



**Basel Convention on the Control of  
Transboundary Movements of Hazardous  
Wastes and Their Disposal**

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**Rotterdam Convention on the Prior  
Informed Consent Procedure for Certain  
Hazardous Chemicals and Pesticides in  
International Trade**



**Stockholm Convention on Persistent  
Organic Pollutants**

**Conference of the Parties to the  
Basel Convention on the Control  
of Transboundary Movements  
of Hazardous Wastes and  
Their Disposal  
Twelfth meeting**  
Geneva, 4–15 May 2015  
Item 5 of the provisional agenda\*  
**Programme of work and budget**

**Conference of the Parties to the  
Rotterdam Convention on the  
Prior Informed Consent Procedure  
for Certain Hazardous Chemicals  
and Pesticides in International  
Trade  
Seventh meeting**  
Geneva, 4–15 May 2015  
Item 6 of the provisional agenda\*\*  
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**Programme of work and budget**

**Report on the implementation of joint and convention-specific  
activities contained in the 2014–2015 programme budgets of the  
Basel, Rotterdam and Stockholm conventions**

**Note by the Secretariat**

As referred to in the note by the Secretariat on enhancing cooperation and coordination among the Basel, Rotterdam and Stockholm conventions (UNEP/CHW.12/23-UNEP/FAO/RC/COP.7/17-UNEP/POPS/COP.7/33), the annex to the present note contains a report prepared by the Secretariat, as at 31 December 2014,<sup>1</sup> on the implementation of the programme budgets approved by the conferences of the parties to the Basel, Rotterdam and Stockholm conventions in decisions BC-11/26, RC-6/16 and SC-6/30 respectively. It describes expenditures incurred under the general trust funds (BC, RC and SC trust funds) and voluntary trust funds (BD, RV and SV trust funds) and provides information on related voluntary contributions made by donors for the biennium 2014–2015. The present note, including its annex, has not been formally edited.

\* UNEP/CHW.12/1.

\*\* UNEP/FAO/RC/COP.7/1.

\*\*\* UNEP/POPS/COP.7/1.

<sup>1</sup> Some activities were carried out in the second half of 2013. These activities have financial implications on staff time only, unless otherwise mentioned.

## Annex

### Report on the implementation of joint and convention-specific activities contained in the 2014–2015 programme budgets of the Basel, Rotterdam and Stockholm conventions

#### 1. Conferences and meetings

##### Twelfth meeting of the Conference of the Parties to the Basel Convention

<b>Programme heading</b>	<b>Conferences and meetings</b>
<b>Title of activity</b>	<b>Activity 1 (BC): Twelfth meeting of the Conference of the Parties to the Basel Convention</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decision BC-11/20 Article 15 of the Basel Convention
<b>Short description of the activity already implemented</b>	<ol style="list-style-type: none"> <li>1. The twelfth meeting of the Conference of the Parties to the Basel Convention will be held back to back with the seventh meeting of the Conference of the Parties to the Rotterdam Convention and the seventh meeting of the Conference of the Parties to the Stockholm Convention from 4 to 15 May 2015 in Geneva, Switzerland.</li> <li>2. The Secretariat organized three Bureau teleconferences to discuss early preparations for the organization of the twelfth meeting of the Conference of the Parties and the scheduling of bureaux meetings in 2014.<sup>1</sup> In addition, a face-to-face Bureau meeting was held on 22 and 23 May 2014 in Geneva where Bureau members discussed, among other things, the organization of the twelfth meeting of the Conference of the Parties in 2015 and the review of progress made in implementation of the decisions adopted by the Conference of the Parties at its eleventh meeting; and a joint meeting of the bureaux of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions was held on 11 and 12 November 2014 where bureaux members discussed and agreed on, among other things, the tentative schedule of work and on the approach on credentials to be taken at the 2015 meetings of the conferences of the parties.</li> <li>3. The following preparations for the twelfth meeting of the Conference of the Parties to the Basel Convention have taken place or are ongoing to date:             <ol style="list-style-type: none"> <li>(a) Official invitation letters together with the provisional agenda of the meeting were sent to all parties on 27 November 2014; observers were notified of the meeting on 22 December 2014;</li> <li>(b) The tentative schedule of work for the meetings agreed to by the bureaux was posted on the conventions website immediately following the joint bureaux meeting on 13 November 2014.</li> <li>(c) Pre-session documents are being prepared and translated, and will be published by 4 March 2015 (for budget documents by 4 February 2015);</li> <li>(d) The first briefing to permanent missions is scheduled on 29 January 2015 in Geneva to cover, among others, the preparations for the 2015 meetings of the conferences of the parties and the regional preparatory meetings. A second briefing will be held in mid to end April 2015 in Geneva;</li> <li>(e) A detailed programme and content for the science fair, that will take place during the 2015 meetings of the conferences of the parties from</li> </ol> </li> </ol>

<sup>1</sup> The first teleconference was held with the President of the Conference of the Parties to the Basel Convention on 30 September 2013; the second with the presidents of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions on 2 December 2013; and the third with the Bureau of the Conference of the Parties to the Basel Convention on 23 January 2014.

	<p>7 to 9 May 2015, is being elaborated in cooperation with various partners;</p> <p>(f) Five webinars (two in English, one in French, one in Spanish, one in Russian) have been scheduled between 10 and 19 March 2015 to provide parties, observers and other stakeholders with an overview of the issues that will be discussed during the 2015 meetings of the conferences of the parties, including an introduction to a brief on compliance under the Rotterdam and Stockholm conventions developed by the Secretariat.</p> <p>(g) Logistical arrangements for the meetings are ongoing.</p> <p>4. Concept notes for raising funds to support the participation of delegates from developing countries and countries with economies in transition in the meeting of the Conference of the Parties and for the regional meetings to assist preparatory processes for the meetings of the conferences of the parties in 2015 were developed and posted on the synergies website.<sup>2</sup></p> <p>5. Preparations for the four regional preparatory meetings, scheduled back to back with workshops to support the ratification and effective implementation of the Minamata Convention on Mercury, are ongoing;<sup>3</sup> programmes for all meetings have been finalized in consultation with bureaux members, invitation letters will be sent out in January 2015, logistics arrangements are ongoing, e.g. through development of cooperation agreements with the Basel and Stockholm conventions regional centres or other partners.</p>						
<b>Objective</b>	Organization of the 12 <sup>th</sup> meeting of the Conference of the Parties						
<b>Evaluation of the activity as per the indicators of achievement</b>	<p>1. Support to the President and Bureau of the Conference of the Parties to the Basel Convention has so far been successfully provided in the intersessional period.</p> <p>2. Overall evaluation to be provided once the meeting has taken place in May 2015.</p>						
<b>Achieved outputs</b>	To be provided once the meeting has taken place in May 2015.						
<b>Challenges</b>	No challenges encountered so far.						
<b>Partner(s)</b>	United Nations Office in Nairobi (UNON) Conference Services						
<b>Budget performance for 2014</b> (in United States dollars)							
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015 <sup>4</sup>	
2014 Budget		2015 Budget					
BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Total contribution
0	0	522,527	820,400	0	0	Poland, Switzerland	623,389 <sup>5</sup>

<sup>2</sup>

<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>.

<sup>3</sup> For the Asia-Pacific region from 17 to 20 March 2015, for the African region from 24-27 March 2015, for Central and Eastern Europe from 7-10 April 2015, and for the Latin American and Caribbean Group from 14-17 April 2015.

<sup>4</sup> Additionally, USD 66,668 has been received from the European Union in 2014 for participants' travel for the eleventh meeting of the Conference of the Parties in 2013 and USD 185,848 has been received from Switzerland for the regional preparatory meetings in 2015 (recorded in 2013).

<sup>5</sup> Includes a contribution from Switzerland for the regional preparatory meetings for the Basel, Rotterdam and Stockholm conventions, which has been recorded under the BD Trust Fund.

**Seventh meeting of the Conference of the Parties to the Rotterdam Convention**

<b>Programme heading</b>	<b>Conferences and meetings</b>
<b>Title of activity</b>	<b>Activity 2 (RC): Seventh meeting of the Conference of the Parties to the Rotterdam Convention</b>
<b>Convention(s)</b>	<input type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decision RC-6/12 Article 18 of the Rotterdam Convention
<b>Short description of the activity already implemented</b>	<ol style="list-style-type: none"> <li>1. The seventh meeting of the Conference of the Parties to the Rotterdam Convention will be held back to back with the twelfth meeting of the Conference of the Parties to the Basel Convention and the seventh meeting of the Conference of the Parties to the Stockholm Convention from 4 to 15 May 2015 in Geneva, Switzerland.</li> <li>2. The Secretariat organized three Bureau teleconferences to discuss early preparations for the organization of the seventh meeting of the Conference of the Parties and the scheduling of bureaux meetings in 2014.<sup>6</sup> In addition, a face-to-face Bureau meeting was held on 4 and 5 June 2014 in Rome where Bureau members discussed, among other things, the organization of the seventh meeting of the Conference of the Parties in 2015 and the review of progress made in implementation of the decisions adopted by the Conference of the Parties at its sixth meeting; and a joint meeting of the bureaux of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions was held on 11 and 12 November 2014 where bureaux members discussed and agreed on, among other things, the tentative schedule of work and on the approach on credentials to be taken at the 2015 meetings of the conferences of the parties.</li> <li>3. The following preparations for the seventh meeting of the Conference of the Parties to the Rotterdam Convention have taken place or are ongoing to date: <ol style="list-style-type: none"> <li>(a) Official invitation letters together with the provisional agenda of the meeting were sent to all parties on 27 November 2014; observers were notified of the meeting on 22 December 2014;</li> <li>(b) The tentative schedule of work for the meetings agreed to by the bureaux was posted on the conventions website immediately following the joint bureaux meeting on 13 November 2014;</li> <li>(c) Pre-session documents are being prepared and translated, and will be published by 4 March 2015 (for budget documents by 4 February 2015);</li> <li>(d) The first briefing to permanent missions is scheduled on 29 January 2015 in Geneva to cover, among others, the preparations for the 2015 meetings of the conferences of the parties and the regional preparatory meetings. A second briefing will be held in mid to end April 2015 in Geneva;</li> <li>(e) A briefing has also been requested by the Secretariat to be held during the 151st Session of the FAO Council (23-27 March 2015). Alternatively, a separate briefing will be scheduled to be held end of March/beginning of April to permanent missions in Rome.</li> <li>(f) A detailed programme and content for the science fair, that will take place during the 2015 meetings of the conferences of the parties from 7 to 9 May 2015, is being elaborated in cooperation with various partners;</li> <li>(g) Five webinars (two in English, one in French, one in Spanish, one in Russian) have been scheduled between 10 and 19 March 2015 to provide parties, observers and other stakeholders with an overview of the issues that will be discussed during the 2015 meetings of the</li> </ol> </li> </ol>

<sup>6</sup> The first teleconference was held with the President of the Conference of the Parties to the Rotterdam Convention on 2 October 2013; the second with the presidents of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions on 2 December 2013; and the third with the Bureau of the Conference of the Parties to the Rotterdam Convention on 3 February 2014.

	<p>conferences of the parties, including an introduction to a brief on compliance under the Rotterdam and Stockholm conventions developed by the Secretariat.</p> <p>(h) Logistical arrangements for the meetings are ongoing.</p> <p>4. Concept notes for raising funds to support the participation of delegates from developing countries and countries with economies in transition in the meeting of the Conference of the Parties and for the regional meetings to assist preparatory processes for the meetings of the conferences of the parties in 2015 were developed and posted on the synergies website.<sup>7</sup></p> <p>5. Preparations for the four regional preparatory meetings, scheduled back to back with workshops to support the ratification and effective implementation of the Minamata Convention on Mercury, are ongoing;<sup>8</sup> programmes for all meetings have been finalized in consultation with bureaux members, invitation letters will be sent out in January 2015, logistics arrangements are ongoing, e.g. through development of cooperation agreements with the Basel and Stockholm conventions regional centres or other partners.</p>						
<b>Objective</b>	Organization of the 7 <sup>th</sup> meeting of the Conference of the Parties.						
<b>Evaluation of the activity as per the indicators of achievement</b>	<p>3. Support to the President and Bureau of the Conference of the Parties to the Rotterdam Convention has so far been successfully provided in the intersessional period.</p> <p>4. An overall evaluation of the activity will be conducted once the meeting has taken place in May 2015.</p>						
<b>Achieved outputs</b>	To be provided once the meeting has taken place in May 2015.						
<b>Challenges</b>	No challenges encountered so far.						
<b>Partner(s)</b>	United Nations Office at Nairobi (UNON) Conference Services.						
<b>Budget performance for 2014</b> (in United States dollars)							
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015 <sup>9</sup>	
2014 Budget		2015 Budget					
RC Trust Fund	RV Trust Fund	RC Trust Fund	RV Trust Fund	RC Trust Fund	RV Trust Fund	Donors	Total contribution
90,000	0	432,527	820,400	90,540	0	Poland, Switzerland	34,737

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<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>

<sup>8</sup> For the Asia-Pacific region from 17 to 20 March 2015, for the African region from 24-27 March 2015, for Central and Eastern Europe from 7-10 April 2015, and for the Latin American and Caribbean Group from 14-17 April 2015.

<sup>9</sup> Additionally, USD 66,668 have been received from European Union in 2014 for participants' travel for the sixth meeting of the Conference of the Parties in 2013.

**Seventh meeting of the Conference of the Parties to the Stockholm Convention**

<b>Programme heading</b>	<b>Conferences and meetings</b>
<b>Title of activity</b>	<b>Activity 3 (SC): Seventh meeting of the Conference of the Parties to the Stockholm Convention</b>
<b>Convention(s)</b>	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decision SC-6/25 Article 19 of the Stockholm Convention
<b>Short description of the activity implemented</b>	<p>1. The seventh meeting of the Conference of the Parties to the Stockholm Convention will be held back to back with the twelfth meeting of the Conference of the Parties to the Basel Convention and the seventh meeting of the Conference of the Parties to the Rotterdam Convention from 4 to 15 May 2015 in Geneva, Switzerland.</p> <p>1. The Secretariat organized one Bureau meeting and two Bureau teleconferences to discuss early preparations for the organization of the seventh meeting of the Conference of the Parties and the scheduling of bureaux meetings in 2014.<sup>10</sup> In addition, a face-to-face Bureau meeting was held on 14 and 15 May 2014 in Geneva where Bureau members discussed, among other things, the organization of the seventh meeting of the Conference of the Parties in 2015 and the review of progress made in implementation of the decisions adopted by the Conference of the Parties at its sixth meeting; and a joint meeting of the bureaux of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions was held on 11 and 12 November 2014 where bureaux members discussed and agreed on, among other things, the tentative schedule of work and on the approach on credentials to be taken at the 2015 meetings of the conferences of the parties.</p> <p>2. The following preparations for the seventh meeting of the Conference of the Parties to the Stockholm Convention have taken place, or are ongoing, to date:</p> <ul style="list-style-type: none"> <li>(a) Official invitation letters together with the provisional agenda of the meeting were sent to all parties on 27 November 2014; observers were notified of the meeting on 22 December 2014;</li> <li>(b) The tentative schedule of work for the meetings agreed to by the bureaux was posted on the conventions website immediately following the joint bureaux meeting on 13 November 2014;</li> <li>(c) Pre-session documents are being prepared and translated, and will be published by 4 March 2015 (for budget documents by 4 February 2015);</li> <li>(d) The first briefing to permanent missions is scheduled on 29 January 2015 in Geneva to cover, among others, the preparations for the 2015 meetings of the conferences of the parties and the regional preparatory meetings. A second briefing will be held in mid to end April 2015 in Geneva;</li> <li>(e) A detailed programme and content for the science fair, that will take place during the 2015 meetings of the conferences of the parties from 7 to 9 May 2015, is being elaborated in cooperation with various partners;</li> <li>(f) Five webinars (two in English, one in French, one in Spanish, one in Russian) have been scheduled between 10 and 19 March 2015 to provide parties, observers and other stakeholders with an overview of the issues that will be discussed during the 2015 meetings of the conferences of the parties, including an introduction to a brief on compliance under the Rotterdam and Stockholm conventions developed by the Secretariat.</li> </ul>

<sup>10</sup> A meeting was held with the President of the Conference of the Parties to the Stockholm Convention on 6-7 November 2013; a first teleconference was held with the presidents of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions on 2 December 2013; and a second teleconference was held with the Bureau of the Conference of the Parties to the Stockholm Convention on 19 February 2014.

	<p>(g) Logistical arrangements for the meetings are ongoing.</p> <p>3. Concept notes for raising funds to support the participation of delegates from developing countries and countries with economies in transition in the meeting of the Conference of the Parties and for the regional meetings to assist preparatory processes for the meetings of the conferences of the parties in 2015 were developed and posted on the synergies website.<sup>11</sup></p> <p>4. Preparations for the four regional preparatory meetings, scheduled back to back with workshops to support the ratification and effective implementation of the Minamata Convention on Mercury, are ongoing;<sup>12</sup> programmes for all meetings have been finalized in consultation with bureaux members, invitation letters will be sent out in January 2015, logistics arrangements are ongoing, e.g. through development of cooperation agreements with the Regional Centres or other partners.</p>						
<b>Objective</b>	Organization of the 7 <sup>th</sup> meeting of the Conference of the Parties						
<b>Evaluation of the activity as per the indicators of achievement</b>	<p>5. Support to the President and Bureau of the Conference of the Parties to the Stockholm Convention has so far been successfully provided in the intersessional period.</p> <p>6. An overall evaluation of the activity will be conducted once the meeting has taken place in May 2015.</p>						
<b>Achieved outputs</b>	To be provided once the meeting has taken place in May 2015.						
<b>Challenges</b>	No challenges encountered so far.						
<b>Partner(s)</b>	United Nations Office at Nairobi (UNON) Conference Services						
<b>Budget performance for 2014</b> (in United States dollars)							
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015 <sup>13</sup>	
2014 Budget		2015 Budget					
SC Trust Fund	SV Trust Fund	SC Trust Fund	SV Trust Fund	SC Trust Fund	SV Trust Fund	Donors	Total contribution
80,000	0	442,527	820,400	76,667	0	Switzerland Norway	185,848

<sup>11</sup>

<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>

<sup>12</sup> For the Asia-Pacific region from 17 to 20 March 2015, for the African region from 24-27 March 2015, for Central and Eastern Europe from 7-10 April 2015, and for the Latin American and Caribbean Group from 14-17 April 2015.

<sup>13</sup> Additionally, USD 66,667 has been received from the European Union in 2014 for participants' travel for the sixth meeting of the Conference of the Parties in 2013.

**Ninth meeting of the Open-ended Working Group of the Basel Convention**

<b>Programme heading</b>	<b>Conferences and meetings</b>							
<b>Title of activity</b>	<b>Activity 4 (BC): Ninth meeting of the Open-ended Working Group of the Basel Convention</b>							
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention							
<b>Mandate</b>	Decisions VI/36 and BC-11/19							
<b>Short description of the activity already implemented</b>	<ol style="list-style-type: none"> <li>1. The ninth meeting of the Open-ended Working Group of the Basel Convention was held from 16 to 19 September 2014, with pre-meetings including regional meetings on 15 September, in Geneva, Switzerland. Interpretations into the six official languages of the United Nations were provided on 16 and 19 September 2014;</li> <li>2. All pre-session meeting documents, report of the meeting and all post-session documents were posted on the website (www.basel.int/oewg9);</li> <li>3. 272 participants attended the meeting, representing 106 parties, 1 non-party, and 41 observer organizations;</li> <li>4. Outreach and communications (web announcements, press releases, briefings, webinars etc.) were arranged. ENB covered the meeting, funded by Switzerland;</li> <li>5. Financial contributions from Denmark, Finland, Germany, Japan, Norway, Sweden and Switzerland in the amount of US\$ 383,125 were provided for support to travel to the meeting to all 66 requests received from eligible parties within given deadlines (Africa Region 35; Asia and the Pacific Region 16; Eastern European Region 5; Latin American and Caribbean Group 10).</li> </ol>							
<b>Objective</b>	Organization of the ninth meeting of the Open-ended Working Group.							
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>1. Percentage of working documents made available to the parties and observers in all working languages within deadlines: 100%;</li> <li>2. Meeting facilities and services, including simultaneous interpretation for two days, was provided to ensure smooth running of the meeting;</li> <li>3. The Secretariat provided full technical and logistic support to the OEWG Co-Chairs, Bureau, and all participants during and between meetings.</li> </ol>							
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>1. Edited and translated meeting documents were made available online;</li> <li>2. Funded participants' travel was arranged;</li> <li>3. Conference services were provided;</li> <li>4. Logistics including meeting venue, UN security was provided;</li> <li>5. Interpretation for two days was provided;</li> <li>6. Report of the meeting was published.</li> </ol>							
<b>Challenges</b>	No challenges encountered.							
<b>Partner(s)</b>	UNON Conference Services							
<b>Budget performance for 2014</b>								
(in United States dollars)								
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015		
2014 Budget		2015 Budget						
BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Total contribution	
354,865	545,904	0	0	242,334	316,696	Denmark, Finland, Germany, Japan, Norway, Sweden, Switzerland	383,125	



**Tenth and eleventh meetings of the Chemical Review Committee**

<b>Programme heading</b>	<b>Conferences and meetings</b>
<b>Title of activity</b>	<b>Activity 5 (RC): Tenth and eleventh meetings of the Chemical Review Committee</b>
<b>Convention(s)</b>	<input type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decision RC-6/3 Paragraph 6 of Article 18, Article 5 and Article 6 of the Rotterdam Convention
<b>Short description of the activity already implemented</b>	<ol style="list-style-type: none"> <li>1. The tenth meeting of the Chemical Review Committee was held at the headquarters of the Food and Agriculture Organization of the United Nations (FAO) in Rome, Italy, from 22 to 24 October 2014, back to back with the tenth meeting of the Persistent Organic Pollutants Review Committee. Pre-meetings for intersessional task groups were held on 21 October 2014.</li> <li>2. All pre-session meeting documents, the report of the meeting and all post-session documents were posted on the meeting website (www.pic.int/crc10).</li> <li>3. Fifty-nine participants (27 members, 32 observers) attended the meeting.</li> <li>4. Outreach and communications (web announcements, press releases, briefings, webinars etc.) were arranged. ENB covered the meeting, funded by Switzerland.</li> <li>5. The eleventh meeting of the Committee will be held from 26 to 30 October 2015 in Rome, back to back with the eleventh meeting of the Persistent Organic Pollutants Review Committee. The duration of the meeting may be adjusted depending on the workload.</li> <li>6. Information on the intersessional work of the Committee is presented under the activity 21 (RC) "Scientific support to parties to the Rotterdam Convention".</li> </ol>
<b>Objective</b>	Organization of the tenth and eleventh meetings of the Chemical Review Committee.
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>1. Percentage of working documents made available to the members and observers in all working languages within deadlines for CRC-10: 100%;</li> <li>2. Meeting facilities and comprehensive services provided to ensure smooth running of the meeting of CRC-10.</li> <li>3. The Secretariat provided full technical and logistic support to the CRC Chair, Bureau, and all participants during and between meetings;</li> <li>4. Evaluation of the activity for CRC-11 will be conducted once the meeting has taken place in October 2015.</li> </ol>
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>1. Organization of CRC-10 meeting successfully accomplished.</li> <li>2. Edited and translated meeting documents were made available online.</li> <li>3. Funded participants travel was arranged successfully; all eligible Committee members received financial support to participate in the meetings.</li> <li>4. Conference services were provided.</li> <li>5. Logistics including meeting venue, UN security was provided.</li> <li>6. Report of the meeting was published.</li> <li>7. CRC-10 reviewed notifications of final regulatory action on three industrial chemicals and adopted draft decision guidance documents for the pesticide methamidophos and for the severely hazardous pesticide formulation fenthion.</li> </ol>
<b>Challenges</b>	No challenges encountered so far.
<b>Partner(s)</b>	FAO, UNON Conference Services.

<b>Budget performance for 2014</b>							
(in United States dollars)							
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015	
2014 Budget		2015 Budget					
RC Trust Fund	RV Trust Fund	RC Trust Fund	RV Trust Fund	RC Trust Fund	RV Trust Fund	Donors	Total contribution
214,313	0	214,313	0	199,717	0	-	0

**Tenth and eleventh meetings of the Persistent Organic Pollutants Review Committee**

<b>Programme heading</b>	<b>Conferences and meetings</b>						
<b>Title of activity</b>	<b>Activity 6 (SC): Tenth and eleventh meetings of the Persistent Organic Pollutants Review Committee</b>						
<b>Convention(s)</b>	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention						
<b>Mandate</b>	Decision SC-6/14 Article 8; and paragraph 6 of Article 19 of the Stockholm Convention						
<b>Short description of the activity already implemented</b>	<ol style="list-style-type: none"> <li>The tenth meeting of the Persistent Organic Pollutants Review Committee was held at the headquarters of the Food and Agriculture Organization of the United Nations (FAO) in Rome, Italy, from 27 to 30 October 2014, back to back with the tenth meeting of the Chemical Review Committee. Pre-meetings for intersessional working groups were held on 26 October 2014;</li> <li>All pre-session meeting documents, report of the meeting and all post-session documents were posted on the website (<a href="http://www.pops.int/poprc10">www.pops.int/poprc10</a>);</li> <li>One hundred twenty six participants (29 members, 95 observers, 2 invited experts) participated the meeting; Outreach and communications (web announcements, press releases, briefings, webinars, etc.) were arranged. ENB covered the meeting, funded by Switzerland.</li> <li>The eleventh meeting of the Committee will be held from 19 to 23 October 2015 in Rome, back to back with the eleventh meeting of the Chemical Review Committee. The duration of the meeting may be adjusted depending on the workload.</li> <li>Information on the intersessional work of the Committee is contained under the activity 22 (SC) "Scientific support to parties to the Stockholm Convention" below.</li> <li>Voluntary contribution 2014-2015 received up to 30 November 2014: USD 16,677.</li> </ol>						
<b>Objective</b>	Organization of the tenth and eleventh meetings of the Persistent Organic Pollutants Review Committee						
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>Percentage of working documents made available to the members and observers in all working languages within deadlines for POPRC-10: 100%;</li> <li>Meeting facilities and services, including simultaneous interpretation, was provided to ensure smooth running of the meeting of POPRC-10;</li> <li>The Secretariat provided full technical and logistic support to the POPRC Chair and all participants during and between meetings;</li> <li>Evaluation of the activity for POPRC-11 will be conducted once the meeting has taken place in October 2015.</li> </ol>						
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>Edited and translated meeting documents were made available online;</li> <li>Funded participants' travel was arranged;</li> <li>Conference services were provided;</li> <li>Logistics including meeting venue, UN security was provided;</li> <li>Interpretation was provided;</li> <li>Report of the meeting was published.</li> </ol>						
<b>Challenges</b>	No challenges encountered so far.						
<b>Partner(s)</b>	FAO, UNON Conference Services						
<b>Budget performance for 2014</b> (in United States dollars)							
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015	
2014 Budget		2015 Budget					
SC Trust Fund	SV Trust Fund	SC Trust Fund	SV Trust Fund	SC Trust Fund	SV Trust Fund	Donors	Total contribution
458,297	24,260	458,297	77,632	441,744	0	Norway	16,677

**Meeting of the Bureau of the Conference of the Parties to the Basel Convention and joint meetings of the bureaux of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions**

<b>Programme heading</b>	Conferences and meetings
<b>Title of activity</b>	<b>Activity 7 (BC): Meeting of the Bureau of the conference of the Parties to the Basel Convention and joint meetings of the bureaux of conferences of the parties the Basel, Rotterdam and Stockholm conventions</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decisions VI/36, BC-11/26 and BC-11/25
<b>Short description of the activity already implemented</b>	<p><b>Bureau teleconferences</b></p> <ol style="list-style-type: none"> <li>Three teleconferences have been held: a first one on 30 September 2013 with the President of the Conference of the Parties to the Basel Convention; a second on 2 December 2013 with the presidents of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions; and a third on 23 January 2014 with the Bureau of the Conference of the Parties to the Basel Convention. Issues discussed included the organization of the twelfth meeting of the Conference of the Parties in 2015 and the scheduling of bureaux meetings in 2014.</li> </ol> <p><b>Basel Convention Bureau meeting</b></p> <ol style="list-style-type: none"> <li>The meeting of the Bureau of Conference of the Parties to the Basel Convention took place on 22 and 23 May 2014 in Geneva, Switzerland.</li> <li>The meeting was attended by ten Bureau members and the three ex-officio members; the chair of the Implementation and Compliance Committee and the two Co-Chairs of the Open-ended Working Group.</li> <li>Documents for the Basel Convention Bureau meeting were made available on the Basel Convention website<sup>14</sup> end of April 2014.</li> <li>Bureau members, among other things, reviewed progress made in implementation of the decisions adopted by the Conference of the Parties at its eleventh meeting, and discussed the preparation of the twelfth meeting of the Conference to be held back to back with the meetings of the conferences of the parties to the Rotterdam and Stockholm conventions in 2015, and early preparations for the joint meeting of the bureaux of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions on 11 and 12 November 2014 in Geneva.</li> <li>The meeting report is available on the meetings website.</li> </ol> <p><b>Joint bureaux meeting</b></p> <ol style="list-style-type: none"> <li>The joint meeting of the bureaux of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions took place on 11 and 12 November 2014 in Geneva, Switzerland.</li> <li>The meeting was attended by all fifteen invited bureaux members.<sup>15</sup></li> <li>Documents for the joint bureaux meeting were made available on the synergies website in October 2014.</li> <li>Bureaux members, among other things, agreed on the tentative schedule of work and on the approach on credentials to be taken at the 2015 meetings of the conferences of the parties.</li> <li>The meeting report is available on the meeting website.</li> </ol>
<b>Objective</b>	<ol style="list-style-type: none"> <li>Organization of the meeting of the Bureau of the Conference of the Parties to the Basel Convention</li> </ol>

<sup>14</sup> [www.basel.int](http://www.basel.int).

