 for both the Stockholm Convention Trust Fund (SC Trust Fund) and the Stockholm Convention voluntary Special Trust Fund (SV Trust Fund). All amounts are in United States dollars, unless otherwise stated.
3. As mentioned in section IV below, due to the introduction of a new Enterprise Reporting System, Umoja and the International Public Sector Accounting Standards (IPSAS), the Secretariat has experienced delays in providing the most current financial status of its trust funds.
4. Consequently, the present note has been revised to include annexes VII–X, which provide additional information on budget performance for the general trust funds and the voluntary special/technical cooperation trust funds for the biennium 2014–2015 and 2016–2017. In addition, annexes II and III on the status of contributions to the SC Trust Fund and on the information on Parties with arrears to the SC Trust Fund for the period 2006–2016, respectively, have been updated to reflect the status as at 20 April 2017. Furthermore, annexes IV and V on the status of contributions for 2015 and 2016, respectively, to the SV Trust Fund have been revised and annex VI on the status of contributions for 2017 to the SV Trust Fund as at 24 March 2017 has been added.
5. The present note, including its annexes, has not been formally edited.

I. Certified accounts of the Stockholm Convention trust funds

6. The certified and audited accounts of the Stockholm Convention trust funds for the biennium 2014–2015 are reproduced in annex I to the present note. The accounts for the biennium 2014–2015 have been certified as correct and in accordance with the accounting policies, regulations and rules of the United Nations. The certified accounts for both Stockholm Convention trust funds are included as

* UNEP/POPS/COP.8/1.

an integral part of the certified accounts of the United Nations Environment Programme (UNEP) for the biennium 2014–2015. It should be noted that as of 1 January 2014 the United Nations has implemented the International Public Sector Accounting Standards (IPSAS) and consequently the statements for 2014–2015 are not directly comparable with financial statements issued prior to 2014 which were prepared based on United Nations System Accounting Standards (UNASAS).

II. Stockholm Convention Trust Fund

7. For 2017, the second year of the biennium, the Conference of the Parties approved assessed contributions to be covered by Parties of \$4,808,045. Annex II to the present note shows the status of contributions to the SC Trust Fund as at 20 April 2017, and the amount of \$1,232,290 represents contributions paid in full or in part by Parties or 25.6% of the total amount due to the Convention for 2017.

8. Annex III to the present note provides information on Parties with arrears to the SC Trust Fund for the period 2006–2016. Unpaid contributions for 2016 and prior years, as at 20 April 2017, equalled \$1,556,026 from 85 Parties. With the introduction of IPSAS, on 1 January 2014, provisions for doubtful debt are being made incrementally for contributions that are overdue for 12 months or more. The provisions reduce the overall cumulative fund balance of the Trust Fund. However, the doubtful debt remains in the accounts of the Convention and any write-off still requires the approval of the relevant legislative body.

III. Stockholm Convention voluntary Special Trust Fund

9. At its sixth meeting, the Conference of the Parties to the Stockholm Convention took note of the programme budget for the SV Trust Fund, totalling \$4,186,982 for 2015. Annex IV to the present note provides information on the status of voluntary contributions pledged in 2015, which amounted to \$1,857,797. The total amount pledged was collected in 2015 for the 2015 budget as at 31 December 2015.

10. At its seventh meeting, the Conference of the Parties to the Stockholm Convention took note of the programme budget for the SV Trust Fund, totalling \$4,213,128 for 2016 and \$4,358,940 for 2017. Annex V to the present note provides information on the status of voluntary contributions pledged in 2016 directly for Stockholm Convention, which amounted to \$1,645,808. The total amount pledged was collected in 2016 for the 2016 budget as at 31 December 2016. Annex VI to the present note provides information on the status of voluntary contributions pledged in 2017 as at 24 March 2017, indicating that \$1,029,998 had been pledged directly for Stockholm Convention for 2017, out of which \$996,954 was paid. It should be noted, that voluntary contributions for joint activities undertaken by two or more of the Basel, Rotterdam and Stockholm conventions are administered under separate grants within the Stockholm Convention voluntary Special Trust Fund. In 2016, the contributions for shared contributions totalled \$2,913,721. In 2017 as of 24 March 2017, the pledges for shared contributions totalled \$55,227.

IV. Administrative and financial changes within the United Nations

11. The first IPSAS-compliant financial statement for the United Nations Secretariat was produced for the fiscal year from 1 January 2014 to 31 December 2014. IPSAS is based on full accrual accounting in line with the Generally Accepted Accounting Standards (GAAP) as opposed to UNASAS which has been based on modified cash accounting and used exclusively within the United Nations. These standards, used by public sector entities around the world, and based on International Financial Reporting Standards (IFRS), lead to better informed assessments of resource allocation decisions, thereby increasing transparency and accountability.

12. It was recognized that the vigorous information requirements needed to produce IPSAS-compliant financial statements for the Organization would require a new UN Secretariat-wide information system and consequently the Umoja project was approved by the General Assembly in conjunction with the IPSAS. The United Nations Environment Programme introduced Umoja on 1 June 2015.

13. The implementation of both IPSAS and Umoja has some short and long term implications on the preparation and presentation of the financial statements for the three conventions. The presentation of financial information has undergone significant changes, for example starting from 2014, the issuance of annual financial statements instead of biennial statements, annual audits, accounting for in-kind contributions, full recognition of liabilities for employee obligations such as After-Service Health Insurance (ASHI), annual leave and repatriation grants and the provisions for doubtful debt against

long outstanding contributions have significantly affected the figures shown in the financial statements. Therefore, the financial statements which were prepared on an UNSAS basis for the years prior to 2014 and the financial statements prepared on the basis of IPSAS from year 2014 onwards are not fully comparable. The organization also prepares its budgets on a modified accrual basis, as opposed to the IPSAS full accrual basis.



14. Producing donor reports in accordance with the required format was a challenge at the onset of Umoja, particularly as the data had to be consolidated from two different systems with different dimensions. As UNEP has adapted to the new system, the data in Umoja has been structured to suit future reporting requirements. There are, however, still a few fundamental technical issues that are being looked at centrally at the UNHQ level which are causing delays in reporting because of necessary manual interventions.


15. On the operational front, increasing improvements are moving UNEP beyond the teething stage and Umoja implementation is stabilizing in many areas. In particular, payroll and travel are beginning to run smoothly.



16. Overall, however, while UNEP has come a long way in adjusting to Umoja, the Secretariat is still facing some challenges related to the transition into the new and still evolving operational model based on UN-wide standardized processes.


