



Stockholm Convention on Persistent Organic Pollutants

Conference of the Parties to the Stockholm Convention on Persistent Organic Pollutants Sixth meeting

Geneva, 28 April–10 May 2013
Item 6 of the provisional agenda*

Programme of work and adoption of the budget

Information on financial matters

Note by the Secretariat

1. By its decision SC-5/2, the Conference of the Parties to the Stockholm Convention amended the financial rules governing the administration of the Conference of the Parties, its subsidiary bodies and the Secretariat to read as set out in the annex to that decision. As amended, paragraph 1 of rule 3, which deals with the budget, requires the Executive Secretary to dispatch reports on the actual income and expenditure for each year of the previous biennium and estimates of actual expenditure in the current biennium at least 90 days before the opening of the meeting of the Conference of the Parties at which the budget for a given biennium is adopted.
2. In accordance with paragraph 1 of rule 3 the Secretariat has prepared the present note, which provides information on income and expenditures for the period from 1 January 2011 to 30 November 2012 for both the Stockholm Convention General Trust Fund (SC Trust Fund) and the Stockholm Convention voluntary Special Trust Fund (SV Trust Fund). All figures are given in United States dollars, unless otherwise stated.
3. A report on the implementation of the programme budget for 2012 is set out in document UNEP/POPS/COP.6/INF/37.
4. Updated information on expenditures from both trust funds will be provided in document UNEP/POPS/COP.6/INF/38.

I. Stockholm Convention General Trust Fund

5. Annex I to the present note provides information on the actual expenditures for the year 2011 from the SC Trust Fund. They amounted to \$6,522,654, exceeding by \$331,711 the budget approved by the Conference of the Parties for that period. The increasing value of the Swiss franc against the United States dollar affected the budget during that period, especially with regard to staff costs for both professional and administrative support staff. The overexpenditure on staff costs was partially offset by savings under some operational budget lines such as consultants, travel and subcontracts. The overexpenditure was funded from the accumulated fund balance and reserve of the SC Trust Fund.
6. Annex II to the present note shows provisional expenditures for the period from 1 January to 30 November 2012.
7. Annex III to the present note shows the status of contributions to the SC Trust Fund as at 30 November 2012. The total contribution agreed by the Conference of the Parties for 2012 was

* UNEP/POPS/COP.6/1.

\$4,130,340. As at 30 November 2012, contributions of \$3,105,514 had been received, representing 75.2 per cent of the total contributions agreed by the Conference of the Parties for that year.

8. Annex IV to the present note provides information on unpaid contributions to the SC Trust Fund for the years 2006–2012. Arrears for the year 2011 and prior years, as at the end of 2011, equalled \$1,003,707. As at 30 November 2012, the arrears had been reduced by \$617,395.

9. Annex V to the present note provides information on the reserve and fund balance of the SC Trust Fund for the years 2006–2012. The reserve and fund balance, as at 30 November 2012, excluding the unpaid contributions referred to in paragraph 8 above, is estimated at \$199,483. This balance may be drawn down by a further \$300,000 to cover partially the programme budget for the biennium 2012–2013 in accordance with a provision to that effect in the 2012–2013 operational budget adopted by the Conference of the Parties in decision SC-5/28.

II. Stockholm Convention voluntary Special Trust Fund

10. Annex VI to the present note provides information on actual expenditures for the year 2011 from the SV Trust Fund.

11. Annex VII to the present note provides information on provisional expenditures for the period from 1 January to 30 November 2012.

12. Information on contributions to the SV Trust Fund for 2011 and prior years is presented in Annex VIII to the present note, while Annex IX provides information on voluntary contributions to the SV Trust Fund received in 2012, as at 30 November 2012.

13. Annex X to the present note provides information on the reserve and fund balance of the SV Trust Fund for the years 2006–2012. The reserve and fund balance, as at 30 November 2012, is estimated at \$1,874,025.

III. Certified accounts of the Stockholm Convention Trust Funds

14. The certified accounts of the Stockholm Convention trust funds for the biennium 2010–2011 are reproduced in annex XI to the present note. The 2010–2011 accounts have been certified as correct and in accordance with the accounting policies and financial regulations and rules of the United Nations. The certified accounts for both Stockholm Convention trust funds are included as an integral part of the certified accounts of the United Nations Environment Programme (UNEP) for the biennium 2010–2011.

IV. Trust Fund to Support the Implementation of the Rotterdam and Stockholm Conventions in Developing Countries

15. The United Nations Environment Programme has informed the Secretariat of a trust fund relating to the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade and the Stockholm Convention that is no longer in use. That fund, the Trust Fund to Support the Implementation of the Rotterdam and Stockholm Conventions in Developing Countries (RSL Trust Fund), as at 30 November 2012 had a reserve and fund balance of \$218,000. The parties may wish to consider closing the RSL Trust Fund and transferring its remaining balance to the Rotterdam Convention voluntary Special Trust Fund (RV Trust Fund) and the SV Trust Fund.

Annex I

Information on actual expenditures for 2011 - Stockholm Convention Trust Fund (SC), as at 31 December 2011

(in US\$ dollars)

			Approved budget 2011	Actual expenditures 2011	Balance 2011
10 Project Personnel Component					
1100	Professional Staff				
1101	Executive Secretary (D-1)	0.75	191'362	329'853	(138'491)
1102	Coordinator (P-5)	1	225'838	361'101	(135'263)
1103	Senior Scientific Officer (P.5)	1	225'838	(37'183)	263'021
1104	Policy Officer (P-4)	1	195'121	208'157	(13'036)
1105	Programme Officer (P-4)	1	195'121	235'257	(40'136)
1106	Programme Officer (P-4)	1	195'121	124'036	71'085
1107	Senior Information/Conference Service Manager (CHM) P-5	1	225'838	244'016	(18'178)
1108	Programme Officer (P-3)	1	164'187	237'961	(73'774)
1109	Programme Officer (P-3)	1	164'187	51'688	112'499
1110	Budget Officer (P-3) (OTL funded)	0.75	0	0	0
1111	Legal Officer (P-3)	1	164'187	161'347	2'840
1112	GTA Associate Programme Officer (P-2)		0	104'406	(104'406)
1113	GTA Programme Officer (P-3)		0	200'016	(200'016)
1114	GTA Programme Officer (CHM) P-3			205'959	(205'959)
1115	Network Administrator P-3		82'093	0	82'093
1116	Programme Officer P-3 (Capacity Assistance)			167'108	(167'108)
1117	Programme Officer P-3 (New POPs)		164'187	171'108	(6'921)
1118	Programme Officer P-4		164'187	284'406	(120'219)
1199	Total Professional staff	10.50	2'357'266	3'049'235	(691'968)
1200	Consultants				
1201	Consultants unspecified		75'000	5'701	69'299
1202	Consultant on guidance/training material		20'000	1'019	18'981
1203	Consultants on effectiveness evaluation		0	0	0
1204	Consultants on POPRC research		30'000	66'154	(36'154)
1208	Consultant clearing house		0	(1'976)	1'976
1210	Consultant for needs assessment		10'000	0	10'000
1212	Monitoring information warehouse (under GMP)		15'000	25'000	(10'000)
1213	Consultants on PCB elimination network		10'000	0	10'000
1214	Consultants on new POPs in products		0	(1'479)	1'479
1299	Total consultants		160'000	94'419	65'581
1300	General Service staff				
1301	Conference Assistant (G.5)	1	110'864	140'933	(30'069)
1302	Secretary to Executive Secretary (G-5)	1	110'864	117'799	(6'935)
1303	Programme Assistant (G.5)	1	110'864	156'777	(45'913)
1304	Programme Assistant (G.5)	1	110'864	23'433	87'431
1305	Webmaster/IT Assistant (G-4/5)	1	110'864	145'855	(34'991)
1306	Administrative assistant (G-5/6) (OTL)	1	0	0	0
1307	Data entry clerk (G.4)	1	110'864	123	110'741
1308	Research Assistant/Clerk		110'864	149'176	(38'312)
1320	Temporary assistance (effectiveness evaluation)		40'000	111'836	(71'836)
1321	Temporary assistance (CHM)	0	20'000	1'752	18'248
1322	GTA Conference Clerk G-3/4		0	74'299	(74'299)
1323	GTA Conference Clerk G-3/4		0	0	0
	<i>General service staff sub-total</i>	7	836'048	921'983	(85'935)