<sup>15</sup> In accordance with decisions BC-11/25 and SC-6/29, five of the ten members of the Basel and Stockholm conventions bureaux of the conferences of the parties attended the joint bureaux meeting. A process was put in place by the Basel and Stockholm conventions bureaux to select these members, based on a number of criteria, including the availability of members to participate in the joint bureaux meeting, regional and sub-regional balance among bureaux members and gender balance.

	2. Organization of the joint meeting of the bureaux of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions.						
<b>Evaluation of the activity as per the indicators of achievement</b>	2. Meeting of the Bureau of the Basel Convention Conference of the Parties organized: 100% completed. 3. Joint meeting of the bureaux of the Basel, Rotterdam and Stockholm conventions conferences of the parties organized: 100% completed. 4. Documentation for the meetings, including meetings report, available: 100% completed. 5. Support to the Bureau of the Conference of the Parties to the Basel Convention has been successfully provided.						
<b>Achieved outputs</b>	1. Organization of the Basel Convention Bureau meeting and the joint bureaux meeting. 2. Participants travel organized successfully; all eligible bureaux members received financial support to participate in the meetings. 3. Reports of the Basel Convention Bureau meeting and the joint bureaux meeting available.						
<b>Challenges</b>	No challenges encountered.						
<b>Partner(s)</b>	None						
<b>Budget performance for 2014</b> (in United States dollars)							
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015	
2014 Budget		2015 Budget					
BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Total contribution
47,640	0	0	0	29,099	0	-	0

**Meeting of the Bureau of the Conference of the Parties to the Rotterdam Convention and joint meetings of the bureaux of the Basel, Rotterdam and Stockholm conventions**

<b>Programme heading</b>	<b>Conferences and meetings</b>
<b>Title of activity</b>	<b>Activity 8 (RC): Meeting of the Bureau of the Conference of the Parties to the Rotterdam Convention and joint meetings of the bureaux of the Basel, Rotterdam and Stockholm conventions</b>
<b>Convention(s)</b>	<input type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decisions RC-1/1 and RC-6/16
<b>Short description of the activity already implemented</b>	<p><b>Bureau teleconferences</b></p> <ol style="list-style-type: none"> <li>Three teleconferences have been held: a first one on 2 October 2013 with the President of the Conference of the Parties to the Rotterdam Convention; a second on 2 December 2013 with the presidents of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions; and a third on 3 February 2014 with the Bureau of the Conference of the Parties to the Rotterdam Convention. Issues discussed included the organization of the seventh meeting of the Conference of the Parties in 2015 and the scheduling of bureaux meetings in 2014.</li> </ol> <p><b>Rotterdam Convention Bureau meeting</b></p> <ol style="list-style-type: none"> <li>The meeting of the Bureau of Conference of the Parties to the Rotterdam Convention took place on 4 and 5 June 2014 in Rome, Italy.</li> <li>The meeting was attended by five Bureau members and the ex-officio member, the chair of the Chemical Review Committee.</li> <li>Documents for the Rotterdam Convention Bureau meeting were made available on the Rotterdam Convention website<sup>16</sup> beginning of May 2014.</li> <li>Bureau members, among other things, reviewed progress made in implementation of the decisions adopted by the Conference of the Parties at its sixth meeting, and discussed the preparation of the seventh meeting of the Conference to be held back to back with the meetings of the conferences of the parties to the Basel and Stockholm conventions in 2015, and early preparations for the joint meeting of the bureaux of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions on 11 and 12 November 2014 in Geneva.</li> <li>The meeting report is available on the meeting website.</li> </ol> <p><b>Joint bureaux meeting</b></p> <ol style="list-style-type: none"> <li>The joint meeting of the bureaux of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions took place on 11 and 12 November 2014 in Geneva, Switzerland.</li> <li>The meeting was attended by all fifteen invited bureaux members.<sup>17</sup></li> <li>Documents for the joint bureaux meeting were made available on the synergies website in October 2014.</li> <li>Bureaux members, among other things, agreed on the tentative schedule of work and on the approach on credentials to be taken at the 2015 meetings of the conferences of the parties.</li> <li>The meeting report is available on the meeting website.</li> </ol>
<b>Objective</b>	<ol style="list-style-type: none"> <li>Organization of the meeting of the Bureau of the Conference of the Parties to the Rotterdam Convention.</li> <li>Organization of the joint meeting of the bureaux of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions.</li> </ol>

<sup>16</sup> [www.pic.int](http://www.pic.int).

<sup>17</sup> In accordance with decisions BC-11/25 and SC-6/29, five of the ten members of the Basel and Stockholm conventions bureaux of the conferences of the parties attended the joint bureaux meeting. A process was put in place by the Basel and Stockholm conventions bureaux to select these members, based on a number of criteria, including the availability of members to participate in the joint bureaux meeting, regional and sub-regional balance among bureaux members and gender balance.

<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>1. Meeting of the Bureau of the Rotterdam Convention Conference of the Parties organized: 100% completed.</li> <li>2. Joint meeting of the bureaux of the Basel, Rotterdam and Stockholm conventions conferences of the parties organized: 100% completed.</li> <li>3. Documentation for the meetings, including meetings report, available: 100% completed.</li> <li>4. Support to the Bureau of the Conference of the Parties to the Rotterdam Convention has been successfully provided.</li> </ol>							
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>1. Organization of the Rotterdam Convention Bureau meeting and the joint bureaux meeting.</li> <li>2. Participants travel organized successfully; all eligible bureaux members received financial support to participate in the meetings.</li> <li>3. Reports of the Rotterdam Convention Bureau meeting and joint bureaux meeting available.</li> </ol>							
<b>Challenges</b>	No challenges encountered.							
<b>Partner(s)</b>	FAO for the meeting of the Bureau of the Conference of the Parties to the Rotterdam Convention which is organized in Rome, Italy.							
<b>Budget performance for 2014</b> (in United States dollars)								
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015		
2014 Budget		2015 Budget						
RC Trust Fund	RV Trust Fund	RC Trust Fund	RV Trust Fund	RC Trust Fund	RV Trust Fund	Donors	Total contribution	
25,408	0	0	0	20,724	0	-	0	

**Meeting of the Bureau of the Conference of the Parties to the Stockholm Convention and joint meetings of the bureaux to the Basel, Rotterdam and Stockholm conventions**

<b>Programme heading</b>	<b>Conferences and meetings</b>
<b>Title of activity</b>	<b>Activity 9 (SC): Meeting of the Bureau of the Conference of the Parties to the Stockholm Convention and joint meetings of the bureaux to the Basel, Rotterdam and Stockholm conventions</b>
<b>Convention(s)</b>	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decisions SC-1/1, SC-6/29 and SC-6/30
<b>Short description of the activity already implemented</b>	<p><b>Bureau teleconferences</b></p> <ol style="list-style-type: none"> <li>One meeting and two teleconferences have been held: a meeting with the President of the Conference of the Parties to the Stockholm Convention on 6 and 7 November 2013; a first teleconference with the presidents of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions on 2 December 2013; and a second teleconference with the Bureau of the Conference of the Parties to the Stockholm Convention on 19 February 2014. Issues discussed included the organization of the seventh meeting of the Conference of the Parties to the Stockholm Convention to be held back to back with the meetings of the conferences of the parties to the Basel and Rotterdam conventions in 2015, and the scheduling of bureaux meetings in 2014.</li> </ol> <p><b>Stockholm Convention Bureau meeting</b></p> <ol style="list-style-type: none"> <li>The meeting of the Bureau of the Conference of the Parties to the Stockholm Convention took place on 14 and 15 May 2014 in Geneva, Switzerland.</li> <li>The meeting was attended by 8 Bureau members and one representative on behalf of a Bureau member who was unable to attend. The ex-officio member, the chair of the Persistent Organic Pollutants Review Committee, attended the meeting by teleconference.</li> <li>Documents for the Stockholm Convention Bureau meeting were made available on the Convention website<sup>18</sup> mid-April 2014.</li> <li>Bureau members, among other things, reviewed progress made in implementation of the decisions adopted by the Conference of the Parties at its sixth meeting, and discussed the preparation of the seventh meeting of the Conference to be held back to back with the meetings of the conferences of the parties to the Basel and Rotterdam conventions in 2015, and early preparations for the joint meeting of the bureaux of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions on 11 and 12 November 2014 in Geneva.</li> <li>The meeting report is available on the meeting website.</li> </ol> <p><b>Joint bureaux meeting</b></p> <ol style="list-style-type: none"> <li>The joint meeting of the bureaux of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions took place on 11 and 12 November 2014 in Geneva, Switzerland.</li> <li>The meeting was attended by all fifteen invited bureaux members.<sup>19</sup></li> <li>Documents for the joint bureaux meeting were made available on the synergies website in October 2014.</li> <li>Bureaux members, among other things, agreed on the tentative schedule of work and on the approach on credentials to be taken at the 2015 meetings of the conferences of the parties.</li> <li>The meeting report is available on the meeting website.</li> </ol>

<sup>18</sup> [www.pic.int](http://www.pic.int).

<sup>19</sup> In accordance with decisions BC-11/25 and SC-6/29, five of the ten members of the Basel and Stockholm conventions bureaux of the conferences of the parties attended the joint bureaux meeting. A process was put in place by the Basel and Stockholm conventions bureaux to select these members, based on a number of criteria, including the availability of members to participate in the joint bureaux meeting, regional and sub-regional balance among bureaux members and gender balance.



<b>Objective</b>	<ol style="list-style-type: none"> <li>1. Organization of the meeting of the Bureau of the Conference of the Parties to the Stockholm Convention.</li> <li>2. Organization of the joint meeting of the bureaux of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions.</li> </ol>						
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>1. Meeting of the Bureau of the Stockholm Convention Conference of the Parties organized: 100% completed.</li> <li>2. Joint meeting of the bureaux of the Basel, Rotterdam and Stockholm conventions conferences of the parties organized: 100% completed.</li> <li>3. Documentation for the meetings, including meetings report, available: 100% completed.</li> <li>4. Support to the Bureau of the Conference of the Parties to the Stockholm Convention has been successfully provided.</li> </ol>						
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>1. Organization of the Stockholm Convention Bureau meeting and the joint bureaux meeting.</li> <li>2. Participants travel organized successfully; all eligible bureaux members received financial support to participate in the meetings.</li> <li>3. Reports of the Stockholm Convention Bureau meeting and the joint bureaux meeting available.</li> </ol>						
<b>Challenges</b>	No challenges encountered.						
<b>Partner(s)</b>	N/A						
<b>Budget performance for 2014</b> (in United States dollars)							
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015	
2014 Budget		2014 Budget					
SC Trust Fund	SV Trust Fund	SC Trust Fund	SV Trust Fund	SC Trust Fund	SV Trust Fund	Donors	Total contribution
38,112	0	0	0	38,745	0	-	0

**Meeting of the Basel Convention Implementation and Compliance Committee**

<b>Programme heading</b>	<b>Conferences and meetings</b>
<b>Title of activity</b>	<b>Activity 10 (BC): Meeting of the Basel Convention Implementation and Compliance Committee</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decision BC-11/26 Article 16 of the Basel Convention
<b>Short description of the activity already implemented</b>	<ol style="list-style-type: none"> <li>1. The Implementation and Compliance Committee (ICC) held its tenth meeting (ICC-10) in Paris, France on 5-6 December 2013, and during a supplementary online session on 8 May 2014.<sup>20</sup> The ICC held its eleventh meeting in Geneva, Switzerland, from 22 to 24 September 2014. For these meetings, the Secretariat: <ol style="list-style-type: none"> <li>(a) Prepared and published pre-session meeting documents in consultation/cooperation with ICC members as appropriate;</li> <li>(b) Prepared and dispatched invitations; registered participants and observers;</li> <li>(c) Organized the travel of sponsored members and party representatives;</li> <li>(d) Organized the supplementary online session;</li> <li>(e) Organized consultations with the Chair on the scenario for the meetings as well as the attendance of possible observers;</li> <li>(f) Prepared outreach and communications (web announcement, briefings etc.);</li> <li>(g) Cooperated with the host of the meeting in Paris to organize the logistics for the meeting;</li> <li>(h) Coordinated the meetings and provided assistance to the Chair and Bureau;</li> <li>(i) Prepared and published the meeting reports;</li> <li>(j) Conducted follow up communications with members, parties and observers as decided by the ICC.</li> </ol> </li> <li>2. A concept note for fundraising to support the participation of parties concerned by a submission in the meeting was developed and posted on the synergies website.<sup>21</sup> Funding for participation of representatives of parties concerned by a submission was received from Japan and Switzerland.</li> </ol>
<b>Objective</b>	Organization of the meeting of the Committee
<b>Evaluation of the activity as per the indicators of achievement</b>	<b>ICC-10 meeting, 5-6 December 2013 and supplementary online session on 8 May 2014; ICC-11 meeting, 22-24 September 2014</b> <ol style="list-style-type: none"> <li>1. 100% of working documents made available to the members within deadlines.</li> <li>2. Meeting facilities and services were provided to ensure smooth running of the meeting.</li> <li>3. Support was provided to the Committee prior to and during the meetings</li> </ol>

<sup>20</sup> This meeting was funded under the 2012/13 biennium (funding from the European Union and Japan).

<sup>21</sup>

<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>.

<b>Achieved outputs</b>	<p><b>ICC-10 meeting, 5-6 December 2013 and supplementary online session on 8 May 2014; ICC-11 meeting, 22-24 September 2014</b></p> <ol style="list-style-type: none"> <li>1. The travel of eligible ICC members to ICC-10 was organized using a voluntary contribution from Japan. Normally, such costs are covered by the BC fund, however this meeting was not included in the 2012-2013 or 2014-2015 programme budgets.</li> <li>2. Travel of parties concerned by a submission was organized using a voluntary contribution from the European Union (contribution received under the 2012/13 biennium) and with co-funding from Japan and Switzerland.<sup>22</sup></li> <li>3. The reports of the meetings are available on the website as documents UNEP/CHW/CC.10/14 and UNEP/CHW/CC.10/14/Add.1 for ICC-10, and document UNEP/CHW/CC.11/16 for ICC-11.</li> </ol>							
<b>Challenges</b>	<p>No challenges were faced in organizing the ICC-10 meeting, 5-6 December 2013 the supplementary online session on 8 May 2014, and the ICC-11 meeting from 22 to 24 September 2014</p>							
<b>Partner(s)</b>	<p>ICC-10 was held at the Direction Générale de la Prévention des Risques, Ministère de l'Ecologie, du Développement Durable et de l'Energie, France.</p>							
<p><b>Budget performance for 2014</b> (in United States dollars)</p>								
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015		
2014 Budget		2015 Budget		BC Trust Fund	BD Trust Fund			
BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Japan	30,000	
39,545	13,785	0	0	0	0			

<sup>22</sup> The contribution from the Government of Switzerland is recorded under activity Activity 4 (BC): Ninth meeting of the Open-ended Working Group of the Basel Convention, as it was originally made under this activity. Switzerland later on agreed on re-allocating unused funds towards activity 10 (BC): Meeting of the Basel Convention Implementation and Compliance Committee.

## Orientation workshop for members of the Chemical Review Committee

<b>Programme heading</b>	<b>Conferences and meetings</b>						
<b>Title of activity</b>	<b>Activity 11 (RC): Orientation workshop for members of the Chemical Review Committee</b>						
<b>Convention(s)</b>	<input type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention						
<b>Mandate</b>	Decision RC-6/3 Article 18 of the Rotterdam Convention						
<b>Short description of the activity already implemented</b>	1. The workshop took place at the FAO headquarters in Rome, Italy, from 19-21 May 2014. 2. The workshop was attended by 24 of the 31 CRC members. 3. The participants reviewed working procedures and policy guidance developed to facilitate the work of the Committee, examined in detail the work of the intersessional task groups for the preliminary review of candidate chemicals as well as that of the drafting groups established to prepare decision guidance documents. New and experienced members worked hand in hand.						
<b>Objective</b>	1. New members of the Chemical Review Committee are familiarized with the role and mandate of the Committee as well as its operational procedures; 2. A platform is provided to exchange experiences, transfer knowledge related to the Committee's work, and to foster working relationships among members of the Committee.						
<b>Evaluation of the activity as per the indicators of achievement</b>	1. All new members fully engaged in the pre-session work of the CRC and in the subsequent CRC meetings. 2. Participant's satisfaction: <ul style="list-style-type: none"> <li>(a) At the end of the workshop, participants expressed their appreciation and were optimistic that this orientation workshop greatly facilitates the effective operation of the Committee;</li> <li>(b) In particular during CRC-10, members praised the effectiveness of the orientation workshop.</li> </ul>						
<b>Achieved outputs</b>	1. One orientation workshop organized for 31 CRC members for three days. 2. Secretariat staff from Geneva and Rome trained all new CRC members during the orientation workshop. 3. All CRC members fully aware of working procedures and mandate of the CRC.						
<b>Challenges</b>	No challenges encountered.						
<b>Partner(s)</b>	FAO provides meeting rooms as an in-kind contribution.						
<b>Budget performance for 2014</b> (in United States dollars)							
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015 <sup>23</sup>	
2014 Budget		2015 Budget					
RC Trust Fund	RV Trust Fund	RC Trust Fund	RV Trust Fund	RC Trust Fund	RV Trust Fund	Donors	Total contribution
0	58,140	0	0	0	119,055 <sup>24</sup>	European Union, Norway	85,459

<sup>23</sup> Additionally, USD 50,667 have been received from the European Union in 2014 for the Chemical Review Committee orientation workshop that took place in 2012.

<sup>24</sup> USD 54,001 of the reported total of USD 119,055 pertain to expenditures for the previous orientation workshop held in 2012. The expenditure incurred for the workshop in 2014 amounts to 65,054.

## Support for the work of and coordination between the scientific bodies of the conventions

<b>Programme heading</b>	<b>Conferences and meetings</b>
<b>Title of activity</b>	<b>Activity 12 (S6): Support for the work of and coordination between the scientific bodies of the conventions</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decisions BC-11/26, RC-6/16 and SC-6/30 Omnibus decision taken in 2013 (BC.Ex-2/1, RC.Ex-2/1, SC.Ex-2/1)
<b>Short description of the activity already implemented</b>	<ol style="list-style-type: none"> <li>1. The meetings of the subsidiary bodies were organized in a coordinated manner. The tenth meetings of the Chemical Review Committee (CRC) and the Persistent Organic Pollutants Review Committee (POPRC) were organized back to back in October 2014, and their eleventh meetings are scheduled to take place back to back in October 2015.</li> <li>2. No voluntary contribution was made on the concept note for activity 12 (S6)<sup>25</sup> to organize a meeting of the chairs and bureaux members of the subsidiary bodies. The chairs of the CRC and POPRC met face-to-face on the day between their back-to-back meetings held in October 2014 and exchanged information.</li> <li>3. The Secretariat facilitated the information sharing between the Open-ended Working Group (OEWG), CRC, POPRC on their work through electronic means.</li> <li>4. The joint intersessional working group established at the first joint meeting between CRC and POPRC on 20 October 2013,<sup>26</sup> prepared draft guidance to assist parties to the Rotterdam Convention and the CRC in their work when a chemical under consideration is a persistent organic pollutant (POP) listed under the Stockholm Convention. The draft will be finalized for submission at COP-7 of SC and RC, taking into account additional comments provided at CRC-10 and POPRC-10.</li> <li>5. The following webinars contributed to the work on enhancing cooperation and coordination among the subsidiary bodies: <ol style="list-style-type: none"> <li>(a) Briefings and debriefings of the meetings of OEWG-9, POPRC-10, CRC-10 (organized in August-November 2014);</li> <li>(b) Chemical and non-chemical alternatives to endosulfan (25 and 27 February 2014);</li> <li>(c) Updating of national implementation plans in view of the entry into force of the amendment listing HBCD under the Stockholm Convention (1 and 3 April 2014, 6 May 2014 in Spanish);</li> <li>(d) How to benefit from and contribute to POPRC's work: webinars on the intersessional work of the Committee and on new candidate chemicals under review by the Committee (6 and 13 May 2014);</li> <li>(e) Establishing inventories of POP-PBDEs and other brominated flame retardants listed under the Stockholm Convention (3 and 6 June 2014);</li> <li>(f) Establishing inventories of PFOS and related chemicals listed under the Stockholm Convention (10 and 12 June 2014);</li> <li>(g) Technical guidelines for the environmentally sound management of POPs wastes: status of work and future plans (11 June 2014);</li> <li>(h) Introduction of the draft guidance to assist parties to the Rotterdam Convention and CRC in their work when a chemical under consideration is a POP listed under the Stockholm Convention (23 and 25 September 2014);</li> <li>(i) Intersessional work of CRC between the ninth and the tenth meeting (30 September and 2 October 2014);</li> </ol> </li> </ol>

<sup>25</sup>

<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>

<sup>26</sup> The ninth meeting of CRC and the ninth meeting of POPRC were held back to back in Rome, Italy, in October 2013. A joint meeting between the two committees was held on Sunday, 20 October 2013.

	<p>(j) Three webinars on alternatives to endosulfan: cultural controls, biopesticides and trapping. (Scheduled to take place on 20 and 22 January 2015, 24 and 26 February 2015 and 24 and 26 March 2015);</p> <p>(k) Briefings and debriefings on the meetings of the CRC and the POPRC and sessions on the intersessional work of the CRC and the POPRC are also planned in 2015 (10 sessions).</p> <p>6. Information on the workshop to support effective participation in the work of CRC and POPRC is presented under the activity 15 (RC) "Training and capacity-building activities to enhance the implementation of the Rotterdam Convention at national and regional levels" and activity 16 (SC) "Training and capacity-building activities to enhance the implementation of the Stockholm Convention at the regional level".</p>
<b>Objective</b>	<ol style="list-style-type: none"> <li>1. Enhanced collaboration and cooperation among the subsidiary bodies of the three conventions through technical and policy support provided in a coordinated manner.</li> <li>2. Opportunities provided for the three subsidiary bodies to discuss and share expertise on common issues.</li> <li>3. Strengthened linkage between the members of the subsidiary bodies and the contact points of party countries.</li> <li>4. Information sharing among the three subsidiary bodies on cross-cutting scientific, technical and policy issues.</li> </ol>
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>1. Meetings of the subsidiary bodies were organized in a coordinated manner: CRC-10 and POPRC-10 was held back to back in October 2014 in Rome, CRC-11 and POPRC-11 will be held back to back in October 2015 in Rome.</li> <li>2. A number of cross-cutting issues between CRC and POPRC were identified and discussed at the joint meeting of the committees held in October 2013. The committees addressed the alignment of the working arrangements of the committees, exchanged scientific information relating to the review of chemicals by the committees, and identified further steps to enhance cooperation and coordination between the committees.</li> <li>3. One hundred and forty-three participants including parties, observers and committee members attended the webinars contributing to the work on enhancing cooperation and coordination among the subsidiary bodies.</li> </ol>
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>1. Enhanced coordination in the organization of the meetings of the subsidiary bodies.</li> <li>2. Follow-up activities identified at the first joint meeting between CRC and POPRC were implemented. The draft guidance prepared by the joint intersessional working group will be considered at the SC and RC COP-7.</li> <li>3. Webinars were held for a global audience facilitating coordination between the scientific bodies of the conventions and effective participation of parties in the work of the subsidiary bodies.</li> </ol>
<b>Challenges</b>	No voluntary funds for this activity have been received so far.
<b>Partner(s)</b>	CRC and POPRC members, OEWG Bureau members.

**Budget performance for 2014**

(in United States dollars)

	Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015 <sup>27</sup>	
	2014 Budget		2015 Budget					
	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	Donors	Total contribution
BC	0	8,000	0	0	0	0	-	0
RC	0	8,000	0	0	0	(2,715)	-	0
SC	0	4,000	0	0	0	0	-	0
<b>Total</b>	<b>0</b>	<b>20,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(2,715)</b>	<b>-</b>	<b>0</b>

<sup>27</sup> USD 65,000 has been received from the European Union in 2014 for activities related to support for the work of and coordination between the scientific bodies of the conventions in the 2012-2013 biennium.

## 2. Technical assistance and capacity-building

### Tools and methodologies for training and capacity-building

<b>Programme heading</b>	<b>Technical assistance and capacity-building: Development of tools and methodologies</b>
<b>Title of activity</b>	<b>Activity 13 (S1): Tools and methodologies for training and capacity-building</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decisions BC-11/11, RC-6/11 and SC-6/15 Article 16 of the Basel Convention, Articles 16 and 19 of the Rotterdam Convention and Articles 12 and 20 of the Stockholm Convention
<b>Short description of the activity already implemented</b>	<p><b>Integrated assessment of technical assistance needs for the three conventions</b></p> <ol style="list-style-type: none"> <li>Electronic questionnaires on technical assistance needs under the Basel, Rotterdam and Stockholm conventions were developed and sent to official contact points and focal points of the three conventions in developing countries and countries with economies in transition in mid-May 2014. Electronic questionnaires on technical assistance available from developed countries were developed and submitted on September 2014. An analysis and compilation of the responses received was developed and submitted as an information document to each COP (see documents UNEP/CHW.12/INF/24, UNEP/FAO/RC/COP.7/INF/16 and UNEP/POPS/COP.7/INF/15). A database to compile the needs/requests received is expected to be finalized by March 2015.</li> </ol> <p><b>Methodologies and tools for training and capacity building</b></p> <p><u>Tools:</u></p> <ol style="list-style-type: none"> <li>An electronic tool on Industrial Chemicals Management for the three conventions was developed and will be published on the technical assistance web page of the Secretariat. The tool was developed in cooperation with the Stockholm Regional Centre in India (CSIR-NEERI).</li> <li>An e-learning tool for enforcement authorities is planned to be released in the coming months. The tool on the Basel, Rotterdam and Stockholm conventions was developed in cooperation with INTERPOL.</li> <li>An electronic online tool for easy access to information and training materials on endosulfan and its alternatives to assist parties to implement the conventions in under development and will be ready by May 2015.</li> </ol> <p><u>Modules:</u></p> <ol style="list-style-type: none"> <li>A methodology to promote chemical and non-chemical alternatives to endosulfan was developed and pilot-tested in Uganda and Mozambique, as part of a project. Using the methodology developed, Guatemala has started a project to assess their continued need on endosulfan and look for phase-out strategies.</li> <li>A prototype kit to identify the vulnerability of different groups for pesticides use and monitor pesticides poisonings was developed and is under testing in 2015.</li> <li>Training material on social dimension aspects of pesticides use at the national level was developed.</li> <li>Visual material addressing children's vulnerability using pesticides in family farming and identify initial Child labour was developed specifically for the Western African region and was tested in Farmers Field Schools in Mali. Visuals translation and re-design in other languages in order to adapt to different regional specificity are under development.</li> <li>Six modules were developed on specific topics specified in the compilation of budget fact sheets for 2014-2015.<sup>28</sup> They have already been used in face to face training activities, online meetings and discussions and they are</li> </ol>

<sup>28</sup> UNEP/FAO/CHW/RC/POPS/EXCOPS.2/INF/12.