Annex I

Certified audited accounts of the Stockholm Convention trust funds for the biennium 2014–2015 prepared in accordance with IPSAS

General Trust Fund for the Stockholm Convention on persistent Organic Pollutants its Subsidiary Bodies and the Convention (Fund code: SCL)	
I. Statement of Financial Performance for the year ended 31 December 2014 (United States Dollars)	
	Total 2014
Revenue	
Assessed Contributions	4,656,469
Voluntary Contributions	1,117,690
Investment Revenue	9,821
Other miscellaneous revenue	1
Total Revenue	5,783,981
Expenses	
Employee salaries, allowances and benefits	4,443,580
Non-employee compensation and allowances	6,361
Grant and other transfers	280,508
Depreciation and Amortisation	4,266
Travel	107,303
Other Operating expenses	198,764
Other expenses	1,421
Programme Support costs	625,842
Total expenses	5,668,045
Surplus/(deficit) for the period	115,936
II. Statement of Financial Position as at 31 December 2014 (United States Dollars)	
	Total 2014
Current Assets	
Cash and Cash Equivalents	358,516
Short-term investments	695,807
Assessed contributions Receivable	809,550
Other Current Assets	116,376
Total Current Assets	1,980,249
Long-term investments	590,756
Property, Plant and Equipment	18,227
Total Non-Current Assets	608,983
Total Assets	2,589,232
Current Liabilities	
Accounts Payable and Accrued Payables	144,332
Employee Benefits	138,043
Other Liabilities	953,080
Total Current Liabilities	1,235,455
Non current Liabilities	-
Total Liabilities	1,235,455
Net Assets	1,353,777
Total Liabilities & Net assets	2,589,232
III. Statement of Changes in Net Assets for the year ended 31 December 2014 (United States Dollars)	
	Total 2014
Net Assets:	
Accumulated surpluses/ (deficits) - unrestricted	1,397,537
IPSAS adjustment	(247,444)
Restated Balance	1,150,094
Other adjustments	87,747
Excess/ (Deficit) of Revenue over expenditure for period	115,936
Total Net Assets	1,353,777
 Annette Waweru Chief ACCOUNTS SECTION BUDGET AND FINANCIAL MANAGEMENT SERVICE, UNON	
14/08/2015	
	

General Trust Fund for the Stockholm Convention on persistent Organic Pollutants Its Subsidiary Bodies and the Convention (Fund code: SCL) I. Statement of Financial Performance for the year ended 31 December 2015 (United States Dollars)		Total 2015
Revenue		
Assessed Contributions		6,239,293
Investment Revenue		7,823
Other miscellaneous revenue		4,275
Total Revenue		6,251,391
Expenses		
Employee salaries, allowances and benefits		4,111,524
Non-employee compensation and allowances		307,957
Grant and other transfers		45,000
Supplies and consumables		3,328
Depreciation and Amortisation		3,114
Travel		222,294
Other Operating expenses		655,109
Other expenses		1,061
Programme Support costs		760,298
Total expenses		6,109,685
Surplus/(deficit) for the period		141,706
II. Statement of Financial Position as at 31 December 2015 (United States Dollars)		Total 2015
Current Assets		
Cash and Cash Equivalents		141,749
Short-term investments		437,120
Assessed contributions Receivable		1,222,953
Advance transfers		182,149
Other Assets		125,180
Total Current Assets		2,109,151
Non-Current Assets		
Long-term investments		293,301
Total Non-Current Assets		293,301
Total Assets		2,402,452
Current Liabilities		
Accounts Payable and Accrued Payables		359,020
Employee Benefits		59,732
Other Liabilities		488,217
Total Current Liabilities		906,969
Non current Liabilities		
Total Liabilities		906,969
Net Assets		1,495,483
Total Liabilities & Net assets		2,402,452
III. Statement of Changes in Net Assets for the year ended 31 December 2015 (United States Dollars)		Total 2015
Net Assets:		
Accumulated surpluses/ (deficits) - unrestricted		869,146
Restated Balance		869,146
Excess/ (Deficit) of Revenue over expenditure for period		141,706
Reserves		484,631
Total Net Assets		1,495,483
 Annette Waweru Budget Chief Financial ACCOUNTS SECTION BUDGET AND FINANCIAL MANAGEMENT SERVICE, UNON		13/01/2017

Special Trust Fund for the Stockholm Convention on persistent Organic Pollutants: its Subsidiary Bodies and the Convention (Fund code: SVL)	
I. Statement of Financial Performance for the year ended 31 December 2014 (United States Dollars)	
Total 2014	
Revenue	
Voluntary Contributions	2,438,590
Investment Revenue	18,880
Total Revenue	2,457,470
Expenses	
Employee salaries, allowances and benefits	619,937
Non-employee compensation and allowances	120,595
Grant and other transfers	1,157,173
Other Operating expenses	373,153
Programme Support costs	271,908
Total expenses	2,542,766
Surplus/(deficit) for the period	(85,296)
II. Statement of Financial Position as at 31 December 2014 (United States Dollars)	
Total 2014	
Current Assets	
Cash and Cash Equivalents	689,127
Short-term investments	1,337,456
Voluntary Contributions Receivable	22,472
Advance transfers	42,598
Other Current Assets	13,729
Total Current Assets	2,105,382
Non-Current Assets	
Long-term investments	1,135,530
Total Non-Current Assets	1,135,530
Total Assets	3,240,912
Current Liabilities	
Accounts Payable and Accrued Payables	245,866
Employee Benefits	20,265
Other Liabilities	266,187
Total Current Liabilities	532,318
Non current Liabilities	
Total Liabilities	532,318
Net Assets	2,708,594
Total Liabilities & Net assets	3,240,912
III. Statement of Changes in Net Assets for the year ended 31 December 2014 (United States Dollars)	
Total 2014	
Net Assets:	
Accumulated surpluses/ (deficits) - unrestricted	2,192,188
IPSAS adjustment	(24,802)
Restated Balance	2,167,386
Other adjustments	626,504
Excess/ (Deficit) of Revenue over expenditure for period	(85,296)
Total Net Assets	2,708,594
 Annette Waweru Chief ACCOUNTS SECTION BUDGET AND FINANCIAL MANAGEMENT SERVICE, UNDN	
 United Nations Office at Nairobi ACCOUNTS SECTION Budget and Financial Management Service	
14/08/2015	

Special Trust Fund for the Stockholm Convention on persistent Organic Pollutants its Subsidiary Bodies and the Convention (Fund code: SVL) I. Statement of Financial Performance for the year ended 31 December 2015 (United States Dollars)	
	Total 2015
Revenue	
Voluntary Contributions	1,154,853
Investment Revenue	2,032
Other miscellaneous revenue	9,582
Total Revenue	1,166,467
Expenses	
Employee salaries, allowances and benefits	611,038
Non-employee compensation and allowances	259,356
Grant and other transfers	342,328
Travel	48,684
Other Operating expenses	1,086,192
Other expenses	(38)
Programme Support costs	385,674
Total expenses	2,733,234
Surplus/(deficit) for the period	(1,566,767)
II. Statement of Financial Position as at 31 December 2015 (United States Dollars)	
	Total 2015
Current Assets	
Cash and Cash Equivalents	336,081
Short-term investments	1,036,394
Voluntary Contributions Receivable	16,630
Advance transfers	931,541
Other Assets	10,162
Total Current Assets	2,330,808
Non-Current Assets	
Long-term investments	695,404
Total Non-Current Assets	695,404
Total Assets	3,026,212
Current Liabilities	
Accounts Payable and Accrued Payables	755,550
Employee Benefits	15,311
Other Liabilities	1,113,524
Total Current Liabilities	1,884,385
Non current Liabilities	
Total Liabilities	1,884,385
Net Assets	1,141,827
Total Liabilities & Net assets	3,026,212
III. Statement of Changes in Net Assets for the year ended 31 December 2015 (United States Dollars)	
	Total 2015
Net Assets:	
Accumulated surpluses/ (deficits) - unrestricted	2,187,988
Restated Balance	2,187,988
Excess/ (Deficit) of Revenue over expenditure for period	(1,566,767)
Reserves	520,606
Total Net Assets	1,141,827
 <i>Annette Waweru</i> 16/1/2017 B. Annette Waweru Manag. Chief.: Service ACCOUNTS SECTION BUDGET AND FINANCIAL MANAGEMENT SERVICE, UNON	
	13/01/2017