	Approved budget 2011	Actual expenditures 2011	Balance 2011
Conference servicing			
1330 Conference of the Parties	560'000	760'543	(200'543)
1331 POPs Review Committee	360'000	307'816	52'184
1334 DDT Experts group	0	(3'066)	3'066
1335 Compliance	6'600	6'666	(66)
<i>Conference servicing sub-total</i>	926'600	1'071'959	(145'359)
1399 Total General Service staff	1'762'648	1'993'942	(231'294)
1600 Travel on official business			
1601 Staff travel	180'000	81'045	98'955
1699 Total travel on official business	180'000	81'045	98'955
1999 Component total: project personnel	4'459'914	5'218'641	(758'726)
20 Sub Contract component			
2100 Sub-contracts			
2101 CHM tools development	25'000	0	25'000
2102 Regional centers/technical assistance projects	80'000	(19'570)	99'570
2104 Effectiveness evaluation projects for ROGs new data	0	(31'300)	31'300
2106 Subcontracts technical assistance projects	50'000	26'000	24'000
2107 Subcontracts DDT and PCBs projects	80'000	34'440	45'560
2108 Subcontracts New POPs	25'000	15'029	9'971
2199 Total sub-contracts	260'000	24'598	235'402
2999 Component Total: Sub contracts	260'000	24'598	235'402
30 Training component			
3300 Meetings: participant travel and DSA			
3302 POPs Review Committee	90'500	76'550	13'950
3304 Bureau travel	0	10'641	(10'641)
3305 DDT and PCBs	0	(5'375)	5'375
3399 Total Meetings: participant travel and DSA	90'500	81'815	8'685
3999 Component total: training	90'500	81'815	8'685
40 Equipment and premises component			
4100 Expendable equipment			
4101 Office equipment: paper, toner, diskettes, CD-ROMs	15'000	4'213	10'787
4199 Total Expendable equipment	15'000	4'213	10'787
4200 Non-expendable equipment			
4201 Office Equipment: Hardware and Software	30'000	54'024	(24'024)
4202 Hardware and software for CHM	15'000	2'500	12'500
4299 Total Non-expendable equipment	45'000	56'524	(11'524)
4300 Premises			
4301 Office space, maintenance, utilities	60'000	165'596	(105'596)
4399 Total premises	60'000	165'596	(105'596)
4999 Component total: equipment and premises	120'000	226'332	(106'332)
50 Miscellaneous component			
5100 Operation and maintenance of equipment			
5101 Maintenance of office equipment	60'000	12'133	47'867
5199 Total operation and maintenance of equipment	60'000	12'133	47'867

	Approved budget 2011	Actual expenditures 2011	Balance 2011
5200 Reporting cost			
5202 Other electronic media publishing	3'000	1'319	1'682
5203 Printing costs	5'000	5'135	(135)
5204 Document translations	62'500	65'719	(3'219)
5206 Translation and publishing (clearing house)	10'000	0	10'000
5208 Translation and publishing (POPRC reports)	15'000	20'598	(5'598)
5209 Translation and publishing (guidance materials)	40'000	20'371	19'629
5210 Translations of publication (DDT report)	15'000	(2'680)	17'680
5299 Total reporting cost	150'500	110'461	40'039
5300 Sundry			
5301 Communications: mailing/dispatching	15'000	65'654	(50'654)
5302 Communications: Internet connection	22'000	20'133	1'867
5303 Office supplies	10'000	1'747	8'253
5399 Total Sundry	47'000	87'534	(40'534)
5400 Hospitality and entertainment			
5401 Hospitality for technical meetings	10'000	1'767	8'233
5499 Total hospitality and entertainment	10'000	1'767	8'233
5999 Component total: miscellaneous	267'500	211'895	55'605
99 TOTAL DIRECT COST	5'477'619	5'772'172	(293'550)
PROGRAMME SUPPORT COSTS (13%)	712'090	750'482	(38'161)
TOTAL OPERATIONAL BUDGET	6'189'709	6'522'654	(331'711)

Annex II

**Provisional information on expenditures from 1 January to 30 November 2012 -
Stockholm Convention Trust Fund (SC)**

(in US\$ dollars)

			Budget	Expenditures	Balance
			2012	2012	2013
10 PROJECT PERSONNEL COMPONENT					
1100 Professional staff					
1101	Executive Secretary D.1	0.75	210'156	280'004	(69'848)
1102	Coordinator P.5	1	236'765	387'487	(150'722)
1103	Senior Scientific Officer P.5	1	236'765	-	236'765
1104	Policy Officer P.4	1	200'146	220'032	(19'886)
1105	Programme Officer P.4	1	200'146	209'578	(9'432)
1106	Programme Officer P.4	1	200'146	170'684	29'462
1107	Conference Service Manager (CHM) P.5	1	236'765	217'617	19'148
1108	Programme Officer P.3	1	167'259	187'496	(20'237)
1109	Programme Officer P.3	1	167'259	-	167'259
1110	Budget Officer (to be covered by UNEP OTL) I	0.75	-	-	0
1111	Legal Officer P.3	1	167'259	144'351	22'908
1112	Associate Programme Officer P.2	1	-	94'012	(94'012)
1113	Programme Officer P.3 (vacant)	1	-	-	0
1114	Information Systems Officer (CHM) P.3	1	-	169'344	(169'344)
1115	Network Administrator P.3	0.50	83'630	-	83'630
1116	Programme Officer P.3 (Capacity Assistance)	1	167'259	136'754	30'505
1117	Programme Officer P.3 (New POPs)	1	167'259	139'803	27'456
1118	Programme Officer P.4	1	-	279'782	(279'782)
1131	Deputy Executive Secretary D.1		-	69'748	(69'748)
1199	Total	17.00	2'440'815	2'706'692	(265'877)
1200 Consultants					
1201	Consultants unspecified		52'500	46'290	6'210
1202	Consultant on guidance/training material		110'000	-	110'000
1205	Consultants for technical assistance		30'000	-	30'000
1206	Consultants for updating of GMP guidance		40'000	-	40'000
1210	Consultant for needs assessment		50'000	93'822	(43'822)
1211	Consultants for review of financial mechanism		-	78'978	(78'978)
1214	Consultants New POPs in products		150'000	13'750	136'250
1280	Consultants (technical assistance/training tools)		8'300	-	8'300
1281	Consultants (scientific and technical)		6'700	-	6'700
1282	Consultants (clearing-house development)		20'000	4'000	16'000
1283	Consultants (websites maintenance)		8'000	-	8'000
1284	Consultants (brokering service)		6'650	-	6'650
1285	Consultants (review arrangements)		5'350	-	5'350
1299	Total		487'500	236'840	250'660
13 Administrative support					
1300 General Service staff					
1301	Conference Assistant	1	125'216	121'243	3'973
1302	Computer System Information Clerk	1	125'216	104'033	21'183
1303	Secretary to Executive Secretary	1	125'216	134'865	(9'649)
1304	Programme Assistant	1	125'216	-	125'216
1305	Programme Assistant	1	125'216	124'963	253
1306	Administrative Assistant HR (to be covered by UNEP OTL)	0.50	-	-	0

			Budget	Expenditures	Balance
			2012	2012	2013
1307	Website Assistant	1	125'216	-	125'216
1308	Research Assistant	1.00	125'216	129'471	(4'255)
1320	Programme Clerk	-	40'000	94'208	(54'208)
1321	Temporary assistance (CHM)	-	20'000	-	20'000
OTL	Finance & Budget Assistant (to be covered by UNEP OTL)	0.50	-	-	0
OTL	IT/Database Assistant (to be covered by UNEP OTL)	-	-	-	0
OTL	Publication Clerk (to be covered by UNEP OTL)	-	-	-	0
<i>General Service Staff subtotal</i>		8.00	936'512	708'783	227'729
1330	Conference servicing				
1330	Conference of the Parties		200'000	(104'317)	304'317
1331	POPs Review Committee		360'000	400'192	(40'192)
1332	Effectiveness evaluation		-	-	0
1333	Adhoc JWG on synergies		-	-	0
1334	DDT experts group		-	-	0
1336	Compliance		-	-	0
<i>Conference servicing subtotal</i>			560'000	295'875	264'125
1399	Total		1'496'512	1'004'658	491'854
1600	Travel on Official Business				
1601	Travel on Official Business		100'000	57'468	42'532
1602	Travel on Official Business (partners)		25'000	1'918	23'082
1680	Travel on Official Business (capacity-building regional level)		6'650	-	6'650
1681	Travel on Official Business (overall management)		15'000	2'110	12'890
1699	Total		146'650	61'496	85'154
1999	Component Total		4'571'477	4'009'686	561'791
20	Subcontract Component				
2100	Subcontracts				
2101	Subcontracts CHM tools development		-	4'404	(4'404)
2102	Subcontracts regional centres projects		50'000	-	50'000
2199	Total		50'000	4'404	45'596
2999	Component Total		50'000	4'404	45'596
30	TRAINING COMPONENT				
3300	Meetings: participants travel and DSA				
3301	Conference of the Parties		-	-	0
3302	POPs Review Committee		90'000	92'389	(2'389)
3303	Participant travel JWG synergies		-	-	0
3304	Bureau travel		15'000	24'603	(9'603)
3305	DDT and PCBs		-	-	0
3399	Total		105'000	116'992	(11'992)
3999	Component Total		105'000	116'992	(11'992)
40	EQUIPMENT AND PREMISES COMPONENT				
4100	Expendable equipment				
4101	Office equipment; paper, toner, diskettes, CD-ROMS		7'500	308	7'192
4199	Total		7'500	308	7'192
4200	Non-expendable equipment				
4201	Office equipment: hardware and software		10'000	5'479	4'521
4280	Office equipment: hardware and software (IT services)		31'500	24'774	6'726