	<p>expected to be used as self-trainings. The modules will be available from April 2015 in the conventions website under the Technical Assistance Tab in English. Translations into French and Spanish will be available before the COPs.</p> <p><u>Webinars online training and online meetings:</u></p> <ol style="list-style-type: none"> <li>10. The Secretariat organized and broadcasted 69 webinar sessions, 19 online training sessions for workshop participants in anticipation of the workshops or to follow up on past workshops and 30 online meetings on topics such as “Outcomes of the first and second meeting of the Expert Group on Environmentally Sound Management”; “Tools to develop national legislation for the Basel, Rotterdam and Stockholm conventions”; “National Reporting for the Basel and Stockholm conventions” and “Alternatives to Endosulfan”, “Work of the Small Intersessional Working Group on updating and developing technical guidelines for the Environmentally Sound Management of POPs wastes”. (For more details on webinar sessions organized during the year 2014 see document UNEP/CHW.12/INF/22-UNEP/FAO/RC/COP.7/INF/14-UNEP/POPS/COP.7/INF/17 on capacity-building and training activities organized by the Secretariat from May 2013 to December 2014. For planned webinar sessions see document UNEP/CHW.12/INF/23-UNEP/FAO/RC/COP.7/INF/15-UNEP/POPS/COP.7/INF/18 on capacity-building and training activities planned by the Secretariat for 2015.)</li> <li>11. The Secretariat purchased one additional online training license in addition to those already in use for webinars and online meetings and equipment for video conferencing through the web and it is planning to purchase equipment to improve video conferencing, training through video courses and to improve the web broadcasting.</li> <li>12. Cooperation was established with UNEP Chemicals and the interim Secretariat of the Minamata Convention to deliver online training with the platforms of the Secretariat and to organize online meetings.</li> <li>13. The first on training on illegal traffic of hazardous chemicals and wastes with simultaneous interpretation from English into Spanish was organized in November 2014.</li> <li>14. The Secretariat established a cooperation with a European Union partnership on climate change: the Climate-KIC to develop a Massive Open Online Course (MOOC) on E-waste material recovery and recycling. The MOOC would be hosted by the training platform of the partnership “The Innovation Primer” and provide free training to students, policy makers and practitioners through the web. The MOOC is scheduled to be launched in 2015.</li> <li>15. Concept notes for fundraising for technical assistance activities have been developed and posted on the synergies website.<sup>29</sup></li> </ol>
<p><b>Objective</b></p>	<ol style="list-style-type: none"> <li>1. An appropriate database to collect information on the needs of parties in a systematic way is developed and used.</li> <li>2. Training modules, electronic tools and video training are developed and used to support countries in implementing the conventions, facilitate the exchange of knowledge and build parties’ capacities to enhance synergies among the Basel, Rotterdam and Stockholm conventions at the national level.</li> <li>3. Training courses/modules delivered to parties, information disseminated and awareness on the Basel, Rotterdam and Stockholm conventions raised via the web.</li> <li>4. Parties and other stakeholders are provided with a comprehensive source of information on the Rotterdam Convention, its operational aspects, activities and cooperation with other international bodies through publications and information materials, including the expansion of the Resource Kit to address new information needs, as necessary.</li> </ol>

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<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>

	5. Facilitate decision makers in identifying concrete pattern of use for pesticides, considering the different groups and vulnerability in their national territory.																																																									
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>1. Information from the needs of the parties gathered through a new tool and compiled: 100% done.</li> <li>2. A database for the compilation of the needs of developing country parties was prepared.</li> <li>3. New guidance to Designated National Authorities for the Rotterdam Convention was prepared in electronic format and is available in at the website of the Rotterdam Convention as a tool to substitute the resource kit.</li> <li>4. Identification of priority areas for assistance is based on an analysis of extensive information: 100% done.</li> <li>5. Technical assistance needs database is under development: 80% done.</li> <li>6. Percentage of webinars planned for the biennium that were carried out in 2014: 53%. The sessions organized in 2014 covered the Basel (47 sessions), Rotterdam (3 sessions), the Stockholm (37 sessions) conventions and cross-cutting themes (52 sessions). Three training programmes were organized remotely and 1 massive open online course is planned for 2015. In 2014, 1,139 persons were trained online (+43% comparing to 2013).</li> <li>7. Modules: 80 % done.</li> </ol>																																																									
<b>Achieved outputs</b>	<ul style="list-style-type: none"> <li>- 6 modules developed and available on line in English, French and Spanish by 2015COPs.</li> <li>- Supporting materials, including case studies and exercises were developed/updated for the modules.</li> <li>- Content and materials of 5 modules used in training and capacity building activities undertaken by the Secretariat during 2014 and beginning of 2015.</li> <li>- 6 modules available in three languages.</li> </ul>																																																									
<b>Challenges</b>	Funds are not yet available to cover the implementation of all the activities planned under this item.																																																									
<b>Partner(s)</b>	Basel and Stockholm conventions regional centres, regional offices of the United Nations Environment Programme (UNEP) and Food and Agriculture Organization of the United Nations (FAO), academia and other relevant stakeholders.																																																									
<b>Budget performance for 2014</b> (in United States dollars)																																																										
	<table border="1"> <thead> <tr> <th rowspan="3"></th> <th colspan="4">Budget</th> <th colspan="2">2014 Expenditures</th> <th colspan="2">Voluntary contributions deposited in 2014 for 2014-2015<sup>30</sup></th> </tr> <tr> <th colspan="2">2014 Budget</th> <th colspan="2">2015 Budget</th> <th rowspan="2">General Trust Funds</th> <th rowspan="2">Special Trust Funds</th> <th rowspan="2">Donors</th> <th rowspan="2">Total contribution</th> </tr> <tr> <th>General Trust Funds</th> <th>Special Trust Funds</th> <th>General Trust Funds</th> <th>Special Trust Funds</th> </tr> </thead> <tbody> <tr> <td>BC</td> <td>15,000</td> <td>322,500</td> <td>15,000</td> <td>155,000</td> <td>24,338</td> <td>0</td> <td>-</td> <td>0</td> </tr> <tr> <td>RC</td> <td>40,000</td> <td>322,500</td> <td>40,000</td> <td>155,000</td> <td>34,659</td> <td>94,830</td> <td>-</td> <td>0</td> </tr> <tr> <td>SC</td> <td>15,000</td> <td>321,000</td> <td>15,000</td> <td>161,000</td> <td>8,705</td> <td>121,303</td> <td>European Union</td> <td>196,289</td> </tr> <tr> <td><b>Total</b></td> <td><b>70,000</b></td> <td><b>966,000</b></td> <td><b>70,000</b></td> <td><b>471,000</b></td> <td><b>67,702</b></td> <td><b>216,133</b></td> <td><b>European Union</b></td> <td>196,289</td> </tr> </tbody> </table>		Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015 <sup>30</sup>		2014 Budget		2015 Budget		General Trust Funds	Special Trust Funds	Donors	Total contribution	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	BC	15,000	322,500	15,000	155,000	24,338	0	-	0	RC	40,000	322,500	40,000	155,000	34,659	94,830	-	0	SC	15,000	321,000	15,000	161,000	8,705	121,303	European Union	196,289	<b>Total</b>	<b>70,000</b>	<b>966,000</b>	<b>70,000</b>	<b>471,000</b>	<b>67,702</b>	<b>216,133</b>	<b>European Union</b>	196,289
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<sup>30</sup> Additionally, USD 106,666 has been received from the European Union in 2014 for activities related to the development of tools and methodologies in the 2012-2013 biennium.

## **Training and capacity-building activities to enhance the implementation of the Basel Convention at the regional level**

<b>Programme heading</b>	<b>Technical assistance and capacity-building: capacity-building and training</b>
<b>Title of activity</b>	<b>Activity 14 (BC): Training and capacity-building activities to enhance the implementation of the Basel Convention at the regional level</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decisions BC-11/1, BC-11/11, BC-11/16 and BC-11/26
<b>Short description of the activity already implemented</b>	<p><b>Strategic framework and CLI-follow up</b></p> <ol style="list-style-type: none"> <li>Two webinars were organized on the topic “Facilitation of entry into force of the Ban Amendment: Processes and Procedures of becoming a party to the Basel, Rotterdam and Stockholm Conventions” on 21 January and 13 February 2014.</li> <li>A briefing was organised for Permanent Missions in Geneva on 24 January 2014, in cooperation with the COP.12 President.</li> <li>A third global workshop on facilitation of entry into force of the Ban Amendment was organized in Pretoria, South Africa from 18 to 19 February 2014.<sup>31</sup></li> <li>Support was provided through an online training session to facilitate a segment on facilitation of entry into force of the Ban Amendment during a regional workshop organised for Arab-speaking countries in Egypt by the Basel Regional Centre located in Egypt, on 24 June 2014. Webinars on the topic were also organized on 21 January and 2 February 2014.</li> <li>A sub-regional workshop on updating national implementation plans (NIPs) and POPs wastes under the Stockholm Convention was held in Port of Spain, Trinidad and Tobago, from 8 to 8 December 2014. The training was organized in cooperation with the Basel Convention Regional Centre for the Caribbean Region in Trinidad and Tobago. The training brought together national officials from Antigua and Barbuda, Belize, Dominica, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Suriname, and Trinidad and Tobago who have started the process of reviewing and updating the national implementation plans (NIPs). In addition to those, the training addressed POPs wastes including plastics wastes in the electronic and electrical equipment and the technical guidelines on POPs wastes under the Basel Convention.</li> <li>A sub-regional workshop on illegal traffic of hazardous wastes, including PCBs and other wastes was held from 10 to 12 December 2014 in Barranquilla, Colombia. The sub-regional workshop was organized by the Ministry of Environment and Sustainable Development, Colombia in collaboration with the Basel Convention Regional Centre in Buenos Aires, Argentina. The workshop brought together the Competent authorities of the Basel Convention and the other entities involved in the enforcement chain at the national level from Colombia, Costa Rica, Ecuador, Panama, Peru and Venezuela</li> <li>Three webinar sessions were organized on the outcome of the first meeting of the Expert Working Group on Environmentally Sound Management (ESM) on 7, 9 and 30 January 2014.</li> <li>Two additional sessions were organized on the outcome of the second meeting of the Expert Working Group on ESM on 17 and 19 June 2014. In addition, two webinar sessions are planned on 17 and 19 February 2015 on the outcome of the third meeting of the Expert Working Group.</li> <li>Concept notes for individual technical assistance activities have been developed and posted on the synergies website.<sup>32</sup></li> <li>Supporting parties in collecting information on the generation, management</li> </ol>

<sup>31</sup> This activity was funded under the 2012/13 biennium (funding from Norway and Switzerland).

<sup>32</sup>

<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>

	<p>and disposal of hazardous and other wastes in support of the Strategic Framework and the CLI: Pilot projects to demonstrate and implement environmentally sound management initiated under the Expert Working Group on ESM (see Activity 34 (BC) “Coordinate and provide support to parties in follow-up to the country-led initiative on environmentally sound management and further legal clarity”).</p> <p><b>National Reporting</b></p> <ol style="list-style-type: none"> <li>11. Five webinar sessions were organized on national reporting for the Basel Convention with the new Electronic Reporting System. Two sessions were organized in English and the remaining sessions were delivered in French, Spanish and Russian. The sessions took place in January and February 2014. Additional sessions were organized in December 2014 in English, Spanish, Russian and Arabic, and two additional sessions in French and Chinese are planned for 2015.</li> <li>12. A presentation of the new electronic reporting system of the Basel Convention was provided through an online training session during a workshop for Arab-speaking countries in Egypt, organized by the Basel Regional Centre in Egypt, on 23 June 2014.</li> <li>13. An online training of three days is planned in September 2015 on national reporting for the Caribbean Region in cooperation with the Regional Centre located in Trinidad and Tobago.</li> <li>14. A sub-regional training workshop was held in Senegal from 6 to 9 July 2014 to support French-speaking countries in Africa to meet their reporting obligations under Stockholm and Basel conventions. The workshop was organized in cooperation with the Basel and Stockholm Convention Regional Centre in Senegal. The training targeted countries that have started the process of reviewing and updating their national implementation plans (NIPs) and brought together national officials from 17 French-speaking African countries.</li> </ol> <p><b>National legislation for the Basel Convention and enforcement activities</b></p> <ol style="list-style-type: none"> <li>15. Two webinar sessions were organized on 6 and 11 February to present the various guidance documents available to parties to develop and review their national legislation implementing the Basel Convention.</li> <li>16. A three-day online training on illegal traffic of hazardous wastes and other wastes with simultaneous interpretation from English into Spanish was organized on 21 and 24 November 2014, for the Latin American Region in cooperation with the Regional Centre located in Argentina.</li> </ol> <p><b>Environmentally sound dismantling of ships</b></p> <ol style="list-style-type: none"> <li>17. Continuation of a capacity building project to ensure the environmentally sound management of waste from ship dismantling in Bangladesh and Pakistan: project preparation phase completed. The Secretariat is retaining consultants for the project and organising initial stakeholder consultation meetings in Bangladesh and Pakistan for August 2014.<sup>33</sup></li> </ol> <p><b>Environmentally sound management of PCBs</b></p> <ol style="list-style-type: none"> <li>18. Webinars were organized on several topics such as: PCB inventories and draft technical guidelines on the ESM of POPs wastes.</li> </ol> <p><b>Other</b></p> <ol style="list-style-type: none"> <li>19. Training methodologies are being developed and training modules on different themes have been developed. They were used in the different capacity-building and training activities being implemented by the Secretariat, including face-to-face workshops and online webinars and meetings.<sup>34</sup></li> </ol>
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<sup>33</sup> This activity is funded under the 2012/13 biennium (funding from the European Union).

<sup>34</sup> Please also see report on the implementation of activity 13 (S1) “Development of tools and methodologies”.

	<p><b>Environmentally sound management of e-wastes</b></p> <p>20. Webinars on waste prevention, minimization, resource recovery and recycling were organized in cooperation with PACE on e-waste resource recovery and recycling in USA and in Asia, on e-waste management: examples collection systems in Japan and other Asian Countries. Webinar sessions on the UNIDO programme to manage WEEE in Latin America and Collection systems in Latin America are scheduled in 2015.</p>
<b>Objective</b>	Parties' capacities for the implementation of the Basel Convention are strengthened in line with the decisions taken by the Conferences of the Parties through training and capacity building activities.
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>1. 90 participants were trained face-to-face in areas pertinent to the Basel Convention.</li> <li>2. 47 online sessions were organized for issues under the Basel Convention.</li> <li>3. 9 areas under the Basel Convention were addressed in workshops and training sessions, including illegal traffic of hazardous wastes and other wastes, facilitation of the entry into force of the Ban Amendment, wastes consisting of, containing or contaminated with persistent organic pollutants, environmentally sound management of e-wastes, environmentally sound management of PCBs, reporting obligations, environmentally sound dismantling of ships, the environmentally sound management of hazardous and other wastes, and national legislation for the Basel Convention and enforcement activities.</li> <li>4. The Ban Amendment was addressed in 1 workshop and 2 online training sessions, providing information, advice and training to parties and other stakeholders.</li> <li>5. Increased awareness and knowledge of parties and other stakeholders related to legal, technical and other issues associated with the Basel Convention and its Ban Amendment.</li> <li>6. Increased awareness of the technical guidelines and application of the technical guidelines in the field.</li> <li>7. Better understanding of measures for implementation of the Convention and Environmentally Sound Management following sharing of experience and lessons learned between parties: 3 pilot projects initiated under the Expert Working Group on ESM (see Activity 34 (BC)).</li> <li>8. Completion of the ship dismantling project expected in December 2014 which will result in enhanced implementation of the Basel Convention and facilitate the development of hazardous waste management infrastructure in Bangladesh and Pakistan.</li> <li>9. Training activities on technical guidelines are planned for 2015.</li> </ol>
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>1. One briefing for Permanent Missions in Geneva; 2 online training sessions and a 2-day global workshop on facilitation of the entry into force of the Ban Amendment organized.</li> <li>2. Five briefing sessions were organised on the outcomes of the Expert Working Group meetings on ESM.</li> <li>3. During the online sessions, briefing and workshop, advice, documentation and assistance were provided as requested.</li> <li>4. Preparation phase of the ship dismantling project completed: legal agreements signed, retention of consultants (ongoing) and organisation of stakeholder consultations (ongoing).</li> </ol>
<b>Challenges</b>	<p><b>Environmentally sound dismantling of ships</b></p> <p>Delays experienced in implementation – this capacity building project was to be implemented in 2012/13, however, there have been unforeseen delays experienced with some project partners.</p>
<b>Partner(s)</b>	<p>Focal points and other government representatives identified by parties, Basel Convention Regional Centres (BCRCs), civil society and academia.</p> <p><b>Environmentally sound dismantling of ships</b></p> <p>International Maritime Organization, Governments of Bangladesh and Pakistan.</p>

<b>Budget performance for 2014</b>							
(in United States dollars)							
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015 <sup>35</sup>	
2014 Budget		2015 Budget					
BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund	Donors	Total contribution
0	420,000	0	635,000	0	245,579	Norway	140,240

<sup>35</sup> USD 140,240 has been received from Norway for 2014 activities related to implementation of Basel convention regional centres (recorded in 2013).

## Training and capacity-building activities to enhance the implementation of the Rotterdam Convention at national and regional levels

<b>Programme heading</b>	<b>Technical assistance and capacity-building: Capacity-building and training</b>
<b>Title of activity</b>	<b>Activity 15 (RC): Training and capacity-building activities to enhance the implementation of the Rotterdam Convention at national and regional levels</b>
<b>Convention(s)</b>	<input type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decision RC-6/11 Articles 16 and 19 of the Rotterdam Convention
<b>Short description of the activity already implemented</b>	<ol style="list-style-type: none"> <li>1. Training materials and methodologies have been developed and existing ones refined.</li> <li>2. A strategy for the implementation of the technical assistance programme for the biennium 2014-2015 has been developed.</li> <li>3. Concept notes for individual technical assistance activities have been developed and posted on the synergies website.<sup>36</sup></li> <li>4. Specific technical assistance activities have been implemented related to: <ul style="list-style-type: none"> <li>Support for ratification of the Convention, development of national action plans, monitoring and reporting severely hazardous pesticide formulations (SHPF), alternatives to chemicals listed under the Rotterdam and Stockholm conventions, fostering regional co-operation among national focal points to the Basel, Rotterdam and Stockholm conventions, connecting social dimension aspects to national decision-making processes for regulation of pesticides at national level, strengthening the capacity of parties to provide import responses and notifications of final regulatory action for industrial chemicals, support efforts by countries in the Asian-Pacific region to strengthen their capacities to implement their obligations under the World Health Organization (WHO) and the Basel, Rotterdam and International Labour Organization (ILO) conventions and thus, minimize asbestos-related diseases. <ul style="list-style-type: none"> <li>(a) A training and planning meeting on the implementation of the Rotterdam Convention was organized on 10-13 March 2014 in Sao Tome and Principe (15 participants);</li> <li>(b) A regional workshop and training on identification of high risk practices, pesticide exposure scenarios of vulnerable group, data collection and awareness raising was held on 17-20 March 2014 in Chisinau, Moldova as part of the project GCP/RER/040/EC (30 participants);</li> <li>(c) The final workshop for the industrial chemicals management pilot project under the Rotterdam Convention in Botswana took place on from 18 to 21 March 2014 in Botswana. The Ministry of Health, the designated national authority for industrial chemicals under the Rotterdam Convention, coordinated a workshop for national stakeholders involved in chemicals management;</li> <li>(d) A meeting on promotion of ratification of the Rotterdam Convention was organized between 21-24 April 2014 in Hammamat, Tunisia (25 participants);</li> <li>(e) The regional workshop on fostering cooperation between designated national authorities (DNAs) of CILSS countries with focus on supporting the preparation of the notification of final regulatory action was organized on 7-11 April 2014 in Dakar, Senegal (30 participants);</li> <li>(f) The final workshop under the industrial chemicals pilot project was organized in cooperation with the Ministry of Natural Resources and Environment (SERNA), Center for the Study and Control of Pollutants, (CESSCO) of the Republic of Honduras and took place</li> </ul> </li> </ul> </li> </ol>

	<p>from 12 to 15 May 2014 in Tegucigalpa, Honduras;</p> <p>(g) A national SHPF inception workshop was held on 9-24 May 2014 in Amman, Jordan (25 participants);</p> <p>(h) The final stakeholders workshop for the pilot project on strengthening industrial chemicals management under the Rotterdam Convention took place on 2-5 June 2014 in Nouakchott, Mauritania;</p> <p>(i) A national workshop on national Action plans (NAPs) was organized by FAO in cooperation with the Government of Georgia on 10-14 June 2014 in Georgia;</p> <p>(j) A national workshop on SHPF took place on 1-4 July 2014 in Bogota, Colombia. It was organized in cooperation with the Government of Colombia and the Designated National Authority (40 participants);</p> <p>(k) A national workshop on NAPs was organized on 1-4 July 2014 in Cambodia (25 participants);</p> <p>(l) A regional workshop on data collection and identification of vulnerable groups in Former Soviet Union countries was held on 7-11 July 2014 in Tbilisi, Georgia as part of the project GCP/RER/040/EC (40 participants);</p> <p>(m) A national workshop on SHPF was organized in cooperation with the Government of Oman and the FAO regional office in Cairo in Muscat, Oman on 15-17 September 2014 (30 participants);</p> <p>(n) The sub-regional workshop on NAP or strategy for the implementation of the Rotterdam Convention was organized in cooperation with the FAO sub-regional office in Panama and the Government of Guatemala between 29 September and 3 October 2014 in Guatemala City, Guatemala (20 participants);</p> <p>(o) A sub-regional workshop on industrial chemicals under the Rotterdam Convention was organized in cooperation with the Basel and Stockholm conventions regional centre for the English-Speaking African countries in South Africa – Africa Institute in Pretoria, South Africa on 21-23 October 2014;</p> <p>(p) A sub-regional workshop on industrial chemicals under the Rotterdam Convention, was organized in cooperation with the Basel Convention Regional Centre for the Caribbean Region in Trinidad and Tobago on 8-10 October 2014 in Port-of-Spain, Trinidad and Tobago;</p> <p>(q) A national workshop and training on identification of high risk practices, pesticide exposure scenarios of vulnerable group, data collection and awareness raising was held on 16-20 November 2014 in Bishkek, Kyrgyzstan as part of the project GCP/RER/040/EC (20 participants);</p> <p>(r) A regional training workshop for Asia and Pacific Plant Protection Commission (APPPC) member countries on the Code of Conduct on Pesticide Management and the Rotterdam Convention organized in cooperation with APPPC secretariat from 26 – 30 January 2015 in Kathmandu, Nepal (26 participants).</p> <p>5. Training methodologies are being developed and training modules on different themes have been developed. They were used in the different capacity-building and training activities being implemented by the Secretariat, including face-to-face workshops and online webinars and meetings.<sup>37</sup></p> <p>6. Webinars on chemical and non-chemical alternatives to endosulfan were organized on 25 and 27 February 2014. Six webinar sessions are planned from January to March 2015 on alternatives to endosulfan to control pests in coffee cultivations. Webinars on industrial chemicals, on how to submit import responses and notifications of final regulatory actions are planned for 2015.</p>
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<sup>37</sup> Please also see report on the implementation of activity 13 (S1) “Development of tools and methodologies”.



<b>Objective</b>	Support provided to parties in order for them to strengthen their capacities for the implementation of the Rotterdam Convention in specific areas and in line with the decisions taken by the Conferences of Parties through training and capacity building activities.
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>1. Participants trained in areas pertinent to the Rotterdam Convention: 760.</li> <li>2. Areas/issues of the Rotterdam Convention addressed in workshops and training sessions covered support for ratification of the Convention, development of national action plans, monitoring and reporting of severely hazardous pesticide formulations (SHPF), identification of alternatives to chemicals listed under the Rotterdam and Stockholm conventions, fostering regional co-operation among national focal points to the Basel, Rotterdam and Stockholm conventions, connecting social dimension aspects to national decision-making processes for regulation of pesticides at national level, strengthening the capacity of parties to provide import responses and notifications of final regulatory action of pesticides and industrial chemicals. Results on fostering cooperation exceeded the target indicator, with successful activities carried out in the CILSS countries, in the frame of the Asian Pacific Plant Protection Convention meeting held in Nepal, and the meeting held in Lebanon between several countries in the Near East.</li> <li>3. Increased number of parties having developed elements of National Action Plans (NAPs) or strategies: Target met. Seven countries identified elements and developed such plans.</li> <li>4. Increase in the number of import responses and notifications of final regulatory actions submitted by parties: The indicator is very well on track. Capacity-building activities under the technical assistance programme of the Rotterdam Convention had a very positive impact on the number of import responses and notifications of final regulatory actions provided by parties. Details are contained in document UNEP/FAO/RC/COP.7/INF/5.</li> <li>5. Increase in the number of reports from parties on results of monitoring activities related to pesticide incidents and severely hazardous pesticide formulations: Work on this indicator is well on track. The defined indicator for the number of parties in which pilot projects or programmes on Severely Hazardous Pesticide Formulations was exceeded (activities in Colombia, El Salvador, Dominican Republic, Nicaragua, Morocco, Oman, Jordan, Georgia). On the other hand, the actual submission of SHPF proposals is lacking behind and requires that challenges be addressed.</li> <li>6. Increased awareness and knowledge of parties related to technical and other issues associated with the implementation of the Rotterdam Convention.</li> </ol>
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>1. Seven country reports on SHPF, together with numerous additional information and training material have been finalized and, together with relevant workshop reports, have been posted on the Rotterdam Convention website.</li> <li>2. Two programmes on alternatives to POPs and chemicals listed under the Rotterdam Convention were implemented, a guidance document was developed, and the field implementation phase for 2015 is planned. Further one more programme is being implemented in 2015. The programmes include workshops in recipient countries, Uganda, Mozambique and Guatemala, and in the field activities.<sup>38</sup></li> <li>3. Seven workshops to prepare national action plans were convened.</li> <li>4. Six parties requested to continue the work on the social dimension of pesticides risk reduction strategies.</li> <li>5. Pilot projects on industrial chemicals management under the Rotterdam convention were concluded in 3 countries.</li> <li>6. 2 sub-regional workshops on industrial chemicals under the Rotterdam convention that covered 21 countries in Africa and the Caribbean were carried out.</li> </ol>
<b>Challenges</b>	Overall shortage in Voluntary Special Trust Fund of the Rotterdam Convention.

<sup>38</sup> Please also see report on the implementation of activity 22 (SC) "Scientific support to parties to the Stockholm Convention".

<b>Partner(s)</b>		FAO-Pest and Pesticide Management Group, Basel and Stockholm conventions regional centres.					
<b>Budget performance for 2014</b> (in United States dollars)							
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015 <sup>39</sup>	
2014 Budget		2015 Budget					
RC Trust Fund	RV Trust Fund	RC Trust Fund	RV Trust Fund	RC Trust Fund	RV Trust Fund	Donors	Total contribution
0	1,305,500	0	1,525,500	0	1,230,438	European Union	275,832

<sup>39</sup> Additionally, USD 353,340 have been received from the European Union in 2014 for training and capacity building activities under the Rotterdam Convention in the 2012-2013 biennium.