Annex II

**Status of contributions to the Stockholm Convention Trust Fund, as at
20 April 2017 (expressed in US Dollars)**

Country	Unpaid pledges for 2016 & prior years as at 31.12.2016	Pledges for 2017	Collections during 2016 for 2017 and future years	Collections during 2017 for 2016 and prior years	Collections during 2017 for 2017 and future years	Unpaid pledges for 2016 & prior year as at 20.04.2017	Unpaid pledges for 2017	Unpaid pledges for prior years, 2016 & 2017	Deferred Income
Afghanistan		481	503			0	-22	-22	-22
Albania		642	642			0	0	0	0
Algeria		8 793	8 793			0	-9 334	-9 334	-9 334
Angola	1 332	642		1 332	642	0	0	0	0
Antigua and Barbuda	2 726	481				2 726	481	3 207	0
Argentina		27 726				0	27 726	27 726	0
Armenia		481	412			0	69	69	0
Australia		133 111	133 111			0	0	0	0
Austria		51 216			51 216	0	0	0	0
Azerbaijan		2 567				0	2 567	2 567	0
Bahamas		1 091				0	1 091	1 091	0
Bahrain	2 565	2 503				2 565	2 503	5 068	0
Bangladesh		481				0	481	481	0
Barbados	998	481		998	481	0	0	0	0
Belarus		3 594				0	3 594	3 594	0
Belgium		64 052				0	64 052	64 052	0
Belize	1 470	481				1 470	481	1 951	0
Benin	3 520	481				3 520	481	4 001	0
Bolivia	505	481				505	481	986	0
Bosnia and Herzegovina		1 091			1 091	0	0	0	0
Botswana		1 091			1 091	0	0	0	0
Brazil	672 421	188 306				672 421	188 306	860 727	0
Bulgaria		3 016	78		2 938	0	0	0	0
Burkina Faso	2 417	481				2 417	481	2 898	0
Burundi	493	481				493	481	973	0
Cambodia	1 911	481				1 911	481	2 392	0
Cameroon	2 337	770				2 337	770	3 107	0
Canada		191 515	191 515			0	0	0	0
Cape Verde	4 199	481				4 199	481	4 680	0
Central African Republic	3 748	481				3 748	481	4 229	0
Chad	4 563	481				4 563	481	5 044	0
Chile		21 436			21 436	0	0	0	0
China	133 720	330 402				133 720	330 402	464 122	0
Colombia	34 497	16 623				34 497	16 623	51 120	0
Comoros	3 911	481				3 911	481	4 392	0
Congo	470	481		470	60	0	421	421	0
Cook Islands	4 563	481				4 563	481	5 044	0
Costa Rica		2 439	356		2 453	0	-370	-370	-370
Côte d'Ivoire	1 466	706				1 466	706	2 172	0
Croatia		8 087			8 087	0	0	0	0
Cuba	24 744	4 428				24 744	4 428	29 172	0
Cyprus		3 016			3 016	0	0	0	0
Czech Republic		24 774	24 774			0	0	0	0
Dem. People's Rep. of Korea	3 126	481				3 126	481	3 607	0
Dem. Rep. of Congo	1 911	481		1 911	481	0	0	0	0
Denmark		43 322	10 000		33 322	0	0	0	0
Djibouti	2 726	481				2 726	481	3 207	0
Dominica	4 563	481				4 563	481	5 044	0
Dominican Republic	15 928	2 888		4 000		11 928	2 888	14 816	0
Ecuador	395	2 824				395	2 824	3 219	0
Egypt	8 812	8 600				8 812	8 600	17 413	0

Country	Unpaid pledges for 2016 & prior years as at 31.12.2016	Pledges for 2017	Collections during 2016 for 2017 and future years	Collections during 2017 for 2016 and prior years	Collections during 2017 for 2017 and future years	Unpaid pledges for 2016 & prior year as at 20.04.2017	Unpaid pledges for 2017	Unpaid pledges for prior years, 2016 & 2017	Deferred Income
El Salvador	1 052	1 027				1 052	1 027	2 079	0
Eritrea		481	37		481	0	-37	-37	-37
Estonia	11	2 567		11	2 567	0	0	0	0
Ethiopia	1 332	642				1 332	642	1 974	0
European Union		120 201			120 201	0	0	0	0
Fiji		481			481	0	0	0	0
Finland		33 310				0	33 310	33 310	0
France		358 963				0	358 963	358 963	0
Gabon	2 520	1 284				2 520	1 284	3 804	0
Gambia	1 911	481				1 911	481	2 392	0
Georgia		481			481	0	0	0	0
Germany		458 314				0	458 314	458 314	0
Ghana		899				0	899	899	0
Greece	125 199	40 947				125 199	40 947	166 147	0
Guatemala		1 733				0	1 733	1 733	0
Guinea	1 470	481				1 470	481	1 951	0
Guinea-Bissau	3 511	481				3 511	481	3 992	0
Guyana	472	481				472	481	953	0
Honduras	381	481				381	481	862	0
Hungary		17 072				0	17 072	17 072	0
Iceland		1 733				0	1 733	1 733	0
India		42 744				0	42 744	42 744	0
Indonesia		22 207	403			0	21 804	21 804	0
Iran	6 090	22 848				6 090	22 848	28 938	0
Ireland		26 828				0	26 828	26 828	0
Jamaica		706	1 425			0	-719	-719	-719
Japan		695 270	1 211		17 100	0	676 959	676 959	0
Jordan		1 412				0	1 412	1 412	0
Kazakhstan		7 766			7 766	0	0	0	0
Kenya	1 731	834				1 731	834	2 565	0
Kiribati		481	25			0	456	456	0
Kuwait	20 885	17 521		17 521		3 364	17 521	20 885	0
Kyrgyzstan		481				0	481	481	0
Lao People's Democratic Republic		481				0	481	481	0
Latvia		3 016	3 016			0	0	0	0
Lebanon	5 594	2 696		2 832		2 762	2 696	5 458	0
Lesotho		481				0	481	481	0
Liberia	3 570	481				3 570	481	4 051	0
Libya	28 398	9 114				28 398	9 114	37 512	0
Liechtenstein		481	675			0	-194	-194	-194
Lithuania		4 685			4 685	0	0	0	0
Luxembourg		5 199				0	5 199	5 199	0
Madagascar		481	601			0	-120	-120	-120
Malawi	3 356	481				3 356	481	3 837	0
Maldives	493	481				493	481	973	0
Mali	4 563	481				4 563	481	5 044	0
Marshall Islands	3 728	481				3 728	481	4 209	0
Mauritania	4 563	481				4 563	481	5 044	0
Mauritius		834	834			0	0	0	0
Mexico		118 221	3 049		118 221	0	-3 049	-3 049	-3 049
Micronesia	4 563	481				4 563	481	5 044	0
Monaco		481			481	0	0	0	0
Mongolia		481			481	0	0	0	0
Montenegro	441	481				441	481	921	0
Morocco		3 979	35			0	3 944	3 944	0
Mozambique		481			481	0	0	0	0
Myanmar	1 962	642				1 962	642	2 604	0
Namibia	658	642				658	642	1 299	0
Nauru	4 966	481				4 966	481	5 447	0

