

INTRODUCTION TO EXISTING GUIDANCE FOR DEVELOPING A NATIONAL IMPLEMENTATION PLAN FOR THE STOCKHOLM CONVENTION



**Regional workshop on new POPs and the process for
reviewing and updating NIPs
São Paulo, Brazil, 18-21 May 2010**

THIS PRESENTATION

- Overview of existing guidance under the Convention
- The Guidance for developing a NIP for the Stockholm Convention

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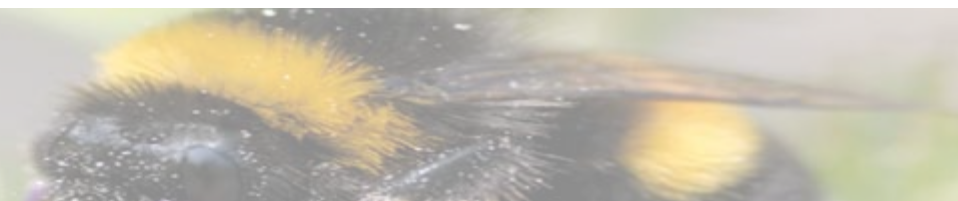




Guidance on NIP development

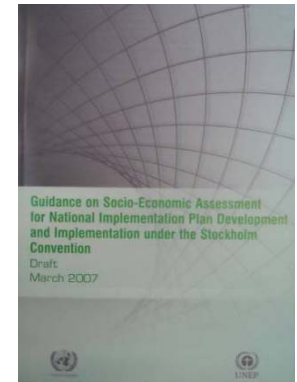
Existing guidance on NIP development

- Guidance for developing a National Implementation Plan for the Stockholm Convention
- Guidance for the review and updating of NIPs
- Elaborated process of reviewing and updating national implementation plans



Existing guidance on NIP development

- Guidance on social and economic assessment for the development and implementation of NIPs
- Additional guidance on NIP costing and on incremental costs



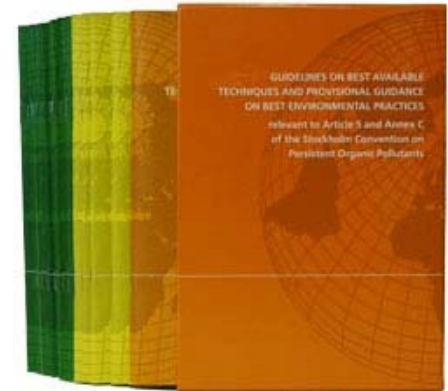
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Other available relevant guidance

Guidance on unintentionally produced POPs

- **Draft guidelines on best available techniques and provisional guidance on best environmental practices relevant to Article 5 and Annex C**
- **Standardized Toolkit for identification and quantification of dioxin and furan releases**



Guidance on wastes

- Updated general technical guidelines for the environmentally sound management of wastes, consisting of, containing or contaminated with persistent organic pollutants, and
- Four other technical guidelines on specific persistent organic pollutants covering: PCBs, DDT, Unintentional POPs and Pesticides

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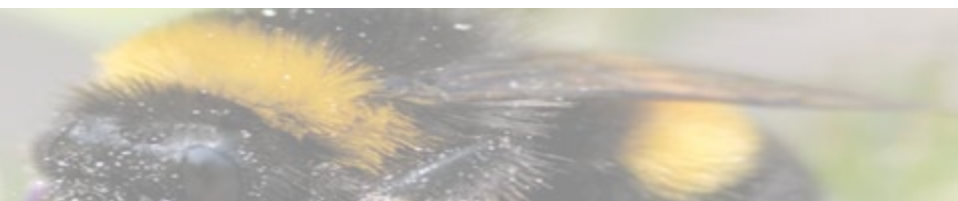


The Guidance for developing a National Implementation Plan

The Guidance for developing a National Implementation Plan

- Guidance document developed as part of the Pilot 12 Country Project to develop a NIP
- Adopted by COP 1
- Was widely used by Parties in developing their NIP

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NIP Development: WHY, WHO, HOW, WHEN?