## **Training and capacity-building activities to enhance the implementation of the Stockholm Convention at the regional level**

<b>Programme heading</b>	<b>Technical assistance and capacity-building: capacity-building and training</b>
<b>Title of activity</b>	<b>Activity 16 (SC): Training and capacity-building activities to enhance the implementation of the Stockholm Convention at the regional level</b>
<b>Convention(s)</b>	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decisions SC-6/12 and SC-6/15 Article 12 of the Stockholm Convention
<b>Short description of the activity already implemented</b>	<p><b>Training activities and project implementation</b></p> <ol style="list-style-type: none"> <li>Two programmes on alternatives to POPs and chemicals listed under the Rotterdam Convention were implemented, a guidance document was developed, and the field implementation phase for 2015 is planned. Further one more programme is being implemented in 2015. The programmes include workshops in recipient countries, Uganda, Mozambique and Guatemala, and in the field activities.<sup>40</sup></li> <li>10th Summer School of Environmental Chemistry and Toxicology, 23-28 June 2014, Brno, Czech Republic (detailed in activity 22(C)).</li> <li>A sub-regional training workshop was held in Senegal from 6 to 9 July 2014 to support French-speaking countries in Africa to meet their reporting obligations under Stockholm and Basel conventions. The workshop was organized in cooperation with the Basel and Stockholm Convention Regional Centre in Senegal. The training targeted countries that had started the process of reviewing and updating their national implementation plans (NIPs) and brought together national officials from 17 French-speaking African countries.</li> <li>A global face-to-face training workshop on NIPs and national reporting under the Stockholm Convention for the Latin America region was held in Montevideo, Uruguay, from 5 to 8 August 2014. The workshop was organized by the Basel and Stockholm Convention Regional Centre in Uruguay. The workshop brought together national officials from ten Spanish-speaking countries from Latin America: Argentina, Cuba, Chile, Colombia, Dominican Republic, El Salvador, Guatemala, Nicaragua, Panama, Uruguay and Venezuela. In addition, representatives of the Basel Convention Regional Centre for Central America and Mexico (BCRC-CAM) and the Basel Convention Regional Centre in Argentina (BCRC-Argentina) took part in the workshop.</li> <li>The sub-regional workshop on updating NIPs and on POPs wastes under the Stockholm Convention was held in Port of Spain, Trinidad and Tobago, from 8 to 10 December 2014. The training was organized in cooperation with the Basel Convention Regional Centre for the Caribbean region in Trinidad and Tobago. The training brought together national officials from Antigua and Barbuda, Belize, Dominica, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Suriname, and Trinidad and Tobago who had started the process of reviewing and updating NIPs. In addition to those issues, the training addressed POPs wastes including plastics wastes in electronic and electrical equipment and technical guidelines on POPs wastes under the Basel Convention.</li> <li>Concept notes for technical assistance activities have been developed and posted on the synergies website.<sup>41</sup></li> <li>Training methodologies are being developed and training modules on different themes have been developed. They were used in the different capacity-building and training activities being implemented by the</li> </ol>

<sup>40</sup> Please also see report on the implementation of activity 22 (SC) "Scientific support to parties to the Stockholm Convention".

<sup>41</sup>

<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>

	<p>Secretariat, including face-to-face workshops and online webinars and meetings.<sup>42</sup></p> <p>8. Eighteen webinar sessions were organized on new POPs and NIP updating under the Stockholm Convention in English and Spanish on themes such as: NIP updating in view of the entry into force of the amendment listing HBCD under the Stockholm Convention, on updating and revising source inventories and release estimates of unintentionally produced POPs and establishing inventories of POP-PBDEs and other brominated flame retardants (BFRs) listed under the Stockholm Convention. For a detailed list of webinars organized see document: UNEP/CHW.12/INF/22-UNEP/FAO/RC/COP.7/INF/14-UNEP/POPS/COP.7/INF/17.</p>
<b>Objective</b>	Parties' capacities for the implementation of the Stockholm Convention are strengthened in specific areas and in line with the decisions taken by the Conferences of Parties through training and capacity-building activities.
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>1. 130 participants trained in areas pertinent to the Stockholm Convention.</li> <li>2. Areas/issues under the Stockholm Convention addressed in workshops and training sessions: reviewing and updating national implementation plans, reviewing and updating polychlorinated dibenzo-p-dioxins and dibenzo furans inventories, ecotoxicology, alternatives to newly listed persistent organic pollutants focusing on endosulfan, reporting obligations. Also cooperated with UNEP and UNIDO on training activities organized by them to enhance parties understanding on new chemicals listed under the Stockholm Convention.</li> <li>3. Increased awareness and knowledge of parties related to technical and other issues associated with the implementation of the Stockholm Convention;</li> <li>4. Increased awareness of the guidance documents, technical guidelines and their application in the field.</li> <li>5. Guidance documents are applied by at least five parties.</li> <li>6. Better understanding of measures for better implementation of the Convention following sharing of experience and lessons learned between parties.</li> <li>7. Cooperation of key partners for the development of concepts and project proposals for two projects (subject to requests from parties).</li> </ol>
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>1. Sub-regional and regional training activities are implemented: 5 workshops, 8 staff travel, 6 consultants, development of public awareness material).</li> <li>2. General overview of specific exemptions and acceptable purposes were provided as a part of the NIPs updating workshops. Two activities to address these issues in-depth are planned for 2015.</li> <li>3. 3 training sessions on monitoring of the presence of BDEs and PFOS in products and articles (consultancy and workshops).</li> <li>4. 3 regional workshops held to increase capacity of parties to maintain inventories of sources and estimates of releases of unintentionally produced POPs and report such releases under Article 15.</li> <li>5. Training tools are developed / updated , translated and published.</li> <li>6. Facilitation, in cooperation with regional centres and key partners, of the development and submission of project proposals to donors.</li> </ol>
<b>Challenges</b>	No challenges encountered so far. Fund-raising activities underway.
<b>Partner(s)</b>	National governments, as well as Basel and Stockholm conventions regional centres.

<sup>42</sup> Please also see report on the implementation of activity 13 (S1) "Development of tools and methodologies".

<b>Budget performance for 2014</b> (in United States dollars)							
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015 <sup>43</sup>	
2014 Budget		2015 Budget					
SC Trust Fund	SV Trust Fund	SC Trust Fund	SV Trust Fund	SC Trust Fund	SV Trust Fund	Donors	Total contribution
0	735,500	0	622,500	0	250,906	Germany, Japan and Norway	389,348

<sup>43</sup> Additionally, USD 18,000 has been received from the European Union in 2014 for training and capacity-building activities under the Stockholm Convention in the 2012-2013 biennium.

**Training and capacity-building activities to enhance the implementation of the Basel, Rotterdam and Stockholm conventions at the regional and national levels**

<b>Programme heading</b>	<b>Technical assistance and capacity-building: Capacity-building and training</b>
<b>Title of activity</b>	<b>Activity 17 (S2/S3): Training and capacity-building activities to enhance the implementation of the Basel, Rotterdam and Stockholm conventions at the regional and national levels</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decisions BC-11/11, RC-6/11 and SC-6/15 Articles 10 and 16 of the Basel Convention, Articles 16 and 19 of the Rotterdam Convention and Articles 12 and 20 of the Stockholm Convention
<b>Short description of the activity already implemented</b>	<ol style="list-style-type: none"> <li>1. Two programmes on alternatives to POPs and chemicals listed under the Rotterdam Convention were implemented, a guidance document was developed, and the field implementation phase for 2015 is planned. Further one more programme is being implemented in 2015. The programmes include workshops in recipient countries, Uganda, Mozambique and Guatemala, and in the field activities.</li> <li>2. A regional training workshop was held in Senegal to support French-speaking countries in Africa in meeting their reporting obligations under the Basel and Stockholm conventions, from 6 to 8 July 2014.</li> <li>3. Preparations for the sub-regional workshop on enhancing effective participation in the work of the Chemicals Review Committee (CRC) and the POPs Review Committee (POPRC) that is scheduled in Lusaka, Zambia, 10-12 February 2015 have commenced. The workshop is to be organized by the Basel Convention Coordinating Centre for the African Region in Nigeria and the Government of Zambia.</li> <li>4. A training workshop entitled "Capacity Building Workshop for Customs Officers on Controlling the Trade of Environmentally Sensitive Commodities" was organized in Tonga, 10-14 March 2014. The workshop, organized by UNEP and co-funded by the Secretariat, aimed at providing training for senior customs officials from 14 countries in the Pacific region on trade related and enforcement aspects of the Basel, Rotterdam and Stockholm conventions.</li> <li>5. Three national and one regional pilot project on training and capacity building to promote the joint implementation of the Basel, Rotterdam and Stockholm conventions are being implemented with the help of regional centres located in Slovakia, India, El Salvador, and Brazil. The principal beneficiary parties of these pilot projects are Belarus, Sri Lanka, El Salvador and the Latin America and Caribbean region.</li> <li>6. The Secretariat participated in a training workshop for customs officers in South-East Asia that took place in the People's Democratic Republic of Lao, from 1 to 4 April 2014.</li> <li>7. A training and awareness raising workshop for 11 Pacific Islands countries was convened in Suva, Fiji, from 10-12 September 2014. A total of 35 participants attended the meeting which was organized in close cooperation with the FAO-subregional office in Samoa and the FAO pest and pesticide management team. Objective were to promote ratification, foster integration of key players, and increase capacity to implement multilateral environmental agreements.</li> <li>8. A regional training was delivered for 12 former Soviet Union countries, in Georgia Tbilisi, July 2014, in order to present the context of action and influence of the Rotterdam Convention and synergies with the Basel and Stockholm conventions. Particular focus was given to the sustainable development goals, their linkages to the three conventions and their</li> </ol>

	<p>implementation at nation and regional level.</p> <p>9. Concept notes for technical assistance activities have been developed and posted on the synergies website.<sup>44</sup> Fundraising activities are under way.</p>
<b>Objective</b>	Supporting parties in the implementation of their obligations under the Basel, Rotterdam, Stockholm conventions.
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>1. Following the capacity-building activities, Benin, Republic of Congo and Colombia ratified the Ban Amendment.</li> <li>2. 138 participants trained in areas pertinent to two or three conventions.</li> <li>3. Areas/issues addressed in workshops and training sessions: chairing meetings of the Basel, Rotterdam and Stockholm conventions, national cooperation and coordination for the implementation of the Basel, Rotterdam and Stockholm conventions and effective participation in the work of the Rotterdam Convention's Chemical Review Committee and the Stockholm Convention's Persistent Organic Pollutants Review Committee.</li> <li>4. Activities to identify a number of parties with institutional frameworks established or revised for the implementation of the chemicals and waste conventions are planned for 2015.</li> <li>5. Activities to identify institutional challenges to and infrastructural gaps in the implementation of the conventions in 10 least developed countries are planned for 2015.</li> <li>6. Increased awareness and knowledge of parties related to technical and other issues associated with the implementation of the three conventions.</li> <li>7. Increased awareness of the technical guidelines, guidance documents and other relevant materials and their application by the parties.</li> <li>8. Better understanding of measures needed for implementation of the three conventions following sharing of experience and lessons learned between parties.</li> <li>9. Number of import responses and notifications submitted to the Secretariat is increased.</li> <li>10. Cooperation with key partners for the development of concepts and project proposals for two projects (subject to requests from parties).</li> <li>11. Range of activities related to implementation of 3 conventions integrated in field projects of the FAO pesticide management programme.</li> <li>12. Results regarding BRS activities integrated into FAO field projects are on track. Highlights of this indicator include the joint workshop on multilateral environmental agreements held in Fiji.</li> </ol>
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>1. Planning of activities is ongoing as expected.</li> <li>2. Pacific Islands Countries enhanced knowledge on controlling the trade in environmentally sensitive commodities and implementation of the Rotterdam and other multilateral environmental agreements on chemical management and biodiversity.</li> <li>3. French-speaking African countries are trained to use the electronic reporting system under the Basel and Stockholm Conventions.</li> <li>4. English-speaking Caribbean countries are trained on updating national implementation plans, including updating and revising PCDD/PDCF inventories (phase I) and POPs wastes under the Stockholm and Basel conventions.</li> </ol>
<b>Challenges</b>	No challenges encountered so far. Fundraising activities under way.
<b>Partner(s)</b>	National governments and Basel and Stockholm conventions regional centres.

**Budget performance for 2014**

(in United States dollars)

	Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015 <sup>45</sup>	
	2014 Budget		2015 Budget		General Trust Funds	Special Trust Funds	Donors	Total contribution
	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds				
BC	0	236,000	0	316,000	0	0	-	0
RC	0	473,500	0	419,500	0	0	-	0
SC	0	294,000	0	337,000	0	124,531	European Union	22,995
<b>Total</b>	<b>0</b>	<b>1,003,500</b>	<b>0</b>	<b>1,072,500</b>	<b>0</b>	124,531	<b>European Union</b>	<b>22,995</b>

<sup>45</sup> Additionally, USD 200,000 has been received from the European Union in 2014 for activities related to capacity-building and training in the 2012-2013 biennium.



**Partnerships for technical assistance**

<b>Programme heading</b>	<b>Technical assistance and capacity-building: Partnerships</b>
<b>Title of activity</b>	<b>Activity 18 (S4): Partnerships for technical assistance</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decisions BC-11/11, BC-11/15, RC-6/11 and SC-6/15
<b>Short description of the activity already implemented</b>	<ol style="list-style-type: none"> <li>1. The plan for establishing and implementing strategic partnerships with key partners was reviewed and updated in July 2014.</li> <li>2. Serving as secretariat of the Partnership for Action on Computing Equipment (PACE) and facilitating its operation and activities: <ol style="list-style-type: none"> <li>(a) The 7<sup>th</sup> physical PACE meeting was held in Jakarta, Indonesia, 27-29 May 2014;</li> <li>(b) Several teleconferences of the PACE working group and the PACE sub-groups were organized;</li> <li>(c) PACE members actively participated in the discussion of the technical guidelines on transboundary movements of e-waste which has to be adopted by the parties before section 3 of the PACE Guidance Document can be revised;</li> <li>(d) A project agreement was signed with the Basel Convention Regional Centre in Slovakia in January 2014 for the PACE pilot project in Serbia. Another project agreement was signed with the Basel Convention Regional Centre in Trinidad and Tobago in October 2014 for a PACE pilot project in Suriname. Additional pilot project to be implemented in Burkina Faso, El Salvador, Moldova, Southern African region (Lesotho, Namibia, South Africa) are under development;</li> <li>(e) Webinars were held on extended producer responsibility, recycling, certifications and on collection systems. A PACE side event was held during OEWG-9 and another one is planned during Basel Convention COP-12. A PACE guidelines dissemination workshop for the African region is planned to be held in March 2015.</li> </ol> </li> <li>3. Promoting the implementation of partnerships already established by other partners: <ol style="list-style-type: none"> <li>(a) Several Solving the E-waste Problem (StEP) Initiative teleconferences of the task forces on policy and capacity-building were attended. The Secretariat participated in face-to-face meetings of the StEP General Assembly in Vienna, Austria, on 22-24 October 2013 and in Bonn, Germany, on 13-15 May 2014 as well as the virtual StEP General Assembly meeting through WebEx from 16-18 December 2014. A StEP E-waste Academy for Managers (EWAM) was held in San Salvador in March 2014, in cooperation with the BCRC-CAM. Another EWAS was hosted by the BCRC China in November 2014 in Shanghai;</li> <li>(b) The Secretariat initiated a task group on measuring e-waste within the framework of the International Telecommunication Union (ITU) / United Nations Conference on Trade and Development (UNCTAD) / Economic Commission for Latin America and the Caribbean (ECLAC) led Partnership on Measuring ICT for Development. The draft guidelines on classification, reporting and indicators for e-waste statistics were finalized in November 2014.</li> </ol> </li> <li>4. Initiating new partnerships: <ol style="list-style-type: none"> <li>(a) Promoting training and development of innovative and alternative technologies through partnership with academic institutions and education and science related United Nations (UN) organizations: <ol style="list-style-type: none"> <li>(i) UNU organized an E-waste Academy for Students (EWAS), which was hosted by the Secretariat in December 2013;</li> <li>(ii) Contacts were established with several universities to discuss the possible development of Mass Open Online Courses (MOOCS) on Basel, Rotterdam and Stockholm conventions</li> </ol> </li> </ol> </li> </ol>

	<p>related issues. A cooperation with the Climate Knowledge and Innovation Communities (CLIMATE-KICs) created by the European Institute of Innovation and Technology is under development;</p> <ul style="list-style-type: none"> <li>(iii) The Secretariat participates in an on-line forum on pesticides organized by the University of Cape Town;</li> <li>(iv) The Secretariat exchanges information and expertise on university curricular with several BCRCs and SCRCs which are linked to universities in their host countries;</li> <li>(v) The Secretariat of Rotterdam Convention in Rome holds a partnership with the Oregon State University to introduce a new tool on pesticide risk assessment (PRIME). This tool will allow pesticides registrars to evaluate registration dossiers taking into consideration the local conditions of Sahel. The Secretariat will continue the work in collaboration with the Oregon State University to adapt the tool to West African conditions, as a pilot activity.</li> </ul> <p>(b) Strengthen the involvement of private sector partners and public interest non-governmental organizations (NGOs) in conventions implementation related activities:</p> <ul style="list-style-type: none"> <li>(i) A regional e-waste management meeting organized by the International Centre for Research in Sustainable Development (ICRSD) in Nairobi in October 2014 was supported;</li> <li>(ii) Involvement of Dell, HP, and Nokia in the planning of the Pan-Africa Forum to be held in 2015 is facilitated in cooperation with the Basel Convention Coordinating Centre in Nigeria (BCCC–Nigeria); <ul style="list-style-type: none"> <li>iii) Cooperation with Orange and Nokia in the context of ITU study group 5 led to the development of a technical paper on life-cycle management of ICT equipment which was submitted to the study group in December 2014;</li> </ul> </li> <li>(iii) The Secretariat is member of the advisory council for the Swiss funded Sustainable Recycling Industries (SRI) Programme implemented by the Swiss Federal Laboratories for Material Science and Technologies (EMPA) and the World Resources Forum (WRF) in Brazil, Egypt, Ghana, India and South Africa;</li> <li>(iv) The Secretariat of the Rotterdam Convention in Rome works in partnership with the project on improving capacities to eliminate and prevent recurrence of obsolete pesticides as a model for tackling unused hazardous chemicals in the former Soviet Union (GCP/0140/RER/EC);</li> <li>(v) The Secretariat of the Rotterdam Convention in Rome, in partnership with Pesticide Action Network –UK carries on activities in former Soviet Union countries for vulnerable groups and pesticides poisoning monitoring.</li> </ul> <p>(c) Promote initiatives to establish inter-regional cooperation:</p> <ul style="list-style-type: none"> <li>(i) The EU-Africa e-waste enforcement partnership was supported through planning of a joined workshop in 2015;</li> <li>(ii) Involvement of BCRCs in Latin America, Western African and Southern African regions in UNIDO planning for regional e-waste management projects was facilitated. Similar approaches are planned with UNIDO in the Western and Southern African regions. The Secretariat attended a planning meeting with UNIDO in September 2014 in Vienna.</li> </ul> <p>5. Concept notes for fundraising for the initiation of new partnership activities were developed and posted on the synergies website.<sup>46</sup></p>
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<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>

<b>Objective</b>	Strategic partnerships with key partners including academia and other multilateral environmental agreements (MEAs) support the joint implementation of the Basel, Rotterdam and Stockholm conventions.
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>1. The revised plan on strategic partnerships with key partners was updated and distributed in August 2014. Placing on the website is pending until the restructured technical assistance website is operational.</li> <li>2. Overall PACE Guidance Document and guidelines as far as adopted by COP-11 are available on the web.</li> <li>3. Document on incentives and certification schemes was finalized by the PACE Working Group and submitted to COP-12.</li> <li>4. Three PACE pilot project were initiated in Jordan, Serbia and Suriname in 2014. Pilot activities will be launched in early 2015 in Moldova, El Salvador, the Southern African region (Lesotho, Namibia, South Africa) and Burkina Faso.</li> <li>5. A workshop on PACE guidelines was organized by the BCRC-Trinidad and Tobago in July 2013. PACE will also provide co-funding for an additional workshop in Africa to be held in 2015.</li> <li>6. A partner agreement was signed between the BCRC-El Salvador and BCRC-China on promotion of regional used lead-acid batteries (ULAB) management strategies.</li> <li>7. Paper on E-waste Indicators and Statistics under the Partnership on Measuring ICT for Development was peer reviewed and finalized in December 2014, to be published through UNU and distributed in 2015.</li> <li>8. UNIDO/GEF project on e-waste management in Latin America.</li> <li>9. SECO/EMPA/WRF Sustainable Recycling Industries (SRI) Programme.</li> <li>10. EC project on tackling unused hazardous chemicals in the former Soviet Union.</li> <li>11. Pesticide Action Network –UK project in former Soviet Union countries for vulnerable groups and pesticides poisoning monitoring.</li> <li>12. Number of participants that have attended academic courses related to conventions: 19 students (9 women, 10 men) attended EWAS hosted by the Secretariat in December 2013; A StEP E-waste Academy for Managers (EWAM) was held in San Salvador in March 2014 in cooperation with the BCRC-CAM. Another EWAS was hosted by the BCRC China in November 2014 in Shanghai.</li> <li>13. EU-Africa e-waste enforcement workshop planned to be held in 2015.</li> <li>14. Partnerships evaluation planned end of 2015.</li> </ol>
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>1. Two PACE physical meetings held.</li> <li>2. Overall PACE Guidance Document adopted by Basel Convention COP 11 except section 3 on transboundary movement.</li> <li>3. PACE pilot projects and awareness raising workshops carried out (see above).</li> <li>4. 19 students participated in an e-waste training offered by UNU in cooperation with the Secretariat.</li> <li>5. Paper on E-waste Indicators and Statistics finalized.</li> <li>6. Cooperation agreement on ULAB between BCRC-El Salvador and BCRC-China.</li> <li>7. 3 regional centres are involved in the UNIDO regional e-waste management project in Latin America.</li> <li>8. Basel Convention related technical guidelines reflected in the documents of the mercury partnership and the global waste management partnership.</li> <li>9. Import responses and SHPF proposals were received from former Soviet Union countries.</li> <li>10. Raw data on vulnerability to pesticides at social level are being collected and analysed.</li> </ol>
<b>Challenges</b>	No funding agency has been identified yet that would provide voluntary funds to initiate and support new partnerships.
<b>Partner(s)</b>	PACE members, UNU/StEP Initiative, Chemicals Branch and the International Environmental Technology Center (IETC) of the UNEP Division of Technology, Industry and Economics (DTIE), UNIDO, ITU Study Group 5, members of the Partnership on Measuring ICT for Development, BCRCs, SCRCs, PAN, University of Cape Town, University of Oregon, SECO, EMPA, WRF, EC.

**Budget performance for 2014**

(in United States dollars)

	Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015	
	2014 Budget		2015 Budget					
	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	Donors	Total contribution
BC	22,000	382,500	25,000	282,500	0	199,765	Various PACE members	57,480
RC	0	100,000	0	80,000	0	0	-	0
SC	0	107,500	0	87,500	0	47,707	-	0
<b>Total</b>	<b>22,000</b>	<b>590,000</b>	<b>25,000</b>	<b>450,000</b>	<b>0</b>	<b>247,472</b>	<b>Various PACE members</b>	<b>57,480</b>

### **Coordination of and support to the Basel and Stockholm Conventions regional centres and cooperation and coordination between regional centres**

<b>Programme heading</b>	<b>Technical assistance and capacity-building: Regional centres</b>
<b>Title of activity</b>	<b>Activity 19 (S8/S9): Coordination of and support to the Basel and Stockholm Conventions regional centres and cooperation and coordination between regional centres</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decision BC-11/11, BC-11/12, BC-11/13, RC-6/11, SC-6/15 and SC-6/16 Article 14 of the Basel Convention and Article 12 of the Stockholm Convention
<b>Short description of the activity already implemented</b>	<ol style="list-style-type: none"> <li>1. The Secretariat maintained constant communication with the 14 Basel Convention regional centres (BCRCs) and 16 Stockholm Convention regional centres (SCRCs) to facilitate the exchange of information on issues relating to technical assistance and capacity-building.</li> <li>2. Reminders were sent to the regional centres that did not submit their work plans/business plans.</li> <li>3. Similar formats were developed for the business plans/work plans and activity reports of the regional centres of the Basel and Stockholm conventions.</li> <li>4. A close follow-up on the activity reports for the period 2013-2014 was done with the BCRCs and SCRCs.</li> <li>5. The Secretariat reminded regional centres of the Basel and Stockholm conventions to provide further information to facilitate the evaluation of their performance and sustainability in an objective manner.</li> <li>6. The Secretariat carried out the draft evaluation of performance and sustainability of all the centres under the Basel and Stockholm conventions.</li> <li>7. 12 online meeting were held, on 11 and 12 September, 9 and 10 October and 4 and 6 November 2013; and on 24 and 25 September, 8 and 9 October and 5 and 6 November 2014, the objective of which was to follow up on the decisions adopted by the conferences of the parties to the Basel and Stockholm conventions at their respective meetings held in 2013, in particular those decisions related to technical assistance and regional centres, with a view to preparing for the joint meetings of regional centres in 2013 and 2014; and raising awareness on the availability and use of the online activity reporting system for the regional centres.</li> <li>8. The Secretariat coordinated and facilitated the implementation of 3 pilot projects on the coordinated implementation of the Basel, Rotterdam and Stockholm conventions with the regional centres located in El Salvador, India and Slovakia.</li> <li>9. The Secretariat facilitated the implementation of 1 project on the environmentally sound management (ESM) of electronic and hazardous wastes in coordination with the Expert Working Group on ESM.</li> <li>10. The Secretariat invited and selected 7 project proposals for funding under the Regional Centre Small Grants for Technical Assistance. The projects selected for funding were submitted by the regional centres located in China, Czech Republic, Spain, Brazil, Trinidad and Tobago and Samoa.</li> <li>11. The Secretariat followed up on the implementation of the above projects through the substantive and financial reports.</li> <li>12. The annual joint meetings of the regional centres under the Basel and Stockholm conventions were held in Geneva from 27 to 29 November 2013 and on 27 and 28 November 2014, with the objective of enhancing the performance of the regional centres and fostering regional cooperation and collaboration among them. The 2013 meeting focused on harmonizing the processes between the regional centres under the Basel and Stockholm conventions, particularly regarding the templates used for preparing business plans, workplans and activity reports. The 2014 meeting focused on the evaluation of the performance and sustainability of the regional centres to be undertaken by the respective conferences of the parties at their meetings in 2015. The reports of those meetings are available on the Basel and</li> </ol>

	<p>Stockholm conventions websites.</p> <p>13. The Secretariat negotiated with the Governments of Egypt, Indonesia, Trinidad and Tobago and Uruguay, which host Basel Convention regional centres, and signed amendments to the framework agreements on behalf of the Conference of the Parties to renew the validity of those that expired or would expire soon. Similarly, an amendment to the memorandum of understanding with the South Pacific Regional Environmental Programme was signed.</p> <p>14. The Secretariat participated as an ex officio member in the meetings of the steering committees of the Basel Convention Regional Centre for the Caribbean Region in Trinidad and Tobago (online), the Basel Convention Regional Centre for the Central America Subregion, including Mexico, in El Salvador (on site and online), the Basel Convention Regional Centre for the South American Region in Argentina (face-to-face during OEWG-9), and the Basel Convention/Stockholm Convention Regional Centre in Asia and the Pacific, in China (on site).</p> <p>15. The Secretariat participated in meetings organized by the Basel Convention Coordinating Centre for Training and Technology Transfer for Latin America and the Caribbean Region/Stockholm Convention Regional Centre, in Uruguay (on site), to discuss ways to strengthen the regional delivery of technical assistance and by the Stockholm Convention Regional Centre, in Mexico (on site), to discuss the relaunching of the Centre's activities after its restructuring.</p> <p>16. A concept note for fundraising was developed and posted on the synergies website.<sup>47</sup> Resource mobilization was facilitated through various donors, including the European Union, Japan and Norway.</p>
<b>Objective</b>	<ol style="list-style-type: none"> <li>Enhanced cooperation and coordination among the Basel and Stockholm conventions centres and identification of opportunities for the development of joint activities.</li> <li>Enhanced coordination and collaboration between the regional centres, regional offices and other entities engaged in technical assistance at the regional level.</li> </ol>
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>Percentage of the work plans of the SCRCs received as per 31 December 2014: 100%.</li> <li>Percentage of the activity reports for 2013-2014 of the BCRCs and SCRCs received as per 16 January 2015: 100%.</li> <li>Number of activities and projects executed by the regional centres: 334.</li> <li>Number of activities/projects for which the regional centres mobilize resources for their implementation: 288.</li> <li>A performance evaluation methodology is already in place and based on this methodology a report on the draft evaluation of the performance of regional centres was prepared after receiving the activity reports for consideration by the conferences of the parties to the Basel and Rotterdam conventions at their meetings in May 2015 (see documents UNEP/CHW.12/INF/21 and UNEP/POPS/COP.7/INF/13).</li> </ol>
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>Annual joint meetings of the regional centres under the Basel and Stockholm conventions held in 2013 and 2014.</li> <li>Twelve online meeting held with the regional centres under the Basel and Stockholm conventions.</li> <li>Ten pilot projects in the field of the environmentally sound management (ESM) of hazardous wastes and the elimination of POPs supported by Norway and the European Commission providing training to technical experts of 76 countries of all regions of the world. As a result, the strategy for the synergistic implementation of the 3 conventions will be developed in Belarus, El Salvador and Sri Lanka.</li> <li>Prepared and published one compilation of the activities undertaken by the regional centres under the Regional Centres Small Grants for Technical Assistance.<sup>48</sup></li> </ol>

<sup>47</sup>

<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>

	5. Four extensions of the framework agreements negotiated with the Governments of Egypt, Indonesia, Trinidad and Tobago and Uruguay. One amendment to the memorandum of understanding with the South Pacific Regional Environmental Programme.							
<b>Challenges</b>	No funds have been made available for the following activities: regional consultation meetings, visits to regional centres to enhance governance and operation and the joint meeting of the regional centres.							
<b>Partner(s)</b>	The Basel and Stockholm conventions regional centres, UNEP Chemicals, UNEP Regional Office for Asia Pacific and UNEP Regional Office for Latin America and the Caribbean.							
<b>Budget performance for 2014</b> (in United States dollars)								
	Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015 <sup>49</sup>	
	2014 Budget		2015 Budget					
	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	Donors	Total contribution
BC	70,500	90,000	5,000	99,650	421	137,009	Norway	55,873
RC	0	48,000	0	58,000	0	0	-	0
SC	90,050	93,500	25,000	99,650	30,555	116,342	Norway	55,874
<b>Total</b>	<b>160,550</b>	<b>231,500</b>	<b>30,000</b>	<b>257,300</b>	<b>30,976</b>	<b>253,351</b>	<b>Norway</b>	<b>111,747</b>

<sup>48</sup> <http://chm.pops.int/Partners/RegionalCentres/SmallGrantProjects/tabid/630/Default.aspx>

<sup>49</sup> USD 90,000 has been received from the European Union in 2014 for activities related to coordination of and support to the Basel and Stockholm conventions regional centres in the 2012-2013 biennium.