Country	Unpaid pledges for 2016 & prior years as at 31.12.2016	Pledges for 2017	Collections during 2016 for 2017 and future years	Collections during 2017 for 2016 and prior years	Collections during 2017 for 2017 and future years	Unpaid pledges for 2016 & prior year as at 20.04.2017	Unpaid pledges for 2017	Unpaid pledges for prior years, 2016 & 2017	Deferred Income
Nepal	998	481				998	481	1 479	0
Netherlands		106 155	116 282			0	-10 127	-10 127	-10 127
New Zealand		16 238	16 238			0	0	0	0
Nicaragua	493	481		493		0	481	480	0
Niger	4 199	481				4 199	481	4 680	0
Nigeria	17 662	5 776				17 662	5 776	23 439	0
Niue	403	481				403	481	884	0
Norway		54 618			54 618	0	0	0	0
Oman		6 546			6 546	0	0	0	0
Pakistan	13 830	5 455		5 731		8 099	5 455	13 555	0
Palau	2 323	481				2 323	481	2 804	0
Panama	3 463	1 669				3 463	1 669	5 132	0
Papua New Guinea	86	481				86	481	566	0
Paraguay		642				0	642	642	0
Peru		7 509			6 164	0	1 345	1 345	0
Philippines		9 884	5 049		9 884	0	-5 049	-5 049	-5 049
Poland		59 110			59 110	0	0	0	0
Portugal	92 489	30 422				92 489	30 422	122 911	0
Qatar	4 899	13 414				4 899	13 414	18 312	0
Republic of Korea		127 976				0	127 976	127 976	0
Republic of Moldova		481				0	481	481	0
Romania		14 505			14 505	0	0	0	0
Russian Federation		156 473				0	156 473	156 473	0
Rwanda	493	481				493	481	973	0
Saint Kitts and Nevis	23	481				23	481	504	0
Saint Lucia		481				0	481	481	0
Saint Vincent and the Grenadines	4 563	481				4 563	481	5 044	0
Samoa		481				0	481	481	0
Sao Tome and Principe	4 199	481				4 199	481	4 680	0
Saudi Arabia	56 820	55 452				56 820	55 452	112 272	0
Senegal	4 563	481				4 563	481	5 044	0
Serbia		2 567	2 567			0	0	0	0
Seychelles		481	30			0	451	451	0
Sierra Leone	998	481				998	481	1 479	0
Singapore	25 253	24 645		24 645		608	24 645	25 254	0
Slovakia		10 975	10 975			0	0	0	0
Slovenia		6 418				0	6 418	6 418	0
Solomon Islands	2 323	481				2 323	481	2 804	0
Somalia	2 793	481				2 793	481	3 274	0
South Africa		23 875			23 875	0	0	0	0
Spain		190 809				0	190 809	190 809	0
Sri Lanka	1 644	1 605				1 644	1 605	3 249	0
Sudan	998	481				998	481	1 479	0
Suriname	1 470	481				1 470	481	1 951	0
Swaziland	998	481				998	481	1 479	0
Sweden		61 613	102		61 613	0	-102	-102	-102
Switzerland		67 197			67 197	0	0	0	0
Syrian Arab Republic	10 888	2 311				10 888	2 311	13 198	0
Tajikistan	176	481				176	481	656	0
Thailand		15 339	15 339			0	0	0	0
The Former Yugoslav Republic of Macedonia	3 126	481		1 656		1 470	481	1 951	0
Togo		481				0	481	481	0
Tonga		481	12			0	469	469	0
Trinidad and Tobago	8 634	2 824				8 634	2 824	11 458	0

Country	Unpaid pledges for 2016 & prior years as at 31.12.2016	Pledges for 2017	Collections during 2016 for 2017 and future years	Collections during 2017 for 2016 and prior years	Collections during 2017 for 2017 and future years	Unpaid pledges for 2016 & prior year as at 20.04.2017	Unpaid pledges for 2017	Unpaid pledges for prior years, 2016 & 2017	Deferred Income
Tunisia	2 368	2 311		2 368		0	2 311	2 310	0
Turkey		85 232				0	85 232	85 232	0
Tuvalu	998	481				998	481	1 479	0
Uganda		481	12 939			0	-12 458	-12 458	-12 458
Ukraine	6 511	6 354				6 511	6 354	12 865	0
United Arab Emirates		38 188				0	38 188	38 188	0
United Kingdom of Great Britain and Northern Ireland		332 392				0	332 392	332 392	0
United Republic of Tanzania	2 518	481				2 518	481	2 999	0
Uruguay	3 420	3 337		3 420		0	3 337	3 337	0
Vanuatu	3 520	481				3 520	481	4 001	0
Venezuela	141 972	40 241				141 972	40 241	182 213	0
Viet Nam	2 762	2 696		2 762		0	2 696	2 696	0
Yemen	4 202	642				4 202	642	4 844	0
Zambia		481	258			0	223	223	0
Zimbabwe		481				0	481	481	0
TOTAL	1 618 535	4 808 045	561 286	70 150	712 587	1 548 387	3 575 755	5 124 144	-41 583

Assessed contributions	4 808 045	
Paid by 20.04.2017	1 232 290	25.6%
Balance	3 575 755	74.4%
		100.0%

HOST COUNTRY CONTRIBUTION DETAILS

Country	Arrears	Pledges 2017		Collections		unpaid pledges as at 20.04.2017	
		CHF	USD	CHF	US\$	CHF	USD
Switzerland		2 000 000	1 951 219.52	2 000 000	1 951 219.52		

ALLOCATION OF HOST COUNTRY CONTRIBUTION BETWEEN GENERAL AND VOLUNTARY TRUST FUNDS

	US\$
Assessed Contribution 2017	67 197
Special Voluntary Fund (50%)	975 610
General Trust Fund (Balance)	908 413
	1 951 220

Annex III**Parties with arrears to the Stockholm Convention Trust Fund for the period 2006–2016, as at 20 April 2017
(expressed in US dollars)**

<i>Country</i>	<i>2016</i>	<i>2015</i>	<i>2014</i>	<i>2013</i>	<i>2012</i>	<i>2011</i>	<i>2010</i>	<i>2009</i>	<i>2008</i>	<i>2007</i>	<i>2006</i>	<i>Total</i>
Antigua and Barbuda ²	493	505	472	441	412	403						2 726
Bahrain ²	2 565											2 565
Belize ²	493	505	472									1 470
Benin ¹	493	505	472	441	412	403	400	394				3 520
Bolivia (Plurinational State of)	493	12										505
Brazil	192 952	197 833	184 974	96 613	48							672 420
Burkina Faso ¹	493	505	472	441	412	93						2 416
Burundi	493											493
Cabo Verde ²	493	505	472	441	412	403	400	394	391	288		4 199
Cambodia ¹	493	505	472	441								1 911
Cameroon	789	809	739									2 337
Central African Republic ¹	493	505	472	441	412	403	400	394	228			3 748
Chad ¹	493	505	472	441	412	403	400	394	391	288	364	4 563
China	133 720											133 720
Colombia	17 033	17 465										34 498
Comoros ^{1,2}	493	505	472	441	412	403	400	394	391			3 911
Cook Islands ²	493	505	472	441	412	403	400	394	391	288	364	4 563
Côte d'Ivoire	723	742										1 465
Cuba ^{2,3}	4 538	4 653	4 350	4 258	3 983	2 478	484					24 744
Democratic People's Republic of Korea	493	505	472	441	412	403	400					3 126
Democratic Republic of the Congo ¹	493	505	472	441								1 911
Djibouti ¹	493	505	472	441	412	403						2 726
Dominica ²	493	505	472	441	412	403	400	394	391	288	364	4 563
Dominican Republic ²	2 959	3 034	2 837	2 519	578							11 927
Ecuador	395											395
Egypt	8 812											8 812
El Salvador	1 052											1 052
Ethiopia ¹	658	674										1 332
Gabon	1 315	1 205										2 520
Gambia ¹	493	505	472	441								1 911
Greece	41 958	43 019	40 223									125 200
Guinea ¹	493	505	472									1 470