	Budget	Expenditures	Balance
	2012	2012	2013
4299 Total	41'500	30'253	11'247
4300 Premises			
4301 Office space, maintenance, utilities	100'000	131'662	(31'662)
4399 Total	100'000	131'662	(31'662)
4999 Component Total	149'000	162'223	(13'223)
50 MISCELLANEOUS COMPONENT			
5100 Operation and maintenance of equipment			
5101 Maintenance of office equipment	15'000	5'774	9'226
5199 Total	15'000	5'774	9'226
5200 Reporting costs			
5202 Other electronic media publishing	3'000	3'125	(125)
5203 Printing costs	5'000	-	5'000
5204 Document translation	50'000	24'402	25'598
5205 Document translation New POPs	30'000	78'978	(48'978)
5206 Translation and publishing (clearing-house)	5'000	1'412	3'588
5207 Reprinting of specific technical materials	7'000	-	7'000
5209 Translation and publishing of guidance materials	20'000	1'415	18'585
5280 Translation and publishing (publications)	19'200	-	19'200
5281 Translation and publishing (brokering service)	2'500	-	2'500
5282 Translation and publishing (review arrangements)	5'000	-	5'000
5299 Total	146'700	109'332	37'368
5300 Sundry			
5301 Communications: mailing/dispatching	30'000	73'175	(43'175)
5302 Communications: internet connection	30'000	65	29'935
5303 Office supplies	7'500	1'071	6'429
5399 Total	67'500	74'311	(6'811)
5400 Hospitality			
5401 Hospitality	10'000	1'049	8'951
5499 Total	10'000	1'049	8'951
5999 Component Total	239'200	190'466	48'734
DIRECT PROJECT COST OPERATIONAL BUDGET			
	5'114'669	4'483'771	630'904
UNEP Programme Support Costs 13%			
	664'907	582'890	82'018
TOTAL OPERATIONAL BUDGET			
	5'779'576	5'066'661	712'922

Annex III

Status of contributions - Stockholm Convention Trust Fund (SC) as at 30 November 2012

(in US\$ dollars)

	Country	Unpaid pledges for 2011 & prior yrs. As at 31.12.2011	Pledges for 2012	Collections during 2011 for 2012 and fut yrs	Collections during 2012 for 2011 and prior years	Collections during 2012 for 2012 and fut. yrs	Unpaid pledges for 2011 & Prior Yrs as at 30.11.2012	Unpaid pledges for 2012	Unpaid pledges for prior yrs., 2011 & 2012	Deferred Income
1	Albania	432	561				432	561	993	0
2	Algeria		7180				0	7180	7180	0
3	Angola		561				0	561	561	0
4	Antigua and Barbuda	403	412				403	412	815	0
5	Argentina	55'953	16'099				55'953	16'099	72'052	0
6	Armenia		412			824	0	(412)	(412)	(412)
7	Australia		108'432	108'432			0	0	0	0
8	Austria		47'737			47'737	0	0	0	0
9	Azerbaijan		841			841	0	0	0	0
10	Bahamas		1'010	1'010			0	0	0	0
11	Bahrain	4'709	2'188				4'709	2'188	6'897	0
12	Bangladesh	2'292	561				2'292	561	2'853	0
13	Barbados	1'197	412				1'197	412	1'609	0
14	Belarus		2'356			2'356	0	0	0	0
15	Belgium		60'302			60'302	0	0	0	0
16	Belize		412			412	0	0	0	0
17	Benin	1'197	412				1'197	412	1'609	0
18	Bolivia	403	412		403	412	0	0	0	0
19	Bosnia and Herzegovina	603	785		603	785	0	0	0	0
20	Botswana	0	1'010	1'010			0	0	0	0
21	Brazil	48	90'369				48	90'369	90'417	0
22	Bulgaria		2'132			2'132	0	0	0	0
23	Burkina Faso	1'219	412		533		686	412	1'098	0
24	Burundi	53	412				53	412	465	0
25	Cambodia	403	412		403	412	0	0	0	0
26	Cameroon	2'180	617				2'180	617	2'797	0
27	Canada		179'897	179'897		192'326	0	(192'326)	(192'326)	(192'326)
28	Cape Verde	1'876	412				1'876	412	2'288	0
29	Central African Republic	1'425	412				1'425	412	1'837	0
30	Chad	2'240	412				2'240	412	2'652	0
31	Chile		13'238	9'118			0	4'120	4'120	0
32	China		178'888	2'050		183'004	0	(6'166)	(6'166)	(6'166)
33	Colombia	286	785		286	8'063	0	(7'278)	(7'278)	(7'278)
34	Comoros	1'588	412				1'588	412	2'000	0
35	Congo	1'588	412				1'588	412	2'000	0
36	Cook Islands	2'240	412				2'240	412	2'652	0
37	Costa Rica	317	1'907		317	2'041	0	(134)	(134)	(134)
38	Cote d'Ivoire	2'050	561				2'050	561	2'611	0
39	Croatia		5'441			5'441	0	0	0	0
40	Cuba	9'243	3'983				9'243	3'983	13'226	0
41	Cyprus		2'580			2'580	0	0	0	0
42	Czech Republic		19'577	19'577			0	0	0	0
43	Dem. People's Rep. of Korea	803	412				803	412	1'215	0
44	Dem. Rep. Of Congo	803	412		803	412	0	0	0	0
45	Denmark		41'286			41'286	0	0	0	0

	Country	Unpaid pledges for 2011 & prior yrs. As at 31.12.2011	Pledges for 2012	Collections during 2011 for 2012 and fut yrs	Collections during 2012 for 2011 and prior years	Collections during 2012 for 2012 and fut. yrs	Unpaid pledges for 2011 & Prior Yrs as at 30.11.2012	Unpaid pledges for 2012	Unpaid pledges for prior yrs., 2011 & 2012	Deferred Income
46	Djibouti	403	412				403	412	815	0
47	Dominica	2'240	412				2'240	412	2'652	0
48	Dominican Republic	8'022	2'356				8'022	2'356	10'378	0
49	Ecuador		2'244			2'244	0	0	0	0
50	Egypt		5'273			5'273	0	0	0	0
51	El Salvador	3'437	1'066				3'437	1'066	4'503	0
52	Eritrea	403	412		403	1'362	0	(950)	(950)	(950)
53	Estonia		2'244				0	2'244	2'244	0
54	Ethiopia		412	412			0	0	0	0
55	European Union		103'010			103'010	0	0	0	0
56	Fiji	20	412				20	412	432	0
57	Finland		31'750	31'725			0	25	25	0
58	France		343'471			343'471	0	0	0	0
59	Gabon	1'588	785		1'588	1'293	0	(508)	(508)	(508)
60	Gambia		412	16		396	0	0	0	0
61	Georgia		412			412	0	0	0	0
62	Germany		449'772			449'772	0	0	0	0
63	Ghana	2'240	412		2'240	412	0	0	0	0
64	Greece	34'346	38'762				34'346	38'762	73'108	0
65	Guatemala	5'165	1'571				5'165	1'571	6'736	0
66	Guinea	1'197	412				1'197	412	1'609	0
67	Guinea-Bissau	1'188	412				1'188	412	1'600	0
68	Guyana		412			412	0	0	0	0
69	Honduras		412			412	0	0	0	0
70	Hungary		16'324				0	16'324	16'324	0
71	Iceland		2'356			2'356	0	0	0	0
72	India	77'493	29'955				77'493	29'955	107'448	0
73	Indonesia		13'351	13'754			0	(403)	(403)	(403)
74	Iran	22'248	13'070				22'248	13'070	35'318	0
75	Ireland		2'7395			2'7395	0	0	0	0
76	Jamaica	803	785		803		0	785	785	0
77	Japan		702'874			140'574	0	562'300	562'300	0
78	Jordan	6	785		6	779	0	6	6	0
79	Kazakhstan		4'263			6'903	0	(2'640)	(2'640)	(2'640)
80	Kenya		673			673	0	0	0	0
81	Kiribati		412	412		25	0	(25)	(25)	(25)
82	Kuwait	20'885	14'753				20'885	14'753	35'638	0
83	Kyrgyzstan	403	412				403	412	815	0
84	Lao People's Democratic Republic		412			412	0	0	0	0
85	Latvia		2'132	2'115			0	17	17	0
86	Lebanon	10'378	1'851				10'378	1'851	12'229	0
87	Lesotho	25	412		25	387	0	25	25	0
88	Liberia	1'247	412				1'247	412	1'659	0
89	Libya	28'828	7'236				28'828	7'236	36'064	0
90	Liechtenstein		412	571		853	0	(1'012)	(1'012)	(1'012)
91	Lithuania		3'646			3'646	0	0	0	0
92	Luxembourg		5'049			5'049	0	0	0	0
93	Madagascar		412	147			0	265	265	0
94	Malawi	1'033	412				1'033	412	1'445	0
95	Maldives		412	412			0	0	0	0
96	Mali	2'240	412				2'240	412	2'652	0
97	Marshall Islands	1'405	412				1'405	412	1'817	0
98	Mauritania	2'240	412				2'240	412	2'652	0
99	Mauritius		617			617	0	0	0	0
100	Mexico	387'772	132'160		387'772	135'209	0	(3'049)	(3'049)	(3'049)