- **Primary Responsibility**
 - Nominated official supported by the necessary teams and experts
- **Stakeholders – Who and Why**
 - Indicative groups
 - Additional references
- **Method – How**
 - No specification in the Convention
 - Guidance provides a series of tasks and an outline procedure to develop a NIP
 - Other existing guidelines are referenced

General principles for NIP development

- Tailored to the needs of the Party
- Avoid overlaps, use of existing structures: not “reinvent the wheel” during development
- Integration in national sustainable development strategies, national environmental action plans
- Flexibility to respond to the listing of new chemicals
- Prepared with public, stakeholder involvement

STRUCTURE OF THE GUIDANCE DOCUMENT

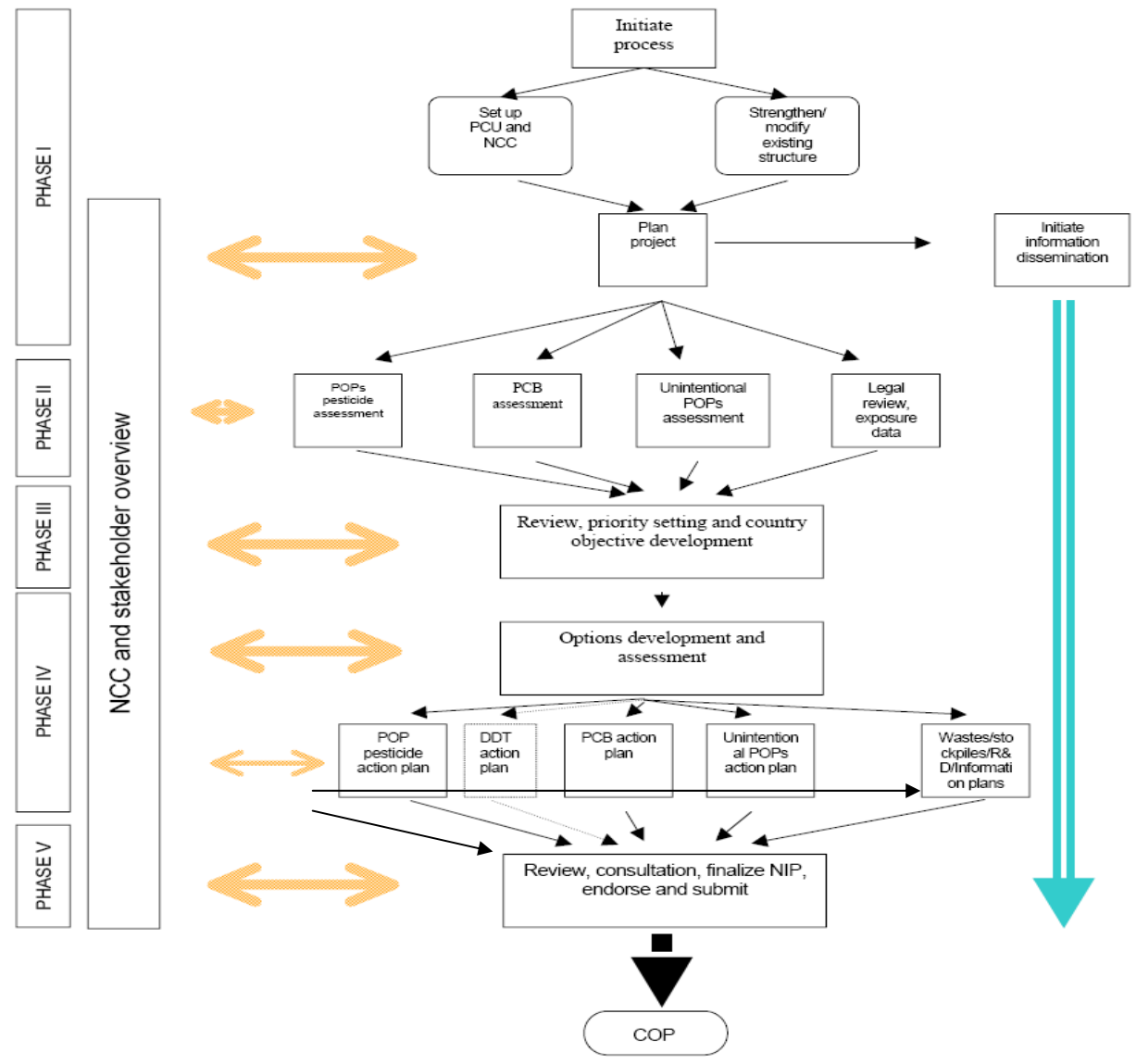
Structure and Use of the Document

- **Section 1:**
 - Background information
- **Section 2:**
 - General principles to guide the compilation of the NIP
- **Section 3:**
 - Basic obligation under the Stockholm Convention to develop a NIP
- **Sections 4, 5, 6, 7, 8, and 9:**
 - Breakdown of the process of producing a NIP (steps in the assessment, evaluation and development of options, country endorsement)

Structure and Use of the Document

- **Annex 1**
 - NIPs for the SC for the management of POPs – Implementation Arrangements and TORs
- **Annex 2:**
 - POPs Pesticide Production and Use Assessment
- **Annex 3:**
 - PCB Assessment
- **Annex 4:**
 - Unintentionally Produced Chemicals Assessment
- **Annex 5:**
 - Outline contents of a NIP
- **Annex 6:**
 - Convention Requirements Check List
- **Annex 7:**
 - Notes on Socio-economic Assessment
- **Annex 8:**
 - Process flow chart

Process Flow Chart



NIP Development Phases

- **Phase I and II** - Sensitisation and Mobilisation + Initialisation of the NIPs Development
 - *Establishment of coordinating mechanism and organization of process*