<i>Country</i>	<i>2016</i>	<i>2015</i>	<i>2014</i>	<i>2013</i>	<i>2012</i>	<i>2011</i>	<i>2010</i>	<i>2009</i>	<i>2008</i>	<i>2007</i>	<i>2006</i>	<i>Total</i>
Guinea-Bissau ^{1,2}	493	505	472	441	412	403	391	394				3 511
Guyana ²	472											472
Honduras	381											381
Iran (Islamic Republic of) ³	6 090											6 090
Kenya	855	877										1 732
Kuwait	3 364											3 364
Lebanon	2 762											2 762
Liberia ¹	493	505	472	441	412	403	400	394	50			3 570
Libya	9 339	9 575	8 952	532								28 398
Malawi ¹	493	505	472	441	412	403	400	230				3 356
Maldives ²	493											493
Mali ¹	493	505	472	441	412	403	400	394	391	288	364	4 563
Marshall Islands ²	493	505	472	441	412	403	400	314	288			3 728
Mauritania ¹	493	505	472	441	412	403	400	394	391	288	364	4 563
Micronesia (Federated States of) ²	493	505	472	441	412	403	400	394	391	288	364	4 563
Montenegro	441											441
Myanmar ¹	658	674	630									1 962
Namibia	658											658
Nauru ²	493	505	472	441	412	806	400	394	391	288	364	4 966
Nepal ¹	493	505										998
Niger ¹	493	505	472	441	412	403	400	394	391	288		4 199
Nigeria	5 919	6 069	5 674									17 662
Niue	403											403
Pakistan	5 590	5 731	2 509									13 830
Palau ²	493	505	472	441	412							2 323
Panama	1 710	1 753										3 463
Papua New Guinea ²	86											86
Portugal	31 172	31 961	29 356									92 489
Qatar	4 898											4 898
Rwanda ¹	493											493
Saint Kitts and Nevis ²	24											24
Saint Vincent and the Grenadines ²	493	505	472	441	412	403	400	394	391	288	364	4 563
Sao Tome and Principe ^{1,2}	493	505	472	441	412	403	400	394	391	288		4 199
Saudi Arabia	56 820											56 820
Senegal ¹	493	505	472	441	412	403	400	394	391	288	364	4 563
Sierra Leone ¹	493	505										998
Singapore ²	608											608
Solomon Islands ^{1,2}	493	505	472	441	412							2 323

UNEP/POPS/COP.8/INF/55/Rev.2

<i>Country</i>	<i>2016</i>	<i>2015</i>	<i>2014</i>	<i>2013</i>	<i>2012</i>	<i>2011</i>	<i>2010</i>	<i>2009</i>	<i>2008</i>	<i>2007</i>	<i>2006</i>	<i>Total</i>
Somalia ¹	493	505	472	441	412	403	67					2 793
Sri Lanka	1 644											1 644
Sudan ¹	493	505										998
Suriname ²	493	505	472									1 470
Swaziland	493	505										998
Syrian Arab Republic	2 368	2 427	2 270	1 499	1 402	922						10 888
Tajikistan	176											176
The former Yugoslav Republic of Macedonia	493	505	472									1 470
Trinidad and Tobago ²	2 894	2 967	2 774									8 635
Tuvalu ^{1,2}	493	505										998
United Republic of Tanzania ¹	493	505	472	441	412	195						2 518
Ukraine	6 511											6 511
Vanuatu ^{1,2}	493	505	472	441	412	403	400	394				3 520
Venezuela (Bolivarian Republic of)	41 234	42 277	39 529	18 931								141 971
Yemen ¹	658	674	630	600	561	403	400	276				4 202
Total	618 896	394 631	341 967	138 623	18 108	14 166	9 342	7 912	5 649	3 456	3 276	1 556 026

Notes

¹Least Developed Country

²Small Island Developing State

³Experiencing difficulties with making payments due to international banking restrictions

Annex IV

Status of contributions for 2015 to Stockholm Convention voluntary Special Trust Fund, as at 31 December 2015 (expressed in US Dollars)

COUNTRY/ ORGANISATION	Unpaid pledges for 2014 & prior yrs	2014-2015 POW Acty No	Pledges for 2015	NOTES	Collections in 2015 for 2014 & prior yrs	Collections in 2015 for 2015	Unpaid pledges for 2015 & prior yrs
Biovision Foundation			4 600	12/		4 600	0
European Union		22 & 23	326 019	21/		326 019	0
Finland		27 (S12/S13)	74 747	8/		74 747	0
Finland		3	14 488	16/		14 488	0
France		3	5 302	10/		5 302	0
Germany		3	22 124	9/		22 124	0
Germany		16 & 22	54 466	15/		54 466	0
Germany		16 & 22	27 583	17/		27 583	0
Germany		16 & 22	108 932	18/		108 932	0
Netherlands			38 293	19/		38 293	0
Norway		3	3 278	13/		3 278	0
Norway		3	23 848	14/		23 848	0
Switzerland	163 731	3		3/	163 731		0
Switzerland		ue	1 077 006	6/		1 077 006	0
Switzerland			22 600	20/		22 600	0
Sweden		3	24 051	11/		24 051	0
Sweden (Kemil)		22	15 517	5/		15 517	0
Sweden (Kemil)		3	14 941	7/		14 941	0
Total	163 731		1 857 797		163 731	1 857 797	0

NOTES

3/ CHF 158,000 to support the organisation of regional meetings to prepare for the 2015 joint COPs (part of CHF 475,000 shared by BRS trust funds)

5/ SEK 130,000 as Second **installment** to support the activity - Assessment of continued need for lindane

6/ CHF 1,000,000 Being 50% of Host Country Contribution 2015

7/ SEK 125,000 to support travel of participants from developing countries and countries with economies in transition to attend 2015 COPs (part of SEK 250,000 shared with RVL)

8/ EUR 66,600 to support Joint communication, outreach and awareness raising for BRS convention (part of EUR 200,000 shared with BRS trust funds)

9/ EUR 20,000 to support travel of participants from developing countries and countries with economies in transition to attend 2015 COPs (part of EUR 100,000 shared with BRS trust funds)

10/ EUR 5,000 to support travel of participants from developing countries and countries with economies in transition to attend 2015 COPs (part of EUR 15,000 shared by BRS trust funds)

11/ SEK 200,000 to support travel of participants from developing countries and countries with economies in transition to attend 2015 COP-7

12/ USD 4,600 as Final installment for a project to assist implementation of the Mandate obtained through decision SC-6/1 on DDT to prepare a road map for the development of alternatives to DDT

13/ NOK 25,000 to support an event on "Endocrine Disrupting Chemicals" in connection to COP-7 of the Stockholm convention.