	Country	Unpaid pledges for 2011 & prior yrs. As at 31.12.2011	Pledges for 2012	Collections during 2011 for 2012 and fut yrs	Collections during 2012 for 2011 and prior years	Collections during 2012 for 2012 and fut. yrs	Unpaid pledges for 2011 & Prior Yrs as at 30.11.2012	Unpaid pledges for 2012	Unpaid pledges for prior yrs., 2011 & 2012	Deferred Income
101	Micronesia	2'240	412				2'240	412	2'652	0
102	Moldova		412			815	0	(403)	(403)	(403)
103	Monaco		412			412	0	0	0	0
104	Mongolia	9	412				9	412	421	0
105	Montenegro		412				0	412	412	0
106	Morocco	52	3'254				52	3'254	3'306	0
107	Mozambique		412			412	0	0	0	0
108	Myanmar		412				0	412	412	0
109	Namibia		412			412	0	0	0	0
110	Nauru	2'643	412				2'643	412	3'055	0
111	Nepal	403	412				403	412	815	0
112	Netherlands		104'057	203'001		113'812	0	(212'756)	(212'756)	(212'756)
113	New Zealand		15'314	15'314			0	0	0	0
114	Nicaragua	1'876	412				1'876	412	2'288	0
115	Niger	1'876	412				1'876	412	2'288	0
116	Nigeria	8'421	4'375		8'421	4'328	0	47	47	0
117	Niue	403	412				403	412	815	0
118	Norway		48'859			48'859	0	0	0	0
119	Oman		4'824			4'824	0	0	0	0
120	Pakistan	3'184	4'600		3'099		85	4'600	4'685	0
121	Palau		412				0	412	412	0
122	Panama	7'318	1'234		7'318		0	1'234	1'234	0
123	Papua New Guinea		412			400	0	12	12	0
124	Paraguay		412	95			0	317	317	0
125	Peru	4'495	5'049		4'495		0	5'049	5'049	0
126	Philippines		5'049			10'098	0	(5'049)	(5'049)	(5'049)
127	Poland		46'447			46'447	0	0	0	0
128	Portugal		28'665				0	28'665	28'665	0
129	Qatar		7'573	7'573			0	0	0	0
130	Republic of Korea	10'000	126'775		10'000	116'775	0	10'000	10'000	0
131	Romania		9'929	9'929			0	0	0	0
132	Russian Federation	7'693	89'865				7'693	89'865	97'558	0
133	Rwanda		412				0	412	412	0
134	Saint Kitts and Nevis	403	412				403	412	815	0
135	Saint Lucia	3	412				3	412	415	0
136	Saint Vincent and the Grenadines	2'240	412				2'240	412	2'652	0
137	Samoa	25	412				25	412	437	0
138	Sao Tome and Principe	1'876	412				1'876	412	2'288	0
139	Saudi Arabia		7'760				0	7'760	7'760	0
140	Senegal	2'240	412				2'240	412	2'652	0
141	Serbia		2'076			2'076	0	0	0	0
142	Seychelles		412			412	0	0	0	0
143	Siera Leone	1'197	412				1'197	412	1'609	0
144	Singapore		18'792	18'792			0	0	0	0
145	Slovakia		7'966	7'966			0	0	0	0
146	Slovenia		5'778	5'805			0	(27)	(27)	(27)
147	Solomon Islands		412				0	412	412	0
148	Somalia	470	412				470	412	882	0
149	South Africa		21'597	21'597		23'089	0	(23'089)	(23'089)	(23'089)
150	Spain	171'038	178'215		171'038	178'215	0	0	0	0
151	Sri Lanka		1'066				0	1'066	1'066	0
152	Sudan	2'292	561				2'292	561	2'853	0

	Country	Unpaid pledges for 2011 & prior yrs. As at 31.12.2011	Pledges for 2012	Collections during 2011 for 2012 and fut yrs	Collections during 2012 for 2011 and prior years	Collections during 2012 for 2012 and fut. yrs	Unpaid pledges for 2011 & Prior Yrs as at 30.11.2012	Unpaid pledges for 2012	Unpaid pledges for prior yrs., 2011 & 2012	Deferred Income
153	Suriname		412				0	412	412	0
154	Swaziland		412	412			0	0	0	0
155	Sweden	30	59'685		30	59'655	0	30	30	0
156	Switzerland		64'850			64'850	0	0	0	0
157	Syrian Arab Republic	922	1'402				922	1'402	2'324	0
158	Tajikistan	803	412		400		403	412	815	0
159	Thailand		11'724	11'724			0	0	0	0
160	The Former Yugoslav Republic of Macedonia	803	412				803	412	1'215	0
161	Togo		412			1'924	0	(1'512)	(1'512)	(1'512)
162	Tonga	769	625				769	625	1'394	0
163	Trinidad and Tobago	3'328	2'468		3'328	2'468	0	0	0	0
164	Tunisia		1'683			1'683	0	0	0	0
165	Turkey		34'611			34'611	0	0	0	0
166	Tuvalu		412			1'609	0	(1'197)	(1'197)	(1'197)
167	Uganda		412	15'262			0	(14'850)	(14'850)	(14'850)
168	Ukraine		4'880				0	4'880	4'880	0
169	United Arab Emirates	34'732	21'933				34'732	21'933	56'665	0
170	United Kingdom of Great Britain and Northern Ireland		370'453			370'453	0	0	0	0
171	United Republic of Tanzania	195	412				195	412	607	0
172	Uruguay	1'556	1'515		1'556		0	1'515	1'515	0
173	Vanuatu	1'197	412				1'197	412	1'609	0
174	Venezuela	11'526	17'614		11'526	28'996	0	(11'382)	(11'382)	(11'382)
175	Viet Nam		1'851				0	1'851	1'851	0
176	Yemen	2'240	561				2'240	561	2'801	0
177	Zambia	403	412				403	412	815	0
178	Zimbabwe		206			206	0	0	0	0
	T O T A L	1'003'707	4'130'340	688'128	617'396	2'902'555	386'312	1'024'826	1'411'138	(485'168)

List of New Parties in 2012

1	Palau	Assessed contributions	4'130'340	
2	Russian Federation	Paid by 30.11.2012	3'105'514	75.2%
3	Saudi Arabia	Balance	1'024'826	24.8%
4	Suriname			100%
5	Zimbabwe			

HOST COUNTRY CONTRIBUTION

Country	Arreas	Pledges 2012		Collections		unpaid pledges as at 31.10.2012	
		CHF	USD	CHF	US\$	CHF	USD
Switzerland	-	2'000'000		2'000'000	2'163'800	-	

ALLOCATION BETWEEN GENERAL AND VOLUNTARY TRUST FUNDS

	USD
Assessed Contribution 2012	64'850
Special Voluntary Fund (25%)	540'950
General Trust Fund (Balance)	1'558'000
	2'163'800

Annex IV

Annual distribution of unpaid contributions for 2012 and prior years - Stockholm Convention Trust Fund (SC), as at 30 November 2012

(in US\$ dollars)

	Country	2012	2011	2010	2009	2008	2007	2006	TOTAL
1	Albania	561	403	29					993
2	Algeria	7'180							7'180
3	Angola	561							561
4	Antigua and Barbuda	412	403						815
5	Argentina*	16'099	18'729	18'566	18'658				72'052
6	Bahrain	2'188	2'824	1'885					6'897
7	Bangladesh	561	576	571	574	571			2'853
8	Barbados	412	403	400	394				1'609
9	Benin	412	403	400	394				1'609
10	Brazil	90'369	48						90'417
11	Burkina Faso	412	403	261	22				1'098
12	Burundi	412	53						465
13	Cameroon*	617	1'095	1'085					2'797
14	Cape Verde	412	403	400	394	391	288		2'288
15	Central African Republic	412	403	400	394	228			1'837
16	Chad	412	403	400	394	391	288	364	2'652
17	Chile	4'120							4'120
18	Comoros	412	403	400	394	391			2'000
19	Congo*	412	403	400	394	391			2'000
20	Cook Islands	412	403	400	394	391	288	364	2'652
21	Cote d'Ivoire*	561	403	400	394	391	462		2'611
22	Cuba	3'983	2'478	2'456	2'468	1'841			13'226
23	Dem. People's Rep. Of Korea*	412	403	400					1'215
24	Djibouti	412	403						815
25	Dominica	412	403	400	394	391	288	364	2'652
26	Dominican Republic	2'356	2'017	1'999	2'009	1'997			10'378
27	El Salvador*	1'066	1'153	1'143	1'141				4'503
28	Estonia	2'244							2'244
29	Fiji	412	20						432
30	Finland	25							25
31	Greece	38'762	34'346						73'108
32	Guatemala*	1'571	1'729	1'714	1'722				6'736
33	Guinea	412	403	400	394				1'609
34	Guinea-Bissau	412	403	391	394				1'600
35	Hungary	16'324							16'324
36	India*	29'955	25'932	25'707	25'794	60			107'448
37	Iran*	13'070	10'373	10'283	1'592				35'318
38	Jamaica	785							785
39	Japan	562'300							562'300
40	Jordan	6							6