### 3. Scientific and technical activities

#### Scientific support to parties to the Basel Convention

<b>Programme heading</b>	<b>Scientific and technical activities</b>
<b>Title of activity</b>	<b>Activity 20 (S7): Scientific support to parties to the Basel Convention</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	<p><b>Technical guidelines for persistent organic pollutants (POPs) wastes management</b>                      Decisions BC-11/3 and SC-6/11</p> <p><b>Technical Guidelines for environmentally sound management (ESM) of hazardous wastes (other than POPs wastes)</b>                      Decisions VIII/17, BC-11/4 and BC-11/5</p> <p><b>Scientific advisory support to parties</b>                      Decisions BC-10/10 and BC-11/26</p>
<b>Short description of the activity already implemented</b>	<p><b>Technical guidelines for POPs wastes management</b></p> <ol style="list-style-type: none"> <li>Drafts of the following technical guidelines have been prepared and posted on the Basel Convention website<sup>50</sup> for comments by parties and others:                         <ol style="list-style-type: none"> <li>Updated general technical guidelines for ESM of wastes containing POPs to also cover the newly listed POPs (lead: Canada);</li> <li>Specific technical guidelines for ESM of wastes containing PFOS (lead: Canada);</li> <li>Updated specific technical guidelines for ESM of wastes containing pesticides to also cover alpha hexachlorocyclohexane, beta hexachlorocyclohexane, chlordecone, lindane, endosulfan and pentachlorobenzene (lead: UN FAO);</li> <li>Updated specific technical guidelines for ESM of wastes containing PCBs, PCTs or PBBs to also cover hexabromobiphenyl (lead: Japan);</li> <li>Updated specific technical guidelines for ESM of wastes containing unintentionally produced PCDDs, HCB or PCBs to also cover pentachlorobenzene (lead: UNEP Chemicals);</li> <li>New specific technical guidelines for ESM of wastes containing commercial octabromodiphenyl ether and commercial pentabromodiphenyl ether (lead: China);</li> <li>New specific technical guidelines on for ESM of wastes containing or contaminated with hexabromochlorododecane (HBCD) (lead: China).</li> </ol> </li> <li>A coordinating meeting of lead countries and organizations was held under the leadership of Canada on 21-23 May 2014 to ensure harmonization and to advance the drafts of the technical guidelines being developed. OEWG-9 considered these above drafts and requested lead countries and organizations to continue their tasks and submit revised drafts for consideration by COP-12.</li> </ol> <p><b>Technical guidelines for ESM of hazardous wastes (other than POPs wastes)</b></p> <ol style="list-style-type: none"> <li>A concept note for fundraising for the paragraph 26(b) study under the draft technical guidelines on transboundary movements of e-wastes and used electrical and electronic equipment was developed and posted on the synergies website.<sup>51</sup> No funding was received for this task.</li> <li>Drafts of the following technical guidelines have been prepared and posted on the Basel Convention website for comments by parties and others:</li> </ol>

<sup>50</sup> [www.basel.int](http://www.basel.int)

<sup>51</sup>

<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>



	<ul style="list-style-type: none"> <li>(a) Draft technical guidelines on the transboundary movements of electronic and electrical waste and used electrical and electronic equipment, in particular regarding the distinction between waste and non-waste under the Basel Convention;</li> <li>(b) A questionnaire on issues related to paragraph 26(b) of item 4(a) was sent on 22 May 2014 to parties and other stakeholders for responses by 30 June 2014 and a report on the matter was presented to OEWG-9. A meeting of the SIWG on e-waste, funded by Japan, was conducted in Konstanz, Germany (19-10 January 2015) to advance the work on the technical guidelines on e-waste;</li> <li>(c) Revised technical guidelines for the ESM of waste consisting of elemental mercury and wastes containing or contaminated with mercury (lead: Japan).</li> </ul> <p><b>Scientific advisory support to parties</b></p> <ul style="list-style-type: none"> <li>5. Guidance and scientific/technical advisory support has been provided to parties on the technical aspects of the conventions including technologies, illegal traffic issue, environmental impacts of wastes and emerging chemical and wastes issues.</li> <li>6. The Secretariat was represented at international meetings related to the provision of support to parties in scientific/technical matters, e.g., proposals for inclusion of some waste streams in the harmonized system codes to the World Customs Organization Harmonized System Committee (WCO-HSC), intergovernmental negotiation committee meetings (INCs) for a global legally binding instrument on mercury, etc.</li> </ul>
<b>Objective</b>	<p><b>Technical guidelines for POPs wastes management</b></p> <ul style="list-style-type: none"> <li>1. To provide parties to the Basel, Rotterdam and Stockholm conventions with technical and scientific information and guidance enabling them to manage wastes containing the ten persistent organic pollutants listed under the Stockholm Convention in 2009 and 2010, in an environmentally sound manner.</li> <li>2. To identify common scientific and technical issues among the conventions in order to support the work of and coordination among the scientific bodies of the conventions.</li> </ul> <p><b>Technical guidelines for ESM of hazardous wastes (other than POPs wastes)</b></p> <ul style="list-style-type: none"> <li>3. To develop technical guidelines, manual, tools and case studies on scientific/technical aspects of the ESM of hazardous and other wastes, other than POPs wastes, facilitated and overseen, as reflected in the Work Programme of the Basel Convention.</li> </ul> <p><b>Scientific advisory support to parties</b></p> <ul style="list-style-type: none"> <li>4. To provide guidance and scientific/technical advisory support to parties as requested and necessary.</li> </ul>
<b>Evaluation of the activity as per the indicators of achievement</b>	<p><b>Technical guidelines for POPs wastes management</b></p> <ul style="list-style-type: none"> <li>1. The update of the general technical guidelines for ESM of wastes containing POPs to also cover the newly listed POPs has been facilitated successfully.</li> <li>2. The work on development and/ or updating of specific technical guidelines for ESM of wastes containing the following POPs to cover newly listed POPs relevant to each document has been facilitated successfully:             <ul style="list-style-type: none"> <li>(a) PFOS;</li> <li>(b) Pesticides;</li> <li>(c) PCBs, PCTs or PBBs;</li> <li>(d) Unintentionally produced PCDDs, HCB or PCBs ;</li> <li>(e) BDEs (Commercial octabromodiphenyl ether and commercial pentabromodiphenyl ether) and HBCD.</li> </ul> </li> </ul> <p><b>Technical guidelines for ESM of hazardous wastes (other than POPs wastes)</b></p> <ul style="list-style-type: none"> <li>3. The work on development and or updating of specific technical guidelines for ESM of hazardous wastes (other than POPs waste) has been facilitated successfully.</li> <li>4. Continued development of technical guidelines for the transboundary</li> </ul>

	<p>movement of e-waste.</p> <p>5. Updating of technical guidelines for the ESM of mercury waste was carried out with Japan as lead country.</p> <p><b>Scientific advisory support to parties</b></p> <p>6. Parties' inquiries related to scientific/technical matters within the Secretariat's capacity were addressed in an efficient and timely manner.</p> <p>7. Parties' objectives in implementing the Convention were accomplished and supported at international meetings/forums.</p>
<b>Achieved outputs</b>	<p><b>Technical guidelines for POPs wastes management</b></p> <p>1. The development and/or updating of technical guidelines for POPs waste management has been facilitated and is still on-going with inputs from the small intersessional working group on POPs. Drafts of the following technical guidelines have been published on the Basel Convention website:</p> <ul style="list-style-type: none"> <li>(a) Updated general technical guidelines for ESM of wastes containing POPs to also cover the newly listed POPs (lead: Canada);</li> <li>(b) Draft specific technical guidelines for ESM of wastes containing PFOS (lead: Canada);</li> <li>(c) Updated specific technical guidelines for ESM of wastes containing pesticides to also cover alpha hexachlorocyclohexane, beta hexachlorocyclohexane, chlordecone, lindane, endosulfan and pentachlorobenzene (lead: FAO);</li> <li>(d) Updated specific technical guidelines for ESM of wastes containing PCBs, PCTs or PBBs to also cover hexabromobiphenyl (lead: Japan);</li> <li>(e) Updated specific technical guidelines for ESM of wastes containing unintentionally produced PCDDs, HCB or PCBs to also cover pentachlorobenzene (lead: UNEP Chemicals);</li> <li>(f) Draft specific technical guidelines for ESM of wastes containing commercial octabromodiphenyl ether and commercial pentabromodiphenyl ether (lead: China);</li> <li>(g) Draft technical guidelines for ESM of wastes containing or contaminated with hexabromochlorododecane (HBCD) (lead: China).</li> </ul> <p><b>Technical guidelines for ESM of hazardous wastes (other than POPs wastes)</b></p> <p>2. The development and/or updating of technical guidelines for the ESM of hazardous waste (other than POPs waste) has been facilitated and is still on-going. Drafts of the following technical guidelines have been published on the Basel Convention website:</p> <ul style="list-style-type: none"> <li>(a) Technical guidelines for the transboundary movement of e-waste;</li> <li>(b) Updated technical guidelines for the ESM of mercury waste.</li> </ul> <p><b>Scientific advisory support to parties</b></p> <p>3. Scientific and technical advice is provided.</p> <p>4. Parties' objectives in implementing the Basel Convention are supported at international meeting.</p> <p>5. Proposals to WCO-HSC have been prepared and submitted for certain wastes under the Basel Convention (on-going).</p>
<b>Challenges</b>	<p>Low input from parties and stakeholders on the draft technical guidelines published on the Basel Convention website for comments. No funding was received for the paragraph 26(b) study under the draft technical guidelines on transboundary movements of e-wastes.</p>
<b>Partner(s)</b>	<p>Canada, China, Japan, FAO, UNEP Chemicals.</p>

**Budget performance for 2014**

(in United States dollars)

	Budget				2014 Expenditures <sup>52</sup>		Voluntary contributions deposited in 2014 for 2014-2015 <sup>53</sup>	
	2014 Budget		2015 Budget					
	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	Donors	Total contribution
BC	70,000	140,000	0	85,000	0	9,200	Japan	55,000
RC	0	0	0	0	0	0	-	0
SC	0	90,000	0	65,000	0	36,208	-	0
<b>Total</b>	<b>70,000</b>	<b>230,000</b>	<b>0</b>	<b>150,000</b>	<b>0</b>	<b>45,408</b>	<b>Japan</b>	<b>55,000</b>

<sup>52</sup> Funds for staff travel have been included in the budget of the activity 28 (S18) "Executive direction and management" and are reported on in that section.

<sup>53</sup> Additionally, USD 25,200 has been received from the European Union in 2014 for activities related to scientific support to parties to the Basel Convention in the 2012-2013 biennium.

**Scientific support to parties to the Rotterdam Convention**

<b>Programme heading</b>	<b>Scientific and technical activities</b>
<b>Title of activity</b>	<b>Activity 21 (RC): Scientific support to parties to the Rotterdam Convention</b>
<b>Convention(s)</b>	<input type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
<b>Mandate</b>	RC-6/1, RC-6/2 and RC-6/16 Articles 4, 5, 6, 7, 10, 11, 13 and 14 of the Rotterdam Convention
<b>Short description of the activity already implemented</b>	<p><b>Support to parties to increase numbers of notifications</b></p> <ol style="list-style-type: none"> <li>1. Concept notes for fundraising have been developed and posted on the synergies website.<sup>54</sup></li> <li>2. Implementation of Article 5 facilitated at the national level through national and regional workshops (as mentioned under activity 15) and new specifically tailored training material.</li> </ol> <p><b>Information exchange on the prior informed consent (PIC) procedure, including PIC Circular</b></p> <ol style="list-style-type: none"> <li>3. Import responses and notifications of regulatory action are being received and reviewed on an ongoing basis; relevant correspondence with parties is exchanged; data is entered into the data base.</li> <li>4. PIC Circulars were published in June and December 2014.</li> </ol> <p><b>Support to the meeting of the Chemical Review Committee (CRC)</b></p> <ol style="list-style-type: none"> <li>5. A total of five new notifications and supporting documentation were submitted to the 10<sup>th</sup> meeting of the Chemical Review Committee.</li> </ol> <p><b>Decision guidance document (DGD) preparation</b></p> <ol style="list-style-type: none"> <li>6. Two intersessional drafting groups are preparing the draft decision guidance documents according to the workplan adopted by CRC-10.</li> </ol>
<b>Objective</b>	<p><b>Support to parties to increase number of notification</b></p> <ol style="list-style-type: none"> <li>1. More parties submit more notifications on final regulatory actions to ban or severely restrict chemicals;</li> </ol> <p><b>Information exchange on the PIC procedure, including PIC Circular</b></p> <ol style="list-style-type: none"> <li>2. To support parties in the operation of the PIC procedure and information exchange;</li> <li>3. To communicate information requested in Article 4, 5, 6, 7, 10, 11, 13 and 14 of the Convention to parties;</li> </ol> <p><b>Support to the meeting of the CRC</b></p> <ol style="list-style-type: none"> <li>4. To support the work of task groups, intersessional drafting groups and any other scientific work pertinent to the CRC;</li> </ol> <p><b>DGD preparation</b></p> <ol style="list-style-type: none"> <li>5. To facilitate the preparation, translation and dissemination of the DGDs.</li> </ol>
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>1. Higher number of notifications submitted compared to previous biennium: During the reporting period, the Secretariat received 216 notifications from 10 parties. An activity is undertaken to develop an FRA evaluation tool for the provision of access to relevant scientific resources and information.</li> <li>2. Information submitted by parties in accordance with various articles is reviewed and addressed in a timely manner: Notifications of final regulatory actions by parties were published in relevant PIC circulars in a timely manner and presented to the CRC for review as appropriate. A survey was conducted on the implementation of provisions related to export notifications.</li> <li>3. Information requests from parties are addressed in a timely manner.</li> <li>4. PIC Circular published twice each year, within deadlines, with complete and</li> </ol>

	<p>correct information: 100% completed in June and December 2014.</p> <ol style="list-style-type: none"> <li>5. Scientific work of CRC, including the task groups and intersessional drafting groups was facilitated successfully.</li> <li>6. Draft DGDs are produced by the CRC according to the workplan: two draft DGDs are finalized and another two are under preparation.</li> <li>7. Final draft DGDs translated in 6 UN languages and disseminated to all parties prior to the meeting of the Conference of the Parties: The DGDs will be made available by 4 March 2015 together with other pre-session documents. A letter, notifying parties of the proposed listing of chemicals in Annex III went sent out six months in advance of the current meeting of the Conference of the Parties.</li> </ol>						
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>1. Increased in number of notifications of final regulatory actions.</li> <li>2. Scientific support provided for parties on the implementation of PIC procedure as requested.</li> <li>3. PIC Circulars published in June and December 2014.</li> <li>4. Scientific work of CRC was carried out successfully.</li> <li>5. Two draft DGDs were finalized by CRC-10 and another two DGDs are under preparation according to the workplan.</li> <li>6. Three new final draft DGDs in 6 UN languages are disseminated to all parties for consideration at COP-7.</li> </ol>						
<b>Challenges</b>	No challenges encountered.						
<b>Partner(s)</b>	CRC experts.						
<b>Budget performance for 2014</b> (in United States dollars)							
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015	
2014 Budget		2015 Budget					
RC Trust Fund	RV Trust Fund	RC Trust Fund	RV Trust Fund	RC Trust Fund	RV Trust Fund <sup>55</sup>	Donors	Total contribution
30,000	15,500	30,000	15,500	15,000	0	-	0

<sup>55</sup> In addition, USD 12,000 were spent under this activity from the SV trust fund as part of a synergistic project funded by the European Union.

**Scientific support to parties to the Stockholm Convention**

<b>Programme heading</b>	<b>Scientific and technical activities</b>
<b>Title of activity</b>	<b>Activity 22 (SC): Scientific support to parties to the Stockholm Convention</b>
<b>Convention(s)</b>	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	<p><b>Exemptions</b>  Article 4, Annex A, Parts IV and V and Annex B, Parts II and III of the Stockholm Convention; decision SC-6/2</p> <p><b>Scientific and technical work of POPRC</b>  Article 8 of the Stockholm Convention; decision SC-6/14</p> <p><b>Programme of work on industrial POPs</b>  Article 6 of the Stockholm Convention; decisions SC-6/4, SC-6/6 and SC-6/7</p> <p><b>Programme of work on pesticides</b>  Articles 3, 4, 15 and Annex A, Part VI and Annex B, Part II of the Stockholm Convention; decisions SC-6/1, SC-6/2, SC-6/8, SC-6/15, and SC-5/30</p> <p><b>Unintentionally produced POPs</b>  Article 5 of the Stockholm Convention; decisions SC-6/9 and SC-6/10</p>
<b>Short description of the activity already implemented</b>	<p><b>Exemptions</b></p> <ol style="list-style-type: none"> <li>1. The Secretariat continues to provide guidance to parties, on need base, to support them in registering for specific exemptions and acceptable purposes;</li> <li>2. The Secretariat revised the register of specific exemptions and the form for notification to include endosulfan;</li> <li>3. The format for reporting by parties that use or produce PFOS on the progress made in eliminating PFOS in accordance with paragraph 3 of part III of Annex B to the Convention has been incorporated into the online electronic system for reporting under Article 15;</li> <li>4. A report was prepared, in collaboration with WHO, on a review of information related to accessibility to lindane and its alternatives for consideration by COP-7.</li> </ol> <p><b>Scientific and technical work of POPRC</b></p> <ol style="list-style-type: none"> <li>5. Activities were implemented in accordance with the work plan adopted by POPRC-9: The risk profile for decabromodiphenyl ether, the risk management evaluation for pentachlorophenol and its salts and esters, and the assessment of alternatives to PFOS were prepared by three intersessional working groups. The two first documents were adopted by POPRC-10. The assessment of alternatives to PFOS was completed by POPRC-10 which decided to submit the summary of the report on the assessment to COP-7.</li> <li>6. Activities are being implemented in accordance with the work plan adopted by POPRC-10: Four intersessional working groups are established to work on preparing a draft risk profile for dicofol, on preparing a draft risk management evaluation for decabromodiphenyl ether, on revising the relevant parts of the draft risk profile on short-chained chlorinated paraffins and on preparing a proposal for revising the guidance on alternatives to PFOS. Parties and observers have been invited to submit information to support the work of the working groups; all submissions have been posted on the Convention website.</li> </ol> <p><b>Programme of work on pesticides</b></p> <ol style="list-style-type: none"> <li>7. Guidance documents developed to assist parties considering the outcomes of the POPRC work on the assessment of chemical and nonchemical alternatives to endosulfan.</li> <li>8. Two national workshops were held in Uganda and Mozambique in March 2014, as part of the implementation of a project to promote chemical and</li> </ol>

	<p>nonchemical alternatives to endosulfan and conform to related obligations under the Stockholm and Rotterdam conventions.<sup>56</sup></p> <ol style="list-style-type: none"> <li>9. Draft guidance documents to improve decision-making on managing DDT in disease vector control and tools for the assessment of locally effective and affordable alternatives to DDT were developed in collaboration with WHO. Two regional workshops are scheduled to be held in Africa and Asia in 2015 to promote using the guidance documents towards sound management of DDT and for the promotion of non-chemical alternatives.</li> <li>10. DDT expert group met in November 2014 and prepared its reports on the assessment of production and use of DDT including the use of alternatives for consideration by the Conference of the Parties in its evaluation of continued need for DDT.</li> <li>11. Participated and contributed to the following WHO activities:       <ol style="list-style-type: none"> <li>(h) Implementation review meeting of the project on “Establishment of efficient and effective data collection and reporting procedures for evaluating the continued need of DDT for disease vector control”;</li> <li>(i) Vector control working group meeting of the Rollback Malaria Programme.</li> </ol> </li> <li>12. Collaborated in activities undertaken by UNEP Chemicals including the preparation of a roadmap for the development of alternatives to DDT and 12th HCH and Pesticide Forum.</li> </ol> <p><b>Programme of work on industrial POPs</b></p> <ol style="list-style-type: none"> <li>13. The Secretariat, in consultation with the small intersessional working group set up for this purpose, prepared a draft revised format for the submission of information for the evaluation and review of brominated diphenyl ethers (BDEs) pursuant to paragraph 2 of part IV and part V of Annex A to the Stockholm Convention; for consideration by COP-7.</li> <li>14. A report on the assessment of alternatives to PFOS was prepared by POPRC.</li> <li>15. A report for the evaluation of information on PFOS was prepared by the Secretariat, taking into account the comments and suggestions provided by POPRC.</li> <li>16. A report was prepared highlighting the main challenges encountered by parties in implementing the recommendations set out in the annex to decision POPRC-6/2 on the elimination of BDEs from the waste stream and on risk reduction for PFOS.</li> <li>17. A preliminary assessment of efforts made towards the elimination of polychlorinated biphenyls was elaborated by UNEP Chemicals, in cooperation with the Secretariat, and with the expert input from the PEN advisory committee. The Secretariat assisted with the organization of the fifth meeting of the Committee which was held in Geneva on 26 and 27 November 2014.</li> </ol> <p><b>Unintentionally produced POPs</b></p> <ol style="list-style-type: none"> <li>18. The Toolkit for Identification and Quantification of Releases of Dioxins, Furans and Other Unintentional Persistent Organic Pollutants has been translated and made available on the Convention website in all UN languages.</li> <li>19. The Toolkit experts have been involved in the development and delivery of training on developing, updating and revising source inventories and release estimates pursuant to Article 5 of the Convention.</li> <li>20. The Toolkit experts have developed the methodology and work plan towards developing the preliminary analysis of dioxin release estimates reported by parties pursuant to Article 15 for consideration by the effectiveness evaluation committee by January 2016.</li> <li>21. Comments on the draft guidance on best available techniques (BAT) and best environmental practices (BEP) for the use of perfluorooctane sulfonic acid (PFOS) and related chemicals listed in the Convention and for the recycling and waste disposal of articles containing polybrominated diphenyl</li> </ol>
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<sup>56</sup> Please also see report on the implementation of activity 16 (SC) “Training and capacity-building activities to enhance the implementation of the Stockholm Convention at the regional level”.

	<p>ethers (PBDEs) from parties and from the Basel Convention small intersessional working group on the development of technical guidelines on POPs wastes have been collected and compiled for consideration by the BAT and BEP experts.</p> <p>22. A joint Toolkit and BAT and BEP expert meeting has been organized in Geneva on 24 and 25 November 2014. The experts have evaluated the information submitted intersessionally in the frame of the process for review and update of the guidelines and guidance on BAT and BEP and agreed on the approach to address the comments and to develop draft revised guidance for consideration at COP-7, and developed the work plan for the ongoing review and update in the next biennium. Conclusions and recommendations of the Toolkit and BAT and BEP experts have likewise been developed for consideration by COP-7.</p> <p>23. The revised draft guidance on BAT and BEP for the use of PFOS and the revised draft guidance for the recycling and waste disposal of articles containing PBDEs will be made available for consideration by COP-7.</p>
<p><b>Objective</b></p>	<p><b>Exemptions</b></p> <ol style="list-style-type: none"> <li>1. Scientific and technical support is provided to assist parties that may wish to register for specific exemptions and acceptable purposes available under the Convention, in accordance with Article 4 of the Convention.</li> <li>2. Processes are developed and revised to enable the COP to evaluate the progress made in eliminating newly listed POPs and the continued need for specific exemptions and/or acceptable purposes for newly listed POPs.</li> </ol> <p><b>Scientific and technical work of POPRC</b></p> <ol style="list-style-type: none"> <li>3. Implementation of the scientific and technical work of POPRC is enabled.</li> <li>4. Submission by parties of proposals for listing new chemicals under the Convention is supported.</li> </ol> <p><b>Programme of work on industrial POPs</b></p> <ol style="list-style-type: none"> <li>5. Evaluation by the COP of progress made in eliminating industrial POPs and the continued need for specific exemptions and/or acceptable purposes for these chemicals are supported.</li> <li>6. Work of POPRC in providing recommendations related to the substitution and elimination of industrial POPs are supported and implementation of such recommendations are promoted.</li> <li>7. Parties and observers are provided with scientific and technical knowledge and tools needed to develop strategies and implement measures towards the identification, substitution and sound management of industrial POPs.</li> </ol> <p><b>Programme of work on pesticides</b></p> <ol style="list-style-type: none"> <li>8. Parties and observers are supported to acquire scientific and technical knowledge needed to implement measures on sound management of endosulfan and lindane towards their ultimate elimination and to restrict the use of DDT for disease vector control, pursuant to Article 3 and to comply with Article 4 and Article 15 of the Stockholm Convention.</li> <li>9. Parties are provided with scientific and technical support for the assessment and introduction of alternatives pursuant to Annexes A and B of the Stockholm Convention.</li> <li>10. Support provided to the evaluation of the continued need for DDT under Annex B of the Stockholm Convention by the COP towards elimination of continued reliance by introducing locally safe, effective and affordable alternatives.</li> </ol> <p><b>Unintentionally produced POPs</b></p> <ol style="list-style-type: none"> <li>11. Parties are provided with up-to-date guidance on minimizing and where feasible eliminating releases of unintentionally produced POPs, guidance on BAT and BEP for the newly listed POPs, guidance on developing and maintaining source inventories and release estimates of unintentionally produced POPs, as well as training and support in using the guidance.</li> </ol>