14/ NOK 200,000 to support travel of participants from developing countries and countries with economies in transition to attend 2015 COPs (part of NOK 600,000 shared by BRS trust funds)

15/ EUR 50,000 for enhancing developing countries understanding and promoting informed decision making on specific exemptions and acceptable purposes for POPS

16/ EUR 13,300 to support travel of participants from developing countries and countries with economies in transition to attend 2015 COPs (part of EUR 40,000 shared by BRS trust funds)

17/ EUR 25,000 to support project on DDT to assist parties to make environmentally sound evidence-based decisions in disease vector control

18/ EUR 100,000 to support developing countries in phasing out pesticides and industrial POPs subject to Rotterdam and Stockholm conventions

19/ EUR 35,000 to support the activities of the Stockholm convention secretariat and implementation of the decisions taken by the COP

20/ USD 22,600 for strengthening the capacity of newly nominated POPs review committee members

21/ EUR 261,714 being 96% of GPGC 2015 Allocation

Annex V
**Status of contributions for 2016 to the Stockholm Convention
voluntary Special Trust Fund, as at 31 December 2016 (expressed in
US Dollars)**

COUNTRY/ ORGANISATION	Unpaid pledges for 2015 & prior yrs	2016-2017 POW Acty No	Pledges for 2016	NOTE S	Collections in 2016 for 2015 & prior	Collections in 2016 for 2016	Unpaid pledges for 2016 & prior yrs
For Stockholm Convention only							
EC		various	596 529	4/		596 529	0
Sweden (KEMI)		6	22 113	13/		22 113	0
Germany		16 & 22	27 174	6/		27 174	0
Netherlands		ue	21 231	9/		21 231	0
Switzerland		ue	978 761	1/		978 761	0
	Subtotal		1 645 808			1 645 808	0
For shared activities of Basel, Rotterdam and Stockholm Conventions (*)							
EC		various	542 299	5/		542 299	0
Sweden (KEMI)		17 (S2/S3)	73 740	3/		73 740	0
Germany		17 (S2/S3)	108 696	7/		54 348	54 348
Germany		17 (S2/S3)	108 696	8/		54 348	54 348
Norway		1,2,3	23 364	11/		23 364	0
Switzerland		1,2,3	1 062 000	10/		1 062 000	0
Switzerland		1	9 606	2/		9 606	0
Switzerland		1,2,3	974 471	12/			974 471
Switzerland		1,2,3	10 848	14/			10 848
	Subtotal		2 913 721			1 819 706	1 094 015
							0
Grand Total			4 559 529	0	0	3 465 514	1 094 015

NOTES

1/ CHF 1,000,000 Being 50% of Host Country Contribution 2016

2/ CHF 9,500 to cover costs of participation of the Basel and Stockholm COP presidents in the UNEA-2

3/ SEK 600,000 to support the project "Intergrating gender issues into the implementation of the Basel, Rotterdam and Stockholm conventions"

4/ EUR 550,000 GPGC Deposit 2 for 2016

5/ EUR 500,000 GPGC SYNERGIES Deposit 2 for 2016

6/ EUR 25,000 for DDT

7/ EUR 100,000 for training of chairs for meetings of BRS nad Minamata Conventions and SAICM

8/ EUR 100,000 for integration of gender issues in the implementation of BRS convention

9/ EUR 20,000 voluntary contributions for 2016

10/ USD 1,062,000 to support regional preparatory meetings for 2017 COPs

11/ NOK 200,000 to support travel of participants from developing countries to attend 2017 joint COPs of BRS convention

12/ CHF 989,000 to support travel of participants from developing countries to attend 2017 joint COPs of BRS convention

13/ SEK 200,000 to support the participation of newly appointed expert members from the developing countries in the 13th meeting of POPs review Committee to be held in 2017

14/ CHF 11,000 to support the interpretation of two COP night sessions

(*) The following contributions are for shared activities for two or three of the BRS conventions. Presently, these contributions for joint activities are managed in a separate Grant, within the Stockholm Voluntary trust fund.

Annex VI

Status of contributions for 2017 to the Stockholm Convention voluntary Special Trust Fund, as at 24 March 2017 (expressed in US Dollars)

COUNTRY/ ORGANISATION	Unpaid pledges for 2016 & prior yrs	2016-2017 POW Acty No	Pledges for 2017	NOTES	Collections in 2017 for 2016 & prior yrs	Collections in 2017 for 2017	Unpaid pledges for 2017 & prior yrs
For Stockholm Convention only							
Switzerland		ue	975 610	1/		975 610	0
Germany		3	21 345	3/		21 345	0
Norway		3	33 044	5/			33 044
Subtotal			1 029 998		0	996 954	33 044
For shared activities of Basel, Rotterdam and Stockholm Conventions (*)							
Germany	54 348	17 (S2/S3)		6/	54 348		0
Germany	54 348	17 (S2/S3)		7/	54 348		0
Switzerland	974 471	1,2,3		8/	974 471		0
Switzerland	10 848	1,2,3		9/	10 848		0
Sweden - KEMI		1,2,3	44 554	2/		44 554	0
France		1,2,3	10 672	4/			10 672
Subtotal	1 094 015		55 227		1 094 015	44 554	10 672
Total	1 094 015		1 085 225		1 094 015	1 041 509	43 716

NOTES

1/ CHF 1,000,000 Being 50% of Host Country Contribution for 2017

2/ SEK 400,000 to support the participation of delegates from the developing countries to attend 2017 COPs for Stockholm and Rotterdam

3/ EUR 20,000 to support travel of participants from developing countries to attend 2017 Stockholm COP

4/ EUR 10,000 to support the participation of delegates from the developing countries to attend 2017 BRS COPs

5/ NOK 300,000 to support the participation of delegates from the developing countries to attend 2017 BRS COPs

6/ EUR 100,000 for integration of gender issues in the implementation of BRS convention

7/ EUR 100,000 for training of chairs for meetings of BRS nad Minamata Conventions and SAICM

8/ CHF 989,000 to support travel of participants from developing countries to attend 2017 joint COPs of BRS convention

9/ CHF 11,000 to support the interpretation of two COP night sessions

(*) The following contributions are for shared activities for two or three of the BRS conventions. Presently, these contributions for joint activities are managed in a separate Grant, within the Stockholm Voluntary trust fund.

Annex VII

Budget performance for the general trust funds of the Basel, Rotterdam and Stockholm conventions for the biennium 2014–2015