	Country	2012	2011	2010	2009	2008	2007	2006	TOTAL
41	Kuwait*	14'753	10'488	10'397					35'638
42	Kyrgyzstan	412	403						815
43	Latvia	17							17
44	Lebanon*	1'851	1'959	1'942	1'952	1'941	1'108	1'476	12'229
45	Lesotho	25							25
46	Liberia	412	403	400	394	50			1'659
47	Libya*	7'236	3'976	3'542	3'559	3'539	6'093	8'119	36'064
48	Macedonia*	412	403	400					1'215
49	Madagascar	265							265
50	Malawi	412	403	400	230				1'445
51	Mali	412	403	400	394	391	288	364	2'652
52	Marshall Islands	412	403	400	314	288			1'817
53	Mauritania	412	403	400	394	391	288	364	2'652
54	Micronesia	412	403	400	394	391	288	364	2'652
55	Mongolia	412	9						421
56	Morocco	3'254	52						3'306
57	Montenegro	412							412
58	Myanmar	412							412
59	Nauru	412	806	400	394	391	288	364	3'055
60	Nepal	412	403						815
61	Nicaragua*	412	403	400	394	391	288		2'288
62	Niger	412	403	400	394	391	288		2'288
63	Nigeria	47							47
64	Niue	412	403						815
65	Pakistan	4'600	86						4'686
66	Palau	412							412
67	Panama	1'234							1'234
68	Papua New Guinea	12							12
69	Paraguay	317							317
70	Peru	5'049							5'049
71	Portugal	28'665							28'665
72	Republic Of Korea	10'000							10'000
73	Russian Federation	89'865	7'693						97'558
74	Rwanda	412							412
75	Saint Kitts and Nevis	412	403						815
76	Saint Lucia	412	3						415
77	Saint Vincent and the Grenadines	412	403	400	394	391	288	364	2'652
78	Samoa	412	25						437
79	Sao Tome and Principe	412	403	400	394	391	288		2'288
80	Saudi Arabia	7'760							7'760
81	Senegal	412	403	400	394	391	288	364	2'652
82	Siera Leone	412	403	400	394				1'609
83	Solomon Islands	412							412
84	Somalia	412	403	67					882
85	Sri Lanka	1'066							1'066

	Country	2012	2011	2010	2009	2008	2007	2006	TOTAL
86	Sudan	561	576	571	574	571			2'853
87	Suriname	412							412
88	Sweden	30							30
89	Syrian Arab Republic	1'402	922						2'324
90	Tajikistan	412	403						815
91	Tanzania	412	195						607
92	Tonga	625	403	366					1'394
93	Ukraine	4'880							4'880
94	United Arab Emirates*	21'933	17'404	17'253	75				56'665
95	Uruguay	1'515							1'515
96	Vanuatu	412	403	400	394				1'609
97	Viet Nam	1'851							1'851
98	Yemen	561	403	400	394	391	288	364	2'801
99	Zambia	412	403						815
	TOTAL	1'024'826	161'687	111'428	70'534	17'733	11'695	13'235	1'411'138

Notes:

* Parties whose contributions are in arrears for two or more years and therefore shall not be eligible to become a member of any bureau of the Conference of the Parties or its subsidiary bodies. This shall not apply to parties that are LDCs or SIDS or to parties that have agreed on and are respecting a schedule of payments.

Annex V

Statement of income and expenditure and changes in reserve and fund balance for the years 2006-2012 and cumulative, as at 30 November 2012

(in US\$ dollars)

General Trust Fund for the Stockholm Convention (SC)							
STATEMENT OF INCOME AND EXPENDITURE AND CHANGES IN RESERVE AND FUND BALANCE FOR THE YEARS 2006 - 2012 AND CUMULATIVE (in USD)							
INCOME	2006	2007	2008	2009	2010	2011	2012
Voluntary contributions (assessed contributions)	3'755'196	2'855'436	3'887'794	3'850'532	4'031'717	4'094'049	4'120'118
Contributions under host country agreements	1'608'867	1'635'710	1'881'966	1'780'658	1'804'070	2'020'444	1'558'000
TOTAL CONTRIBUTIONS	5'364'063	4'491'146	5'769'760	5'631'190	5'835'787	6'114'493	5'678'118
Interest income	102'000	172'284	79'302	60'180	52'206	27'072	19'250
Miscellaneous income	-	-	-	-	-	562	1'908
TOTAL INCOME	5'466'063	4'663'430	5'849'062	5'691'370	5'887'993	6'142'127	5'699'276
EXPENDITURE							
Staff and other personnel costs	2'183'000	3'377'588	3'118'555	4'282'789	3'831'907	5'055'852	3'711'350
Contractual services	214'200	960'231	423'371	485'173	714'589	106'346	241'244
Travel	59'192	185'000	178'128	232'990	198'290	81'045	61'496
Operating expenses	257'150	517'814	387'624	221'012	365'071	325'193	162'223
Acquisitions	75'121	108'000	129'305	128'151	184'464	203'737	307'458
Programme support costs	361'787	670'000	549'367	696'320	688'262	750'483	582'890
TOTAL EXPENDITURE	3'150'450	5'818'633	4'786'350	6'046'435	5'982'582	6'522'655	5'066'661
EXCESS OF INCOME OVER EXPENDITURE	2'315'613	(1'155'203)	1'062'712	(355'065)	(94'589)	(380'528)	632'615
Prior periods adjustments	-	-	-	-	-	(3'264)	-
Transfer to reserve	(411'255)	-	(38'315)	-	(35'061)	-	-
Transfer to/from other funds	73'024	-	-	-	-	-	-
FUND BALANCE AT THE BEGINNING OF THE PERIOD	-	1'977'382	822'116	1'846'513	1'491'448	1'361'798	978'006
FUND BALANCE AT THE END OF THE PERIOD	1'977'382	822'116	1'846'513	1'491'448	1'361'798	978'006	1'610'621
RESERVE AT THE BEGINNING OF THE PERIOD	-	411'255	411'255	449'570	449'670	484'631	484'631
TRANSFER FROM/TO RESERVE	411'255	-	38'315	-	35'061	-	-
RESERVE AT THE END OF THE PERIOD	411'255	411'255	449'570	449'570	484'731	484'631	484'631
TOTAL RESERVE AND FUND BALANCE	2'388'637	1'233'371	2'296'083	1'941'018	1'846'529	1'462'637	2'095'252
UNPAID PLEDGES	561'155	380'000	449'828	708'621	652'842	1'027'940	1'411'138
DEFERRED PLEDGES	866'516	701'000	438'947	443'907	945'209	718'368	260'763
FUND BALANCE CARRIED FORWARD	2'282'743	1'143'116	1'835'632	1'226'734	1'654'165	668'434	460'246
FUND BALANCE EXCLUDING UNPAID CONTRIBUTIONS	1'416'227	442'116	1'396'685	782'827	708'956	(49'934)	199'483

Annex VI

Information on actual expenditures for 2011 - Stockholm Convention voluntary Special Trust Fund (SV), as at 31

December 2011

(in US\$ dollars)