- **Phase III** – Detailed Development and Drafting of Background Information and Options
 - *Establishment of a POPs inventory and assessment of national infrastructure and capacity -- Detailed development and drafting of baseline information*

NIP Development Phases

- **Phase IV** - Options assessment, Priority Setting and NIP drafting
 - *Priority setting and determination of objectives*
 - *Formulation of a prioritised and costed National Implementation Plan and specific Action Plans on POPs*
- **Phase V** - NIP Endorsement and Submission
 - *Endorsement of NIP by stakeholders and submission*

Layout of Guidance Sections

A common layout for chapters 5-9

- Objective and outcome
- Primary Responsibility
- Stakeholders – Who and Why
- Tasks
- Method and approach
- Additional Guidance required
- Formats

Layout of Guidance Sections

- Objectives
 - What is the aim of this step
- Outcome
 - What will the outputs be for this step
- Primary responsibility
 - Who is leading this activity and responsible for successful delivery
- Stakeholders
 - Where these need to be defined (i.e. if they change)

Layout of Guidance Sections

- Tasks
 - Description of the tasks to be undertaken
- Method and approach
 - How to go about the tasks
- Guidance
 - Compilation of the most relevant guidelines

Outline of a NIP

(Name of Country)

Executive Summary

1. Introduction

2. Country Baseline

2.1 Country Profile

2.1.1. Geography and Population

2.1.2. Political and Economic Profile

2.1.3. Profiles of Economic Sectors

2.1.4. Environmental Overview

2.2 Institutional, Policy and Regulatory Framework

2.2.1. Environmental/Sustainable Development Policy and General Legislative Framework

2.2.2. Roles and Responsibilities of Ministries, Agencies and other governmental Institutions involved in POPs life cycles (source through to disposal, environmental fate and health monitoring)