<p><b>Evaluation of the activity as per the indicators of achievement</b></p>	<p><b>Exemptions</b></p> <ol style="list-style-type: none"> <li>1. Timely updated registers of specific exemptions and acceptable purposes: continuous.</li> <li>2. Forms for notification of specific exemptions and acceptable purposes for newly listed POPs: 100% completed.</li> <li>3. Processes adopted at COP-6 to enable the COP to evaluate the progress made in eliminating BDEs in articles and the continued need for specific exemptions and/or acceptable purposes for BDEs and PFOS: 100% implemented.</li> </ol> <p><b>Scientific and technical work of POPRC</b></p> <ol style="list-style-type: none"> <li>4. Support was provided to POPRC-9 intersessional working groups and is ongoing for POPRC-10 groups.</li> <li>5. Three scientific and technical documents were developed, in line with POPRC-9 work plan, and other scientific and technical documents are being developed, in line with POPRC-10 work plan.</li> <li>6. Information was collected from parties and observers to support the development of the three scientific and technical documents, in line with the POPRC-9 work plan; and is currently being collected, in line with POPRC-10 work plan.</li> </ol> <p><b>Programme of work on industrial POPs</b></p> <ol style="list-style-type: none"> <li>7. Information collected from parties and reports to enable the evaluation by the COP of progress made in eliminating BDEs in articles and PCBs and the continued need for specific exemptions and/or acceptable purposes for BDEs and PFOS: 100% completed (information on BDEs to be mostly collected in 2015-2016).</li> <li>8. Preliminary assessment on efforts made towards the elimination of PCB, on the basis of information provided by 53 parties in their national reports and on other relevant sources of information: completed.</li> <li>9. Report by POPRC on assessment of alternatives to PFOS: completed</li> </ol> <p><b>Programme of work on pesticides</b></p> <ol style="list-style-type: none"> <li>10. Number of activities undertaken by the Secretariat to facilitate parties acquiring scientific and technical knowledge needed for sound management of POPs pesticides: two national workshops.</li> <li>11. Scientific and technical reports compiled on sound management of POPs pesticides and introduction of locally safe, effective and affordable alternatives: Guidance document of endosulfan.</li> <li>12. Report by the DDT expert group for the evaluation by the COP of the continued need for DDT for disease vector control: completed.</li> </ol> <p><b>Unintentionally produced POPs</b></p> <ol style="list-style-type: none"> <li>13. The guidelines on BAT and BEP relevant to Article 5 / Annex C to the Convention are in the process of being updated with information relevant to the newly listed POPs.</li> <li>14. The draft guidance on BAT and BEP for the use of PFOS and the draft guidance on BAT and BEP for the recycling and waste disposal of articles containing PBDEs have been revised.</li> <li>15. The revised Toolkit for unintentional POPs is available in all UN languages for use by parties to update and revise their source inventories and release estimates and report them under Article 15.</li> </ol>
<p><b>Achieved outputs</b></p>	<p><b>Exemptions</b></p> <ol style="list-style-type: none"> <li>1. Revised and updated registers of specific exemptions and acceptable purposes that reflect exemptions for newly listed POPs and incoming notifications by parties.</li> <li>2. Forms for notification of specific exemptions and acceptable purposes for newly listed POPs.</li> </ol> <p><b>Scientific and technical work of POPRC</b></p> <ol style="list-style-type: none"> <li>3. Support was provided to three ad-hoc working groups of the POPRC.</li> <li>4. The risk profile on decabromodiphenyl ether and the risk management</li> </ol>

	<p>evaluation on pentachlorophenol and its salts and esters have been adopted by POPRC-10.</p> <p>5. A report on the assessment of alternatives to PFOS was developed and adopted by POPRC-10.</p> <p><b>Programme of work on industrial POPs</b></p> <p>6. A draft revised format for the submission of information for the evaluation and review of BDEs is available for consideration by COP-7.</p> <p>7. The report on the assessment of alternatives to PFOS, the report for the evaluation of information on PFOS and a report highlighting the main challenges encountered by parties in implementing the recommendations set out in the annex to decision POPRC-6/2 are available for consideration by COP-7 when evaluating the continued need for PFOS for the various acceptable purposes and specific exemptions.</p> <p>8. A preliminary assessment on efforts made towards the elimination of PCB is available for consideration by COP-7.</p> <p><b>Programme of work on pesticides</b></p> <p>9. Scientific content for and participation in two workshops related to specific exemptions on endosulfan.</p> <p>10. Guidance documents to promote chemical and nonchemical alternatives to endosulfan developed.;</p> <p>11. An expert consultation for the assessment of continued need of lindane as a second-line treatment for the control of head lice and scabies.</p> <p><b>Unintentionally produced POPs</b></p> <p>12. The joint Toolkit and BAT and BEP expert meeting was held on 24 and 25 November 2014.</p> <p>13. The Toolkit is available in UN languages.</p> <p>14. The methodology and work plan for conducting the preliminary analysis of PCDD/PCDF release estimate data in view of the effectiveness evaluation of the Convention is developed.</p> <p>15. Revised draft guidance on BAT and BEP for the use PFOS and for the recycling and waste disposal of articles containing PBDEs is made available for consideration by COP-7.</p>						
<b>Challenges</b>	<p><b>Programme of work on industrial POPs</b></p> <p>1. The reports for the assessment of alternatives to PFOS and the evaluation of information on PFOS depend on the amount and quality of information provided by parties and observers. Limited information has so far been provided by parties and observers on alternatives to PFOS.</p>						
<b>Partner(s)</b>	WHO, FAO, UNEP Chemicals						
<b>Budget performance for 2014</b> (in United States dollars)							
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015 <sup>57</sup>	
2014 Budget		2015 Budget					
SC Trust Fund	SV Trust Fund	SC Trust Fund	SV Trust Fund	SC Trust Fund	SV Trust Fund	Donors	Total contribution
83,000	190,000	8,000	137,000	63,917	46,136	France, Germany and Norway	464,665

<sup>57</sup> Additionally, USD 96,996 has been received from the European Union in 2014 for activities related to scientific support to parties to the Stockholm Convention in the 2012-2013 biennium.

## Effectiveness evaluation and the Global Monitoring Plan

<b>Programme heading</b>	<b>Scientific and technical activities</b>
<b>Title of activity</b>	<b>Activity 23 (SC): Effectiveness evaluation and the Global Monitoring Plan</b>
<b>Convention(s)</b>	<input type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decisions SC-6/22 and SC-6/23 Article 16 of the Stockholm Convention
<b>Short description of the activity already implemented</b>	<p><b>Effectiveness evaluation:</b></p> <ol style="list-style-type: none"> <li>Information on the adopted framework for effectiveness evaluation was provided to the global coordination group for the Global Monitoring Plan (GMP) at its meeting in September 2013, including explanation of the input to be provided by the group.</li> <li>Guidance and input have been provided to the process of further improving the online electronic system for reporting under Article 15 of the Stockholm Convention, including on the outputs necessary for undertaking the effectiveness evaluation process.</li> </ol> <p><b>Global monitoring plan:</b></p> <ol style="list-style-type: none"> <li>A meeting of the global and regional coordination groups for GMP was held from 17 to 20 September 2013.</li> <li>Regional GMP implementation was facilitated by organizing webinars for the five regional organization groups (ROGs) and through financial support for activities to be implemented by the eligible ROGs. A template for the second regional monitoring reports has been developed and submitted to the ROGs.</li> <li>Implementation of the second phase of the human milk survey, which was initiated in April 2013, is ongoing in cooperation with WHO and UNEP Chemicals.</li> <li>Sustainability of air monitoring activities is supported via SSFAs and/or cooperation with the strategic partners.</li> <li>Support for collection, processing, storing and presentation of regional monitoring data is ongoing.</li> <li>Capacity-building activities, through the 10th Summer School on POPs Monitoring, took place from 23 to 28 June 2014.</li> <li>Meetings of ROGs took place in all regions in May-August 2014, except in WEOG which works by teleconference.</li> <li>A meeting of the global coordination group for GMP took place from 10 to 12 November 2014.</li> </ol>
<b>Objective</b>	<ol style="list-style-type: none"> <li>Effectiveness evaluation mechanism is set up at COP-7 and implementation of the process for effectiveness evaluation can be initiated immediately after COP-7.</li> <li>Second phase of the global monitoring plan for POPs implemented.</li> </ol>
<b>Evaluation of the activity as per the indicators of achievement</b>	<p><b>Effectiveness evaluation</b></p> <ol style="list-style-type: none"> <li>The global coordination group for GMP is enabled to contribute to the effectiveness evaluation process.</li> </ol> <p><b>Global monitoring plan</b></p> <ol style="list-style-type: none"> <li>80% of all hitherto POPs monitoring data are available in the data warehouse ready for the use by the ROGs.</li> <li>The second regional monitoring reports are finalized in all five UN regions and made available to COP-7.</li> </ol>
<b>Achieved outputs</b>	<p><b>Effectiveness evaluation</b></p> <ol style="list-style-type: none"> <li>The global coordination group for GMP is prepared to participate in the process of effectiveness evaluation.</li> </ol> <p><b>Global monitoring plan</b></p> <ol style="list-style-type: none"> <li>Two meetings of the global coordination group for GMP took place; including one with the ROGs.</li> <li>POPs monitoring data warehouse is available and functional, the ROGs have been trained for its use.</li> <li>The second regional monitoring reports are available for consideration by</li> </ol>

	<p>COP-7.</p> <p>5. The guidance on the global monitoring plan has been updated for consideration by COP-7.</p> <p>6. The global monitoring report to be available by January 2016.</p>							
<b>Challenges</b>	<p>1. Main GMP implementation challenges are related to the fact that it is a global survey involving many stakeholders with very limited possibility for the Secretariat to reach out to most of them. This challenge is addressed by very efficient project management, including timely and clear communication with all stakeholders on specific activities, milestones and deliverables.</p> <p>2. Implementation of the second GMP phase stretches throughout the period 2011-2015 and requires substantial funding. Timely availability of financial resources is essential to initiate the required activities in a timely manner so that the necessary outputs will be ready in time to support development of the second regional and global monitoring reports.</p>							
<b>Partner(s)</b>	<p>All parties are partners in the effectiveness evaluation process; GMP partners are: MONET, Global Atmospheric Passive Sampling (GAPS), Asia POPs Air Monitoring Programme, Arctic Monitoring and Assessment Programme (AMAP), European Monitoring and Evaluation Programme (EMEP), Stockholm Convention Regional Centre for Central and Eastern Europe - Research Centre for Toxic Compounds in the Environment (RECETOX), UNEP Chemicals, WHO.</p>							
<b>Budget performance for 2014</b>								
(in United States dollars)								
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015 <sup>58</sup>		
2014 Budget		2015 Budget						
SC Trust Fund	SV Trust Fund	SC Trust Fund	SV Trust Fund	SC Trust Fund	SV Trust Fund	Donors	Total contribution	
60,000	360,000	60,000	160,000	35,353	987,716	European Union	304,828	

<sup>58</sup> Additionally, USD 400,000 has been received from the European Union in 2014 for activities related to the Global Monitoring Plan under the Stockholm Convention in the 2012-2013 biennium.

**National reporting**

<b>Programme heading</b>	<b>Scientific and technical activities</b>
<b>Title of activity</b>	<b>Activity 24 (S15): National reporting</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decisions BC-11/7 and SC-6/21 Article 13 of the Basel Convention and Article 5 of the Stockholm Convention
<b>Short description of the activity already implemented</b>	<p><b>For the Basel Convention</b></p> <ol style="list-style-type: none"> <li>1. The development of the electronic reporting system of the Basel Convention is on-going and the first part of the system was launched on 7 November 2013. During 2014, additional features and improvements were added to the system. A new module of the system started to be developed with automatic queries on the data reported by parties to the convention.</li> <li>2. A series of 5 webinar sessions was organized and carried out from November 2013 to February 2014 on the new electronic reporting system, in English, French, Spanish and Russian. A The sessions took place in January and February 2014. Additional sessions were organized in December 2014 in English, Spanish, Russian and Arabic, and two additional sessions in French and Chinese are planned for 2015 (see activity 14 (BC) on training and capacity-building activities to enhance the implementation of the Basel Convention at the regional level).</li> <li>3. The Secretariat has provided presentations remotely during two workshops for Arabic speaking countries on national reporting. One event took place in March 2014 and the other in June 2014 (see activity 14 (BC) on training and capacity-building activities to enhance the implementation of the Basel Convention at the regional level).</li> </ol> <p><b>For the Stockholm Convention</b></p> <ol style="list-style-type: none"> <li>4. The Secretariat has launched the new electronic reporting system for the Stockholm Convention in March 2014.</li> <li>5. A series of 5 webinar sessions in English, French and Spanish on the new electronic reporting system of the Stockholm Convention took place in March and April 2014. An additional series of 8 sessions on areas relevant for the reporting mechanism took place in June 2014 before the deadline for submission of report (see activity 16 (SC) on training and capacity-building activities to enhance the implementation of the Stockholm convention at the regional level).</li> </ol> <p><b>For joint activities in the area of reporting</b></p> <ol style="list-style-type: none"> <li>6. The Secretariat developed a concept note for fundraising for national reporting activities and posted it on the synergies website.<sup>59</sup></li> <li>7. The Secretariat organized a workshop for the French-speaking African countries on national reporting, covering both the Basel and Stockholm conventions, in July 2014, in Dakar, Senegal (see activity 17 (S2/S3) on training and capacity-building activities to enhance the implementation of the Basel, Rotterdam and Stockholm conventions at the regional and national levels).</li> </ol>
<b>Objective</b>	<ol style="list-style-type: none"> <li>1. Parties supported to comply with their national reporting obligations to the Basel Convention according to Article 13, paragraph (3);</li> <li>2. Monitoring of the implementation of the Basel Convention by its Parties and reports presented on this matter to the Conference of the Parties (COP) on a regular basis;</li> <li>3. Increased parties' compliance with national reporting requirements under Article 15 of the Stockholm Convention using the updated electronic reporting system;</li> <li>4. Required information for the effectiveness evaluation under Article 16 of the</li> </ol>

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<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>

	Stockholm Convention is collected and processed.							
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>Two electronic tools were further developed for the Basel and Stockholm conventions to support national reporting activities.</li> <li>Reports provided to the Secretariat are entered into the database: 80% completed.</li> <li>Reports provided in Arabic, Chinese or Russian are translated to English and entered into the database: No reports were provided in Arabic, Chinese or Russian.</li> <li>Seventeen webinar sessions were organized and parties are better trained to comply with the obligation on national reporting.</li> <li>One joint workshop covering both the Basel and Stockholm conventions was organized.</li> </ol>							
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>Two electronic reporting systems are implemented and working for parties of the Basel and Stockholm conventions to submit their reports.</li> <li>Parties are better trained to comply with the obligation on national reporting.</li> </ol>							
<b>Challenges</b>	No challenges encountered so far.							
<b>Partner(s)</b>	Regional centres for the workshops.							
<b>Budget performance for 2014</b>								
(in United States dollars)								
	Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015 <sup>60</sup>	
	2014 Budget		2015 Budget					
	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	Donors	Total contribution
BC	40,000	45,000	0	42,000	0	143,569	Japan, Germany, Norway	40,000 <sup>61</sup>
RC	0	0	0	0	0	0	-	0
SC	0	30,000	0	27,000	0	1,209	-	0
<b>Total</b>	<b>40,000</b>	<b>75,000</b>	<b>0</b>	<b>69,000</b>	<b>0</b>	<b>144,778</b>	<b>Japan, Germany, Norway</b>	<b>175,110</b>

<sup>60</sup> Additionally, USD 97,040 has been received from Norway in 2014 for activities related to national report in the 2012-2013 biennium.

<sup>61</sup> The received USD 40,000 are for additional activities, not foreseen in the budget of the Special Trust Funds of the activity 24 (S15).

#### 4. Knowledge and information management and outreach

##### Clearing-house mechanism for information exchange, including PIC database and Rotterdam Convention website in English, French and Spanish

<b>Programme heading</b>	<b>Knowledge and information management and outreach</b>
<b>Title of activity</b>	<b>Activity 25 (S10): Clearing-house mechanism for information exchange, including PIC database and Rotterdam Convention website in English, French and Spanish</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decisions BC-11/26, RC-6/16 and SC-6/30
<b>Short description of the activity already implemented</b>	<ol style="list-style-type: none"> <li>1. Implementation focused mainly on the integration of different systems developed separately by the secretariats before the start of the synergies process. The following systems were developed or enhanced for the three Conventions:                         <ol style="list-style-type: none"> <li>(a) Online national reporting systems for Stockholm and Basel conventions;</li> <li>(b) Information systems covering country legislation;</li> <li>(c) Basel Convention export and import control tool;</li> <li>(d) Scientific and technical publication tool;</li> <li>(e) Joint calendar of events;</li> <li>(f) Country contacts database and website application;</li> <li>(g) Webinar library;</li> <li>(h) Roster of experts;</li> <li>(i) Time tracking system;</li> <li>(j) Data feeds to share information through InforMEA;</li> <li>(k) Data surveys and analysis (for technical assistance, regional centres reporting, compliance, Basel strategic framework).</li> </ol> </li> <li>2. The Basel, Rotterdam, Stockholm and synergies websites were kept up-to-date. Content templates and guidelines for publishing and editing were developed and applied. The structures of the websites were revised and harmonized.</li> <li>3. Internal records keeping systems were integrated, covering contacts management, meeting and registration management, status of ratifications, document management, amongst other areas.</li> <li>4. A first draft of a thesaurus for the clearing-house data management was developed in cooperation with UNEP and InforMEA members.</li> <li>5. Secretariat staff was trained to use knowledge management systems.</li> </ol>
<b>Objective</b>	<ol style="list-style-type: none"> <li>1. A joint clearing-house-mechanism, consisting of integrated information packages, IT tools and systems, as well as information exchange networks, and providing one entry point to a wide range of sources of available information relevant to chemicals and wastes management, is further developed and enhanced.</li> <li>2. Ready access is provided to available scientific and technical information related to substances regulated under the three conventions, to enhance understanding of the potential impacts of these substances on human health and the environment and facilitate integrated life-cycle approach to their sound management.</li> <li>3. Facilitation of sharing of information and transfer of expertise on the implementation of the Basel, Rotterdam and Stockholm conventions between stakeholders in a more integrated and user-friendly environment.</li> <li>4. A joint strategy for the further implementation and enhancement of the clearing-house mechanism across the three conventions is developed.</li> <li>5. Support provided to information exchange and the PIC procedure by ensuring the availability of information and archiving information submitted by Parties in an electronic information repository.</li> <li>6. Enhanced information outreach through the provision of information on the Rotterdam Convention website in English, French and Spanish.</li> </ol>

<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>1. Number of integrated information packages: 7; country profiles is under development.</li> <li>2. Number of information technology (IT) / knowledge management tools and systems enhanced: 4 completed.</li> <li>3. Number of web pages available in languages: 1039.</li> <li>4. Time lag between posting English content and French and Spanish content: less than 24 hours.</li> </ol>							
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>1. Up-to-date and effective websites for communication.</li> <li>2. Information on the Rotterdam Convention website provided in English, French and Spanish, targeting larger audiences.</li> <li>3. Improved tools and systems for the websites, enhancing collaboration, information access and user experience.</li> <li>4. Training delivered for Secretariat staff to update the conventions websites.</li> </ol>							
<b>Challenges</b>	Generation of up-to-date content in a timely manner.							
<b>Partner(s)</b>	None so far.							
<b>Budget performance for 2014</b>								
(in United States dollars)								
	Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015 <sup>62</sup>	
	2014 Budget		2015 Budget		General Trust Funds	Special Trust Funds	Donors	Total contribution
	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds				
BC	169,600	0	169,600	0	62,476	0	-	0
RC	42,000	0	42,000	0	10,968	0	-	0
SC	28,000	0	28,000	0	16,534	0	-	0
<b>Total</b>	<b>239,600</b>	<b>0</b>	<b>239,600</b>	<b>0</b>	<b>89,978</b>	<b>0</b>	-	<b>0</b>

<sup>62</sup> USD 24,000 has been received from the European Union in 2014 for activities related to the clearing-house mechanism in the 2012-2013 biennium.



**Publications**

<b>Programme heading</b>	<b>Knowledge and information management and outreach</b>							
<b>Title of activity</b>	<b>Activity 26 (S14): Publications</b>							
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention							
<b>Mandate</b>	Decisions BC-10/29, RC-5/12 and SC-5/27							
<b>Short description of the activity already implemented</b>	<ol style="list-style-type: none"> <li>The "Synergies Decisions" publication has been updated to include the decisions taken by the conferences of the parties in 2013. Electronic and print versions of the publication have been produced.</li> <li>The update of the Basel and Rotterdam conventions texts is ongoing. <ol style="list-style-type: none"> <li>The Basel Convention text has been reproduced in print and electronic version in Chinese, English, French Russian and Spanish;</li> <li>The Rotterdam Convention Text has been reproduced in print and electronic version in Chinese, English, French and Spanish;</li> <li>The Arabic versions of both conventions texts are in the stage of before printing;</li> <li>The Russian Rotterdam Convention text is in the design process.</li> </ol> </li> <li>The rules of procedures are being re-printed.</li> </ol>							
<b>Objective</b>	To provide parties and other stakeholders with information pertaining to the three conventions.							
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>Publications were produced in a timely and cost-effective manner.</li> <li>Production of publications was tailored to suit the needs of parties.</li> <li>Number of publications printed: 4.</li> <li>Number of publications in process: 5.</li> </ol>							
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>Updated versions of the "Synergies Decisions" publication in electronic and print versions.</li> <li>Text of the Basel Convention (EN, FR, SP, CH, RU) and Rotterdam Convention (EN, FR, SP, CH).</li> </ol>							
<b>Challenges</b>	No challenges encountered so far.							
<b>Partner(s)</b>	United Nations Office at Geneva (UNOG).							
<b>Budget performance for 2014</b>								
(in United States dollars)								
	Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015	
	2014 Budget		2015 Budget					
	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	Donors	Total contribution
BC	48,000	0	38,000	0	19,139	0	-	0
RC	39,150	0	29,150	0	19,393	0	-	0
SC	54,200	0	44,200	0	8,637	0	-	0
<b>Total</b>	<b>141,350</b>	<b>0</b>	<b>111,350</b>	<b>0</b>	<b>47,169</b>	<b>0</b>	-	<b>0</b>

**Joint communication, outreach and public awareness**

<b>Programme heading</b>	<b>Knowledge and information management and outreach</b>
<b>Title of activity</b>	<b>Activity 27 (S12/S13): Joint communication, outreach and public awareness</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Synergies decisions (BC-IX/10, RC-4/11, SC-4/34), section III A Article 10 of the Basel Convention and Article 10 of the Stockholm Convention
<b>Short description of the activity already implemented</b>	<ol style="list-style-type: none"> <li>1. The revision of the joint communication &amp; outreach strategy for the conventions is ongoing: a preliminary list of upcoming key meetings and events is updated on a monthly basis, and a joint communication strategy priorities document has been prepared.</li> <li>2. For the meetings of the COPs in 2015, a tailored communications strategy and plan was prepared and is under implementation, and design work has begun on a visual identity for the COPs and the Science Fair.</li> <li>3. The Secretariat has developed and uses multiple communication tools and media, disseminated through the BRS websites and selected social media including @BRSMEAS Twitter, BRS Flickr, BRS YouTube and Safe Planet on Facebook.</li> <li>4. Numerous outreach materials, including press releases, speeches, articles, posters and social media materials, including an infographic wall map celebrating the 10<sup>th</sup> anniversary of the entry into force of the Rotterdam Convention were prepared and disseminated.</li> <li>5. The Secretariat conducted communication and outreach activities for the Basel Waste Solutions Circle, the conventions' clearing-house mechanism, and Safe Planet including through posters and dedicated web pages on the Basel Convention website. Due to lack of response, the Basel Waste Solutions Circle has been discontinued, with awareness-raising efforts in future addressed through emerging opportunities involving the three conventions and addressing the breadth of the chemicals and waste agenda.</li> <li>6. A concept note for fundraising was developed and posted on the synergies website, this was subsequently granted funding by the Government of Finland (200,000 Euros) and is now supporting the overall communications for the 2015 COPs including visual identity, and post-COPs outreach and awareness-raising.<sup>63</sup></li> <li>7. The Secretariat launched the BRS Technical Assistance Newsletter in May 2014 with monthly issues. The Secretariat organized exhibitions at the 5<sup>th</sup> Assembly of the Global Environment Fund (GEF) in Cancun, Mexico, and the United Nations Environment Assembly in Nairobi. The Mobile Phone Partnership Initiative exhibition "Mobile Phones and the Environment" was exhibited at the World Summit on the Information Society +10 High-level Event and in the International Telecommunication Union Discovery Museum, in Geneva.</li> </ol>
<b>Objective</b>	Implementation of the biennial chemicals and wastes MEAs work programmes supported through heightened media, public and stakeholder awareness of major developments and achievements of the conventions.
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>1. Joint communication &amp; outreach strategy: 75% completed.</li> <li>2. Basel Waste Solutions Circle recruitment posters, web pages prepared and distributed/posted. Due to lack of response, the Basel Waste Solutions Circle has been discontinued, with awareness-raising efforts in future addressed through emerging opportunities involving the three conventions and addressing the breadth of the chemicals and waste agenda.</li> <li>3. Rotterdam SIDS 2014 icon, infographic map, social media kit prepared and distributed.</li> <li>4. Number of press releases and media coverage of the Basel, Rotterdam and Stockholm conventions: 8 press releases leading to numerous instances of</li> </ol>

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<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>

	<p>media coverage including feature articles in industry journals, interviews with Secretariat staff, mentions of the conventions and conventions activities etc.</p> <p>5. Total number of followers of BRS Conventions on Twitter increased by approximately 40% since 22 November 2013 to 353.</p> <p>6. Total no. of lifetime fans of Safe Planet increased by 340 since 31 December 2013 to 5,650.</p>
<b>Achieved outputs</b>	<p>1. Preliminary list of key upcoming meetings and events (calendar of communication opportunities) 2014-2015 updated monthly, joint communication strategy priorities document.</p> <p>2. More than tenfold increase in the number of tweets posted to BRS Conventions on Twitter to 950.</p> <p>3. 29 photo galleries with live microblogs and more than 100 notes posted to Safe Planet community on Facebook since 31 Dec 2013.</p> <p>4. Launched BRS MEAS on Flickr with more than 2,500 photographic images and infographics prepared by the Secretariat uploaded in 38 thematic albums.</p> <p>5. "Catch the Wave, Feel the Force" social media kit celebrating the 10<sup>th</sup> anniversary of the entry into force of the Rotterdam Convention and the International Year of SIDS 2014 on 24 February 2014.</p> <p>6. Prepared "See our solutions" outreach posters; launched 2014 membership drive including mail out of application form, web and social media postings.</p> <p>7. New articles/news features on the synergies websites on a bi-monthly basis.</p>
<b>Challenges</b>	Resources mobilized for activity 27 (S12/S13) became available only in November 2014, leaving only 6 months for preparing for the 2015 COPs.
<b>Partner(s)</b>	Geneva Environment Network, UNEP Regional Office for Europe, FAOSIDS, UN Social Media Group, UNU STEP Academy, UNEP Chemicals Branch, Friends of Safe Planet, UK UNiTE, University of Freiburg MEG-8, Basel Convention Regional Center for Central America and Mexico.