	Budget 2011	Expenditures 2011	Balance 2011
10 Project Personnel Component			
1100 Professional personnel			
1120 Programme Officer DDT (GTA)	-	199'873	(199'873)
1121 GTA Programme Officer P-3	-	175'047	(175'047)
1122 Programme Officer L-3 Capacity building	-	142'376	(142'376)
1125 Associate Programme Officer L-2 (GTA)	-	139'197	(139'197)
1126 GTA Programme Officer P-3 (CAP)	-	13'104	(13'104)
1199 Total personnel	-	669'597	(669'597)
1200 Consultants			
1204 Consultants Toolkit	25'000	-	25'000
1208 Consultant POPs wastes	20'000	14'519	5'481
1211 Financial assistance	20'000	20'000	0
1212 Technical assistance	45'000	22'736	22'264
1213 Effectiveness Evaluation (monitoring)	30'000	-	30'000
1214 DDT and PCBs	60'000	24'300	35'700
1215 Knowledge and Information systems	15'000	39'722	(24'722)
1216 New POPs	95'000	46'480	48'520
1217 Global Monitoring Plan (GMP)	100'000	-	100'000
1299 Total Consultants	410'000	167'757	242'243
1300 General Service staff			
1322 GTA Conference Clerk G-3	-	24'234	(24'234)
1399 Total General Service staff	-	24'234	(24'234)
16 Travel on official business			
1603 Staff travel	25'000	8'875	16'125
1699 Total travel on official business	25'000	8'875	16'125
1999 Component Total: Project personnel	435'000	870'462	(435'462)
20 Subcontract component			
2200			
2201 POPRC country activities	90'000	38'583	51'417
2202 NIPS subcontracts	10'000	-	10'000
2204 Toolkit revision of toolkit	50'000	-	50'000
2205 POPs monitoring data	220'000	269'335	(49'335)
2206 POPs capacity enhancement in regions	385'000	79'318	305'682
2207 DDT and PCBs	130'000	132'526	(2'526)
2208 Small Grant Projects	-	161'057	(161'057)
2299 Total reporting cost	885'000	680'819	204'181
2999 Component Total: Subcontracts	885'000	680'819	204'181
30 Training Component			
3300 Meetings: participant travel and DSA			
3301 Conference of the Parties	750'000	317'683	432'317
3302 POPs Review Committee	60'000	63'561	(3'561)
3303 Toolkit Participant travel	45'000	38'323	6'677
3306 POPs waste participant travel	120'000	(2'310)	122'310
3307 NIPS training	155'000	(16'820)	171'820
3309 Effectiveness evaluation participants travel	80'000	29'885	50'115
3310 POPRC effective participation	120'000	(484)	120'484
3311 DDT and PCBs	180'000	72'880	107'120
3312 New POPs	85'000	(6'196)	91'196
3399 Total Meetings: participant travel and DSA	1'595'000	496'522	1'098'478
3999 Component Total: Training	1'595'000	496'522	1'098'478
50 Miscellaneous Component			
5200			
5203 Reports on existing chemicals	110'000	32'612	77'388
5204 Reporting on new chemicals	40'000	-	40'000
5299 Total Reporting cost	150'000	32'612	117'388
5999 Component Total: Miscellaneous	150'000	32'612	117'388
99 TOTAL DIRECT COST	3'065'000	2'075'600	984'585
PROGRAMME SUPPORT COSTS (13%)	398'450	269'828	127'996
GRAND TOTAL	3'463'450	2'345'428	1'112'581

Annex VII

Provisional information on expenditures from 1 January to 30 November 2012 - Stockholm Convention voluntary Special Trust Fund (SV)

(in US\$ dollars)

	Budget 2012	Expenditures 2012	Balance 2012
10 PROJECT PERSONNEL COMPONENT			
1100 Professional staff			
1120 Programme Officer DDT P.3	-	178'926	(178'926)
1121 Programme Officer P.3	-	90'243	(90'243)
1122 Programme Officer L.3 Capacity Building	-	116'481	(116'481)
1125 Associate Programme Officer L.2	-	128'812	(128'812)
1126 Programme Officer P.3	-	91'912	(91'912)
1199 Total	-	606'374	0
1200 Consultants			
1204 Consultants Toolkit	15'000	7'500	7'500
1206 Consultant POPs-free products	30'000	-	30'000
1211 Consultants financial assistance	40'000	-	40'000
1213 Consultants Effectiveness Evaluation (Monitoring)	45'000	13'000	32'000
1214 Consultants DDT and PCBs	45'000	-	45'000
1215 Consultants knowledge and information systems	15'000	-	15'000
1216 Consultants New POPs	75'000	98'026	(23'026)
1218 Consultants New POPs (Reporting)	90'000	-	90'000
1219 Consultants (Training of Chairs)	-	20'000	(20'000)
1280 Consultants (technical assistance/training tools)	12'800	-	12'800
1281 Consultants (capacity-building national level)	30'000	-	30'000
1282 Consultants (scientific and technical)	1'900	-	1'900
1283 Consultants (guidelines on POPs waste)	3'800	-	3'800
1284 Consultants (cross-cutting information)	6'000	-	6'000
1285 Consultants (clearing-house development)	24'400	-	24'400
1286 Consultants (reporting)	45'000	-	45'000
1287 Consultants (IT platform)	40'000	27'848	12'152
1299 Total	518'900	166'374	352'526
1330 Conference services			
1322 GTA Programme Clerk	-	87'223	(87'223)
1334 DDT experts group	90'000	-	90'000
1380 Conference services - (annual meeting regional centres)	3'200	-	3'200
1399 Total	93'200	87'223	5'977
1600 Travel on Official Business			
1603 Travel on official business	30'000	1'203	28'797
1680 Travel on official business (joint staff travel)	53'200	-	53'200
1699 Total	83'200	1'203	81'997
1999 Component Total	695'300	861'174	440'500
20 SUB-CONTRACT COMPONENT			
2200 SubContracts			
2204 Toolkit revision of toolkit	32'500	-	32'500
2205 POPs monitoring data	600'000	112'205	487'795
2206 POPs capacity enhancement & technical assistance in regions	810'000	2'540	807'460
2207 DDT	300'000	51'000	249'000
2208 PCBs	255'000	29'750	225'250
2209 New POPs	300'000	279'728	20'272
2280 Subcontracts (technical assistance/training tools)	92'500	-	92'500
2281 Subcontracts (capacity-building regional level)	115'000	-	115'000
2282 Subcontracts (capacity-building national level)	130'000	-	130'000

	Budget 2012	Expenditures 2012	Balance 2012
2283 Subcontracts (partnerships and other MEAs)	90'000	-	90'000
2284 Subcontracts (scientific and technical)	6'000	-	6'000
2285 Subcontracts (guidelines on POPs waste)	9'600	-	9'600
2286 Subcontracts (regional centres)	69'500	-	69'500
2287 Subcontracts (south-south cooperation)	16'000	-	16'000
2288 Subcontracts (clearing-house development)	3'100	-	3'100
2289 Subcontracts (public awareness/Safe Planet campaign)	38'000	-	38'000
2291 Subcontracts (IT platform)	20'000	-	-
2299 Total	2'887'200	475'223	2'391'977
2999 Component Total	2'887'200	475'223	2'391'977
30 TRAINING COMPONENT			
3300 Meetings: participants travel and DSA			
3301 Conference of the Parties	-	-	-
3302 POPs Review Committee	40'000	30'701	9'299
3303 Toolkit participant travel	22'500	36'838	(14'338)
3311 DDT and PCBs	150'000	141'906	8'094
3313 Financial mechanism/assistance travel	10'000	-	10'000
3380 Participants travel (scientific and technical)	11'500	-	11'500
3381 Participants travel (guidelines on POPs waste)	5'000	-	5'000
3382 Participants travel (annual meeting regional centres)	36'800	-	36'800
3383 Participants travel (brokering service)	4'000	-	4'000
3399 Total	279'800	209'445	70'355
3999 Component Total	279'800	209'445	70'355
40 EQUIPMENT AND PREMISES COMPONENT			
4100 Expendable equipment			
4180 Office equipment: paper, diskettes, CS-ROMs (cross-cutting information)	500	-	500
4199 Total	500	-	500
4200 Non-expendable equipment			
4280 Office equipment: hardware and software (CHM - cross-cutting information)	1'000	-	1'000
4281 Office equipment: hardware and software (clearing-house development)	3'500	-	3'500
4283 Office equipment: hardware and software (IT platform)	20'000	-	20'000
4299 Total	24'500	-	24'500
4999 Component Total	24'500	-	24'500
50 MISCELLANEOUS COMPONENT			
5200 Reporting costs			
5201 Reports on New POPs	30'000	400	29'600
5202 Reports on New POPs (Reporting)	25'000	-	25'000
5203 Reports on existing chemicals	40'000	-	40'000
5204 Reporting on new chemicals	80'000	49'000	31'000
5205 Reporting on PCBs	70'000	-	70'000
5206 Reporting BAT/BEP & Toolkits	10'000	-	10'000
5210 Translation and publishing of DDT report	25'000	2'800	22'200
5280 Reporting	36'700	-	36'700
5299 Total	316'700	52'200	264'500
5999 Component Total	316'700	52'200	264'500
DIRECT PROJECT COST OPERATIONAL BUDGET	4'204'000	1'598'042	3'192'332
UNEP Programme Support Costs 13%	546'520	207'745	415'003
TOTAL OPERATIONAL BUDGET	4'750'520	1'805'787	3'607'335

Annex VIII

Status of contributions - Stockholm Convention voluntary Special Trust Fund (SV), as at 31 December 2011

(in US\$ dollars)