How to use the document

- Many possible ways to do a NIP
- This document offers suggestions
- Adaptation to national circumstances
- Language variation does not mean difference in concept
- Electronic version of guidance contains links to all relevant documents
- All documents available for download at:
www.pops.int

EXAMPLE OF AN APPROACH FOR USING THE GUIDANCE DOCUMENT

**When initiating the process
for review of your NIP**

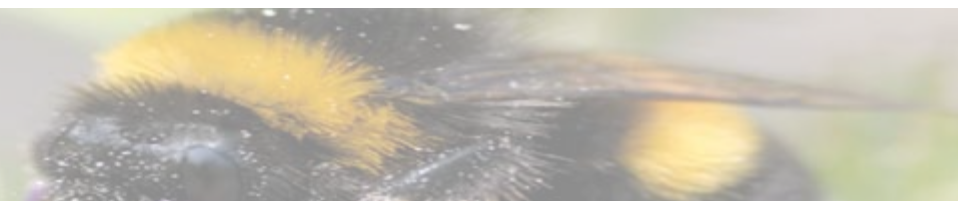
Reacquainting ourselves with the NIP guidance

Phase I of the guidance: Establishment of Coordinated mechanism and process organization



- Objective and outcome
- Primary Responsibility
- Stakeholders – Who and Why
- Tasks
- Method and approach
- Guidance

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Objective

- Raise awareness within government departments, ministries, agencies of the new 9 POPs under the SC and the need to review and update the NIP;
- Initiate process of raising awareness on the 9 new POPs with stakeholders outside government;
- Achieve sufficient political commitment to allow successful NIP review and update process;
- Strengthen existing coordinating mechanisms for planning, managing and supervising the process;
- Involve all relevant stakeholders.

Objective (2)

- Reflect as relevant other synergistic approaches with Basel and Rotterdam conventions
- Develop a structure, frameworks and procedures for the NIP review and update process
- Prepare a detailed work plan to undertake process
- Gain commitment :
 - From relevant national experts;
 - On resources and facilities to reconstitute task teams.
- Plan and initiate an information dissemination campaign

Outcome

- Re-establishment of coordinating committee
- Mechanisms for the review and update are in place
- Commitment from stakeholders is guaranteed
- Agreement on work plan
- Identification of task teams to address specific issues

Primary responsibility

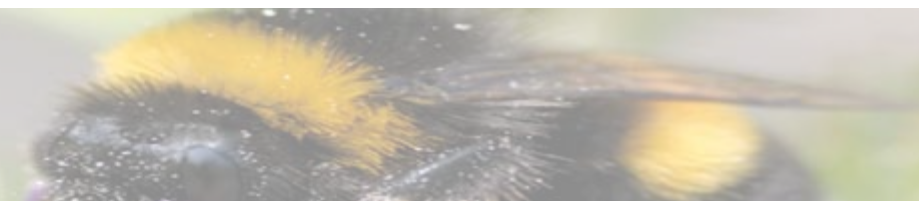
The OCP would be responsible for running the national coordinating committee

NCC

Project coordination unit would be responsible for running the project to update NIP

PCU

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Stakeholders

- Areas to be considered:
 - Environment
 - Finance
 - Agriculture
 - Industry
 - import and export
 - Public health
 - Trade
 - Transport
 - Others; ie academy, NGOs,

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Tasks

- Formulate a project plan that assigns
 - Responsibilities
 - Resources
 - Budget
- Finalize the structure for the process, including a plan for a core team
- Identify and establish task teams to take lead in the technical aspects in phase II
- Identify other relevant on going activities

Method and approach

- OCP to take relevant steps to reconstitute your coordination mechanism by initiating a meeting with relevant government sectors to brief them about the status of the Stockholm Convention and the obligation to review and update NIP
- Identify a relevant agencies that can assist you to access GEF Funding

**THANK YOU FOR YOUR
ATTENTION!**

Do you have any questions?