**Budget performance for 2014**

(in United States dollars)

	Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015	
	2014 Budget		2015 Budget		General Trust Funds	Special Trust Funds	Donors	Total contribution
	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds				
BC	0	29,000	0	30,200	0	0	-	0
RC	0	29,000	0	30,200	0	0	-	0
SC	0	30,000	0	30,300	0	0	-	0
<b>Total</b>	<b>0</b>	<b>88,000</b>	<b>0</b>	<b>90,700</b>	<b>0</b>	<b>0</b>	<b>-</b>	<b>0</b>

## 5. Overall management

### Executive direction and management

<b>Programme heading</b>	<b>Overall management</b>
<b>Title of activity</b>	<b>Activity 28 (S18): Executive direction and management</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Omnibus decisions taken in 2013 (BC.Ex-2/1, RC.Ex-2/1, SC.Ex-2/1) Decisions BC-11/26, RC-6/16 and SC-6/30
<b>Short description of the activity already implemented</b>	<ol style="list-style-type: none"> <li>1. Vision communicated and leadership executed to Secretariat staff through:             <ol style="list-style-type: none"> <li>(a) Regular meetings of the senior management team held once a week to discuss management and policy issues;</li> <li>(b) Senior management team mini-retreats to discuss strategic aspects of the overall management and policies of the Secretariat;</li> <li>(c) A follow-up training on working in a matrix for all Secretariat staff held on 12 November 2013. Follow-up activities in line with the recommendations emanating from the training, include, the identification of priority goals for each branch for 2014, identifying overarching priority goals for the Secretariat for the current biennium, and refining the vision and mission statement for the Secretariat in light of those discussions and of experience gained;</li> <li>(d) A one-day training course on results-based management principles for all Secretariat staff took place in April 2014.</li> </ol> </li> <li>2. A number of regularizations of 200 series contracts have taken place during 2014/15. A review of all job descriptions within the Secretariat started in summer 2013 and is now in its final stage. Job descriptions provide a solid foundation for recruitment and vacancy announcements, for individual work plans and performance management, for training needs assessment, and for the classification of posts against UN standards. The main objectives of the review are to:             <ol style="list-style-type: none"> <li>(a) Ensure that every regular post, General Service and Professional, is linked to an official job description that accurately reflects current duties within the existing organizational context;</li> <li>(b) Where appropriate, ensure that these job descriptions are in line with revised generic job descriptions of FAO;</li> <li>(c) Where appropriate, and in line with overall UN objectives, incorporate UN and/or UNEP generic job profiles into the mix of job descriptions, adapting or supplementing as needed to reflect the unique work of secretariats;</li> <li>(d) Ensure that draft job descriptions have been reviewed and accepted by branch managers and senior management with the active participation of all affected Secretariat staff;</li> <li>(e) Based on revised job descriptions and new organizational structures, identify posts that may need further review to determine if the existing grade level is correct within UN standards;</li> <li>(f) Ensure filling of vacant posts in a timely manner.</li> </ol> </li> <li>3. A pilot training session on chairing meetings of the three conventions was held in Glion, Switzerland, from 3 to 5 March 2014 which brought together 17 participants. The training session was held due to the generous support of the Government of Switzerland. The training was organized by the Secretariat of the Basel, Rotterdam and Stockholm Conventions in cooperation with the Institute of Advanced Studies of the United Nations University. It brought together 17 participants from the governments around the world.</li> <li>4. The FAO and UNEP parts of the Rotterdam Convention Secretariat explored the possibility of a staff loan between the two locations. Additional resources</li> </ol>

	<p>needed to cover differences in cost of staff categories between the two locations are not within the current budget.</p> <p><b>Regarding the financing and budget decisions, the following activities have been implemented:</b></p> <ol style="list-style-type: none"> <li>5. Reports on financial matters related to the three conventions were presented to the bureaux of the Basel, Rotterdam and Stockholm conventions and are available on the conventions websites.</li> <li>6. Ongoing coordination with the Office of the Executive Director on the preparation and finalization of the report on the outcome of the audit by the UNEP Office of Internal Oversight Services (OIOS) on coordination and cooperation among the three conventions. The OIOS audit was conducted from 28 October to 12 November 2013 (final OIOS audit report under preparation).</li> <li>7. A review of arrears in assessed contributions has been undertaken and letters to parties with contributions in arrears have been sent out in March 2014. In addition, a Note Verbale has been sent by FAO to the Italian authorities regarding their late payment of host country contributions.</li> <li>8. Continuing efforts to further enhance efficiency in the use of financial and human resources within the secretariat in the biennium 2014-2015.</li> </ol> <p><b>Regarding section II (Organization of the secretariats of the Basel, Rotterdam and Stockholm conventions), and section VI (Transparency and accountability) of the omnibus decisions taken in 2013, the following activities have been implemented:</b></p> <ol style="list-style-type: none"> <li>9. Ongoing preparation and posting of the following management reports on quarterly, semi-biennium and biennium basis on the synergies website:<sup>64</sup> <ol style="list-style-type: none"> <li>(a) Report on financial status by activity and budget code (annual);</li> <li>(b) Secretariat workplan (annual) and associated progress reports (quarterly);</li> <li>(c) Report on signed projects and legal instruments (semi-annual);</li> <li>(d) Inventory report on non-expendable equipment (semi-annual);</li> <li>(e) Report of the Executive Secretary on synergies, possible cost savings and efficiencies (quarterly);</li> <li>(f) Status of funds (quarterly);</li> <li>(g) Report on approved staffing (quarterly);</li> <li>(h) Report on approved human resource actions (quarterly);</li> <li>(i) Report on approved and undertaken travel (quarterly);</li> <li>(j) Report on approved consultancy contracts (quarterly);</li> <li>(k) Standard operating procedures (updated regularly);</li> <li>(l) Status of voluntary contributions received, including concept notes for activities that still require funding (updated regularly);</li> <li>(m) An integrated workplan and schedule for all tasks required by decisions of the conferences of the parties (biennial);</li> <li>(n) Report on the gender balance of the Secretariat and actions taken to improve it at all levels (semi-annual);</li> <li>(o) Report on the regional balance of the Secretariat and actions taken to improve it at all levels (semi-annual);</li> <li>(p) Report on actions taken by the Secretariat to improve its environmental and economic sustainability (semi-annual);</li> <li>(q) Report on duties delegated to the Executive Secretary by the Executive Director, together with any re-delegations (updated regularly).</li> </ol> <p>The financial statements for the biennium 2012-2013 and 2014 ending 31 December 2014 were prepared and posted on the synergies website.</p> </li> <li>10. Information on the projected cost savings since the implementation of the matrix based management approach for the biennium 2012-2013 are under</li> </ol>
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<sup>64</sup> <http://synergies.pops.int/Home/tabid/813/language/en-US/Default.aspx>

	preparation based on the financial data available for the biennium ending 31 December 2013. This information will be provided on the conventions website and as document UNEP/CHW.12/INF/42-UNEP/FAO/RC/COP.7/INF/28-UNEP/POPS/COP.7/INF/48.
<b>Objective</b>	Provision of leadership, implementation of the mandates of the Basel, Rotterdam and Stockholm conventions, building of synergies, ensuring coherent delivery of the programmes of work and management of the staff and financial resources efficiently and effectively in a way which responds to needs of the three conventions and in conformity with United Nations policies and procedures.
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>1. The delivery of the programmes of work of the three conventions as per the consolidated Secretariat work plan 2012-2013, through enhanced cooperation and collaboration with key partners and institutions, has so far been successfully achieved; Implementation of 2014 – 2015 programmes of work on track.</li> <li>2. Additional training and continuous guidance is provided to Secretariat staff on working efficiently under a matrix structure and on result-based management principles.</li> <li>3. Gathering of information and preparation of options for incentives and measures to address arrears in core budget contributions to be presented at 2015 COPs will be evaluated when the information is available.</li> <li>4. Increased openness and transparency of the Secretariat and its activities has so far been successfully achieved through the regular web posting of the Secretariat's quarterly financial and progress reports.</li> </ol>
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>1. Vision and leadership provided to staff of the Secretariat and updated on the synergies website.</li> <li>2. Follow-up training on working in a matrix for all Secretariat staff held on 12 November 2013.</li> <li>3. Outputs of the programme of work for 2014 -2015 being delivered as indicated in the Secretariat workplans.</li> <li>4. OIOS audit conducted.</li> <li>5. Review of arrears in assessed contributions undertaken and follow-up action undertaken.</li> </ol>
<b>Challenges</b>	With the retirement of Jim Willis, the Executive Secretary, as of 1 April 2014, the Deputy Executive Secretary has been appointed Executive Secretary <i>ad interim</i> to assist in the transitional period until the appointment of the new Executive Secretary. The new Executive Secretary, Mr. Rolph Payet, was appointment on 4 October 2014.
<b>Partner(s)</b>	Parties and Governments, UNEP, FAO, Basel and Stockholm conventions regional centres, other convention secretariats, Strategic Approach to International Chemicals Management (SAICM), World Health Organization (WHO), etc.

**Budget performance for 2014**  
(in United States dollars)

	Budget				2014 Expenditures <sup>65</sup>		Voluntary contributions deposited in 2014 for 2014-2015	
	2014 Budget		2015 Budget		General Trust Funds	Special Trust Funds	Donors	Total contribution
	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds				
BC	136,400	0	99,900	0	59,639	0	-	0
RC	100,457	0	152,621	0	95,094	0	-	0
SC	125,400	0	110,900	0	112,967	97,786	-	0
<b>Total</b>	<b>362,257</b>	<b>0</b>	<b>363,421</b>	<b>0</b>	<b>267,700</b>	<b>97,786</b>	-	<b>0</b>

<sup>65</sup> Expenditures include staff travel incurred under this activity, as well as activities 20 (S7), 29 (S19), 30 (S16) and 33 (S20).

**International cooperation and coordination**

<b>Programme heading</b>	<b>Overall management</b>
<b>Title of activity</b>	<b>Activity 29 (S19): International cooperation and coordination</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	<p><b>Coordination of work related to the financial mechanism of the Stockholm Convention and the Global Environment Facility (GEF)</b>            Decisions SC-6/17, SC-6/18, SC-6/19 and SC-6/20</p> <p><b>Cooperation between the Basel Convention and the International Maritime Organization (IMO)</b>            Decisions BC-11/16 and BC-11/17</p> <p><b>General cooperation and coordination</b>            2013 omnibus decision (BC.Ex-2/1, RC.Ex-2/1 and SC.Ex-2/1), section V            Decisions BC-10/15, BC-11/26, RC-6/16 and SC-6/30</p>
<b>Short description of the activity already implemented</b>	<p><b>Coordination of work related to the financial mechanism of the Stockholm Convention and the GEF</b></p> <ol style="list-style-type: none"> <li>Information on cooperation and coordination undertaken by the Secretariat with the GEF is available in documents UNEP/POPS/COP.7/22 on the report on the effectiveness of the implementation of the memorandum of understanding between the Conference of the Parties and the Council of the Global Environment Facility, UNEP/POPS/COP.7/23 on the report of the Global Environment Facility to the Conference of the Parties at its seventh meeting, UNEP/POPS/COP.7/24 on the fourth review of the financial mechanism, UNEP/POPS/COP.7/25 on consolidated guidance to the financial mechanism and UNEP/CHW.12/20-UNEP/FAO/RC/COP.7/14-UNEP/POPS/COP.7/26 on facilitating financial resources for chemicals and wastes.</li> </ol> <p><b>Cooperation between the Basel Convention and the IMO</b></p> <ol style="list-style-type: none"> <li>Information on cooperation and coordination undertaken by the Secretariat with the IMO is available in documents UNEP/CHW.12/17 on environmentally sound dismantling of ships, UNEP/CHW.12/18 on cooperation between the Basel Convention and the International Maritime Organization and UNEP/CHW.12/INF/31-UNEP/FAO/RC/COP.7/INF/20-UNEP/POPS/COP.7/INF/41 on a report on activities pertaining to international cooperation and coordination.</li> </ol> <p><b>General cooperation and coordination:</b></p> <ol style="list-style-type: none"> <li>Three face-to-face meetings between Geneva and Rome staff of the Rotterdam Convention Secretariat took place on 27-28 January 2014 in Rome, Italy, on 8 May 2014 in Geneva, Switzerland and on 10 September 2014 in Geneva, Switzerland. The next meeting is scheduled to be held in end of January 2015.</li> <li>Further information on cooperation and coordination undertaken by the Secretariat with intergovernmental organizations, international and regional organizations, other multilateral agreements, and non-governmental organizations is available in documents UNEP/CHW.12/19-UNEP/FAO/RC/COP.7/15-UNEP/POPS/COP.7/31 on international cooperation and coordination and UNEP/CHW.12/INF/31-UNEP/FAO/RC/COP.7/INF/20-UNEP/POPS/COP.7/INF/41 on a report on activities pertaining to international cooperation and coordination.</li> </ol>
<b>Objective</b>	<p><b>Coordination of work related to the financial mechanism of the Stockholm Convention and the GEF</b></p> <ol style="list-style-type: none"> <li>Relevant COP decisions are followed-up to;</li> <li>GEF and other potential donors under the financial mechanism are informed of relevant COP decisions and priorities for financial assistance.</li> </ol>

	<p><b>Cooperation between the Basel Convention and the IMO</b></p> <ol style="list-style-type: none"> <li>3. Enhanced implementation of the global regime relating to ship recycling;</li> <li>4. Improved sea-land interface to achieve the environmentally sound management of hazardous wastes and other wastes generated on board ships.</li> </ol> <p><b>General cooperation and coordination</b></p> <ol style="list-style-type: none"> <li>5. Conventions' processes have the best relevant information available; enhanced coherence between conventions' activities and those of others, and reach and influence of the conventions promoted.</li> </ol>
<p><b>Evaluation of the activity as per the indicators of achievement</b></p>	<p><b>Coordination of work related to the financial mechanism of the Stockholm Convention and the GEF</b></p> <ol style="list-style-type: none"> <li>1. The Secretariat has implemented the requests contained in SC COP-6 decisions related to the financial mechanism. The outcomes of these activities are reflected in the respective documents for the SC COP-7 (as mentioned above).</li> <li>2. The guidance of the COP to the financial mechanism, the assessment of funding needs and other relevant COP decisions on this matter have been transmitted to the institutions under the financial mechanism and have been used as a basis of the work of the Technical Advisory Group on the preparation of the GEF-6 Strategy for the Chemicals and Waste Focal Area and as input to the 6<sup>th</sup> replenishment of the GEF where appropriate.</li> </ol> <p><b>Cooperation between the Basel Convention and the IMO</b></p> <ol style="list-style-type: none"> <li>3. The Secretariat has participated in the relevant meetings of the IMO – namely MEPC-66 and MEPC-67.</li> <li>4. Close cooperation continues between IMO and the Secretariat to implement decisions BC-11/16 (See Activity 14 (BC) for details of capacity-building activities implemented in relation to ship recycling) and BC-11/17.</li> </ol> <p><b>General cooperation and coordination</b></p> <ol style="list-style-type: none"> <li>5. Better informed decision-making in the conventions' processes and activities.</li> <li>6. Links with high profile initiatives are forged, and chemicals and wastes issues are further integrated into national, regional and international agendas.</li> <li>7. Three Rotterdam Convention Secretariat meetings successfully held, cooperation and mutual understanding enhanced and follow-up activities agreed upon.</li> </ol>
<p><b>Achieved outputs</b></p>	<p><b>Coordination of work related to the financial mechanism of the Stockholm Convention and the GEF</b></p> <ol style="list-style-type: none"> <li>1. GEF and other potential donors under the financial mechanism are better informed about relevant COP decisions and priorities for financial assistance.</li> <li>2. The entities under the financial mechanism are better enabled to take into account in their programmes and activities relevant guidance provided by the COP on financial matters.</li> </ol> <p><b>Cooperation between the Basel Convention and the IMO</b></p> <ol style="list-style-type: none"> <li>3. Secretariat participated in MEPC-66 and MEPC-67.</li> <li>4. Capacity-building projects in relation to ship recycling are being implemented (see document UNEP/CHW.12/17).</li> <li>5. Assessment of how far the current Basel Convention technical guidelines cover MARPOL wastes is available to parties to the Basel Convention and member States to MARPOL (See documents UNEP/CHW.12/18 and UNEP/CHW.12/INF/29).</li> </ol> <p><b>General cooperation and coordination</b></p> <ol style="list-style-type: none"> <li>6. Further information on cooperation and coordination undertaken by the Secretariat with intergovernmental organizations, international and regional organizations, other multilateral agreements, and non-governmental organizations is available in documents UNEP/CHW.12/19-</li> </ol>



	UNEP/FAO/RC/COP.7/15-UNEP/POPS/COP.7/31 on international cooperation and coordination and UNEP/CHW.12/INF/31-UNEP/FAO/RC/COP.7/INF/20-UNEP/POPS/COP.7/INF/41 on a report on activities pertaining to international cooperation and coordination. 7. RC Secretariat meeting report with agreed follow-up activities elaborated for each meeting.							
<b>Challenges</b>	No challenges encountered.							
<b>Partner(s)</b>	Information on partner organizations with which the Secretariat cooperates and coordinates is contained in document UNEP/CHW.12/INF/31-UNEP/FAO/RC/COP.7/INF/20-UNEP/POPS/COP.7/INF/41 on a report on activities pertaining to international cooperation and coordination.							
<b>Budget performance for 2014</b>								
(in United States dollars)								
	Budget				2014 Expenditures <sup>66</sup>		Voluntary contributions deposited in 2014 for 2014-2015 <sup>67</sup>	
	2014 Budget		2015 Budget					
	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	Donors	Total contribution
BC	0	80,000	0	10,000	0	42,182	Japan, Norway	128,710 <sup>68</sup>
RC	0	0	0	0	0	0	-	0
SC	0	0	0	0	0	0	-	0
<b>Total</b>	<b>0</b>	<b>80,000</b>	<b>0</b>	<b>10,000</b>	<b>0</b>	<b>42,182</b>	<b>Japan, Norway</b>	<b>128,710</b>

<sup>66</sup> Funds for staff travel have been included in the budget of the activity 28 (S18) "Executive direction and management" and are reported on in that section.

<sup>67</sup> Additionally, USD 450,339 has been received from the European Union in 2014 for activities related to international cooperation and coordination in the 2012-2013 biennium.

<sup>68</sup> This amount includes USD 60,000 from Japan for additional activities, not foreseen in the budget of the Special Trust Funds of the activity 29 (S19).

## Resource mobilization

<b>Programme heading</b>	<b>Overall management</b>
<b>Title of activity</b>	<b>Activity 30 (S16): Resource mobilization</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Synergies decisions (BC-IX/10, SC-4/34), section IV B and omnibus decisions (BC.Ex-1/1, RC.Ex-1/1, SC.Ex-1/1), section III, paragraph 3 (e) Decisions BC-11/26, RC-6/16 and SC-6/30
<b>Short description of the activity already implemented</b>	<ol style="list-style-type: none"> <li>1. Funds have been raised for activities under the Technical Cooperation Trust Fund (BD) and Voluntary Special Trust Funds (RV, SV) of the three conventions.</li> <li>2. The resource mobilization coordination group is facilitating the implementation and further development of the joint resource mobilization and management strategy through discussions at its biweekly meetings.</li> <li>3. The Executive Secretary and the resource mobilization focal point have met with the UNEP resource mobilization unit on several occasions in 2014 and 2015 to share experiences and lessons learned regarding the implementation of resource mobilization strategies and possible joint approaches.</li> <li>4. The resource mobilization coordination group has coordinated the development of concept notes for posting on the synergies website<sup>69</sup> as well as elaboration of project documents and progress reports to donors.</li> <li>5. A series of missions to donor governments have been undertaken to raise the awareness among donors regarding funding proposals.</li> <li>6. A resource mobilization database has been developed and made operational on 31 March 2014 to support fundraising and reporting activities of the Secretariat and to capture donor and partner profiles and funding cycles.</li> <li>7. Annual and progress reports in compliance with donor requirements have been prepared, where required.</li> </ol>
<b>Objective</b>	Maximized access to public and private sector funding for implementing the chemicals and wastes agenda in a synergistic manner.
<b>Evaluation of the activity as per the indicators of achievement</b>	<ol style="list-style-type: none"> <li>1. Funding opportunities have been identified through discussions in the resource mobilization coordination group, through feedback received from donors on the concept notes posted on the synergies website and through active outreach to donors during dedicated fundraising missions or other occasions, such as workshops or meetings.</li> <li>2. Donor pledges in 2014 include donor pledges for projects under all three conventions.</li> <li>3. Number of projects for which funding was obtained: 53 (as at 11 February 2014).</li> <li>4. All annual and progress reports which have been submitted to donors have been accepted and hence have fully met their respective requirements in terms of quality and timeliness of the submission.</li> </ol>
<b>Achieved outputs</b>	<ol style="list-style-type: none"> <li>1. Concept notes have been developed and posted on the synergies website of the three conventions.<sup>70</sup> The website is being updated on a continuous basis with information on the amount and source of contributions received.</li> <li>2. Full-fledged donor proposal have been prepared and submitted to a number of key donors of the conventions.</li> <li>3. Information on donor pledges received, including host country contributions under the Rotterdam and Stockholm conventions, are contained in document UNEP/CHW.12/INF/3-UNEP/FAO/RC/COP.7/INF/4-UNEP/POPS/COP.7/INF/59)</li> <li>4. The resource mobilization database has been made operational on 31 March</li> </ol>

<sup>69</sup>

<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>

<sup>70</sup>

<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>

	2014.							
<b>Challenges</b>	Challenges in terms of the raising sufficient levels of funding for certain PoW activities have been encountered.							
<b>Partner(s)</b>	Governments, United Nations (UN) organizations, FAO, international financial institutions and other stakeholders such as entities from the private sector.							
<b>Budget performance for 2014</b> (in United States dollars)								
	Budget				2014 Expenditures <sup>71</sup>		Voluntary contributions deposited in 2014 for 2014-2015	
	2014 Budget		2015 Budget					
	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	Donors	Total contribution
BC	4,000	1,000	4,000	1,000	0	0	-	0
RC	4,000	1,000	4,000	1,000	0	0	-	0
SC	4,000	1,000	4,000	1,000	2,211	1,204	-	0
<b>Total</b>	<b>12,000</b>	<b>3,000</b>	<b>12,000</b>	<b>3,000</b>	2,211	1,204	-	<b>0</b>

<sup>71</sup> Funds for staff travel have been included in the budget of the activity 28 (S18) "Executive direction and management" and are reported on in that section.

### Support for the review of the synergies decisions

<b>Programme heading</b>	<b>Overall management</b>							
<b>Title of activity</b>	<b>Activity 31 (S17): Support for the review of the synergies decisions</b>							
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention							
<b>Mandate</b>	Omnibus decisions taken in 2013 (BC.Ex-2/1, RC.Ex-2/1, SC.Ex-2/1)							
<b>Short description of the activity already implemented</b>	1. Implementation of the recommendations set out in annex I to the 2013 omnibus decisions is ongoing. For example, the Secretariat, in close consultations with the three bureaux, developed a process for preparing the organization of work of the 2015 COPs which led to a proposal by the Secretariat on how to organize these meetings. The proposal was subsequently discussed and agreed to by the three bureaux at their joint meeting on 11 and 12 November 2014. 2. The Executive Secretary, in consultation with the Executive Director of UNEP and the Director-General of FAO, prepared a report to the conferences of the parties at their 2015 meetings on the implementation of the 2013 omnibus decision, which includes the draft terms of reference of the review of the synergies arrangements. The draft terms of reference of the review of the synergies arrangements were also discussed by the bureaux at their joint meeting on 11 and 12 November 2014.							
<b>Objective</b>	Review of the synergies arrangements							
<b>Evaluation of the activity as per the indicators of achievement</b>	1. Activities relating to the implementation of the recommendations set out in annex I to the 2013 omnibus decisions are ongoing. For example, members of the three bureaux were consulted on matters relating to the organization of work of the 2015 COPs. 2. The report to the conferences of the parties at their 2015 meetings on the implementation of the 2013 omnibus decision, which includes the draft terms of reference of the review of the synergies arrangements, was prepared in consultation with the Executive Director of UNEP, with the Director-General of FAO, and with parties.							
<b>Achieved outputs</b>	1. A number of activities relating to the implementation of the recommendations set out in annex I to the 2013 omnibus decisions undertaken including, for example, the establishment of a process for preparing the organization of work of the 2015 COPs which led to the development of the tentative schedule of work for those meetings agreed to by the bureaux at their joint meeting on 11 and 12 November 2014. 2. A report to the conferences of the parties at their 2015 meetings on the implementation of the 2013 omnibus decision which includes the draft terms of reference of the review of the synergies arrangements							
<b>Challenges</b>	No challenges encountered.							
<b>Partner(s)</b>	FAO, parties.							
<b>Budget performance for 2014</b>								
(in United States dollars)								
	Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015	
	2014 Budget		2015 Budget					
	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	Donors	Total contribution
BC	0	0	0	0	0	0	-	0
RC	0	0	0	0	0	0	-	0
SC	0	0	0	0	0	0	-	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>-</b>	<b>0</b>

## 6. Legal and policy

### Legal and policy activities specific to the Basel Convention

<b>Programme heading</b>	<b>Legal and policy</b>
<b>Title of activity</b>	<b>Activity 32 (BC): Legal and policy activities specific to the Basel Convention</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decisions BC-11/2, BC-11/8 and BC-11/10 Articles 3 (national definitions), 4(1) (import prohibitions), 11 (agreements) and 13 (2) (transmission of information) of the Basel Convention (Notification of information)
<b>Short description of the activity already implemented</b>	<p><b>Work programme of the ICC</b> (see document UNEP/CHW.12/9)</p> <ol style="list-style-type: none"> <li>1. Fundraised and received a voluntary financial contribution from the European Union to support the ICC in undertaking the activities of its work programme pertaining to its general review mandate.</li> <li>2. Coordinated the Secretariat's support to the ICC's implementation of its work programme (both general review and specific submissions) e.g. identification of priorities, provision of substantive support, hiring and supervision of consultants, consultation with lead ICC members and Chair, internal procedures.</li> <li>3. Supported ICC in identifying and implementing working methods (schedule and method of delivery of activities, updating of website, information exchange with ICC members, information requests to parties and others, organization of ICC consultations on 13 September 2013, 11 March 2014, 8 May 2014 and 28 August 2014.</li> <li>4. Followed-up on COP decisions related to the ICC's work.</li> <li>5. Prepared meeting documents for consultations between the ICC and the OEWG.9 on four draft guidance documents and ensured coordination between the work of both subsidiary bodies.</li> </ol> <p><b>Implementation Fund</b> (see document UNEP/CHW.12/9)</p> <ol style="list-style-type: none"> <li>6. Fundraised for voluntary resources for the Implementation Fund and received contributions from Norway and Switzerland.</li> <li>7. Entered a small-scale funding agreement with five parties concerned by a specific submission to the ICC (Bhutan, Cabo Verde, Guinea Bissau, Swaziland and Togo) and discussing a sixth agreement with another party concerned by a submission (Central African Republic). Is liaising with all 6 Parties and an international consultant with respect to the implementation of the compliance action plans with the support of resources from the implementation fund.</li> <li>8. Is liaising with Oman with respect to the implementation of its compliance action plan with the support of resources from the implementation fund</li> </ol> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information)</b> (see document UNEP/CHW.12/11)</p> <ol style="list-style-type: none"> <li>9. Information was collected, organized, quality control and follow up provided relating to national definitions, import / export restrictions; Article 11 agreements. This included follow up to information transmitted through the national reports.</li> <li>10. Translation of notifications received was organised on receipt.</li> <li>11. Liaison undertaken with the parties concerned that made the notification to confirm translated text is agreed.</li> <li>12. New notifications and translations were made available on the Basel Convention website, as well as other updates to the relevant pages undertaken.</li> </ol>

	<p><b>Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</b> (see document UNEP/CHW.12/4)</p> <p>13. Collected baseline data, based on submissions received from parties, and developed a baseline report from which progress in implementing the strategic framework can be measured (for COP.13 mid-term evaluation).<sup>72</sup></p> <p>14. Facilitated actions to mobilize financial resources for implementation of the strategic framework.</p> <p>15. Cooperated closely with parties, BCRCs and other stakeholders to support the development and implementation of the activities set out in the strategic framework.</p> <p>16. Concept notes for fundraising for activities in the area of legal and policy activities specific to the Basel Convention were developed and posted on the synergies website.<sup>73</sup></p>
<p><b>Objective</b></p>	<p><b>Work programme of the ICC</b></p> <p>1. ICC supported in achieving its mandate given by the COP.</p> <p><b>Implementation Fund</b></p> <p>2. Parties that are developing countries or countries with economies in transition and that are the subject of a submission made in accordance with paragraph 9 of the terms of reference of the ICC are assisted in resolving their implementation and compliance matter.</p> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions), 11 (agreements) and 13 (2) (transmission of information)</b></p> <p>3. Updated collection of legal information transmitted by parties within the Secretariat and availability of information in as many of the official languages of the United Nations as possible is maintained.</p> <p><b>Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</b></p> <p>4. The strategic framework guides and supports parties in the implementation of the Basel Convention.</p>
<p><b>Evaluation of the activity as per the indicators of achievement</b></p>	<p><b>Work programme of the ICC</b></p> <p>1. Assistance was provided to the satisfaction of the ICC and its Chair.</p> <p><b>Implementation Fund</b></p> <p>2. Assistance was provided to resolve the implementation and compliance matter.</p> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information)</b></p> <p>3. Notifications have been and continue to be regularly updated on the Basel Convention website.</p> <p>4. Notifications have been and continue to be made available in several or all of the six official languages of the United Nations, with translations undertaken as received from parties subject to the availability of resources.</p> <p><b>Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</b></p> <p>5. Percentage of parties contributing to the baseline data report: 19.88% of parties (36 out of 181) responded to the questionnaire issued by the</p>

<sup>72</sup> This activity was funded under the 2012/13 biennium (funding from the European Union).