Country	Pledge	Amount	Remarks
INCOME			
Transfer from 2006			
Contribution from Canada		41'728	Balance from 2005 PO Trust Fund (POPS club) per COP.2/INF/13
Contribution from Germany		83'456	Balance from 2005 PO Trust Fund (POPS club) per COP.2/INF/13
Contribution from Norway		10'432	Balance from 2005 PO Trust Fund (POPS club) per COP.2/INF/13
Contribution from Sweden		10'432	Balance from 2005 PO Trust Fund (POPS club) per COP.2/INF/13
Contribution from Switzerland		824'126	\$100,000 COP-3 travel in 2007 = \$724,126 moved to reserve within SV
Subtotal		970'174	
Unspent balance from 2006		2'052'844	Per financial statement 2006 (Income \$2,419,844 - expenditures \$367,000 = \$2,052,844) including interest & programme support costs
Subtotal from 2006		3'023'018	
2007 contributions			
Austria		63'628	AHJWG meeting in Vienna, December 2007
Belgium	EUR 6,000	8'746	POPs activities
Finland	USD 46,128	46'128	AHJWG meeting in Helsinki, March/April 2007
Germany	USD 40,313	40'313	Participant travel for COP-3 in Dakar, April/May 07
Iceland	USD 1,000	1'000	POPs activities
Netherlands	USD 137,466	137'466	29,400 for participant travel for meeting of the working group on non-compliance in Dakar April 2007
Norway	USD 100,334	100'334	POPs activities
Spain	USD 98,684	98'684	POPs activities
Spain	EUR 125,000	204'597	Implementation of NIPs in Peru and Ecuador
Sweden	USD 551,461	551'461	\$300,000 for development of global monitoring plan for effectiveness evaluation
Swedish Chemical Agency	USD 43,739	43'739	Participant travel for COP-3 in Dakar April/May 07
Sweden	SEK 200,000	30'157	Participant travel for POPRC-3, November 2007
Switzerland	USD 32,328	32'328	Participant travel for COP-3 in Dakar Apr/May 07
United States of America	USD 362,000	362'000	POPs activities
Subtotal, 2007 contributions		1'720'581	
2008 contributions			
Australia	AUD 200,000	191'460	Global monitoring and effectiveness evaluation
Canada	CAD 10,000	9'804	Environment Canada (MOU)
EC	EUR 50,000	75'643	Toolkit activities
Germany	EUR 15,000	4'392	DDT business plan
Germany	EUR 46,307	40'000	POPRC workshop in Uruguay
Japan	USD 30,260	30'260	Effectiveness evaluation in Asia
Netherlands	EUR 60,000	94'344	Not earmarked
New Zealand	NZ\$ 30,000	16'523	DDT alternatives
Norway	NOK 150,000	27'329	DDT business plan
Norway	NOK 175,000	33'533	Participant travel intergovernmental meetings
Norway	NOK 2,100,000	298'720	Technical assistance training, DDT, effectiveness evaluation
Spain	EUR 500,000	647'668	POPs activities
Sweden (KEMI)	SEK 500,000	81'119	Global Monitoring plan for POPs
Sweden (KEMI)	SEK 100,000	15'129	Participant travel for POPRC-4 meeting
Switzerland	CHF 35,000	31'420	DDT plan
USA	USD 322,000	322'000	POPs activities
Subtotal, 2008 contributions		1'919'343	
2009 contributions			
CROPLIFE	EUR 12,000	17'442	International Public Health Pesticides Workshop
Denmark	DKK 300,000	56'926	COP-4 travel
EC	EUR 30,000	39'194	Toolkit activities (2nd installment)
EC grant	EUR 400,000	291'600	GMP, Effectiveness Evaluation (1st installment)

Country	Pledge	Amount	Remarks
Finland	EUR 20,000	26'350	COP-4 participant travel
Germany	EUR 40,000	52'406	COP-4 participant travel
Germany	USD 990.00	990	POPRC-5 event in Oct 09
Germany	EUR 10,000	15'404	Launch of global alliance DDT
Moldova	USD 1,000	1'000	COP-4 participants travel
Norway	NOK 500,000	75'415	Participation of developing countries at intergovernmental meetings
Norway	NOK 4,100,000	697'279	Technical assistance/capacity building projects
Sweden (KEMI)	SEK 250,000	29'586	PFOS alternative study
Sweden (KEMI)	SEK 300,000	38'610	COP-4 participant travel
Sweden (KEMI)	SEK 150,000	19'805	POPRC-4 participant travel
Sweden (KEMI)	SEK 100,000	15'057	Pocket guide translation (POPRC)
Sweden	SEK 500,000	69'315	Synergy projects BC/RC/SC (Pilot project for the joint implementation of the BC, RC and SC in Asia and pilot project on Enhancing Coordination of national reporting under the BC and SC)
Switzerland	CHF 10,000	9'508	Final payment COP-3 funded travel
USA	USD 322,000	322'000	POPs activities
Subtotal, 2009 contributions		1'777'886	
2010 contributions			
CORSA, Mexico	USD 800	800	PEN Magazine
EC grant		27'200	Toolkit activities (final) (EUR 20,000)
Germany	EUR 30,000	37'890	Technical Assistance on new POPs in Nigeria
Germany		65'000	Synergy projects among SC/RC (EUR 50,000); deposit
India		1'000	Launch of global alliance DDT
LITO S.A., Colombia	USD 1,500	1'500	PEN Magazine
Netherlands	EUR 40,000	53'600	POPs activities 2010
Netherlands	EUR 40,000	34'264	POPs activities 2010-2011
Netherlands	EUR 120,000	116'336	POPs activities 2010-2011
Norway	NOK 2,900,000	447'531	Technical Assistance projects for 2010
Norway	NOK 170,000	26'984	Travel support to developing countries
Norway	NOK 1,130,000	192'800	Small-grant-projects w BC, RC, SC regional centres
Norway	NOK 130,000	22'200	Safe Planet Campaign
Sweden (KEMI)	SEK 1,000,000	68'681	GMP project (1st installment)
Sweden (KEMI)	SEK 660,000	90'659	Alternatives to DDT
Sweden		33'330	Small-grant-projects w BC, SC regional centres
Trédi International, France		8'000	Launch of PCBs Elimination Network
USEPA	USD 10,000	10'000	International Public Health Pesticides Workshop
USA		400'000	Support of POPs activities
Subtotal, 2010 contributions		1'637'776	
2011 contributions			
Austria	EUR 10,000	13'624	Support to COP5
EC	EUR 384,944	524'447	Support to Stockholm Parties Implementation First installment on EC ref. 2010/580787
EC	SEK 120,000	171'674	Global Monitoring Plans for POPs EC ref. 2009/542028 Second pre-finance.
EC	EUR 20,000	26'667	Stockholm for Dioxin and Furan Toolkit (EC Ref. 2007/485030)
Finland	EUR 15,000	21'127	COP 5 Participant Support
France	EUR 30,000	43'395	Synergy activities under Basel, Rotterdam & Stockholm Conventions
France	EUR 25,000	33'333	Global Alliance for Alternatives to DDT
Germany	EUR 30,000	41'209	COP 5 Participant Support
Germany	EUR 30,000	42'254	Synergies between RO and SC projects
Germany	EUR 10,000	14'085	POPs-Free Products project.
Masaryk University, RECETOX	EUR 1,000	1'374	
Moldova		1'000	Support POPS related activities
Norway	NOK 2,450,000	431'034	Support to TA/Stockholm Projects in 2011
Norway	NOK 340,000	62'445	travel support to Stockholm Convention meetings
Norway	NOK 500,000	83'950	Management of PFOS under Stockholm Convention
Sweden	SEK 500,000	76'266	Global Monitoring Plan for POPs 2nd payment
Sweden	SEK 149,816	23'971	Support to POPRC-6
Sweden EPA	SEK 200,000	28'569	PCB Elimination Network
Sweden KEMI	SEK 350,000	54'555	Support to COP 5 and POPRC-7 in Geneva
USA		400'000	Support POPS related activities
Subtotal, 2011 contributions		2'094'977	
Total Income		13'143'755	

Annex IX**Status of contributions - Stockholm Convention voluntary Special Trust****Fund (SV), as at 30 November 2012**

(in US\$ dollars)

COUNTRY/ ORGANISATION	Unpaid pledges for 2011 prior yrs	Pledges for 2012	NOTES	Collections in 2012 for 2011 & prior yrs	Collections in 2012 for 2012	Unpaid pledges for 2012 & prior yrs
Belgium	-	7'722	1/	-	7'722	-
EU ENRTP	-	1'230'004	5/	-	1'230'004	-
Germany	-	24'369	6/	-	24'369	-
Germany	-	132'802	7/	-	132'802	-
Germany	-	15'000	13/	-	-	15'000
GIZ	-	22'388	11/	-	22'388	-
Netherlands	-	45'000	12/	-	45'000	-
Norway	-	434'812	8/	-	434'812	-
Norway	-	34'011	9/	-	34'011	-
Switzerland	-	31'949	2/	-	-	31'949
Switzerland	-	104'562	10/	-	104'562	-
Switzerland	-	106'778	3/	-	106'778	-
Switzerland	-	540'950	4/	-	540'950	-
Switzerland	-	8'333	14/	-	-	8'333
USA	-	400'000	15/	-	400'000	-
Total	-	3'138'681		-	3'083'398	55'282