	<i>Basel (BCL)</i>		<i>Rotterdam (ROL)</i>		<i>Stockholm (SCL)</i>		<i>Basel, Rotterdam and Stockholm</i>	
	<i>Budget</i>	<i>Expenditure</i>	<i>Budget</i>	<i>Expenditure</i>	<i>Budget</i>	<i>Expenditure</i>	<i>Budget</i>	<i>Expenditure</i>
1: Basel COP	522 527	561 032					522 527	561 032
2: Rotterdam COP			522 527	650 215			522 527	650 215
3: Stockholm COP					522 527	629 083	522 527	629 083
4: OEWG	354 865	251 912					354 865	251 912
5: CRC			428 626	409 598			428 626	409 598
6: POPRC					916 594	919 683	916 594	919 683
7: Basel Bureaux	47 640	35 005					47 640	35 005
8: Rotterdam Bureaux			25 408	19 109			25 408	19 109
9: Stockholm Bureaux					38 112	27 182	38 112	27 182
10: Basel ICC	39 545	22 057					39 545	22 057
Rotterdam ICC			39 545				39 545	
Stockholm ICC					39 545		39 545	
11: CRC Orientation Workshop (<i>integrated into Activity 5</i>)								
12: Support for the work of Scientific Bodies								
13: Technical Assistance and Capacity Building	30 000	15 623	75 000	65 474	30 000	15 065	135 000	96 161
14: Technical Assistance and Capacity Building (Basel)								
15: Technical Assistance and Capacity Building (Rotterdam)								
16: Technical Assistance and Capacity Building (Stockholm)								
17: Technical Assistance for Conventions' implementation								
18: Partnerships for Technical Assistance	47 000	12 238					47 000	12 238
19: Support for Regional Centres	75 500	50 791			115 050	26 150	190 550	76 942
20: Basel Scientific Support	70 000	89 760					70 000	89 760
21: Rotterdam Scientific Support			60 000	53 700			60 000	53 700
22: Stockholm Scientific Support					91 000	53 497	91 000	53 497
23: Effectiveness Evaluation and GMP					120 000	89 209	120 000	89 209
24: National Reporting	40 000						40 000	
25: Clearing House Mechanism	339 200	197 299	89 000	58 348	56 000	53 188	484 200	308 835
26: Publications	86 000	66 806	68 300	34 029	98 400	24 356	252 700	125 190
27: Outreach and Public Awareness								
28: Executive Direction and Management	236 300	239 459	253 078	237 032	236 300	239 545	725 678	716 036
29: International Cooperation								
30: Resource Mobilization	8 000		8 000		8 000		24 000	
31: Synergy Review								
32: Legal and Policy (Basel)								
33: Joint Legal and Policy Activities								
34: Country Led Initiative (ESM and further Legal Clarity)								
35: Office Maintenance	304 915	289 047	292 963	271 291	338 792	294 660	936 670	854 998
36: Joint ICT Services	77 600	37 632	66 500	50 226	77 600	45 087	221 700	132 946
Staff Costs	6 291 564	6 333 566	4 830 145	4 728 506	7 737 822	7 771 900	18 859 531	18 833 971
Total Direct Costs (excluding PSC)	8 570 656	8 202 226	6 759 092	6 577 528	10 425 742	10 188 605	25 755 490	24 968 359

Annex VIII

Budget performance for the general trust funds of the Basel, Rotterdam and Stockholm Conventions for the biennium 2016–2017, as at 28 February 2017

	<i>Basel (BCL)</i>		<i>Rotterdam (ROL)</i>		<i>Stockholm (SCL)</i>		<i>Basel, Rotterdam and Stockholm</i>	
	<i>Budget</i>	<i>Expenditure</i>	<i>Budget</i>	<i>Expenditure</i>	<i>Budget</i>	<i>Expenditure</i>	<i>Budget</i>	<i>Expenditure</i>
1: Basel COP	557 575	132 375					557 575	132 375
2: Rotterdam COP			557 575	123 250			557 575	123 250
3: Stockholm COP					557 575	123 250	557 575	123 250
4: OEWG	347 982	273 625					347 982	273 625
5: CRC			517 208	390 179			517 208	390 179
6: POPRC					862 962	402 431	862 962	402 431
7: Basel Bureaux	50 900	32 414					50 900	32 414
8: Rotterdam Bureaux			30 200	16 773			30 200	16 773
9: Stockholm Bureaux					44 000	26 982	44 000	26 982
10: Basel ICC	42 680	26 006					42 680	26 006
11: CRC Orientation Workshop (<i>integrated into Activity 5</i>)								
12: Support for the work of Scientific Bodies		2 666		2 666		2 747		8 080
13: Technical Assistance and Capacity Building	30 000	3 233	30 000	2 967	30 000	2 967	90 000	9 168
14: Technical Assistance and Capacity Building (Basel)								
15: Technical Assistance and Capacity Building (Rotterdam)								
16: Technical Assistance and Capacity Building (Stockholm)								
17: Technical Assistance for Conventions' implementation								
18: Partnerships for Technical Assistance								
19: Support for Regional Centres	44 150	31 545			44 150	31 538	88 300	63 082
20: Basel Scientific Support	249 875	125 484					249 875	125 484
21: Rotterdam Scientific Support			60 000	30 000			60 000	30 000
22: Stockholm Scientific Support					95 000	74 605	95 000	74 605
23: Effectiveness Evaluation and GMP					180 000	169 001	180 000	169 001
24: National Reporting	58 000	46 544			98 000	24 873	156 000	71 417
25: Clearing House Mechanism	91 200	56 108	28 800	19 864	123 200	83 885	243 200	159 857
26: Publications	33 400	5 807	33 200	14 520	33 400	2 444	100 000	22 771
27: Outreach and Public Awareness	2 000	123	2 000	123	2 000	124	6 000	370
28: Executive Direction and Management	122 300	80 266	225 427	169 929	204 868	97 220	552 595	347 415
29: International Cooperation								
30: Resource Mobilization	18 000		18 000		207 000	137 845	243 000	137 845
31: Synergy Review	45 200	6 407	30 300	4 808	45 200	4 829	120 700	16 044
32: Legal and Policy (Basel)								
33: Joint Legal and Policy Activities								
34: Country Led Initiative (ESM and further Legal Clarity)								
35: Office Maintenance	306 000	119 361	148 200	74 988	486 000	193 685	940 200	388 034
36: Joint ICT Services	105 000	30 242	65 000	47 801	145 000	42 279	315 000	120 322
Staff Costs	6 218 609	3 568 973	5 463 628	4 090 188	7 036 974	4 099 793	18 719 210	11 758 955
Total Direct Costs (excluding PSC)	8 322 871	4 541 178	7 209 538	4 988 057	10 195 329	5 520 498	25 727 737	15 049 732

Annex IX

Budget Performance for the voluntary special/technical cooperation trust funds of the Basel, Rotterdam and Stockholm Conventions for the biennium 2014–2015