<sup>73</sup>

<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>

	<p>Secretariat.</p> <p>6. Percentage of financial resources mobilized for activities aimed at achieving the objectives of the strategic framework: the Basel Convention capacity building programme has been developed to assist parties in achieving the objectives of the strategic framework. For further information, see activity 14 on training and capacity-building activities to enhance the implementation of the Basel Convention at the regional level.</p>
<p><b>Achieved outputs</b></p>	<p><b>Work programme of the ICC</b></p> <p>1. Activities requested by the COP and that the ICC decided to implement are being undertaken.</p> <p><b>Implementation Fund</b></p> <p>2. Using resources from the implementation fund, activities in the compliance action plan approved by the ICC are being implemented in the case of Bhutan, Cabo Verde, Guinea Bissau, Oman, Swaziland and Togo, and a small scale funding agreement is under signature with Central African Republic.</p> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information)</b></p> <p>3. New notifications were transmitted to the Secretariat and made available to parties. Updates to previous notifications were also made available to parties. Translations of notifications were undertaken as received from parties.</p> <p><b>Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</b></p> <p>4. Baseline report was developed and will be submitted to COP.12 for its consideration; Financial resources were mobilised for implementation of the Basel Convention training and capacity-building programme.</p>
<p><b>Challenges</b></p>	<p><b>Work programme of the ICC</b></p> <p>1. No challenges encountered so far.</p> <p><b>Implementation Fund</b></p> <p>2. No challenges encountered so far.</p> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information)</b></p> <p>3. Confirmation with the designated focal points of the notifying parties to ensure the accuracy of the translations.</p> <p><b>Strategic Framework for the implementation of the Basel Convention for 2012 – 2021</b></p> <p>4. Limited response by parties to the questionnaire issued by the Secretariat to obtain baseline data (the Secretariat did make several requests to parties and conducted telephone interviews with focal points in an attempt to gather additional information). Competing priorities in the mobilisation of financial resources.</p>
<p><b>Partner(s)</b></p>	<p><b>Work programme of the ICC</b></p> <p>1. Parties and others, BCRCs and the OEWG were invited to contribute to the development of four draft guidance documents.</p> <p><b>Implementation Fund</b></p> <p>2. Parties concerned by a submission, BCRCs.</p> <p><b>Notification of information pursuant to Articles 3 (national definitions), 4(1) (import prohibitions) 11 (agreements) and 13 (2) (transmission of information)</b></p> <p>3. Focal points of parties transmitting notifications.</p>

<b>Budget performance for 2014</b> (in United States dollars)							
Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015 <sup>74</sup>	
2014 Budget		2015 Budget		BC Trust Fund	BD Trust Fund	Donors	Total contribution
BC Trust Fund	BD Trust Fund	BC Trust Fund	BD Trust Fund				
0	145,000	0	145,000	0	277,949	European Union, Norway, Japan, Switzerland	325,273

<sup>74</sup> Additionally, USD 211,336 has been received from the European Union in 2014 related to legal and policy activities specific to the Basel Convention in the 2012-2013 biennium.



**Legal and policy activities under the Basel, Rotterdam and Stockholm conventions.**  
**National legislation, illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions**

<b>Programme heading</b>	<b>Legal and policy</b>
<b>Title of activity</b>	<b>Activity 33 (S20): Legal and policy activities under the Basel, Rotterdam and Stockholm conventions. National legislation, illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention
<b>Mandate</b>	<p><b>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</b></p> <ol style="list-style-type: none"> <li>1. Legal and Policy Activities: Decisions BC-11/1, BC-11/10, BC-11/21, BC-11/22, BC-11/23, BC-11/24, RC-6/13, RC-6/14, RC-6/15, SC-6/2, SC-6/5, SC-6/26, SC-6/27 and SC-6/28.</li> <li>2. Compliance under the Stockholm and Rotterdam conventions: Decisions SC-6/24 and RC-6/9.</li> <li>3. Compliance and synergies under Basel, Rotterdam and Stockholm conventions: synergies decisions (IX/10, RC-4/11 and SC-4/34), section II, part B.</li> </ol> <p><b>National legislation, illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions</b></p> <ol style="list-style-type: none"> <li>4. Decisions BC-11/1, BC-11/8 and BC-11/10.</li> <li>5. Synergies decisions (IX/10, RC-4/11 and SC-4/34), section I, part A, omnibus decisions taken in 2013 (BC.Ex-2/1; RC.Ex-2/1; SC.Ex-2/1).</li> </ol>
<b>Short description of the activity already implemented</b>	<p><b>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</b></p> <ol style="list-style-type: none"> <li>1. Legal and policy activities: <ol style="list-style-type: none"> <li>(a) Legal advice, analysis and guidance pertaining to the implementation and enforcement of the Basel, Rotterdam and Stockholm conventions, including as relates to amendments thereto, were provided to at least 39 parties and 4 non-parties (see document UNEP/CHW.12/11) as well as relevant partners and other stakeholders;</li> <li>(b) The conventions' websites have been reorganized to facilitate accessibility of information and regularly updated with newly transmitted information on party status, including ratification of conventions and their amendments. Confirmation letters were transmitted to parties and new parties where appropriate; information was also circulated to parties' designated contacts by email;</li> <li>(c) Legal information regarding the Basel, Rotterdam and Stockholm conventions was collected, organized and disseminated in a proactive manner. Legislation was collected and made available on the Basel Convention website (see document UNEP/CHW.12/11);</li> <li>(d) At least 11 applications for admission as observers were screened in a timely manner, including responding to bodies or agencies making requests for admission as observers (see documents UNEP/CHW.12/INF/47, UNEP/FAO/RC/COP.7/INF/23 and UNEP/POPS/COP.7/INF/43);</li> <li>(e) Regarding decision SC-6/5 on the evaluation of the continued need for the procedure under paragraph 2 (b) of Article 3 of the Stockholm Convention, the Secretariat posted on the Stockholm Convention website<sup>75</sup> the template for certification of non-party imports, as adopted by COP-6. The Secretariat has prepared a report on the continued need for the procedure for the consideration by COP-7 (see document UNEP/POPS/COP.7/10);</li> <li>(f) The issuance of the consolidated version of the Basel Convention</li> </ol> </li> </ol>

<sup>75</sup> <http://chm.pops.int/Procedures/ExporttoanonPartyState/tabid/3349/Default.aspx>

	<p>rules of procedure reflecting the amendment to rule 21 is in progress;</p> <ul style="list-style-type: none"> <li>(g) The Secretariat participated in the consultations undertaken by UNEP on the relationship between UNEP and the MEAs, including by providing input into the report on the relationship between UNEP and the MEAs submitted to the United Nations Environment Assembly at its 2014 session;</li> <li>(h) The work on the development of draft memorandums of understanding (MoUs) between UNEP/ED and, respectively, the Basel Convention COP, the Stockholm Convention COP, and the Rotterdam Convention COP, to be presented at the COPs by the Executive Secretary, is completed (See also documents UNEP/CHW.12/25, UNEP/FAO/RC/COP.7/19 and UNEP/POPS/COP.7/9.);</li> <li>(i) Legal and policy activities related to trade and environment issues pertaining to the Basel, Rotterdam and Stockholm conventions with the WTO and other UN entities were initiated; synergies between the Basel, Rotterdam and Stockholm conventions were identified; cooperative efforts and work on these issues, with relevant partners, including the Basel and Stockholm conventions regional centres, were enhanced, as parties called for it during the 2013 COPs meetings. The Secretariat participated in and provided input to several meetings, consultations, courses and other events attended by representatives of parties and WTO Member States, on WTO/MEAs cross-cutting issues. Information and expertise were exchanged; assistance was mutually provided on issues and activities of common interest: e.g. in 2013, the Secretariat contributed to the updating of the WTO matrix on trade-related measures in MEAs (See also documents UNEP/CHW.12/INF/31, UNEP/FAO/RC/COP.7/INF/20 and UNEP/POPS/COP.7/INF/41.);</li> <li>(j) Legal and policy activities related to environment and human rights pertaining to the Basel, Rotterdam and Stockholm conventions, were undertaken: the Secretariat participated in and worked on activities and issues of common interest with the Office of the High Commissioner for Human Rights (OHCHR) - in particular the Special Rapporteur on the implications for human rights of the environmentally sound management and disposal of hazardous substances and wastes and at the Human Rights Council - as well as with other relevant entities, including the Secretariat of the Aarhus Convention, UNEP divisions (See also documents UNEP/CHW.12/INF/31, UNEP/FAO/RC/COP.7/INF/20 and UNEP/POPS/COP.7/INF/41.);</li> <li>(k) Corporate legal operations were carried out through various activities: the initiating, drafting, negotiation, conclusion and execution of series of legal instruments; legal advice, analysis, training and guidance were provided to staff, parties and other relevant stakeholders on legal instruments and other corporate legal and policy issues pertaining to human resources, ethics, intellectual property (e.g. the protection of names/emblems and other intellectual property work produced through activities developed to facilitate implementation of the conventions), dispute resolution, among others;</li> <li>(l) Corporate legal communication activities and advice were undertaken and provided, including also as it relates to public awareness and outreach activities pertaining to the Basel, Rotterdam and/or Stockholm conventions.</li> </ul> <p>2. Compliance under the Stockholm and Rotterdam conventions: (see documents UNEP/POPS/COP.7/31 and UNEP/FAO/RC/COP.7/12)</p> <ul style="list-style-type: none"> <li>(a) Intersessional support was provided to the bureaux of the Rotterdam and Stockholm conventions COPs-7 including during their individual and joint meetings;</li> <li>(b) As requested by the bureaux, the Secretariat prepared a brief on compliance. The brief will be disseminated through webinars planned</li> </ul>
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	<p>in March 2015 and during the 2015 COPs regional preparatory meetings scheduled in March/April 2015. In addition, the Secretariat will organize a luncheon with Permanent Missions in Geneva on this matter early 2015.</p> <p><b>National legislation, illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions</b></p> <p>3. Specific to the Basel Convention (see document UNEP/CHW.12/11):</p> <ul style="list-style-type: none"> <li>(a) Assistance was provided to 10 parties on request to address alleged cases of illegal traffic and resolve differences between parties;</li> <li>(b) Information was collected from three parties on their best practices in preventing and combating illegal traffic, and posted on the website;</li> <li>(c) The Secretariat continued its cooperation with enforcement organizations and networks;</li> <li>(d) The Secretariat supported the work of the Environmental Network for Optimizing Regulatory Compliance on Illegal Traffic (ENFORCE), including by organizing its 1<sup>st</sup> meeting (Bangkok, 18-19 November 2013), by supporting its activities (development of a gaps and needs analysis), by organizing online consultations on 25 June 2014 and 14 October 2014, and by performing other secretariat functions<sup>76</sup> (see document UNEP/CHW.12/16).</li> </ul> <p>4. Basel, Rotterdam and Stockholm conventions:</p> <ul style="list-style-type: none"> <li>(a) The Secretariat continued its cooperation with enforcement organizations and networks including by: finalizing the e-learning tool of the enforcement of the Basel, Rotterdam and Stockholm conventions developed in cooperation with Interpol; and making progress in the publication of the Secretariat's e-manual on the enforcement of the conventions for customs and the finalization of the e-learning tool with WCO. The Secretariat has also continued its cooperation with the Regional Enforcement Network for Chemicals and Waste (REN) and the Green Customs Initiative (GCI) Partners (see document UNEP/CHW.12/11);</li> <li>(b) Legal technical assistance webinars were launched in December 2013 on tools developed to help parties in adopting adequate legal frameworks implementing the Basel, Rotterdam and Stockholm conventions. Two workshops on illegal traffic of hazardous wastes and other wastes were organized by the Secretariat in Argentina, 28 and 29 August 2013 and in Colombia, 10-12 December 2014 (see document UNEP/CHW.12/INF/22-UNEP/FAO/RC/COP.7/INF/14-UNEP/POPS/COP.7/INF/17);</li> <li>(c) Following receipt of a financial contribution in November 2014 the Secretariat initiated the hiring of a consultant to prepare an analysis on possible synergies under the Basel, Rotterdam, and Stockholm conventions in combating illegal traffic and trade in hazardous chemicals and wastes, building on lessons learned under the Basel Convention (see document UNEP/CHW.12/INF/51).</li> </ul> <p>5. A concept note for fundraising for activities in the area of national legislation, illegal traffic and trade, and enforcement was developed and posted on the synergies website.<sup>77</sup></p>
<p><b>Objective</b></p>	<p><b>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</b></p> <ul style="list-style-type: none"> <li>1. Generic as well as convention-specific legal and policy activities are undertaken.</li> <li>2. Corporate legal and policy activities are undertaken.</li> <li>3. Support is provided to Basel Convention parties, Rotterdam Convention</li> </ul>

<sup>76</sup> This meeting was funded under the 2012/13 biennium (funding from Japan).

<sup>77</sup>

<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>

	<p>parties and the Stockholm Convention parties / Bureau in implementing decisions adopted by COPs-6 and COP-11.</p> <p>4. Enhanced coordination among the Basel, Rotterdam and Stockholm conventions compliance/non-compliance mechanisms.</p> <p><b>National legislation, Illegal traffic and trade, and Enforcement under the Basel, Rotterdam and Stockholm conventions</b></p> <p>5. Strengthened prevention and combating of illegal traffic and trade.</p>
<p><b>Evaluation of the activity as per the indicators of achievement</b></p>	<p><b>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</b></p> <p>1. Legal and policy activities were undertaken to the satisfaction of parties, stakeholders, Secretariat staff and management.</p> <p>2. Compliance under the Stockholm and Rotterdam conventions: as requested, support on compliance was provided to the respective bureaux.</p> <p>3. Activities described above regarding the development of MoUs between UNEP and the Basel Convention COP, between UNEP and the Stockholm Convention COP, and between UNEP, and the Rotterdam Convention COP are completed – draft MoUs are ready and to be presented at the 2015 COP meetings.</p> <p><b>National legislation, illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions</b></p> <p>4. Parties’ requests for assistance in resolving cases of illegal traffic area were dealt with to their satisfaction.</p> <p>5. Cooperation with partners was fostered through participation in respective meetings, development of joint tools and the addition of new members to the ENFORCE.</p> <p>6. Enforcement entities better coordinated their activities, for instance through the information exchange among members of ENFORCE.</p> <p>7. Synergies between Basel, Rotterdam and Stockholm conventions on illegal traffic/trade issues were identified and fostered.</p>
<p><b>Achieved outputs</b></p>	<p><b>Legal and policy activities under the Basel, Rotterdam and Stockholm conventions</b></p> <p>1. Legal and policy activities: relevant actions were undertaken, legal and policy advice were provided concerning a wide range of matters.</p> <p>2. Compliance under Stockholm and Rotterdam conventions: Rotterdam and Stockholm conventions bureaux were provided with the support requested;</p> <p>3. Input provided to UNEP/DELIC on the report on the relationship between UNEP and MEAs.</p> <p>4. Consultations being conducted by the Secretariat with UNEP and FAO on the draft MoUs; draft MoUs revised and developed; reports to the Basel, Rotterdam and Stockholm conventions bureaux provided.</p> <p>5. Corporate legal operations/communication activities were carried on through various activities.</p> <p><b>National legislation, illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions</b></p> <p>6. Parties have an increased understanding of preventing and combating illegal traffic and trade in hazardous chemicals and wastes.</p> <p>7. Cooperation between the Secretariat and enforcement partners/networks was fostered.</p> <p>8. The 1<sup>st</sup> meeting of ENFORCE took place (Bangkok, 18-19 November 2013) and the implementation of the activities of the roadmap is taking place.</p>
<p><b>Challenges</b></p>	<p>Development of MoUs: timeline and reaching a common understanding among the involved entities.</p>
<p><b>Partner(s)</b></p>	<p><b>Legal and Policy Activities under the Basel, Rotterdam and Stockholm conventions</b></p> <p>Basel, Rotterdam and Stockholm conventions bodies (subsidiary bodies and bureaux); BCRCs, SCRCs, Depositary, UNEP (DELIC and OfO), FAO, WTO, WHO, OHCHR, other UN entities and IGOs, NGOs, private sector, academia, designated contacts of parties (focal points, official contact points), donors.</p>

<p><b>National legislation, Illegal traffic and trade, and enforcement under the Basel, Rotterdam and Stockholm conventions</b>                  BCRCs, SCRCs, UNEP, FAO, GCI, Interpol, WCO, INECE, IMPEL TFS, Asian Network, REN, other IGOs and NGOs with a mandate on illegal traffic/trade issues, the Asian Network for Prevention of Illegal Transboundary Movement of Hazardous Wastes, Basel Action Network.</p>								
<p><b>Budget performance for 2014</b>                  (in United States dollars)</p>								
	Budget				2014 Expenditures <sup>78</sup>		Voluntary contributions deposited in 2014 for 2014-2015	
	2014 Budget		2015 Budget		General Trust Funds	Special Trust Funds	Donors	Total contribution
	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds				
BC	0	155,000	0	75,000	0	49,375	Japan	10,000
RC	0	0	0	0	0	0	-	0
SC	0	0	0	0	0	0	-	0
<b>Total</b>	<b>0</b>	<b>155,000</b>	<b>0</b>	<b>75,000</b>	<b>0</b>	<b>39,375</b>	<b>Japan</b>	<b>10,000</b>

<sup>78</sup> Funds for staff travel have been included in the budget of the activity 28 (S18) "Executive direction and management" and are reported on in that section.

**Coordinate and provide support to parties in follow-up to the country-led initiative on environmentally sound management and further legal clarity**

<b>Programme heading</b>	<b>Legal and policy</b>
<b>Title of activity</b>	<b>Activity 34 (BC): Coordinate and provide support to parties in follow-up to the country-led initiative on environmentally sound management and further legal clarity</b>
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input type="checkbox"/> Rotterdam Convention <input type="checkbox"/> Stockholm Convention
<b>Mandate</b>	Decision BC-11/1
<b>Short description of the activity already implemented</b>	<p><b>Environmentally sound management</b><sup>79</sup></p> <ol style="list-style-type: none"> <li>1. Supported the establishment of the Expert Working Group on ESM (EWG) – received membership nominations and facilitated election of the co-chairs of the Group.</li> <li>2. Supported one electronic and three face-to-face meetings of the Group: the first in Buenos Aires, Argentina, from 10 to 12 December 2013; the second in Jakarta, Indonesia, from 29 to 31 May 2014; and the third to be held from 21 to 23 January 2015 in Konstanz, Germany. Support included preparation of invitations for members and observers, consultations with co-chairs on the provisional agenda and organisation of the meetings, preparation and circulation of meeting documents, Secretariat support during and in the follow-up to the meetings.</li> <li>3. Hosted five webinar sessions on the outcomes of the meetings of the EWG. Two further webinar sessions are planned following the third meeting in early 2015.</li> <li>4. Supported intersessional work of the EWG through arrangement of teleconferences, circulation of minutes, coordination of small group work, provision of substantive comments on the EWG's outputs, hiring and supervising of consultants and establishment of pilot project steering group.</li> <li>5. Mobilised financial resources for the organisation of the meetings of the EWG (financial support received for 3 meetings), the implementation of pilot projects and the retention of consultant(s) to assist the Group in its work;</li> <li>6. Redeveloped CLI section on website as a resource for parties.</li> </ol> <p><b>Legal clarity</b></p> <ol style="list-style-type: none"> <li>1. Supported the establishment of the small intersessional working group (SIWG) on legal clarity (nominations and election of Chair) and the additional nomination of members following OEWG-9.</li> <li>2. Supported the identification and implementation of working arrangements: schedule, information exchange with members of the group and Chair, updating of website, organization of consultations, invitation of comments by parties and others on the successive iterations of the draft glossary of terms and on options for the consistent interpretation of terminology, including recommendations on the need for further guidance.</li> <li>3. Organized the first meeting of the SIWG (2-3 June 2014, Montreux, Switzerland): invitations and registrations, consultations with Chair on tentative schedule and provisional agenda, preparation and distribution of meeting documents, support during the meeting, elaboration of the meeting report.</li> <li>4. Organized the preparations for the second meeting of the SIWG (Konstanz, Germany, 25-26 January 2015): invitations, consultations with Chair on tentative schedule and provisional agenda, preparation and distribution of meeting documents. Will provide the necessary support during the meeting and prepare the meeting report thereafter.</li> <li>5. Fundraised for the hiring of a consultant and the organization of the meetings</li> </ol>

<sup>79</sup> These activities are partially funded under the 2012/13 biennium (funding from Switzerland).

	<p>of the SIWG, received the authorization to use an existing contribution from Switzerland for activities until July 2014,<sup>80</sup> subsequently received a financial contribution from Switzerland for further work.</p> <p>6. Supported the substantive work of the SIWG on legal clarity by: hiring and supervising a consultant, providing substantive input on the development of successive iterations of the glossary of terms, the identification of options for the consistent interpretation of terminology, and recommendations on the need for further guidance;</p> <p>7. Prepared three meeting documents for OEWG.9 (see documents UNEP/CHW/OEWG.9/11, UNEP/CHW/OEWG.9/INF/20 and UNEP/CHW/OEWG.9/INF/21), supported the work on legal clarity during OEWG-9 and in follow up to its decision OEWG.9/8, prepared meeting documents for COP-12 (see documents UNEP/CHW.12/3 and UNEP/CHW.12/3/INF/52).</p> <p>8. Concept notes for raising the remaining funds to support the activities described above were developed and posted on the synergies website.<sup>81</sup></p>
<b>Objective</b>	To coordinate and provide support to parties for ESM and further legal clarity
<b>Evaluation of the activity as per the indicators of achievement</b>	<p><b>Environmentally Sound Management</b></p> <p>1. Effective support was provided to the EWG as per the work programme; the work on short-term actions to implement ESM and the development of a work programme is being completed as per the mandate from the COP.</p> <p><b>Legal clarity</b></p> <p>1. Effective support was provided to the SIWG as per the work programme; effective support is being provided to the SIWG following the extension of its mandate until COP-12 by decision OEWG-9/8.</p> <p>2. The work on legal clarity is being completed as per the mandate from the COP as adjusted by OEWG-9 in its decision OEWG-9/8.</p>
<b>Achieved outputs</b>	<p><b>Environmentally sound management</b></p> <p>1. Ample financial resources were mobilised to support the expert working group on ESM: three fully-funded face-to-face meetings of the EWG were held and the EWG received full support in undertaking its work::</p> <ul style="list-style-type: none"> <li>o Secretariat support was provided for the development of practical manuals on ESM;</li> <li>o Consultants were retained to: develop waste stream fact sheets, a compilation of training and information material on ESM and three pilot projects to demonstrate and implement ESM.</li> <li>o Funding has been received to implement two further pilot projects in 2015.</li> </ul> <p>2. Further information on the EWG’s activities is available in documents UNEP/CHW.12/3, UNEP/CHW.12/3/Add.1, UNEP/CHW.12/3/Add.2 and UNEP/CHW.12/INF/6.</p> <p><b>Legal clarity</b></p> <p>1. A consultant was engaged to undertake work on further legal clarity in accordance with the mandate received from COP; the draft glossary of terms requested by 15 February 2014 was made available by then with an invitation for parties and others to comment thereon by 15 April 2014. The second glossary of terms was made available on the website and sent to parties on 15 June 2014, as requested by the COP.</p> <p>2. A further draft glossary of terms and options for the consistent interpretation of terminology, including recommendations on the need for further guidance, is expected to be finalized by the SIWG during its second meeting, for the consideration of COP-12.</p>
<b>Challenges</b>	No challenges encountered so far on ESM.

<sup>80</sup> Activities until July 2014 funded under the 2012/13 biennium (funding from Switzerland).

<sup>81</sup>

<http://synergies.pops.int/Implementation/ResourceMobilization/Contributions/20142015/tabid/3304/language/en-US/Default.aspx>

	<p>With respect to legal clarity, the group was unable to undertake one activity by the time of the OEWG-9, namely the review of whether definitions set out in existing guidance document or technical guidelines need to be amended as a result of the definitions set out in the glossary of terms. The group invited the OEWG-9 to request it to undertake that review by the time of COP-12. In its decision OEWG-9/8, the OEWG mandated the SIWG to continue its work and deliver its outputs to COP-12.</p>						
<p><b>Partner(s)</b></p>	<p><b>Environmentally sound management</b> Members of the Expert Working Group, observers, BCRCs.</p> <p><b>Legal clarity</b> Parties and others were invited to comment on two iterations of the glossary of terms and on options for the consistent interpretation of terminology, including recommendations on the need for further guidance.</p>						
<p><b>Budget performance for 2014</b> (in United States dollars)</p>							
<p>Budget</p>				<p>2014 Expenditures</p>		<p>Voluntary contributions deposited in 2014 for 2014-2015<sup>82</sup></p>	
<p>2014 Budget</p>		<p>2015 Budget</p>					
<p>BC Trust Fund</p>	<p>BD Trust Fund</p>	<p>BC Trust Fund</p>	<p>BD Trust Fund</p>	<p>BC Trust Fund</p>	<p>BD Trust Fund</p>	<p>Donors</p>	<p>Total contribution</p>
<p>0</p>	<p>230,000</p>	<p>0</p>	<p>150,000</p>	<p>0</p>	<p>391,843</p>	<p>European Union, Japan, Switzerland, Norway</p>	<p>468,730</p>

<sup>82</sup> Additionally, USD 32,634 has been received from the European Union in 2014 related to activities on follow-up to the country-led initiative in the 2012-2013 biennium.



## 7. Office maintenance and services

### Office maintenance and services

<b>Programme heading</b>	<b>Office maintenance and services</b>							
<b>Title of activity</b>	<b>Activity 35 (S21): Office maintenance and services</b>							
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention							
<b>Mandate</b>	Decisions BC-11/26, RC-6/16 and SC-6/30							
<b>Short description of the activity already implemented</b>	1. Processing of procurement of goods and services including office supplies as required by the Secretariat is ongoing. 2. Processing rental and leases of office equipment is ongoing. 3. Processing quarterly office space and utilities invoices is ongoing.							
<b>Objective</b>	To ensure the provision of office space and services to allow for the effective and efficient delivery by the Secretariat of the outputs envisaged under the programme of work for 2014-2015.							
<b>Evaluation of the activity as per the indicators of achievement</b>	All contracts related to rental and maintenance of offices and equipment were extended for 2014.							
<b>Achieved outputs</b>	1. Non-expandable equipment and office supplies are procured and available; 2. Review and renewal of rental and leases of office equipment and office space on continuously basis.							
<b>Challenges</b>	No challenges encountered so far.							
<b>Partner(s)</b>	United Nations Office at Nairobi, UNOG and external services providers.							
<b>Budget performance for 2014</b>								
(in United States dollars)								
	Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015	
	2014 Budget		2015 Budget					
	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	Donors	Total contribution
BC	148,738	0	156,175	0	126,523	0	-	0
RC	142,909	0	150,054	0	135,862	0	-	0
SC	165,265	0	173,528	0	162,521	0	-	0
<b>Total</b>	<b>456,912</b>	<b>0</b>	<b>479,757</b>	<b>0</b>	<b>424,906</b>	<b>0</b>	-	<b>0</b>

**Joint information technology services**

<b>Programme heading</b>	<b>Office maintenance and services</b>							
<b>Title of activity</b>	<b>Activity 36 (S11): Joint information technology services</b>							
<b>Convention(s)</b>	<input checked="" type="checkbox"/> Basel Convention <input checked="" type="checkbox"/> Rotterdam Convention <input checked="" type="checkbox"/> Stockholm Convention							
<b>Mandate</b>	Decisions BC-11/11, RC-6/11 and SC-6/15							
<b>Short description of the activity already implemented</b>	1. The procurement plan for replacement of old equipment has been prepared for 2014. Obsolete equipment has been disposed of. 2. The LAN and Internet services have been kept at operational levels. 3. All e-mail addresses have been standardized, with brsmeas.org and unep.org accounts for all staff members. 4. A helpdesk and troubleshooting system is in the process of being deployed.							
<b>Objective</b>	Provide to the Secretariat of the Basel, Rotterdam and Stockholm conventions the basic information technology and services required to run the secretariats, including: improved services provided to parties during meetings of the conferences of the Parties and other relevant meetings by using new technologies to facilitate negotiations; Efficient communication mechanisms between parties, the secretariat and other convention stakeholders; enhanced capacity to store and process the information generated in the process of convention implementation.							
<b>Evaluation of the activity as per the indicators of achievement</b>	Procedures and resources are in place to ensure the implementation of the Secretariat's programme of work in a cost efficient and timely manner.							
<b>Achieved outputs</b>	Effective and efficient delivery of IT service within the Secretariat.							
<b>Challenges</b>	No challenges encountered so far.							
<b>Partner(s)</b>	FAO, UNEP, UNOG.							
<b>Budget performance for 2014</b>								
(in United States dollars)								
	Budget				2014 Expenditures		Voluntary contributions deposited in 2014 for 2014-2015	
	2014 Budget		2015 Budget					
	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	General Trust Funds	Special Trust Funds	Donors	Total contribution
BC	38,800	0	38,800	0	1,610	0	-	0
RC	33,250	0	33,250	0	18,229	0	-	0
SC	38,800	0	38,800	0	3,203	0	-	0
<b>Total</b>	<b>110,850</b>	<b>0</b>	<b>110,850</b>	<b>0</b>	<b>23,042</b>	<b>0</b>	-	<b>0</b>