NOTES

1/ EUR 6,000 contribution in support of POPs activities

2/ CHF 30,000 to support the DDT expert group meeting to be held in Geneva October 2012

3/ CHF 100,000 to support high level segment of COPs

4/ CHF 500,000 being 25% of host country contribution

5/ EUR 987,078 EU ENRTP grant for 2012 including 7% PSC

6/ EUR 18,350 POPs Free products Project 2012

7/ EUR 100,000 to support synergies for Stockholm and Rotterdam Conventions

8/ NOK 515,000 in support of the second round of the human milk survey for Africa and Latin America

NOK 905,000 in support of regional training on NIP updating

NOK 515,000 in support of the project on POPs in articles and POPs-free products

NOK 645,000 in support of technical assistance activities to be implemented by Stockholm Convention regional centres

9/ NOK 200,000 POPs review committee meeting - Autumn 2012

10/ CHF 100,000 support preparations for COP6

11/ EUR 18,000 to support sound management of POPs

12/ USD 45,000 Voluntary contribution for 2013

13/ USD 15,000 to support travel of participants from developing countries at Global Alliance for the development and deployment of alternatives to DDT, Nairobi, Kenya 27-28 August 2012

14/ CHF 7,750 for the preparation of a broadcast animation of to enhance the understanding of the synergy process and its relevance to implementation of the Basel Rotterdam and Stockholm conventions

15/ USD 400,000 voluntary contribution for 2012

Annex X

Statement of income and expenditure and changes in reserve and fund balance for the years 2006 - 2012 and cumulative, as at 30 November 2012

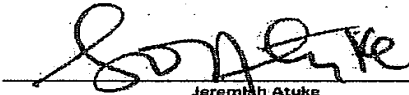


(in US\$ dollars)

Special Voluntary Trust Fund for the Stockholm Convention (SV)							
STATEMENT OF INCOME AND EXPENDITURE AND CHANGES IN RESERVE AND FUND BALANCE FOR THE YEARS 2006 - 2012 AND CUMULATIVE (in USD)							
	2006	2007	2008	2009	2010	2011	2012
INCOME							
Voluntary contributions	2'340'000	1'720'581	1'941'327	1'785'697	1'626'293	2'058'694	3'083'398
Interest income	79'844	195'000	94'736	35'300	23'627	22'200	12'624
Miscellaneous income	-	298'548	34'940	35'830	-	9'491	-
TOTAL INCOME	2'419'844	2'214'129	2'071'003	1'856'827	1'649'920	2'090'385	3'096'022
EXPENDITURE							
Staff and other personnel costs	-	87'867	87'322	626'538	523'577	706'841	693'597
Contractual services	5'000	351'521	559'802	774'576	1'456'324	847'191	641'597
Travel	4'000	19'222	47'339	80'781	51'503	8'874	1'203
Operating expenses	316'000	625'254	284'155	1'588'513	791'964	512'694	-
Acquisitions	-	-	-	-	-	-	261'645
Programme support costs	42'000	141'152	125'462	399'153	367'038	269'828	207'745
TOTAL EXPENDITURE	367'000	1'225'016	1'104'080	3'469'561	3'190'406	2'345'428	1'805'787
EXCESS OF INCOME OVER EXPENDITURE	2'052'844	989'113	966'923	(1'612'734)	(1'540'486)	(255'043)	1'290'235
Transfer to reserve	-	(724'126)	-	-	-	-	-
Transfer to/from other funds	970'173	-	-	100'000	-	(987'000)	106'157
FUND BALANCE AT THE BEGINNING OF THE PERIOD	-	3'023'017	3'288'004	4'254'927	2'742'193	1'201'707	(40'336)
FUND BALANCE AT THE END OF THE PERIOD	3'023'017	3'288'004	4'254'927	2'742'193	1'201'707	(40'336)	1'356'056
RESERVE AT THE BEGINNING OF THE PERIOD		724'126	724'126	724'126	624'126	624'126	624'126
TRANSFER FROM/TO RESERVE				(100'000)			(106'157)
RESERVE AT THE END OF THE PERIOD		724'126	724'126	624'126	624'126	624'126	517'969
TOTAL RESERVE AND FUND BALANCE	3'023'017	4'012'130	4'979'053	3'366'319	1'825'833	583'790	1'874'025

Annex XI

Certified accounts of the Stockholm Convention trust funds for the biennium 2010–2011

United Nations Environment Programme

General Trust Fund for the Stockholm Convention on persistent Organic Pollutants its Subsidiary Bodies and the Convention (Fund code: SCL)	
I. Statement of income and expenditure and changes in reserves and fund balances for the period of the biennium 2010–2011 ended at 31 December 2011 (United States Dollars)	
	Total 2011
Income	
Voluntary contributions	11,950,280
Other/Miscellaneous:	
Interest income	79,278
Miscellaneous income	505
Exchange gains	56
Total Income	12,030,120
Expenditure	
Staff and other personnel costs	8,887,759
Contractual services	820,935
Travel	279,335
Operating expenses	690,263
Acquisitions	388,200
Programme support costs	1,438,745
Total Expenditure	12,505,237
Excess/(shortfall) of income over expenditure	(475,117)
Prior periods' adjustments	(3,264)
Net excess/(shortfall) of income over expenditure	(478,381)
Transfers from/(to) reserves	(35,061)
Fund balances, beginning of period	1,491,449
Fund balances, end of period	978,007
Reserves, beginning of period	449,570
Transfers from/(to) funds	35,061
Reserves, end of period	484,631
Total reserves and fund balances	1,462,638
II. Statement of assets, liabilities, reserves and fund balances, as at 31 December 2011 (United States Dollars)	
	Total 2011
Assets	
Cash pool - US dollar	599,489
Cash pool - Euro	547,195
Accounts receivable:	
Voluntary contributions receivable	1,027,940
Inter-fund receivable	31,991
Other accounts receivable	32,378
Other assets	75,000
Total assets	2,313,993
Liabilities	
Payments or contributions received in advance	718,368
Unliquidated obligations	125,211
Accounts payable:	
Other accounts payable	7,776
Total liabilities	851,355
Reserves and fund balances	
Operating reserves	484,631
Cumulative surplus	978,007
Total reserves and fund balances	1,462,638
Total liabilities, reserves and fund balances	2,313,993
 _____ Jeremiah Atuke Chief ACCOUNTS SECTION BUDGET AND FINANCIAL MANAGEMENT SERVICE, UNON	
 3 July 2012 	

United Nations Environment Programme

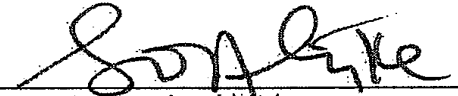
Special Trust Fund for the Stockholm Convention on persistent Organic Pollutants its Subsidiary Bodies and the Convention
(Fund code: SVL)



I. Statement of income and expenditure and changes in reserves and fund balances for the period of the biennium 2010-2011 ended at 31 December 2011
(United States Dollars)

	Total 2011
Income	
Voluntary contributions	3,684,987
Other/Miscellaneous:	
Interest income	45,827
Miscellaneous income	5,559
Exchange gains	3,932
Total income	3,740,305
Expenditure	
Staff and other personnel costs	1,230,418
Contractual services	2,303,515
Travel	60,377
Operating expenses	1,304,658
Programme support costs	636,866
Total Expenditure	5,535,834
Net excess/(shortfall) of income over expenditure	(1,795,529)
Transfers from/(to) other funds	(987,000)
Fund balances, beginning of period	2,742,192
Fund balances, end of period	(40,337)
Reserves, beginning of period	624,126
Reserves, end of period	624,126
Total reserves and fund balances	583,789

II. Statement of assets, liabilities, reserves and fund balances as at 31 December 2011
(United States Dollars)

	Total 2011
Assets	
Cash pool - US dollar	434,937
Cash pool - Euro	396,997
Accounts receivable:	
Voluntary contributions receivable	-40
Inter-fund receivable	23,217
Other accounts receivable	12,735
Other assets	4,382
Total assets	872,308
Liabilities	
Unliquidated obligations	249,236
Accounts payable:	
Other accounts payable	39,283
Total liabilities	288,519
Reserves and fund balances	
Operating reserves	624,126
Cumulative surplus	(40,337)
Total reserves and fund balances	583,789
Total liabilities, reserves and fund balances	872,308


Jeremiah Atuke
Chief
ACCOUNTS SECTION
BUDGET AND FINANCIAL MANAGEMENT SERVICE, UNON


3 July 2012


United Nations Office at Nairobi
ACCOUNTS SECTION
Budget and Financial
Management Service