Activity	Basel (BDL)				Rotterdam (RVL)				Stockholm (SVL)				Basel, Rotterdam and Stockholm			
	Budget	Exp. 2014	Exp. 2015 (*)	Total Expend.	Budget	Exp. 2014	Exp. 2015 (*)	Total Expend.	Budget	Exp. 2014	Exp. 2015 (*)	Total Expend.	Budget	Exp. 2014	Exp. 2015 (*)	Total Expend.
1: Basel COP	820 400	(5 496)			-	-			-	-			820 400	(5 496)		
2: Rotterdam COP	-	-			820 400	-			-	-			820 400	-		
3: Stockholm COP	-	-			-	-			820 400	(3 480)			820 400	(3 480)		
4: OEWG	545 904	316 020			-	-			-	-			545 904	316 020		
5: CRC	-	-			-	-			-	-			-	-		
6: POPRC	-	-			-	-			101 892	10 876			101 892	10 876		
7: Basel Bureaux	-	-			-	-			-	-			-	-		
8: Rotterdam Bureaux	-	-			-	-			-	-			-	-		
9: Stockholm Bureaux	-	-			-	-			-	-			-	-		
10: Basel ICC	13 785	-			-	-			-	-			13 785	-		
Rotterdam ICC	-	-			13 785	-			-	-			13 785	-		
Stockholm ICC	-	-			-	-			13 785	-			13 785	-		
11: CRC Orientation Workshop (integrated into Activity 5)	-	-			58 140	119 055			-	-			58 140	119 055		
12: Support for the work of Scientific Bodies	8 000	-			8 000	(4 207)			4 000	14 680			20 000	10 473		
13: Technical Assistance and Capacity Building	477 500	-			477 500	114 788			482 000	148 185			1 437 000	262 973		
14: Technical Assistance and Capacity Building (Basel)	1 055 000	302 599			-	-			-	-			1 055 000	302 599		
15: Technical Assistance and Capacity Building (Rotterdam)	-	-			2 831 000	1 221 438			-	-			2 831 000	1 221 438		
16: Technical Assistance and Capacity Building (Stockholm)	-	-			-	-			1 358 000	344 042			1 358 000	344 042		
17: Technical Assistance for Conventions' implementation	552 000	-			893 000	-			631 000	259 883			2 076 000	259 883		
18: Partnerships for Technical Assistance	665 000	175 775	2 306 296	4 194 211	180 000	-	1 063 280	2 514 354	195 000	96 500	2 232 596	4 458 956	1 040 000	272 275	5 602 173	11 167 522
19: Support for Regional Centres	189 650	137 089			106 000	-			193 150	115 137			488 800	252 226		
20: Basel Scientific Support	225 000	12 209			-	-			155 000	35 000			380 000	47 209		
21: Rotterdam Scientific Support	-	-			31 000	-			-	12 000			31 000	12 000		
22: Stockholm Scientific Support	-	23 990			-	-			327 000	123 815			327 000	147 805		
23: Effectiveness Evaluation and GMP	-	-			-	-			520 000	974 156			520 000	974 156		
24: National Reporting	87 000	143 652			-	-			57 000	-			144 000	143 652		
25: Clearing House Mechanism	-	-			-	-			-	-			-	-		
26: Publications	-	-			-	-			-	-			-	-		
27: Outreach and Public Awareness	59 200	-			59 200	-			60 300	-			178 700	-		
28: Executive Direction and Management	-	-			-	-			-	95 566			-	95 566		
29: International Cooperation	90 000	42 182			-	-			-	-			90 000	42 182		
30: Resource Mobilization	2 000	-			2 000	-			2 000	-			6 000	-		
31: Synergy Review	-	-			-	-			-	-			-	-		
32: Legal and Policy (Basel)	290 000	277 949			-	-			-	-			290 000	277 949		
33: Joint Legal and Policy Activities	230 000	79 250			-	-			-	-			230 000	79 250		
34: Country Led Initiative (ESM and further Legal Clarity)	380 000	382 696			-	-			-	-			380 000	382 696		
35: Office Maintenance	-	-			-	-			-	-			-	-		
36: Joint ICT Services	-	-			-	-			-	-			-	-		
Staff costs	735 624	445 117	340 574	785 691	923 916	277 196	86 573	363 769	2 117 112	603 998	577 827	1 181 825	3 776 652	1 326 311	1 004 974	2 331 285
Total direct costs (excl. PSC)	6 426 063	2 333 032	2 646 871	4 979 903	6 403 941	1 728 270	1 149 853	2 878 123	7 037 639	2 830 358	2 810 424	5 640 781	19 867 643	6 891 660	6 607 147	13 498 807

(*) In June 2015 the UN Secretariat, including BRS, converted to a new financial system UMOJA. The total expenditure has been reconciled and confirmed for the financial year 2015, however, due to the different expenditure classification tables in the two systems, the 2015 data cannot be disaggregated to the activity level.

Annex X

Budget performance for the voluntary special/technical cooperation trust funds of the Basel, Rotterdam and Stockholm conventions for the biennium 2016–2017, as at 28 February 2017

Activities	Basel (BDL and TF*)		Rotterdam (RVL and TF*)		Stockholm (SVL*)		Basel, Rotterdam and Stockholm	
	Budget	Expend.	Budget	Expend.	Budget	Expend.	Budget	Expend.
1: Basel COP	978 163	506 510.56	-	-	-	-	978 163.00	506 510.56
2: Rotterdam COP	-	-	978 163	536 252	-	0	978 163.00	536 252.04
3: Stockholm COP	-	-	-	-	978 163	562 168	978 163.00	562 168.34
4: OEWG	669 512	149 172.50	-	-	-	-	669 512.00	149 172.50
5: CRC	-	-	89 535	-	-	-	89 535.00	-
6: POPRC	-	-	-	-	105 734	20 200	105 734.00	20 200.00
7: Basel Bureaux	-	-	-	-	-	-	-	-
8: Rotterdam Bureaux	-	-	-	-	-	-	-	-
9: Stockholm Bureaux	-	-	-	-	-	-	-	-
10: Basel ICC	30 280	33 332.40	-	-	-	-	30 280.00	33 332.40
11: CRC Orientation Workshop (integrated into Activity 5)	-	-	-	-	-	-	-	-
12: Support for the work of Scientific Bodies	4 000	-	4 000	-	4 000	-	12 000.00	-
13: Technical Assistance and Capacity Building	532 000	33 160	510 000	-	558 000	-	1 600 000.00	33 159.73
14: Technical Assistance and Capacity Building (Basel)	1 470 000	369 773	-	-	-	-	1 470 000.00	369 773.24
15: Technical Assistance and Capacity Building (Rotterdam)	-	-	1 957 000	230 376	-	-	1 957 000.00	230 376.25
16: Technical Assistance and Capacity Building (Stockholm)	-	-	-	-	1 807 000	245 110	1 807 000.00	245 109.59
17: Technical Assistance for Conventions' implementation	873 000	158 228	894 000	117 796	898 000	115 324	2 665 000.00	391 348.72
18: Partnerships for Technical Assistance	272 000	147 271	337 000	-	96 000	-	705 000.00	147 270.62
19: Support for Regional Centres	516 500	-	-	-	516 500	-	1 033 000.00	-
20: Basel Scientific Support	490 000	312 107	-	-	30 000	-	520 000.00	312 106.78
21: Rotterdam Scientific Support	-	-	255 000	107 326	-	-	255 000.00	107 325.58
22: Stockholm Scientific Support	-	-	-	-	308 000	141 400	308 000.00	141 399.98
23: Effectiveness Evaluation and GMP	-	-	-	-	428 000	156 783	428 000.00	156 782.82
24: National Reporting	60 000	8 927	-	-	-	-	60 000.00	8 927.00
25: Clearing House Mechanism	84 800	9 043	63 400	6 394	84 800	8 563	233 000.00	24 000.00
26: Publications	-	-	-	-	-	-	-	-
27: Outreach and Public Awareness	17 500	(29 453)	15 000	-	17 500	-	50 000.00	(29 453.33)
28: Executive Direction and Management	-	-	-	-	-	-	-	-
29: International Cooperation	-	-	-	-	-	-	-	-
30: Resource Mobilization	-	-	-	-	20 000	-	20 000.00	-
31: Synergy Review	-	-	-	-	-	-	-	-
32: Legal and Policy (Basel)	380 000	120 187	-	-	-	-	380 000.00	120 187.45
33: Joint Legal and Policy Activities	20 000	-	-	-	-	-	20 000.00	-
34: Country Led Initiative (ESM and further Legal Clarity)	424 000	204 242	-	-	-	-	424 000.00	204 242.08
35: Office Maintenance	-	-	-	-	-	-	-	-
36: Joint ICT Services	-	-	-	-	-	-	-	-
Staff Costs	1 189 888	(57 189)	561 000	6 749	1 734 204	380 687	3 485 092.00	330 246.31
Total direct costs (excl. PSC)	8 011 643	1 965 311	5 664 098	1 004 893	7 585 901	1 630 235	21 261 642.00	4 600 438.64

(*) Basel, Rotterdam and Stockholm conventions receive funds and have expenditure earmarked for their Conventions that are recorded in their respective special voluntary trust funds BDL, RVL, SVL. The three conventions also receive funds for shared activities, that at the moment are kept in a separate grant in the SVL trust fund. The shared costs related to shared activities have been proportioned among the three conventions in proportion relative to the original approved budgets for the biennium.